Intellectual Property Situation

# Relevant Patent(s)

## Are there any patent(s) relevant to Pioneer Fellowship?

YES  NO

If “YES”, answer the questions in the tabe below for each relevant patent, regardless of whether you are the inventor and regardless of whether it is owned by ETH Zurich, private or by a third party. For multiple patents, “Copy & Paste” the table below for each relevant patent.

|  |  |
| --- | --- |
| **ETH Reference Number (e.g. 20YY – NNN):** | Click or tap here to enter the reference number. |
| **Patent Title:** | Click or tap here to enter full patent title. |
| **Patent Status:** | Click or tap here to enter the patent status. |
| **Application Number:** | Click or tap here to enter the application number. |
| **Priority Date):** | Click or tap to enter the priority date. |
| **Ownership** (ETH Zurich, private, others): | Click or tap to enter owners. |
| **Inventor(s) incl. their affiliation(s),** e.g. ETH Zurich, private persons / institutions / companies: | Click or tap here to list all inventors. |
| **Applicant(s) incl. their affiliation(s),** e.g. ETH Zurich, private persons / institutions / companies: | Click or tap here to enter all applicants. |
| **If the patent if owned privately or by others, please specify why the patent is relevant for the Pioneer Fellowship.** | Click or tap here to specify. |

“Copy & Paste” above table here if there are multiple patents.

# Relevant Patent Application(s) and Invention Disclosure(s)

## Are there any patent application(s) or invention disclosure(s) relevant to Pioneer Fellowship?

YES  NO

If “YES”, answer the questions in the table below for each patent application(s) and invention disclosure(s), regardless of whether you are the inventor and regardless of whether it is applied with or disclosed to ETH Zurich, any other third party or private. “Copy & Paste” the table below for each patent application or invention disclosure.

|  |  |
| --- | --- |
| **ETH Technology Ref. Num.:** | Click or tap here to enter the reference number. |
| **Title of Technology:** | Click or tap here to enter title of technology. |
| **Date of submission with ETH transfer:** | Click or tap to enter the submission date with ETH Zurich. |
| **Inventor(s) incl. their affiliation(s) (e.g. ETH Zurich, private persons/institutions/companies):** | Click or tap here to enter text. |
| **Applicant(s) (ETH Zurich, other institutions/companies, private persons):** | Click or tap here to enter text. |

“Copy & Paste” above table here if there are multiple relevant patent application(s) or invention disclosure(s).

# Relevant Software

## Were all software developers ETH employees?

YES  NO

If “NO”, answer the questions 3.1.1 and 3.1.2, if “YES” proceed to 3.2

### Have students (i.e. master or bachelor) contributed to or (co-) developed the software?

YES  NO

If “YES”, specify who (full name) contributed and if they have assigned their rights to ETH Zurich. Provide any assignment agreement(s):

|  |
| --- |
| Click or tap here to specify who (name, last name) and if they have assigned their rights to ETH Zurich. |

### Have third parties (external persons) contributed to or (co-) developed the software?

YES  NO

If “YES”, specify their full name(s), their institutions/companies of employment and if they have assigned their IP rights to ETH Zurich. Specify also if the software was developed under an agreement with ETH Zurich. Provide the assignment agreement(s).

|  |
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| Click or tap here to specify who (name, last name) contributed, the institution/company of employment and if they have assigned their rights to ETH Zurich. Specify also, if the software was developed by such contributor under an agreement with ETH Zurich. |

## If 3.1 was “YES”, answer following questions: Was the ETH software developer salary paid by third party funding?

YES  NO

If “YES”, detail the funding source (institution/company, grant number, etc.) and answer the questions 3.2.1 and 3.2.2 below:

|  |
| --- |
| Click or tap here to specify |

### Was the ETH software developer 100% employed at ETH Zurich with third party funding?

YES  NO

If “NO”, specify the percentage:

|  |
| --- |
| Click or tap here to specify percentage |

### Does an agreement exist for the third-party funding?

YES  NO

If “YES”, detail the information to clearly identify the agreement (institution/company, title, ID, etc.):

|  |
| --- |
| Click or tap here to specify |

## Was the software developed in whole or in part (1) within a project(s) with a third-party, such as industrial or research partner, or (2) on behalf of a third party?

YES  NO

If “YES”, give the project title and partner(s):

|  |
| --- |
| Click or tap here to give the project title and partner(s) |

## Does a project agreement exist?

YES  NO

If “YES”, detail the information to clearly specify the agreement (partner, title, ID, etc.):

|  |
| --- |
| Click or tap here to specify the agreement(s) |

## Does the software (1) contain third-party program code/libraries, or contain (2) modified third-party program code/libraries or (3) is the software linked to third-party program code/libraries (e.g. open-source program code / libraries or proprietary program code / libraries from third parties)?

YES  NO

If “YES”, specify below the name of the program code, the owner / author and the license type, license version e.g. MIT, GPLv2/ GPLv3, etc. (if open source). In case third-party proprietary program code was used, specify the name and the proprietor’s name, and if the program code is under a commercial license. If you prefer you could add a separate document with the list of such code and the requested information.

|  |
| --- |
| Click or tap here to specify |

## Has the software been developed by means of a third-party software vendor (e.g. MATLAB, Xilinx, Synapsis, LabView, etc.)?

YES  NO

If “YES”, specify the name of the software and the vendor’s name and specify if such software is under a commercial license:

|  |
| --- |
| Click or tap here to specify |

# Relevant Data (e.g. training data, simulation data, clinical data, etc.)

## Has the original data been obtained/collected/generated/measured at ETH only?

YES  NO

If “NO”, provide the source(s) of the data:

|  |
| --- |
| Click or tap here to specify |

## Has the original data been obtained from other / external sources or subsequently modified at ETH Zurich?

YES  NO

If “YES”, provide the details:

|  |
| --- |
| Click or tap here to specify |

# Relevant Material (biological and chemical materials, e.g. bacterial cultures, etc.)

## Has relevant material been supplied by a third party, e.g. via third party material transfer agreement (MTA)?

YES  NO

If “YES”, provide the MTA or the details:

|  |
| --- |
| Click or tap here to specify |

# Relevant Know-How or Confidential Information

Is there any relevant know-how or confidential information from third parties such as from industry partners?

YES  NO

If “YES”, provide the details:

|  |
| --- |
| Click or tap here to specify |

# Relevant Prototype

## Have any prototypes or hardware components been obtained from or supplied by third parties?

YES  NO

If “YES”, specify the provider(s) or supplier(s) and any commercial use restriction(s) (e.g. their use restricted for research only), if applicable:

|  |
| --- |
| Click or tap here to specify suppliers |

# Export Control

## Is any part of the technology you are using or will be developing during the Pioneer Fellowship potentially under any export restrictions according to “*The Goods Control Act and the Embargo Act”*? > In case of doubt, contact the Export Control Group of ETH Zurich[[1]](#footnote-2)

YES  NO

If “YES”, provide the details:

|  |
| --- |
| Click or tap here to provide details |

# Any other Commercial Use Restrictions

## Apart from the points given above, can you think of anything else that could restrict or limit the commercial use of the technology which you would like to (further) develop or use during the Pioneer Fellowship?

YES  NO

If “YES”, provide the details:

|  |
| --- |
| Click or tap here to specify potential restrictions for commercial use of the technology |

1. <https://ethz.ch/staffnet/en/finance-and-controlling/compliance/Exportkontrolle.html> [↑](#footnote-ref-2)