

# **Two Chapters on modelling and control of mixed traffic flow with CAVs**

**Mohsen Ramezani**



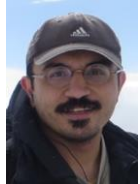
THE UNIVERSITY OF  
SYDNEY



Dr. Reza Mohajerpoor  
Post-Doc



Eric Ye  
BEng Student



AmirHosein Valadkhani  
PhD Student



Ye Li  
PhD Student



Dong Zhao  
MPhil Student



MONASH  
University



ÉCOLE POLYTECHNIQUE  
FÉDÉRALE DE LAUSANNE



THE UNIVERSITY OF  
SYDNEY

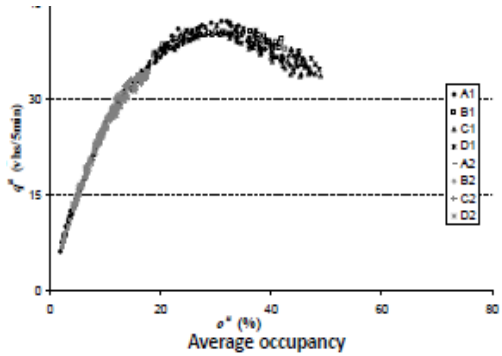




Congestion cost US: more than **\$120** billion in 2011  
Congestion cost UK: **£20** billion per year  
Congestion costs Europe about 1% of its GDP annually  
Cost of Transport in Switzerland: **8.5** billion CHF in 2015  
• Congestion Cost Australia: **\$16.5** billion in 2015



# A holistic approach of mobility (3M)

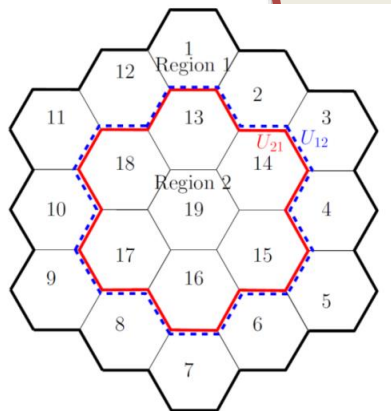


**MODELING**

Micro vs.  
macroscopic

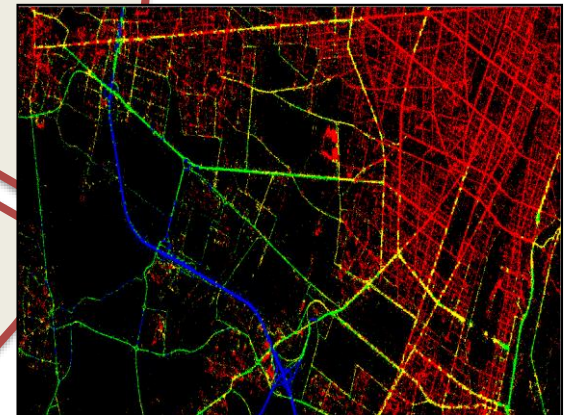
**MONITORING**

Massive data



**MANAGEMENT**

Hierarchical structure



# Outline

- Chapter One: Modelling

Characterizing traffic Flows with Mixed Autonomous and Human-driven Vehicles

- Estimation of the saturation flow of the mixed traffic
- Validation of the headway models
- Estimation of the delay of a two-lane road
- Validation of the delay models
- Optimal lane management

- Chapter Two: Control

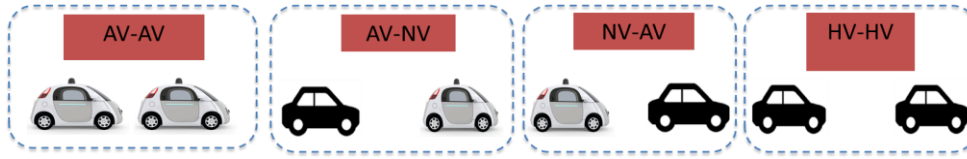
Lane density optimisation of autonomous vehicles for highway congestion control

# More Efficient Traffic Systems by CAVs



# Estimation of the Saturation Flow

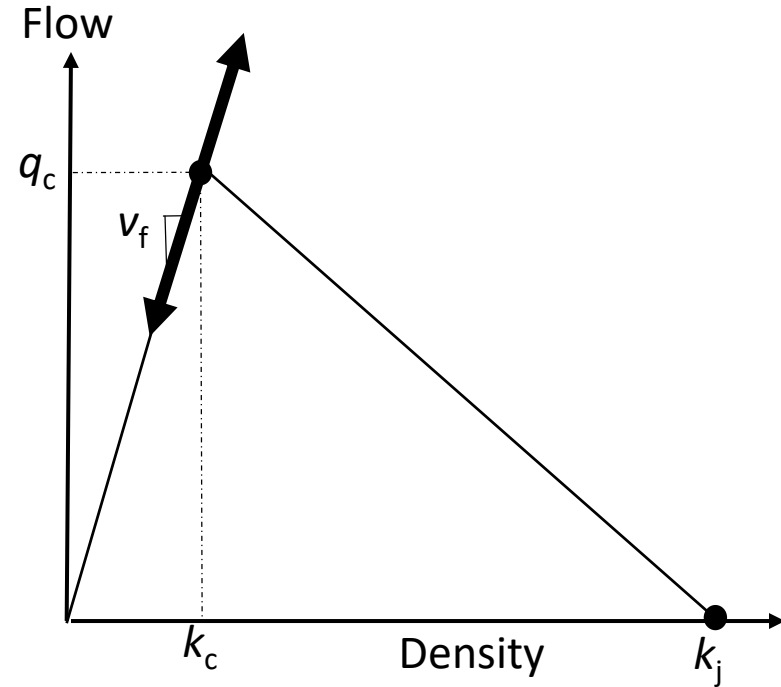
General arrangement:



Worst arrangement (lowest saturation flow)



Best arrangement (highest saturation flow)



- AV Penetration rate?
  - Order of vehicles?
- The number of AVs in the mixed traffic follows a binomial distribution



# Estimation of the Saturation Flow

## General arrangement of vehicles



## Best arrangement of vehicles



## Worst arrangement of vehicles



# Estimation of the Saturation Flow

□ General random arrangement

$$E[\bar{h}(k, n)] = \sum_{k=0}^n \bar{h}_k(n) P(X = k)$$

$$\bar{h}_k(n) = \frac{1}{n-1} A_k(n) H / C_n^k$$

Average headway of all possible platoon combinations

$$P(X = k) = C_n^k p^k (1-p)^{n-k}$$

$$C_n^k = \frac{n!}{(n-k)! k!}$$

$$p = E[k/n]$$

$$E[\bar{h}(k, n)] \approx \bar{h}_{\bar{k}} \mid_{\bar{k}=\lfloor np \rfloor}$$

Approximate formula

# Estimation of the Saturation Flow

## ❑ Worst arrangement (lowest saturation flow)



$$\bar{h}_k^{\text{worst}}(n) = \begin{cases} \frac{k \cdot h_{\text{av-nv}} + (k-1)h_{\text{nv-av}} + (n-2k)h_{\text{nv-nv}}}{n-1} & k/n < 0.5 \\ \frac{k \cdot h_{\text{av-nv}} + (k+1)h_{\text{nv-av}}}{n-1} & k/n = 0.5 \\ \frac{(n-k)h_{\text{av-nv}} + (n-k)h_{\text{nv-av}} + (2k-n-1)h_{\text{av-av}}}{n-1} & k/n > 0.5 \end{cases}$$

$$E[\bar{h}^{\text{worst}}(k, n)] \approx \bar{h}_{\bar{k}}^{\text{worst}} \Big|_{\bar{k}=\lfloor np \rfloor} \quad \text{Approximate formula}$$

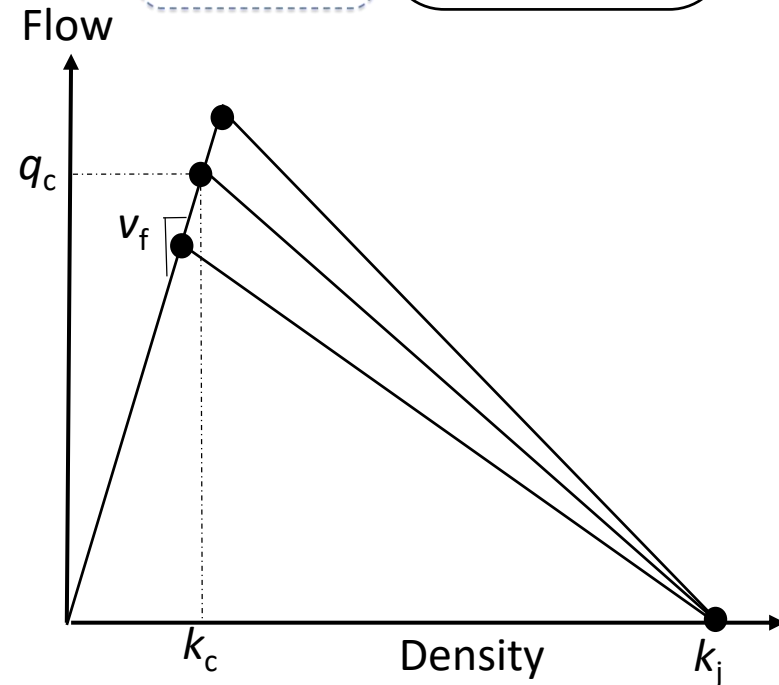
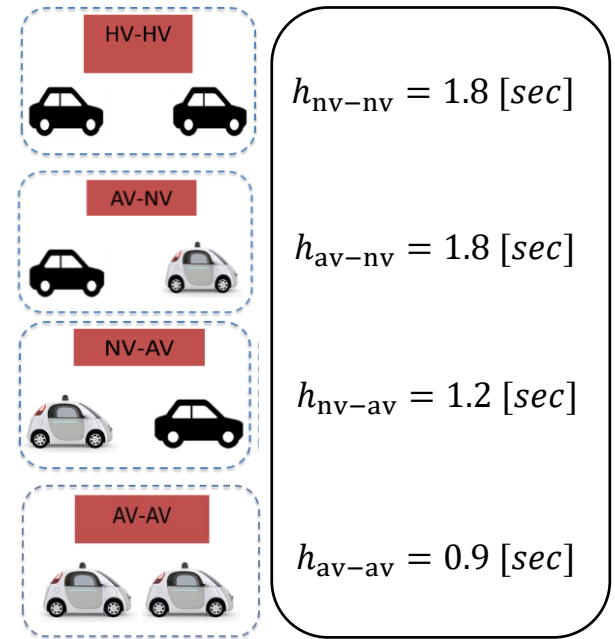
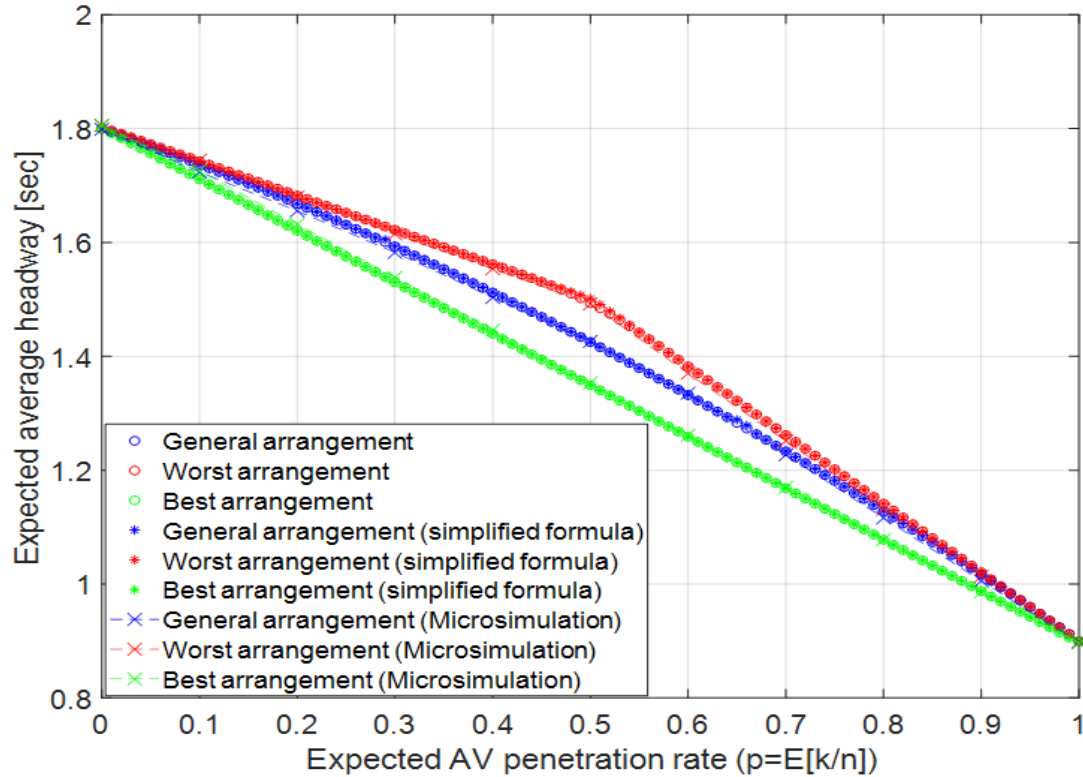
## ❑ Best arrangement (highest saturation flow)



$$\bar{h}_k^{\text{best}}(n) = \begin{cases} \frac{(k-1)h_{\text{av-av}} + (n-k-1)h_{\text{nv-nv}} + h_{\text{nv-av}}}{n-1} & 0 < k < n \\ h_{\text{nv-nv}} & k = 0 \\ h_{\text{av-av}} & k = n \end{cases}$$

$$E[\bar{h}^{\text{best}}(k, n)] = \bar{h}_{\bar{k}}^{\text{best}} \Big|_{\bar{k}=\lfloor np \rfloor} \quad \text{Approximate formula}$$

# Validation of the Headway Model



# Delay Estimation

Dedicated lanes



Mixed-mixed lanes



Mixed-AV lanes



Mixed-HV lanes



$$\alpha_{av}$$

Proportion of AVs  
using the mixed lane

$$\alpha_{nv}$$

Proportion of HVs  
using the mixed lane

# Delay Estimation

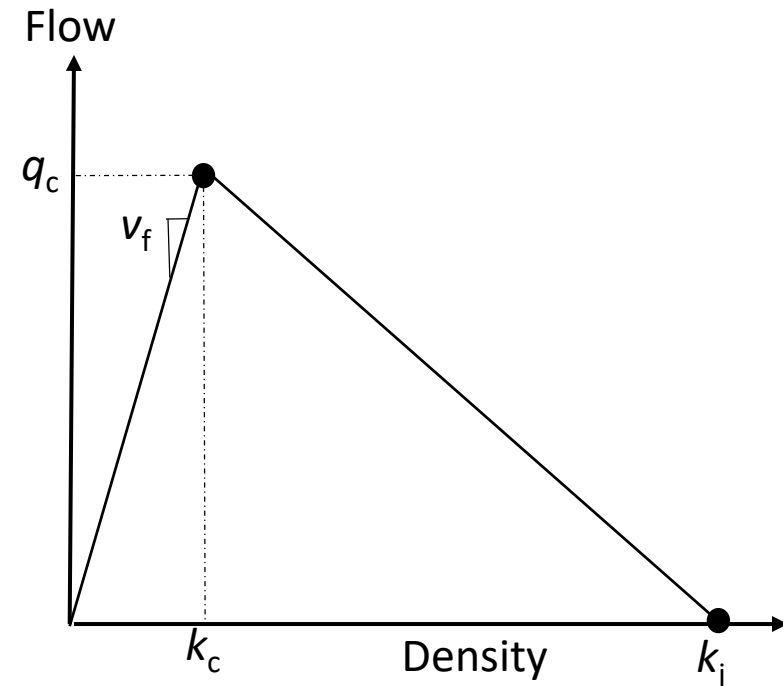
- Assumptions:

- Well defined fundamental diagram
- Constant arrival, and saturation flow and density in one cycle

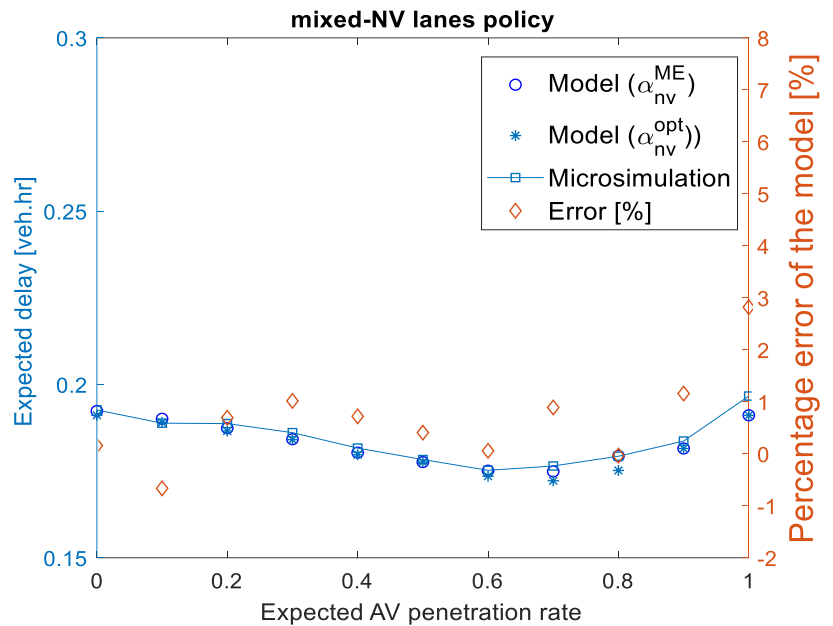
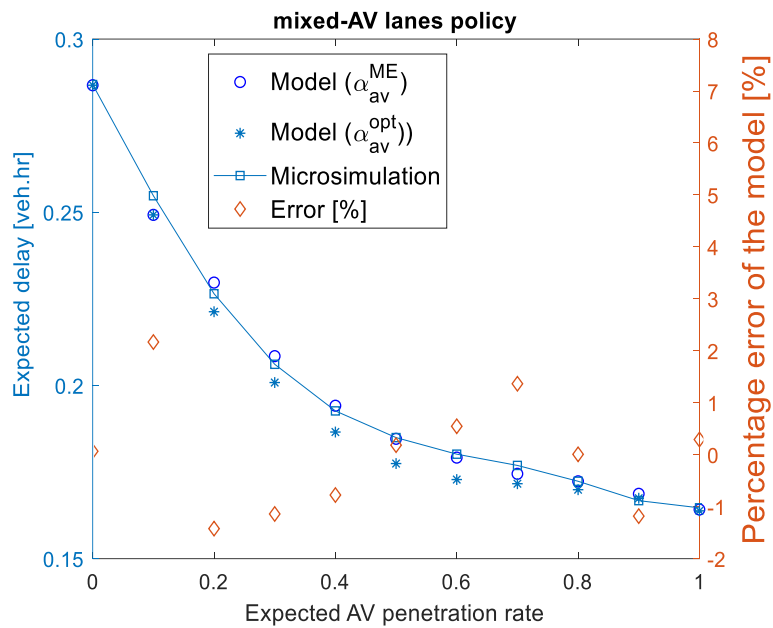


$$E[D^{nv-av}(k, n_a)] = \sum_{k=0}^{n_a} D_k^{nv-av} P(X = k)$$

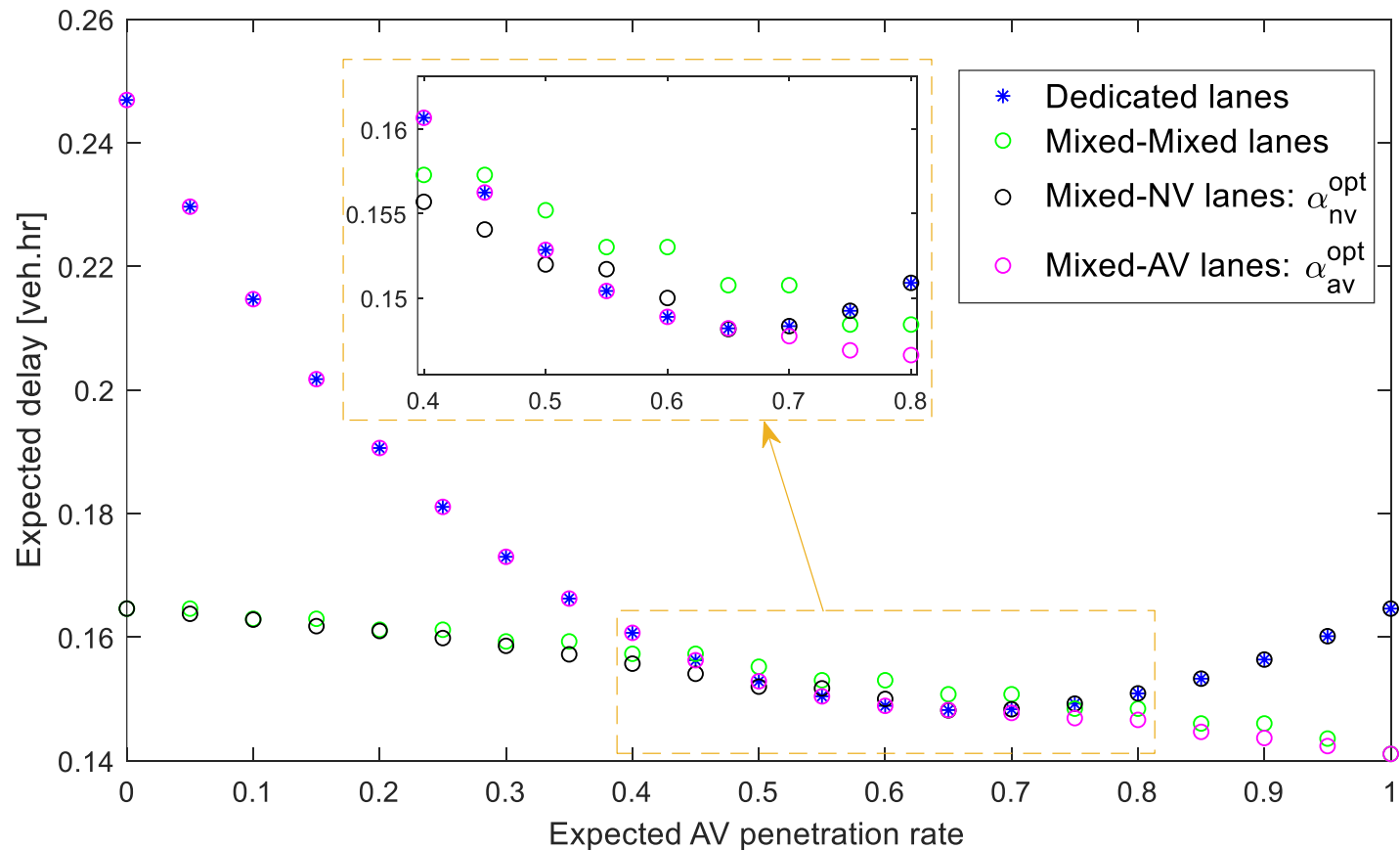
$$D_k^{nv-av} = \sum_{\zeta=nv,av} \beta_k^{\zeta} \frac{Q_k^{a,\zeta} K^j}{K^j - K_k^{a,\zeta}} (R + L_{\zeta})^2$$



# Validation of the Delay Model



# Optimal Lane Management Policy





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- Chapter Two: Control

Lane density optimisation of autonomous vehicles for highway congestion control



# Highways



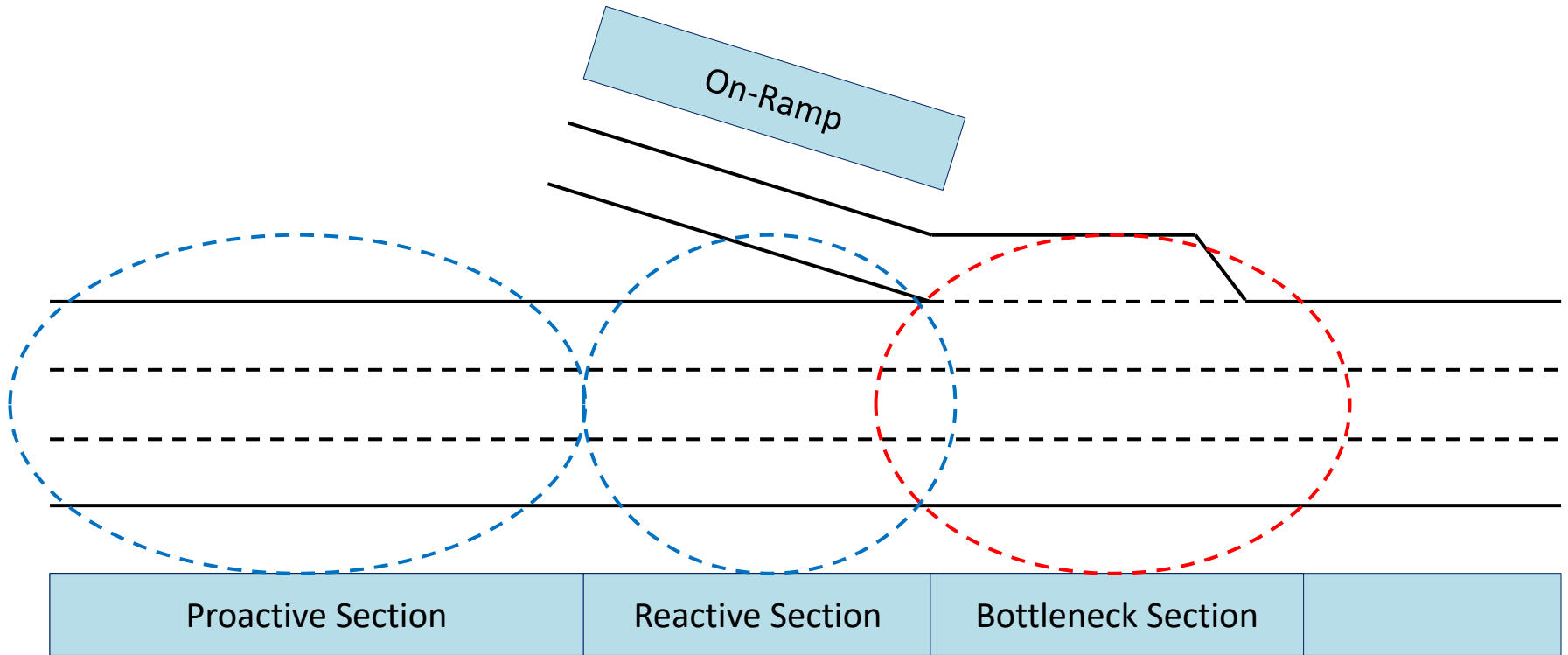


# Highways



# Road Network

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# Proactive control

deviations from the critical  
count in each lane

the number of lane  
changing manoeuvres

$$\min_{n_i^*(k)} J = \left[ \sum_{i=1}^I \alpha_i (n_i^*(k) - n_i^{\text{cr}})^2 + \beta \sum_{i=1}^I i (n_i^*(k) - n_i(k)) \right]$$

$$\text{s.t. } \sum_{i=1}^I n_i(k) = \sum_{i=1}^I n_i^*(k)$$

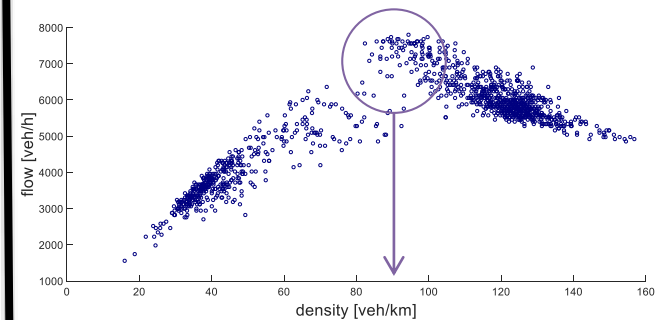
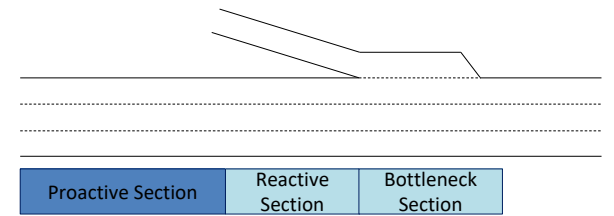
$$n_i^*(k), \dots, n_I^*(k) \in \mathbb{N} = \{0, 1, 2, \dots\}$$

Right-moving  
LC

$$\begin{cases} n_1(k) \geq n_i^*(k) \\ n_I(k) \leq n_I^*(k) \end{cases}$$

Left-most lane  $n_i^{\text{cr}} = \gamma_i (\rho^{\text{cr}} - \rho^{\text{r}}) L$

Other lanes  $n_i^{\text{cr}} = \gamma_i \rho^{\text{cr}} L$

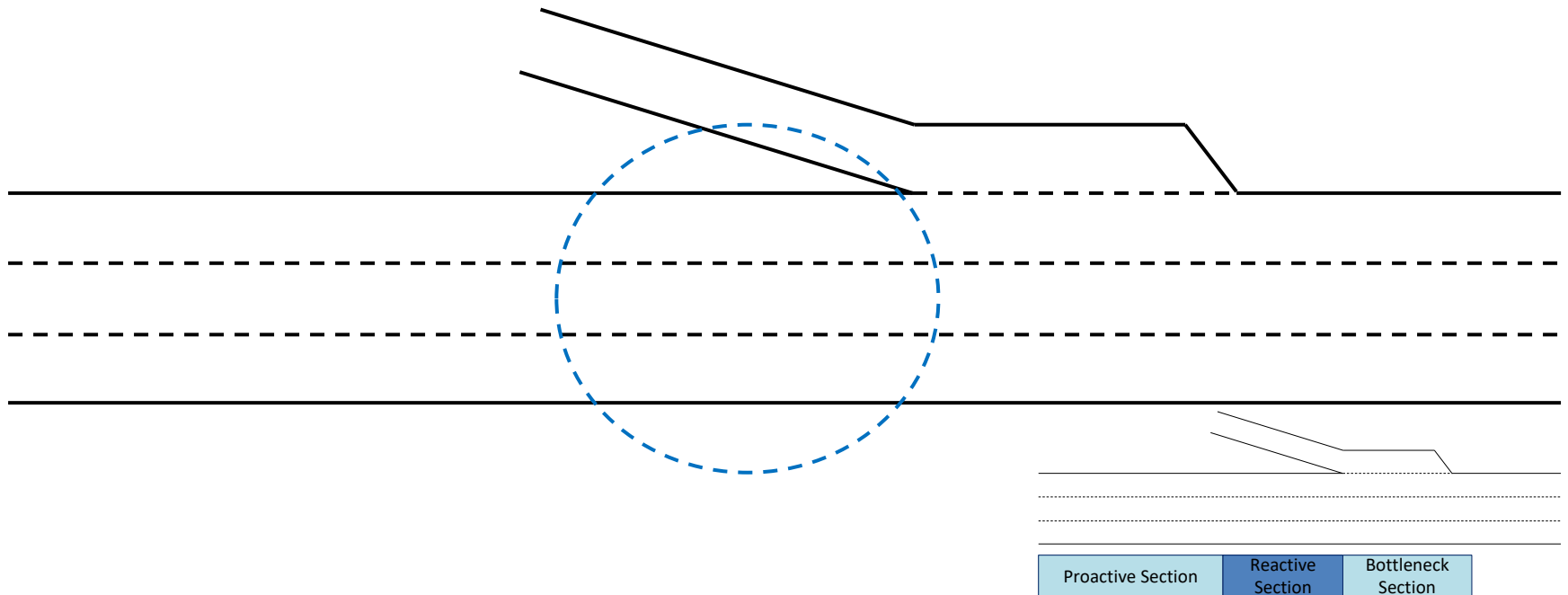


Solution space:

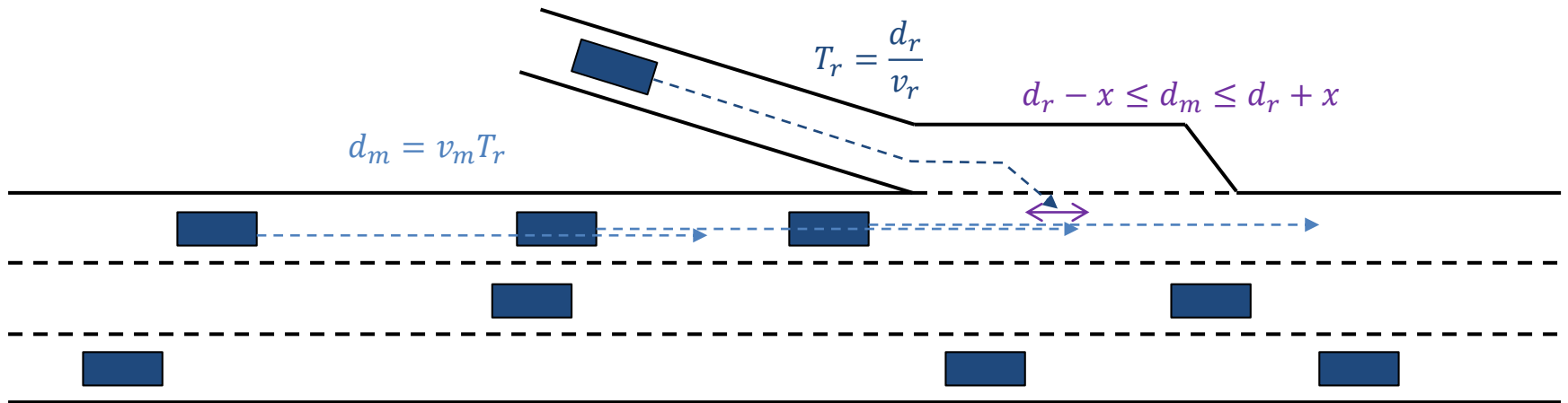
$$\prod_{i=1}^{I-1} (n_i + 1)$$

# Reactive control

- Rule-based
- Collaborative



# Reactive control

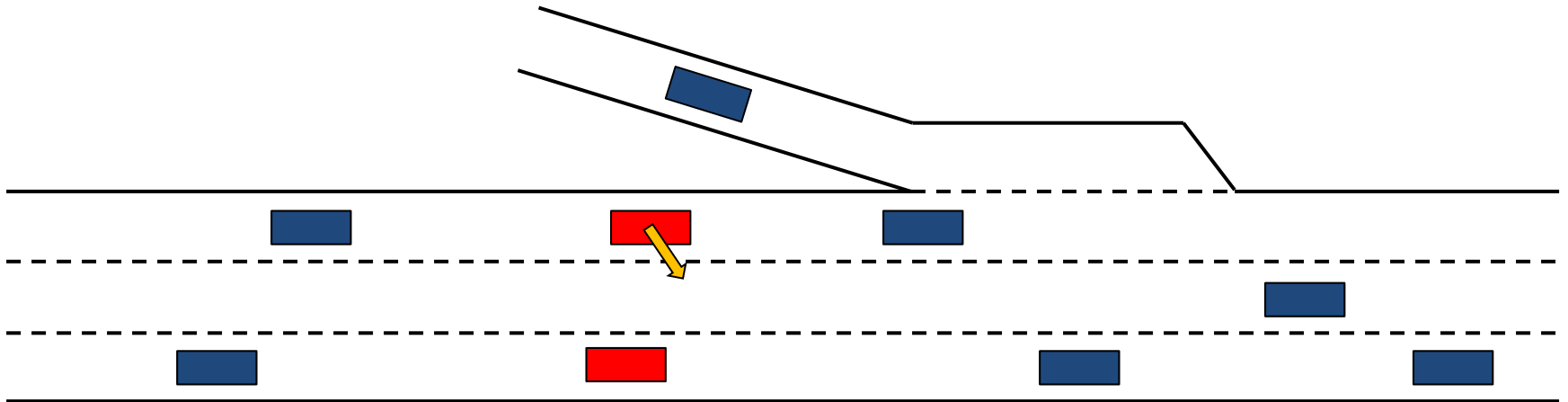






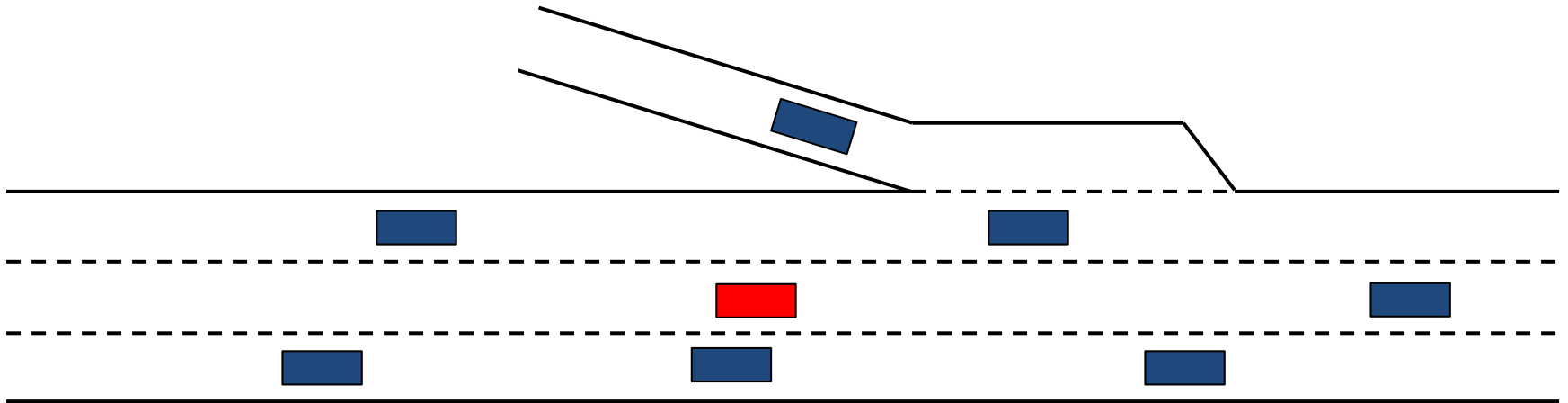
# Reactive control

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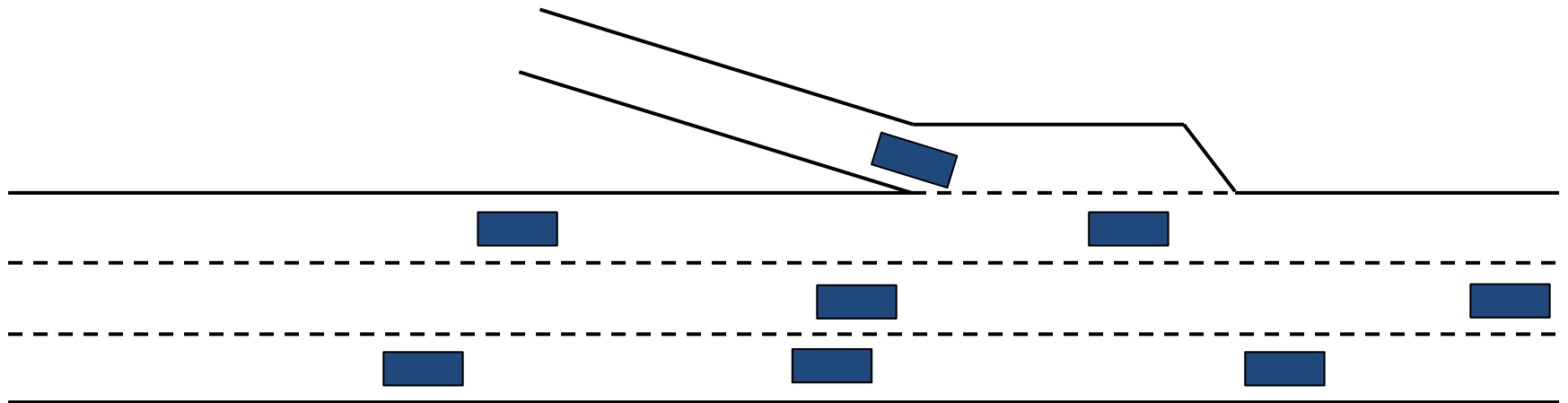
# Reactive control

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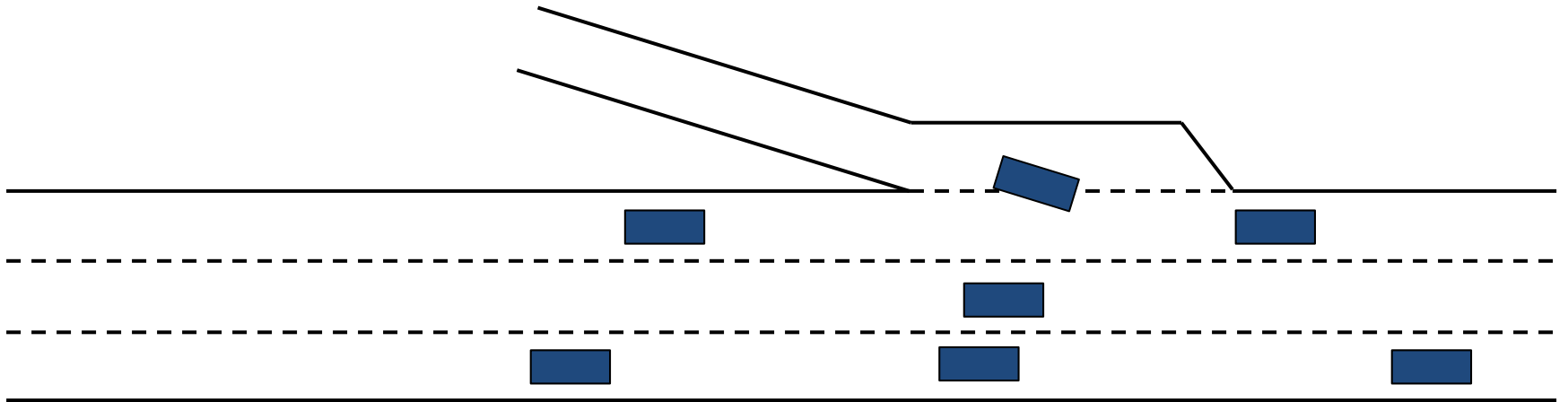
# Reactive control

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# Reactive control

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# Reactive control

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## Algorithm 1 Reactive Control pseudo-code

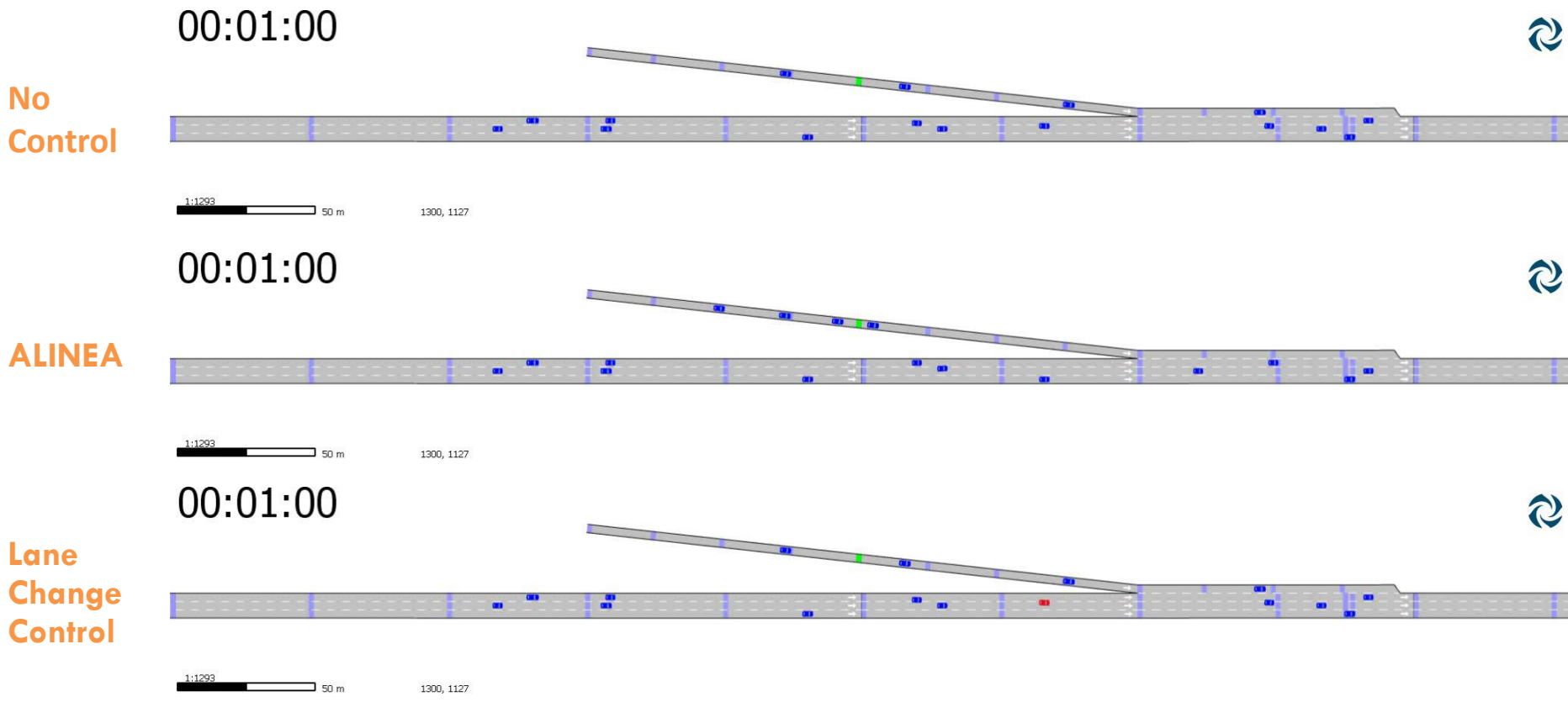
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```
for Ramp vehicles do
  Determine time to merge ( $T_r = d_r/v_r$ )
  for AVs in left lane of Highway do
    Project future position, ( $d_m = v_m T_r$ )
    if Conflicting with merging ( $d_r - x \leq d_m \leq d_r + x$ ) then
      Mark as conflicting AV
    end if
  end for
end for

for Each lane except right-most do
  if Vehicle is a conflicting AV then
    if (Lead gap > Minimum acceptable safe gap) & (Lag gap > Minimum acceptable
    safe gap) then
      Advise lane change
    else
      If adjacent vehicle on the target right lane preventing lane change is an AV, mark
      as conflicting AV
    end if
  end if
end for
```

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# Simulation



No Control

00:01:00

ALINEA

00:01:00

Lane Change Control

00:01:00

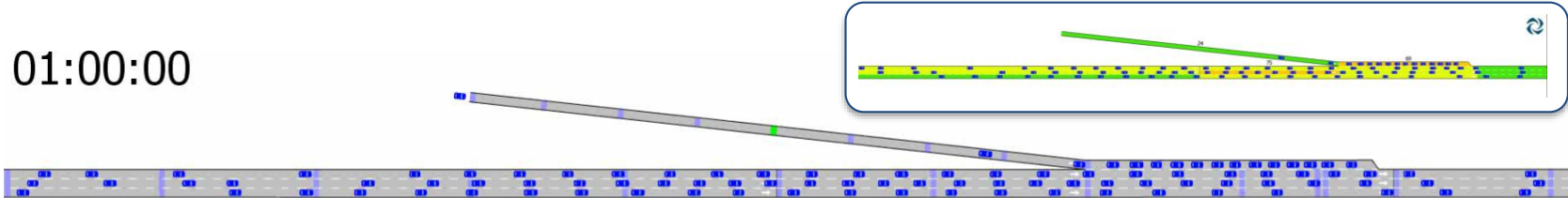
Ramp flow: 500 veh/h

Mainline flow: 3000 veh/h

# Simulation

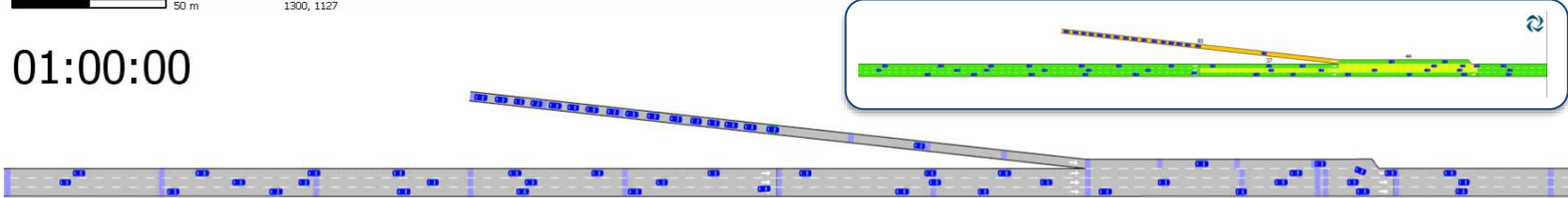
No Control

01:00:00



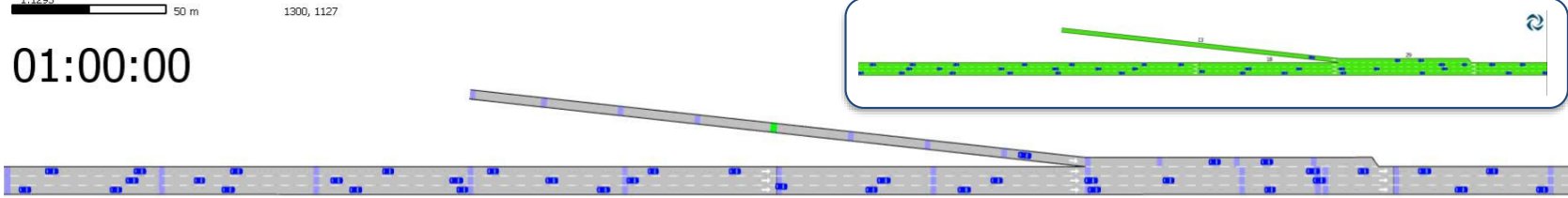
ALINEA

01:00:00



Lane Change Control

01:00:00



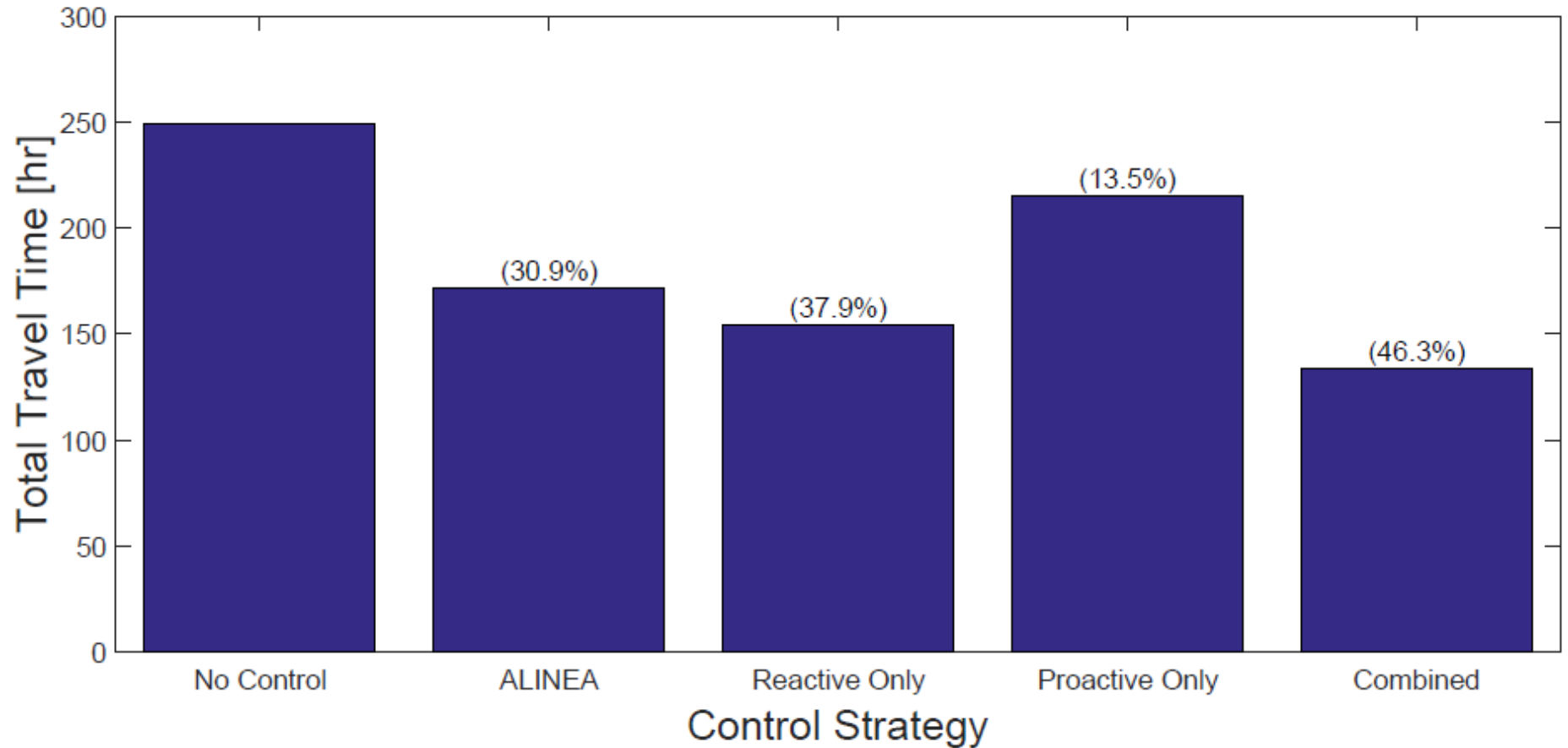
Ramp flow: 1000 veh/h

Mainline flow: 6000 veh/h

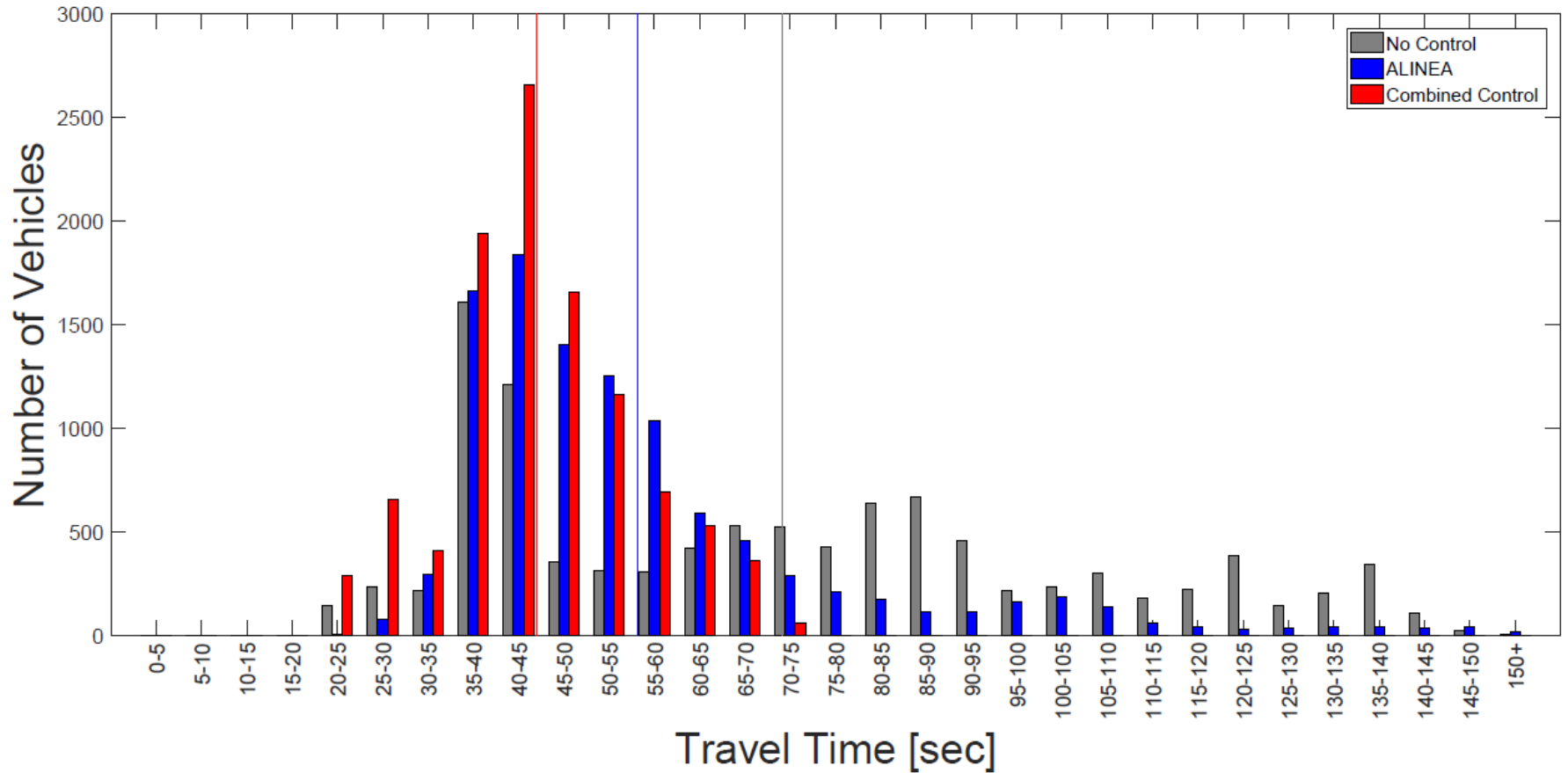


# Results – Total Travel Time

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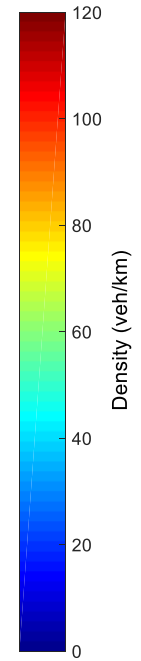
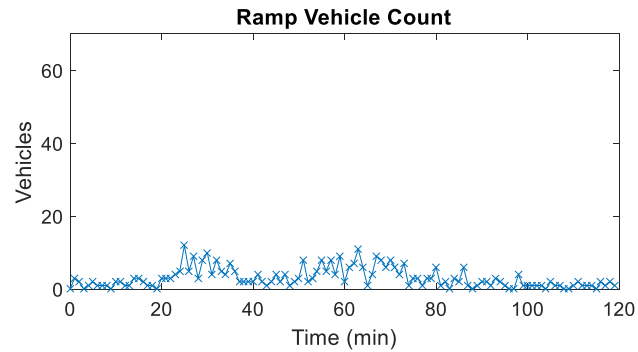
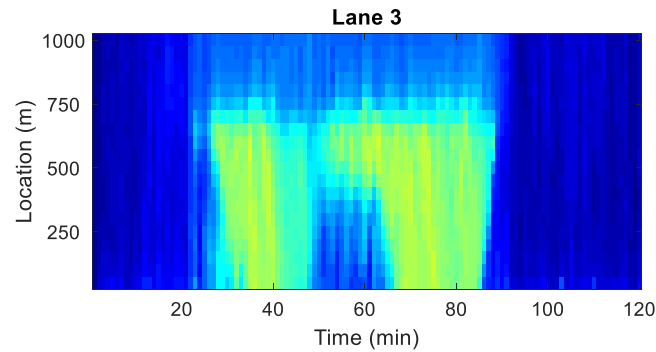
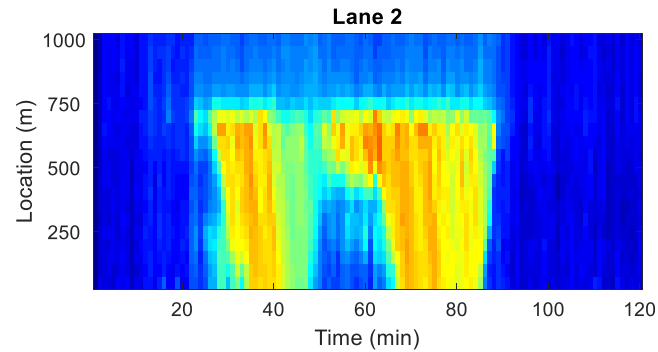
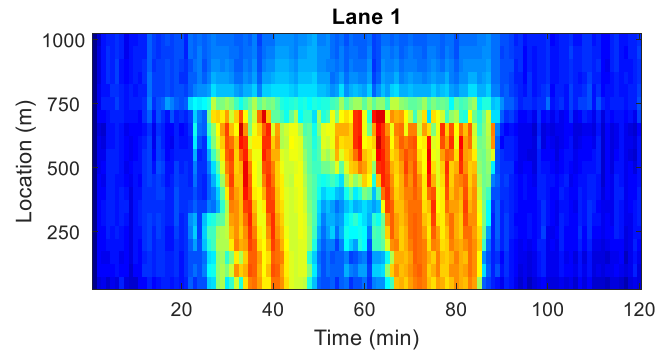


# Results – Travel Time Distribution



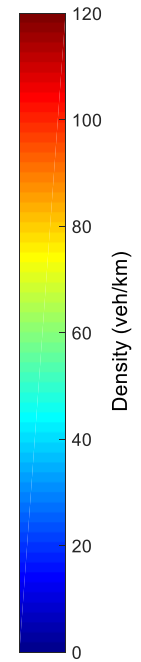
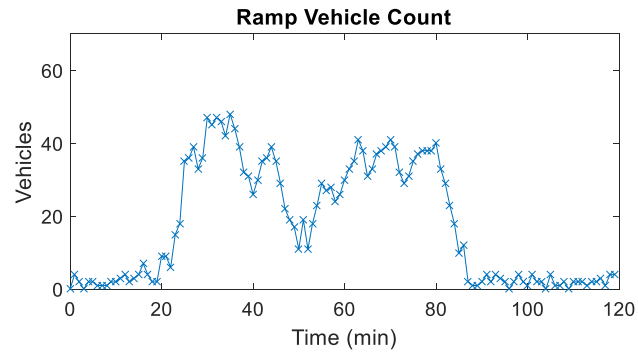
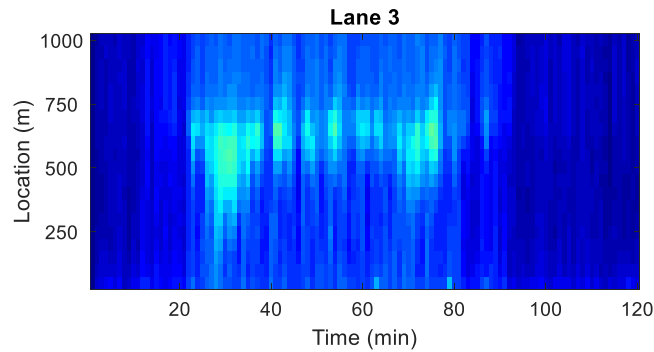
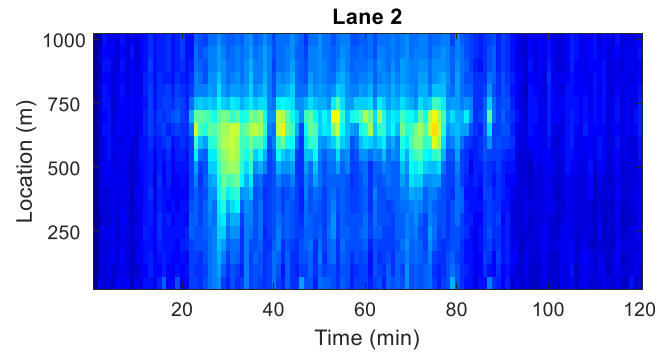
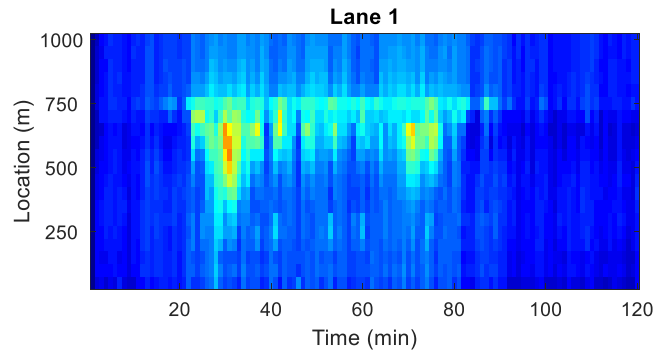
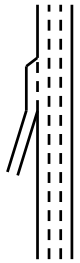
# Results

## No Control



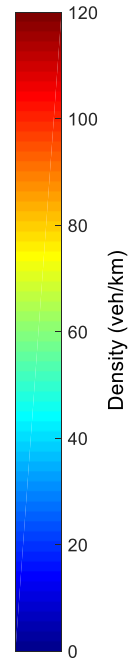
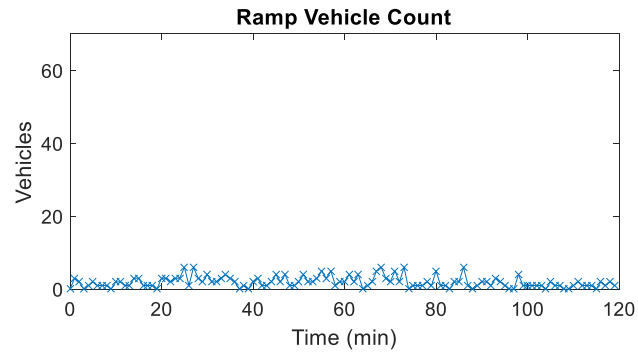
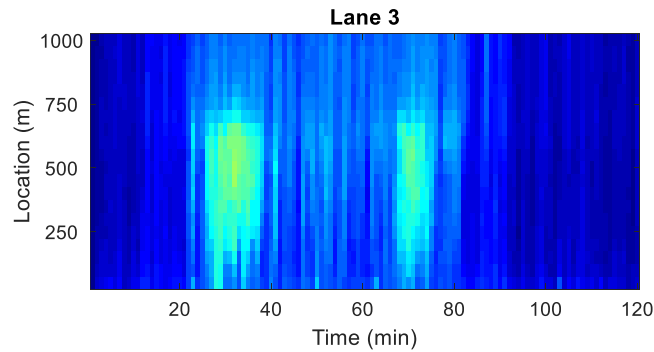
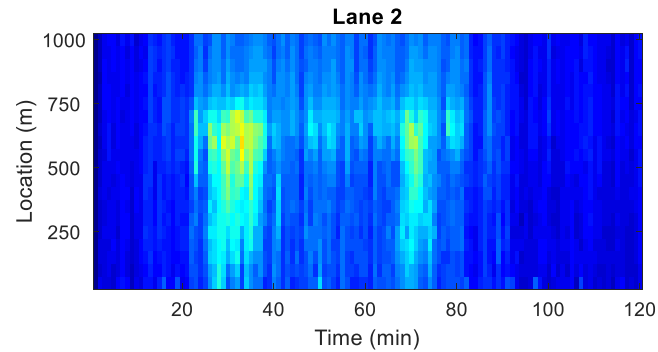
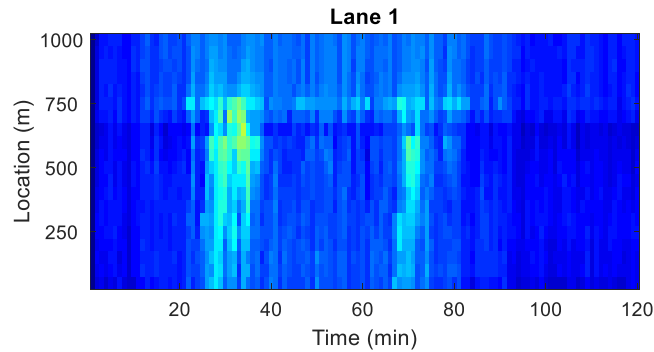
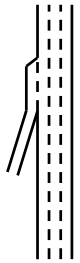
# Results

## ALINEA

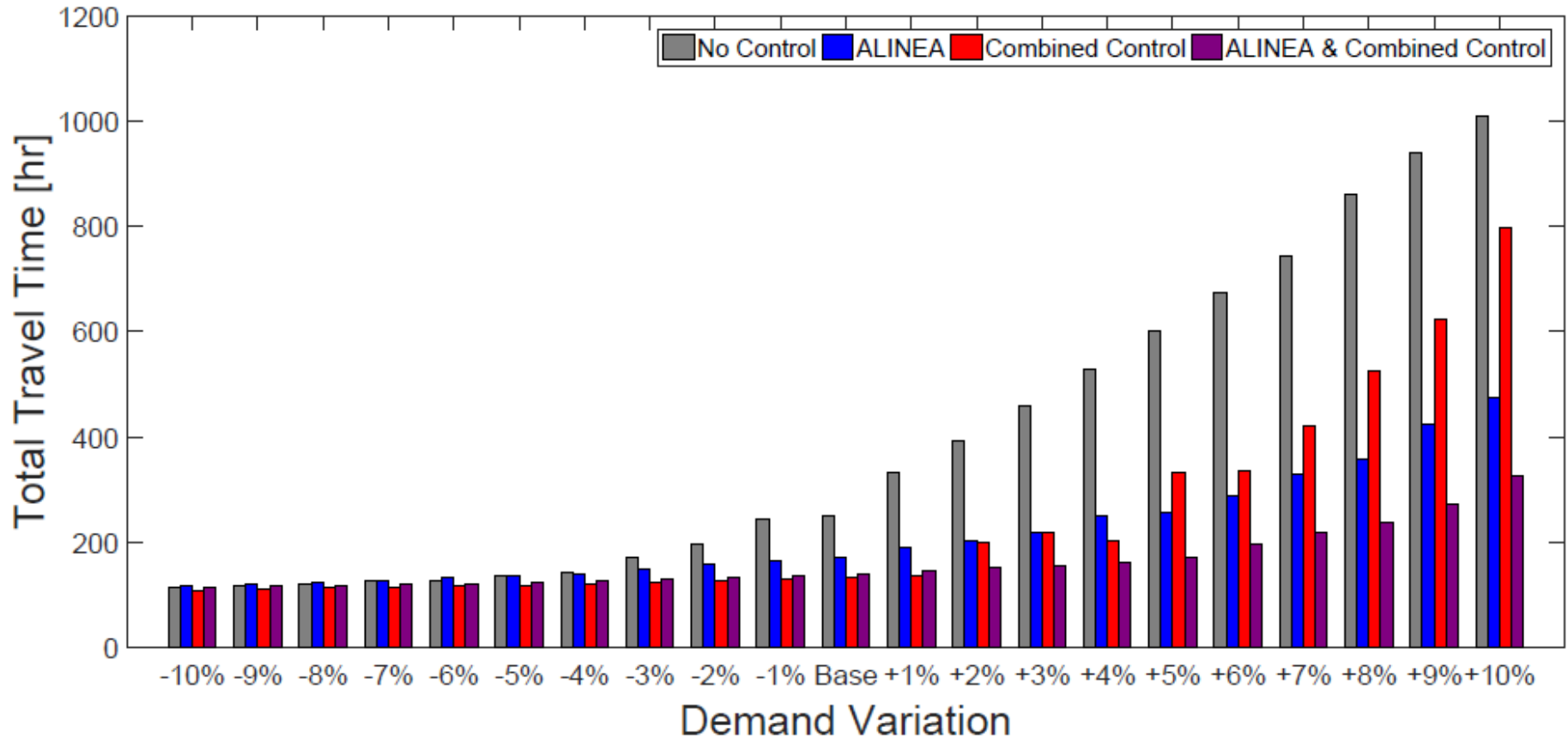


# Results

## Lane Change Control

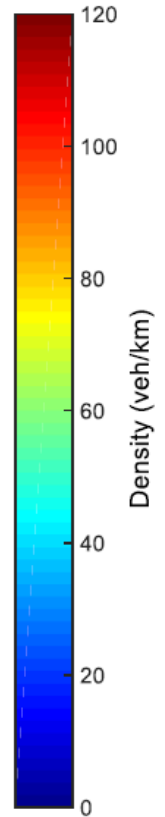
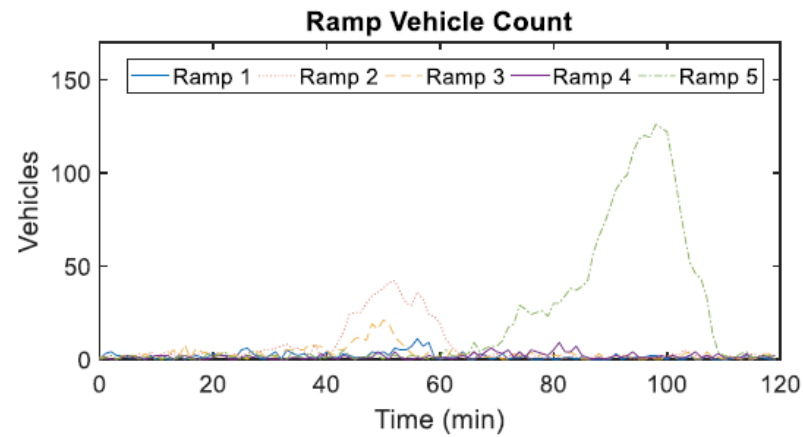
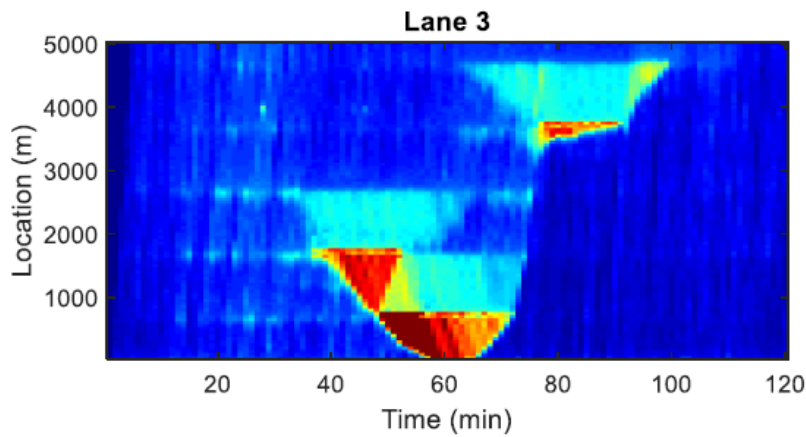
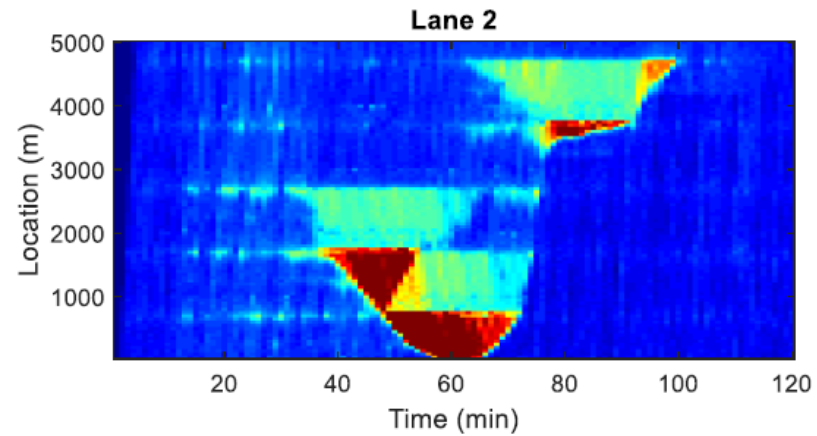
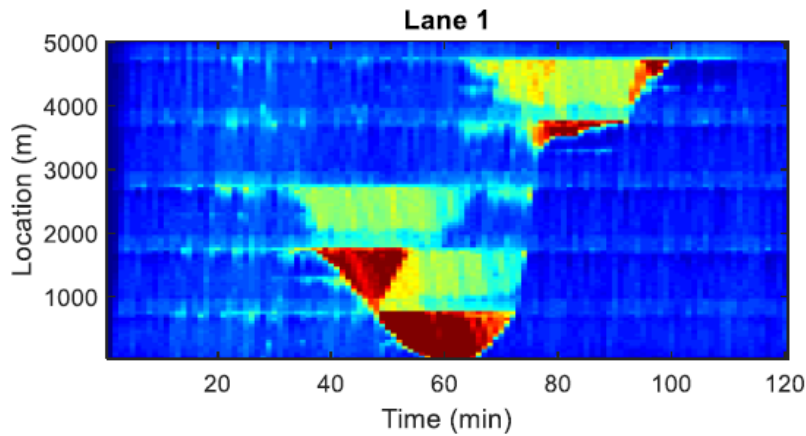


# Results – Demand Variation



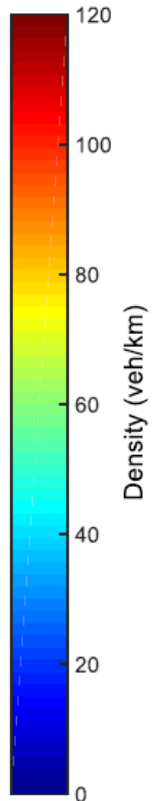
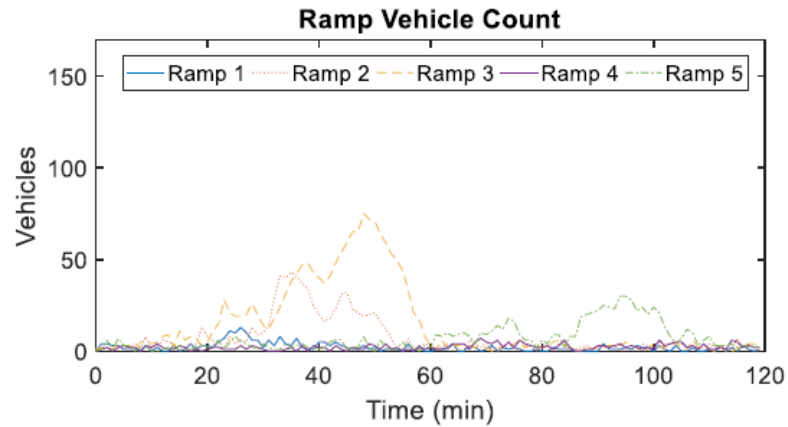
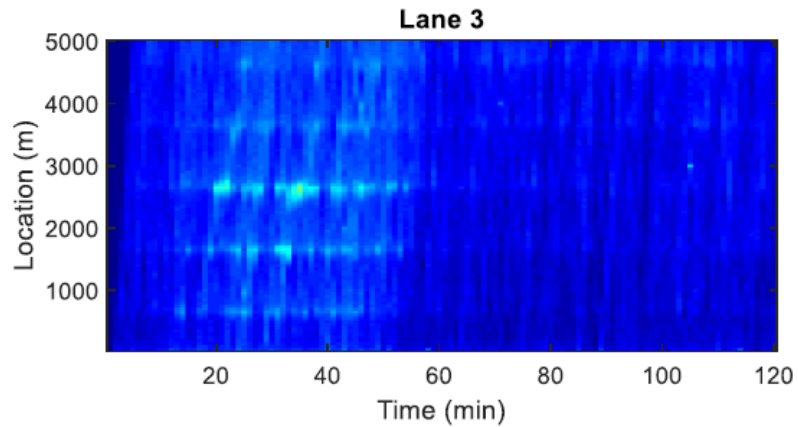
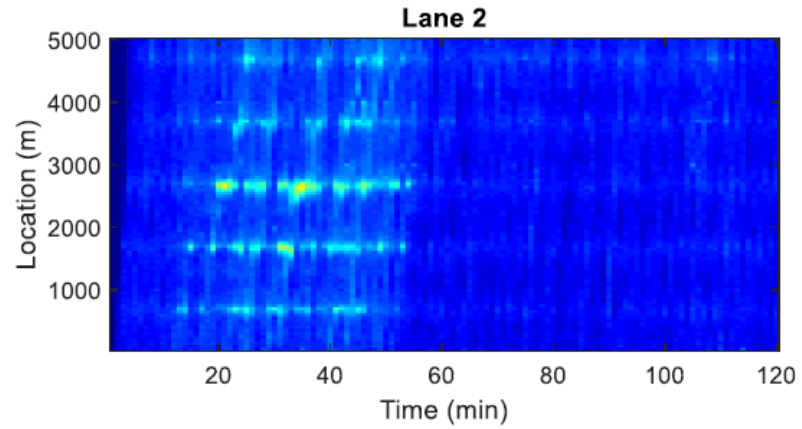
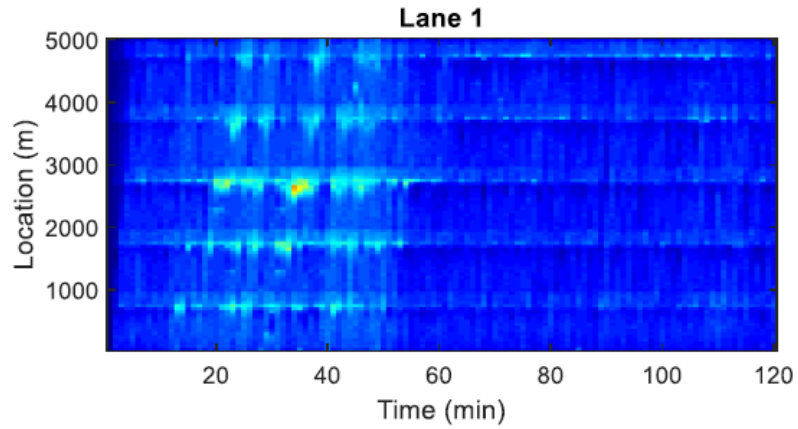
# Results – Multiple Ramps

## No Control



# Results – Multiple Ramps

## ALINEA

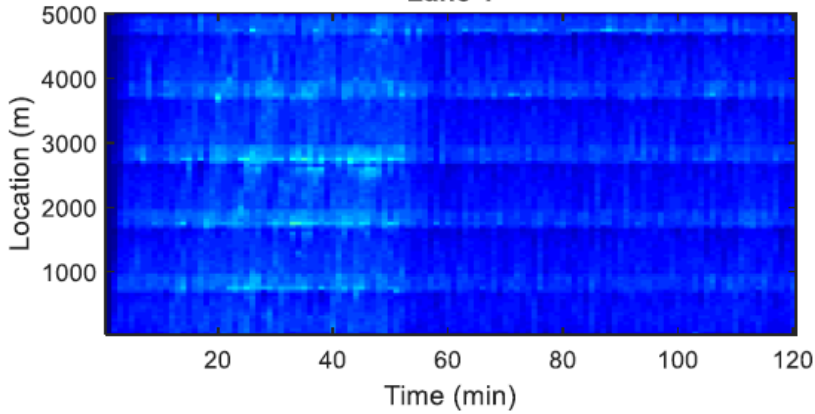




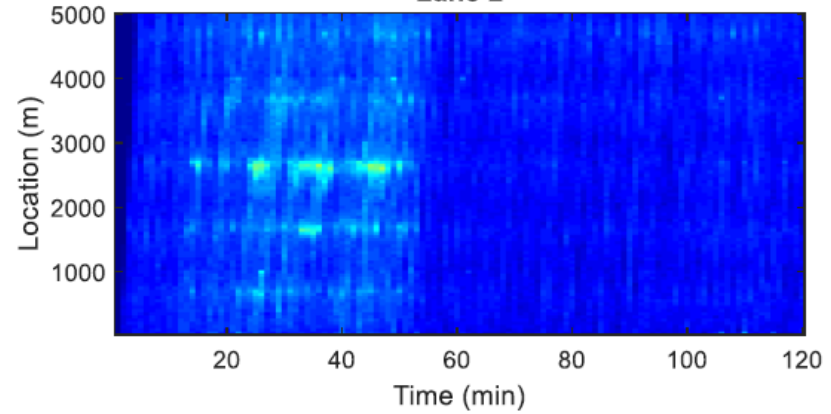
# Results – Multiple Ramps

## Lane Change Control

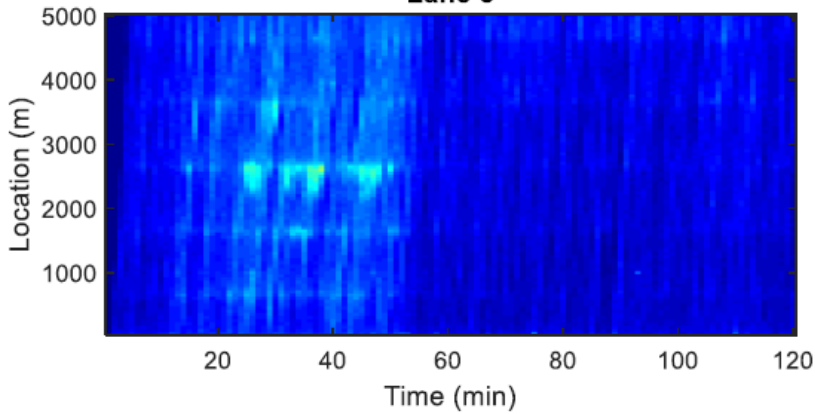
Lane 1



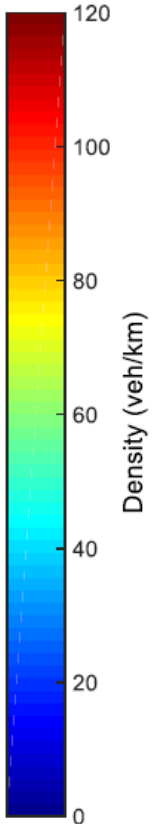
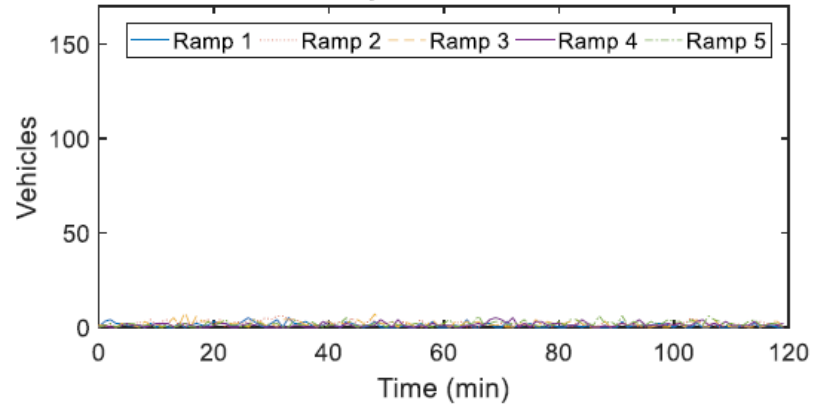
Lane 2



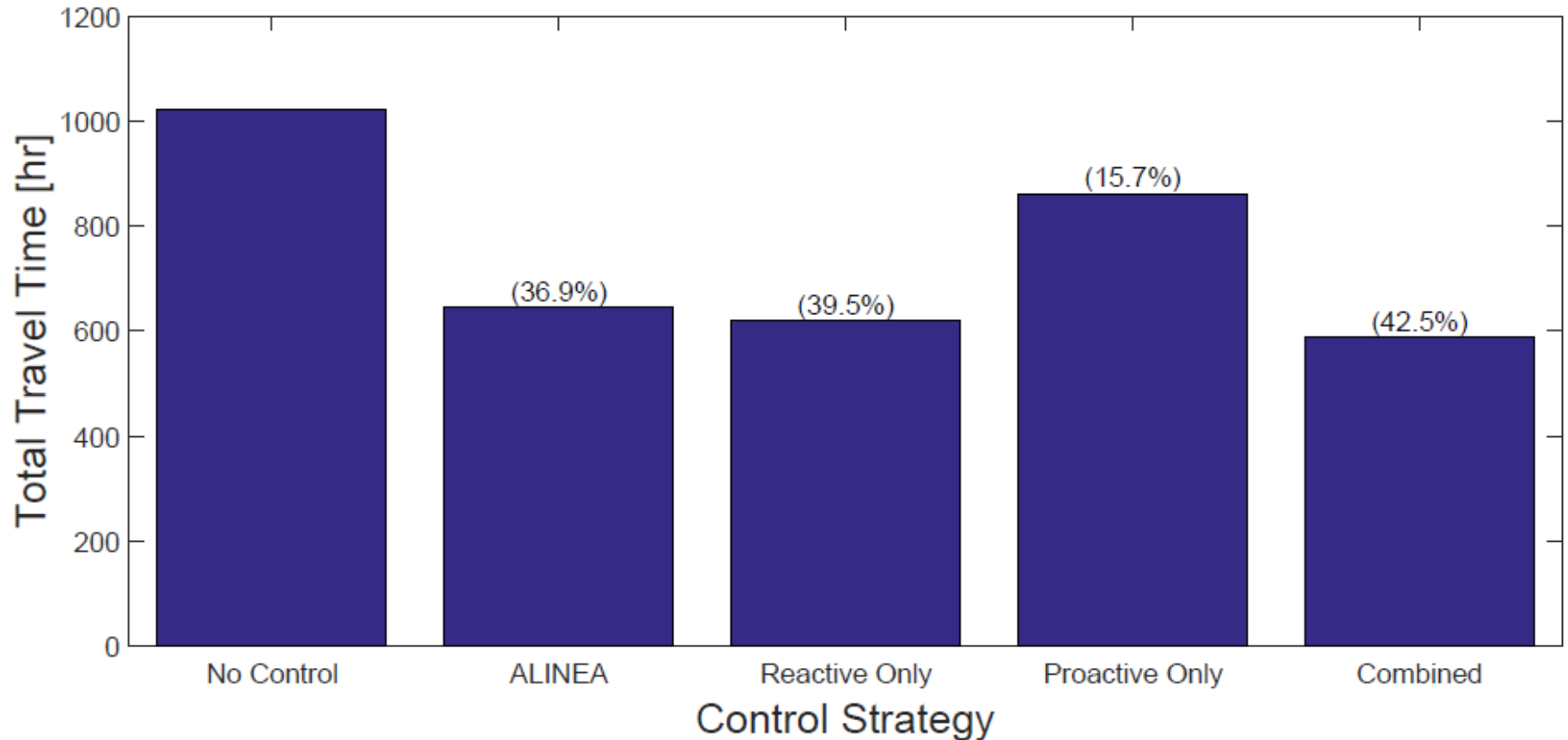
Lane 3



Ramp Vehicle Count



# Results – Multiple Ramps



# Discussion



[mohsen.ramezani@sydney.edu.au](mailto:mohsen.ramezani@sydney.edu.au)

# Results – AV Penetration Rate

