



# From spectator species to catalytically active site

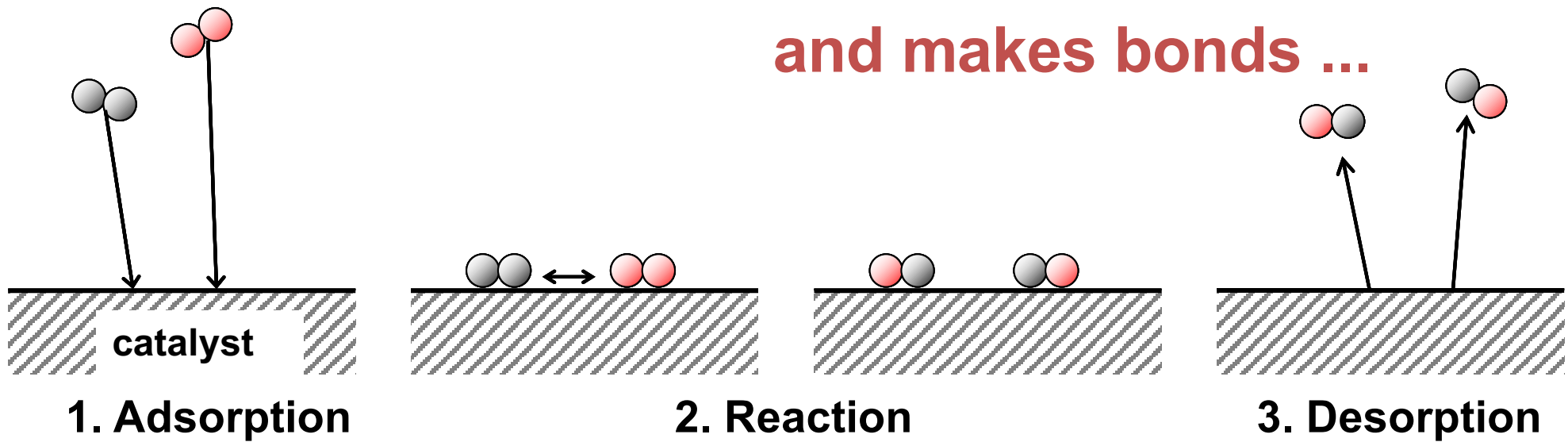
Jeroen A. van Bokhoven

ETH Zurich, Paul Scherrer Institute Villigen

# What does a catalyst do?

A catalyst breaks bonds ...

and makes bonds ...

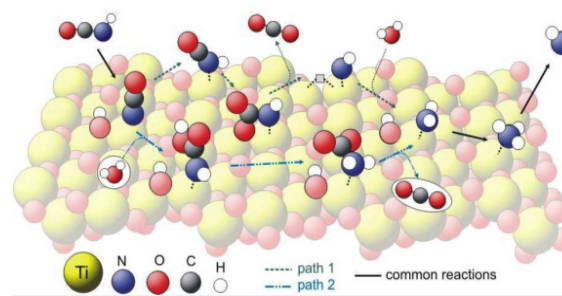
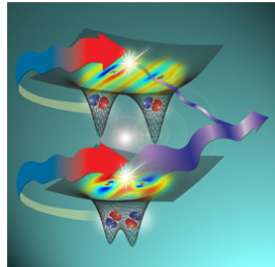
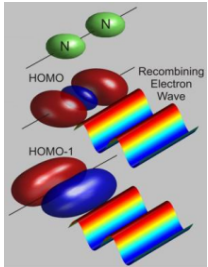


# What is an active site?

.....

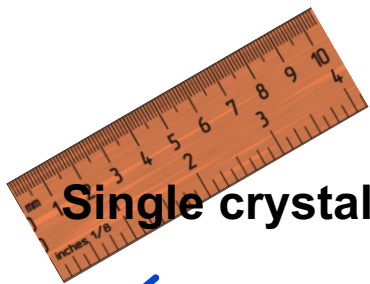
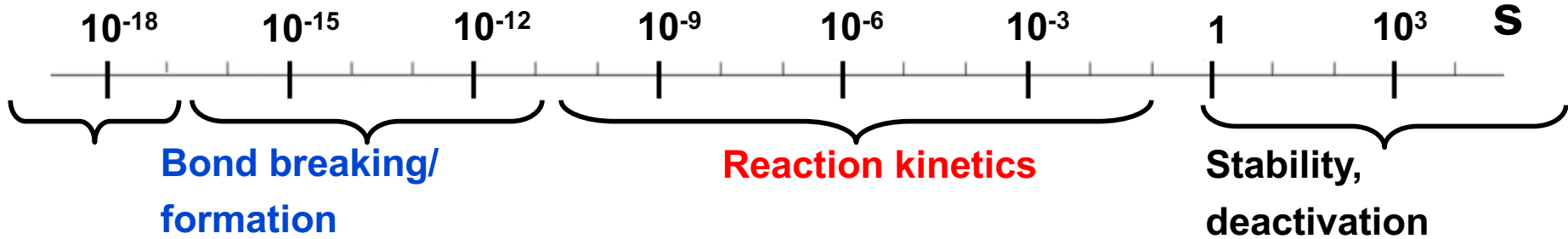


# Catalysis: time and length scales

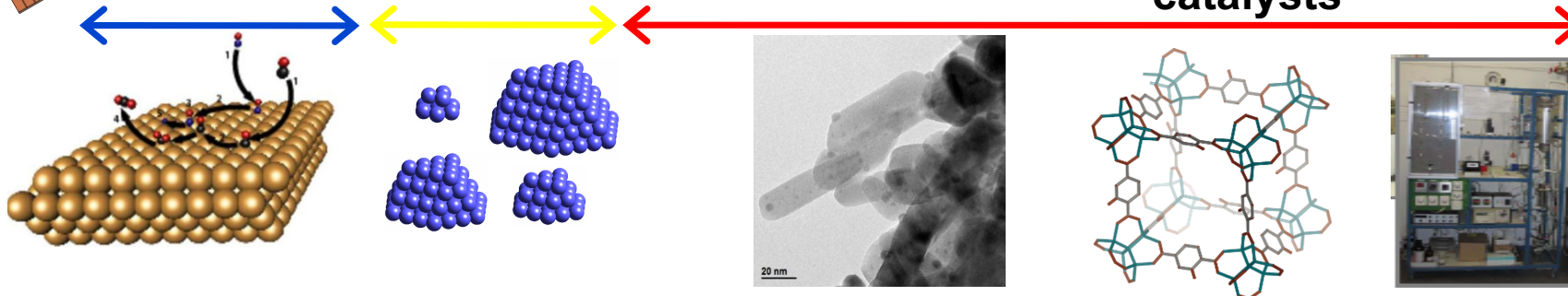


**Fundamental**

**Applied**

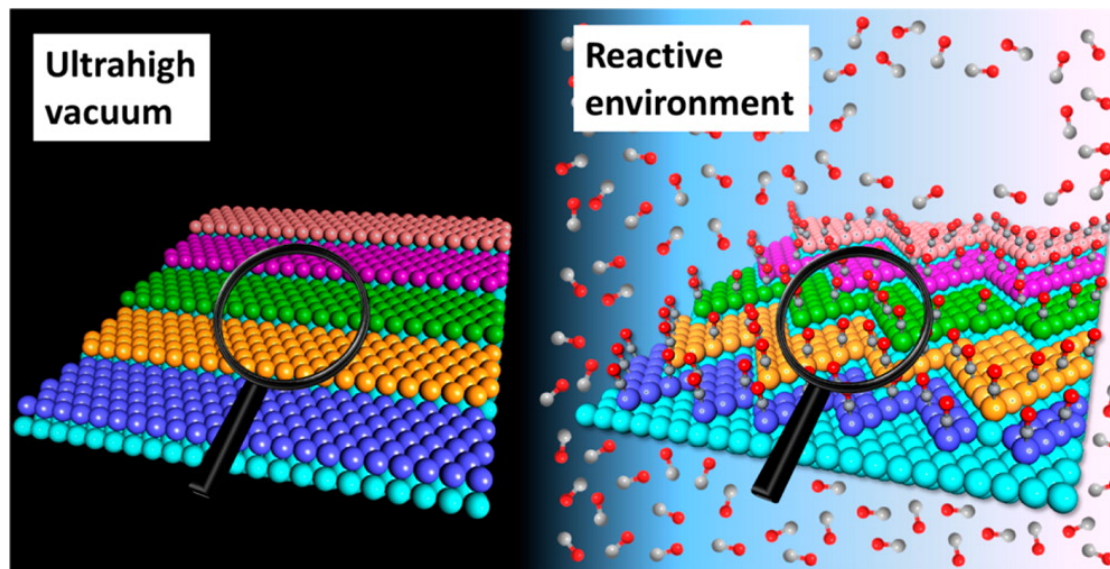


Single crystals ··· clusters ··· supported metals ··· single site catalysts ··· reactors



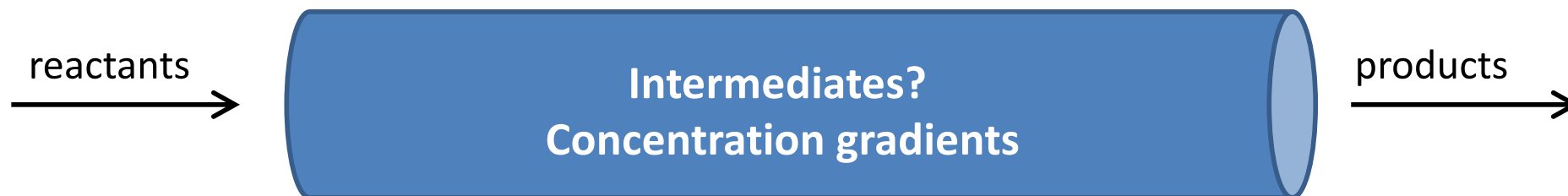
# In situ spectroscopy

- Catalyst structure is a function of its environment
- Only structure measured under reaction conditions can give insight into activity
- Conversion changes the gas environment



Shiran Zhang; Luan Nguyen; Yuan Zhu; Sihui Zhan; Chia-Kuang (Frank) Tsung; Franklin (Feng) Tao; *Acc. Chem. Res.* **2013**, 46, 1731-1739.

*What about a plug-flow reactor?*



*Catalyst structure is not necessarily the same everywhere in a reactor!!*

*Grundwaldt (2007) ; van Bokhoven (2010)*

## *Some definitions*

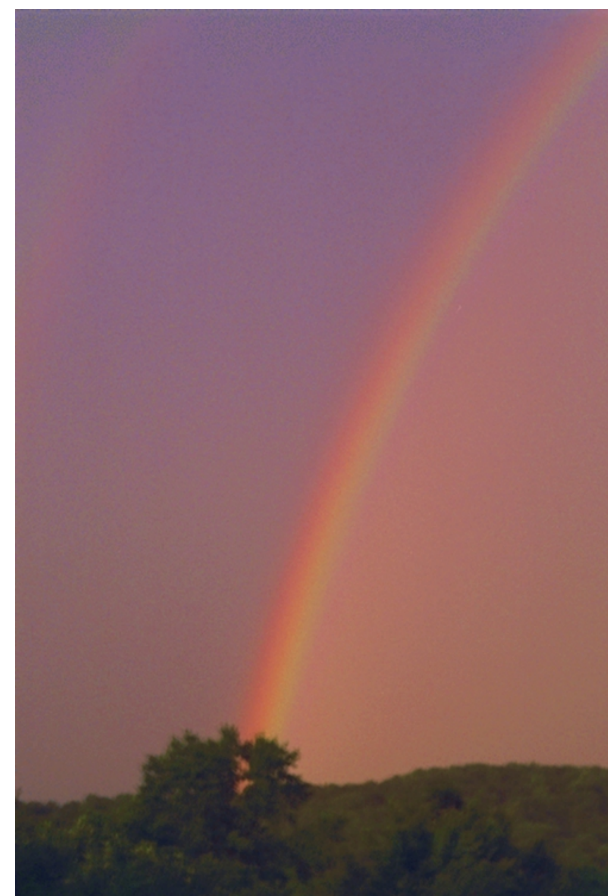
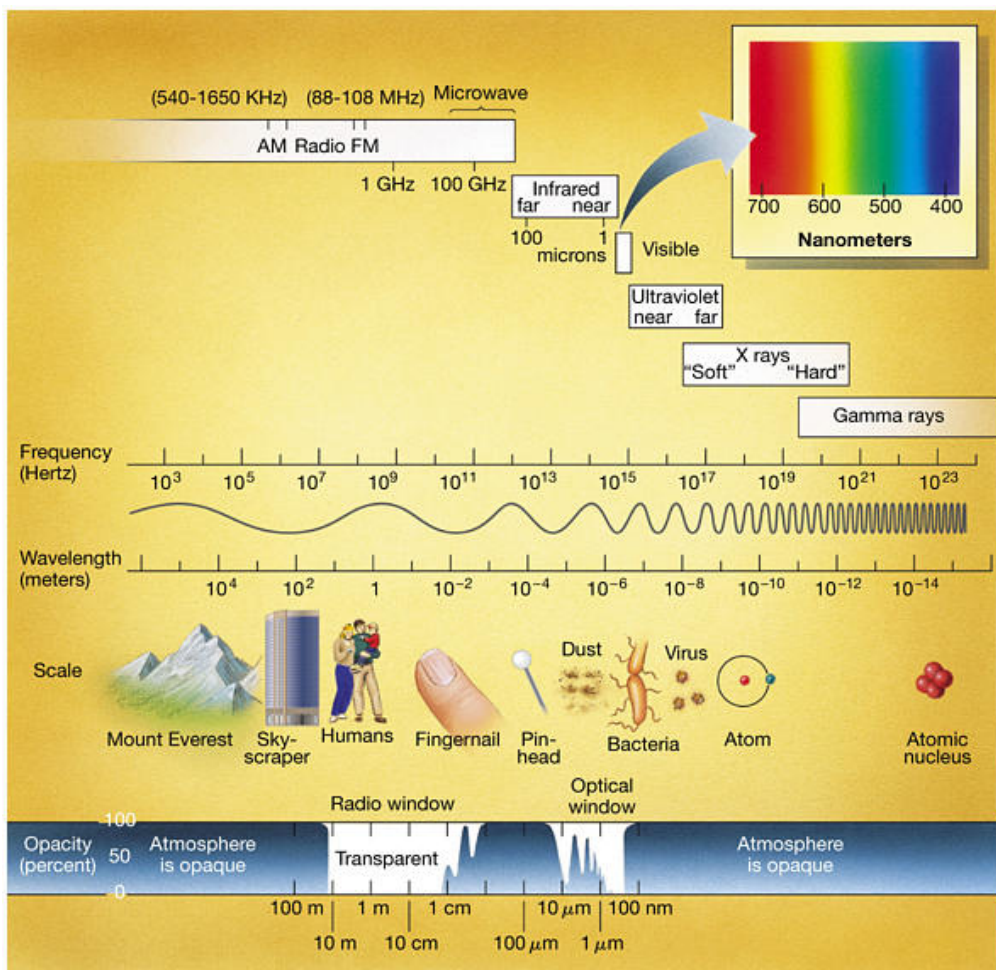
- **In situ:**  
*measuring under specific conditions, such as during heating, during synthesis, and under catalytic conditions*
- **Operando:**  
*measuring catalyst structure and its performance simultaneously*

**Operando is more narrowly defined**

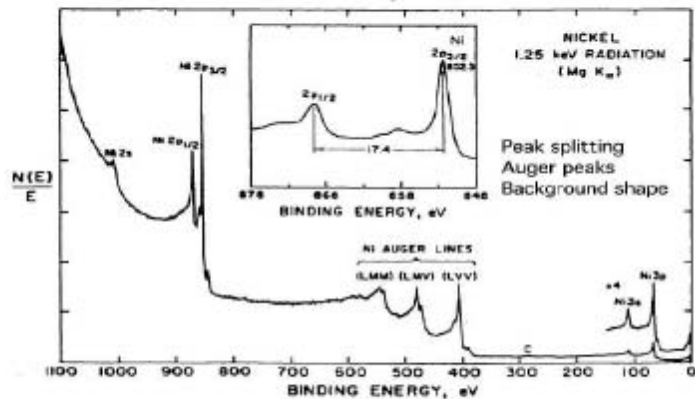
# Shining light on catalysts

## Catalyst characterization:

*UVvis, infrared, XRD, TGA, TPD-MS, TPR/O, NMR, XPS, XSW, XAS, XES ... ..*

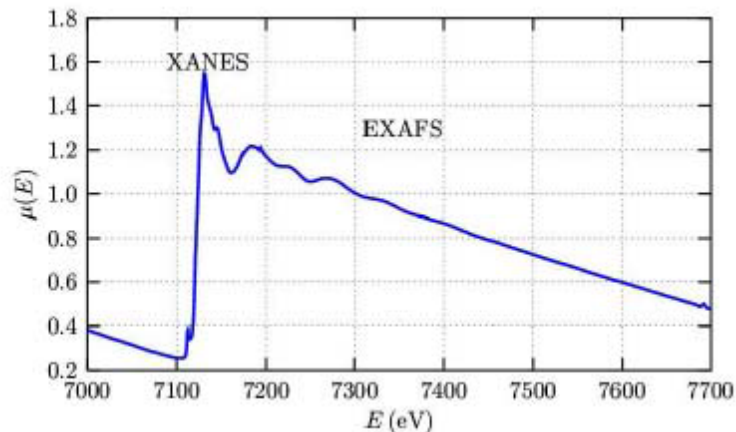
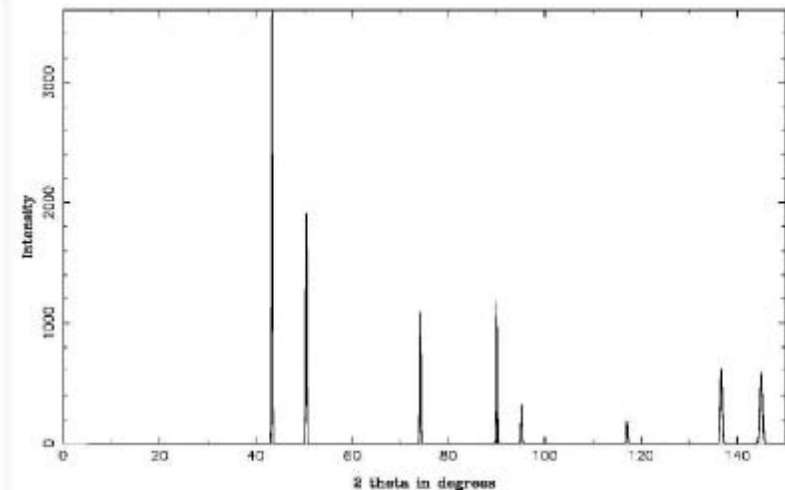


# Comparison: XPS, XRD, XAS



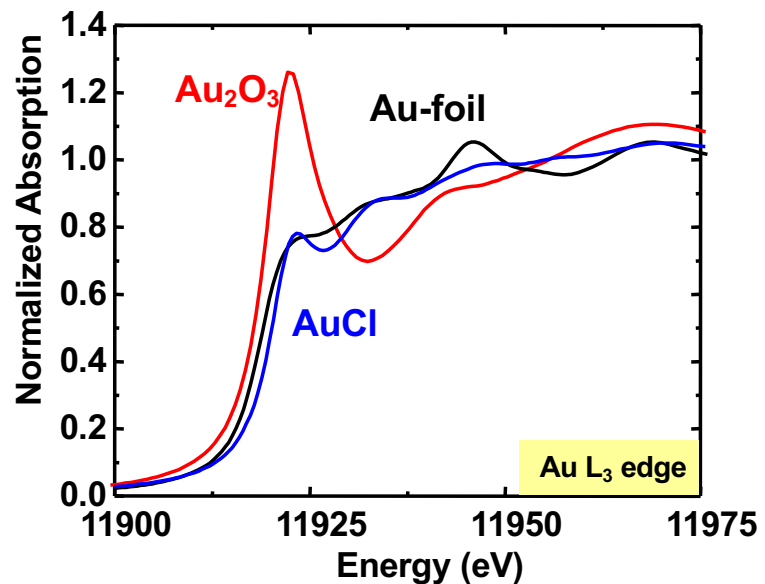
- Yields electronic information
- Surface sensitive
- In-situ application difficult
- Needs UHV

- Yields structural information
- Bulk technique
- In-situ applications realizable
- Needs long range order to work



- Yields electronic and structural information
- In-situ application easy to realize
- Needs a synchrotron
- Data interpretation difficult

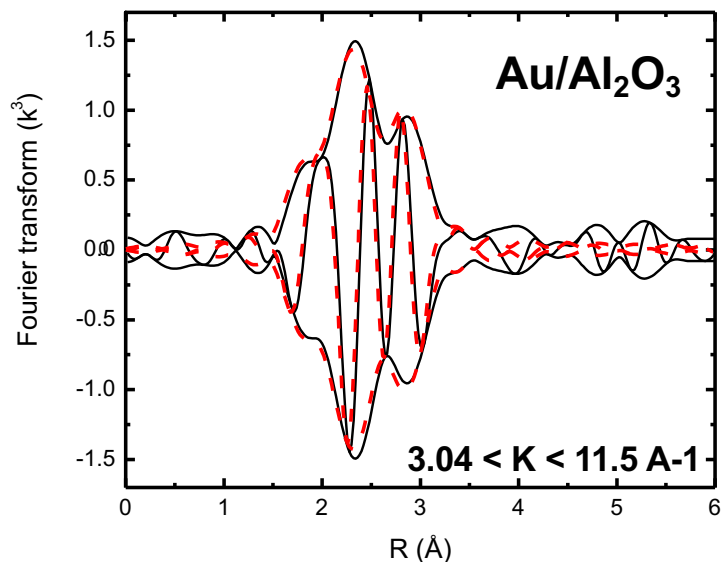
# X-ray Absorption Spectroscopy



**XANES:** geometry  
oxidation state  
density of states

**EXAFS:** local structure  
(particles size)

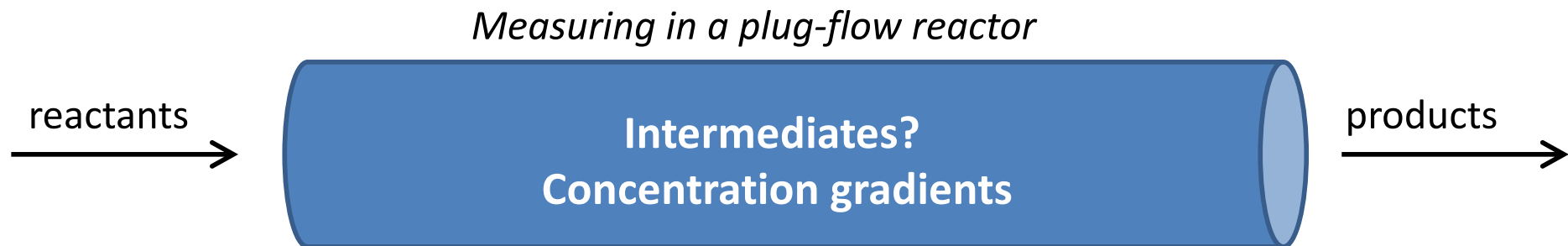
*in situ / operando conditions*



Coordination number	6.8
Au-Au distance	2.76 Å
ΔDWF	0.0058
C3	9 E-6
C4	3E-6



*does the structure measured under reaction conditions relate to catalysis?*

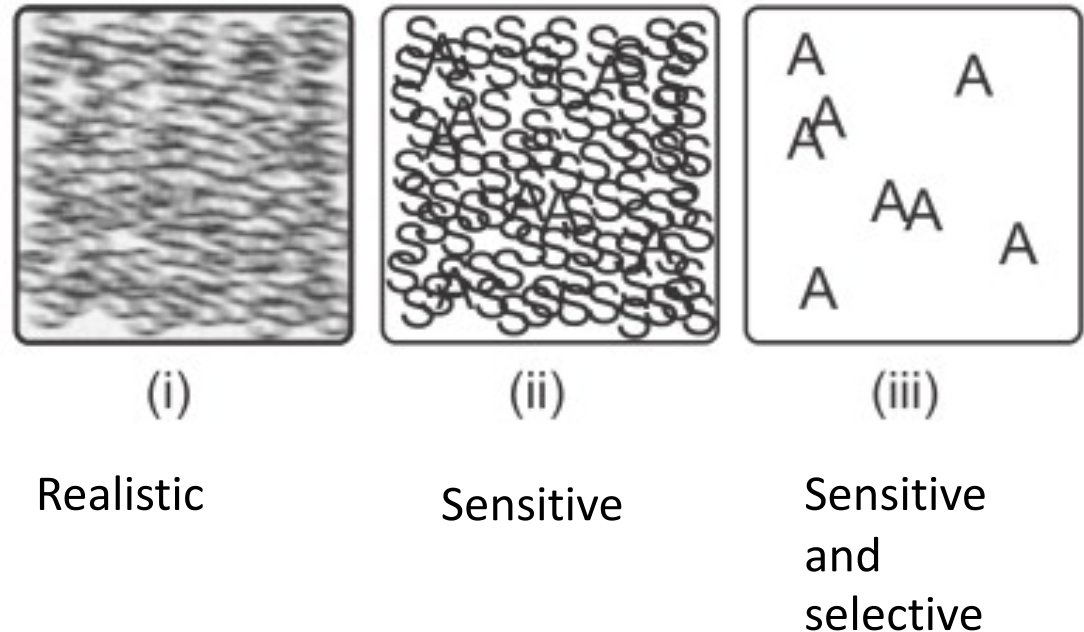


*Catalyst structure is not necessarily the same everywhere in a reactor!!*

*Grundwaldt (2007) ; van Bokhoven (2010)*

# Spectator species

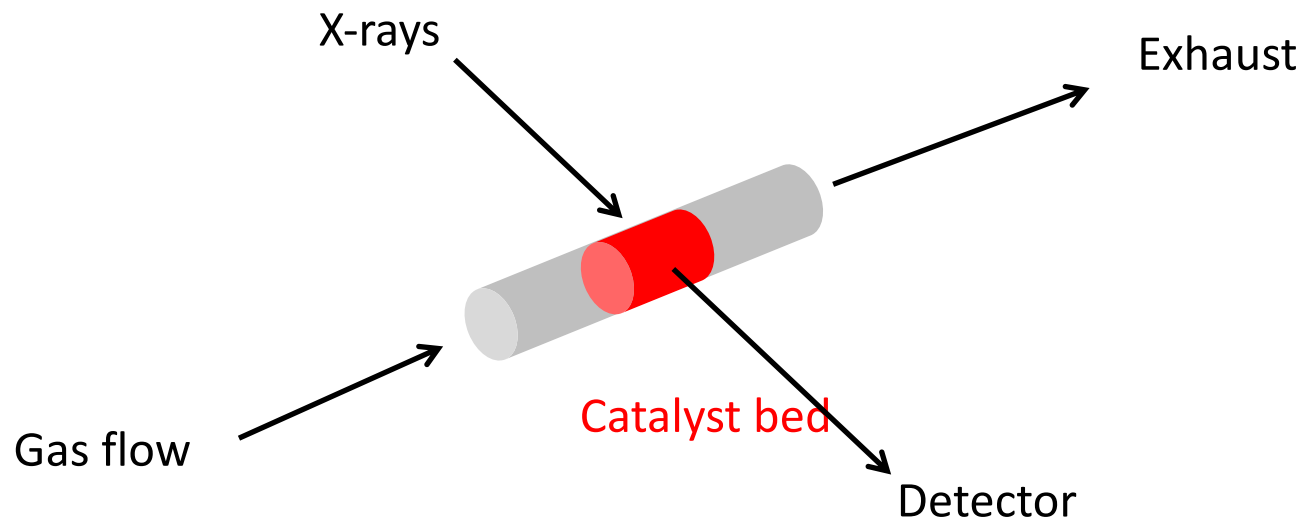
- Spectator problem:  
Most abundant is not most active
- Transient methods select for changing (active) species



Atsushi Urakawa , Thomas Bürgi , Alfons Baiker  
Chemical Engineering Science, **63** (2008) 4902 - 4909

# ingredients

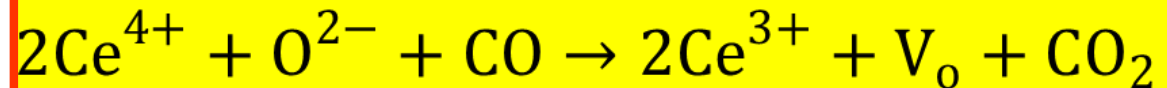
- catalyst structure adapts to gas environment
- catalytic conversion changes gas environment
- in situ / operando measurement is essential
- active sites may be minority species



Measure catalyst structure operando  
Time-resolved change to jump in gas environment

## Ceria is an effective dopant in catalysis

- TWC, soot oxidation, FCC additive, oxidation and hydrogenation reactions
- Oxygen storage capacity
- $\text{Ce}^{4+} / \text{Ce}^{3+}$  redox *activity often associated with presence of  $\text{Ce}^{3+}$*



## Oxygen storage capacity

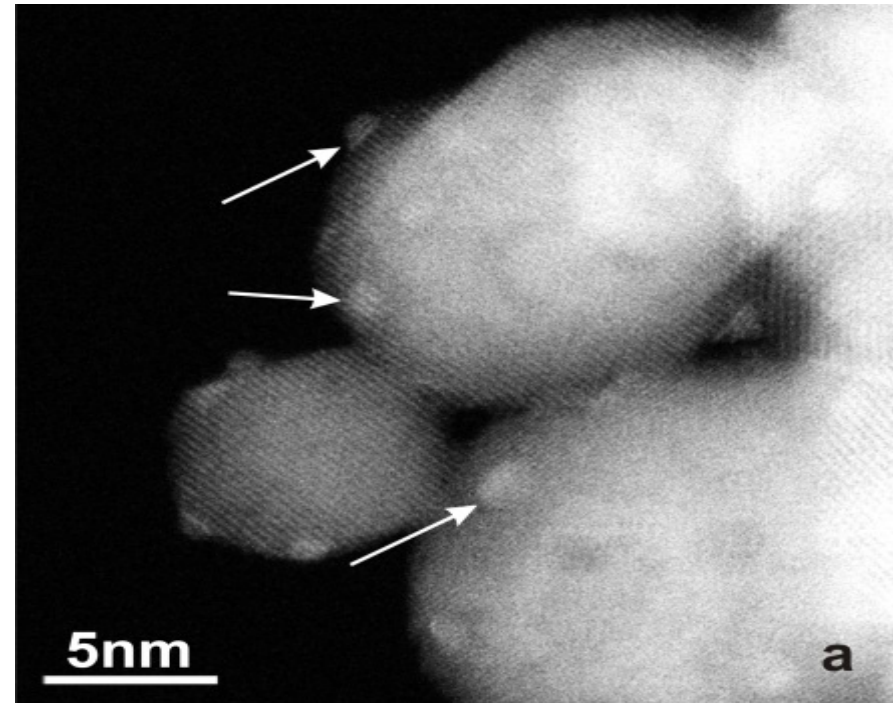
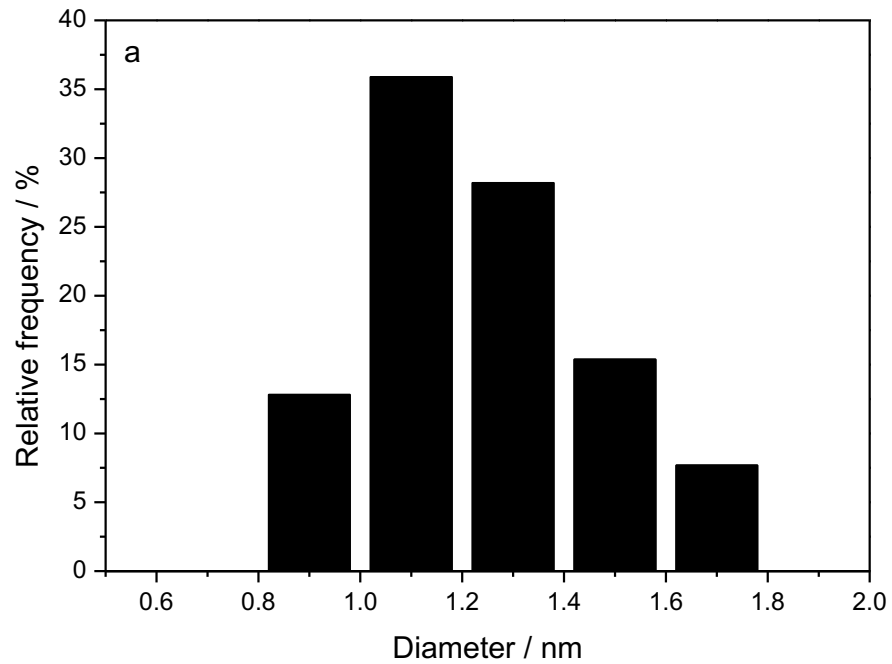
- Amount of oxygen that can be released at specific temperature
- High capacity often associated with high catalytic activity (?)

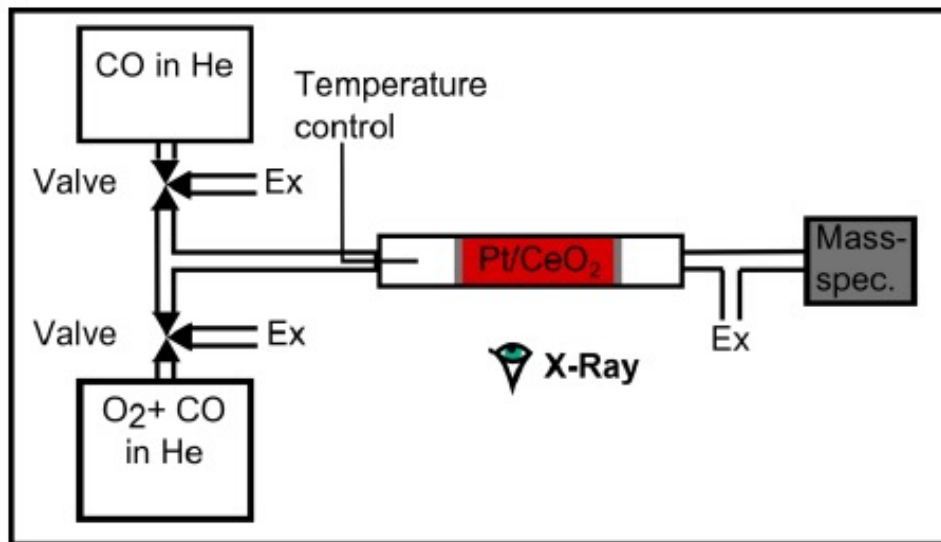
**Questions addressed here:**

**Ce<sup>3+</sup>**

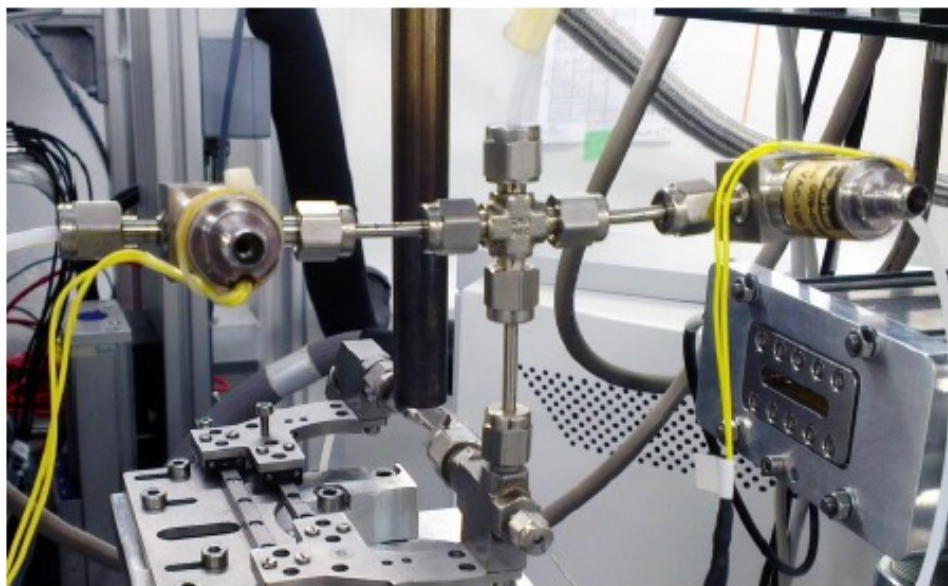
- *what is its role in catalysis?*
- *How does it look like?*
- *Where is it?*

# one nm Pt on polyhedral ceria particles





- Solenoid valves
- Plug flow reactor
- Thermo couple
- Hot air blower



**Fast gas switches:**  
 0.5% CO vs. 0.5% CO + 4.5% O<sub>2</sub>

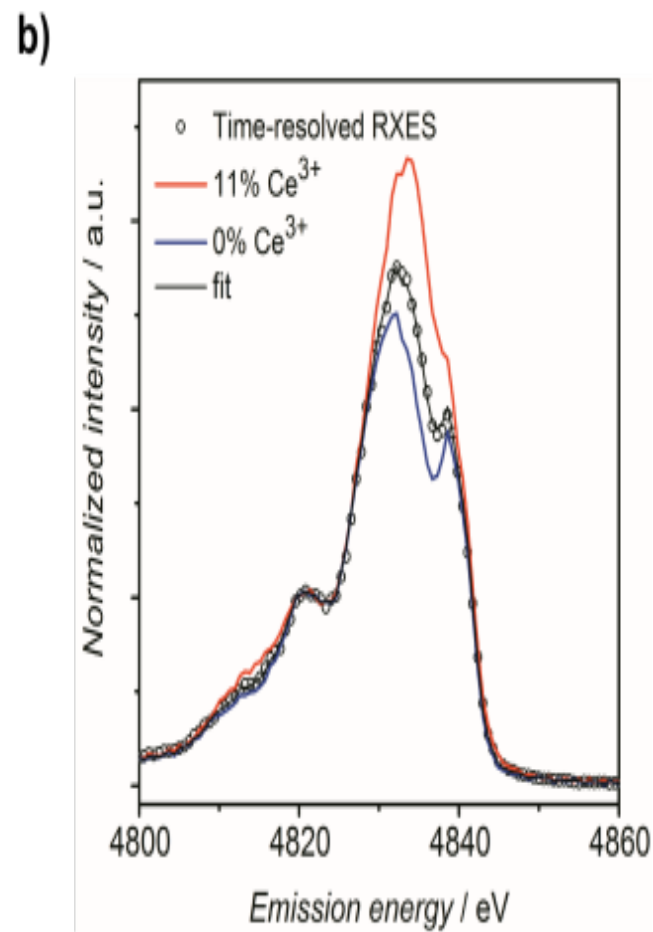
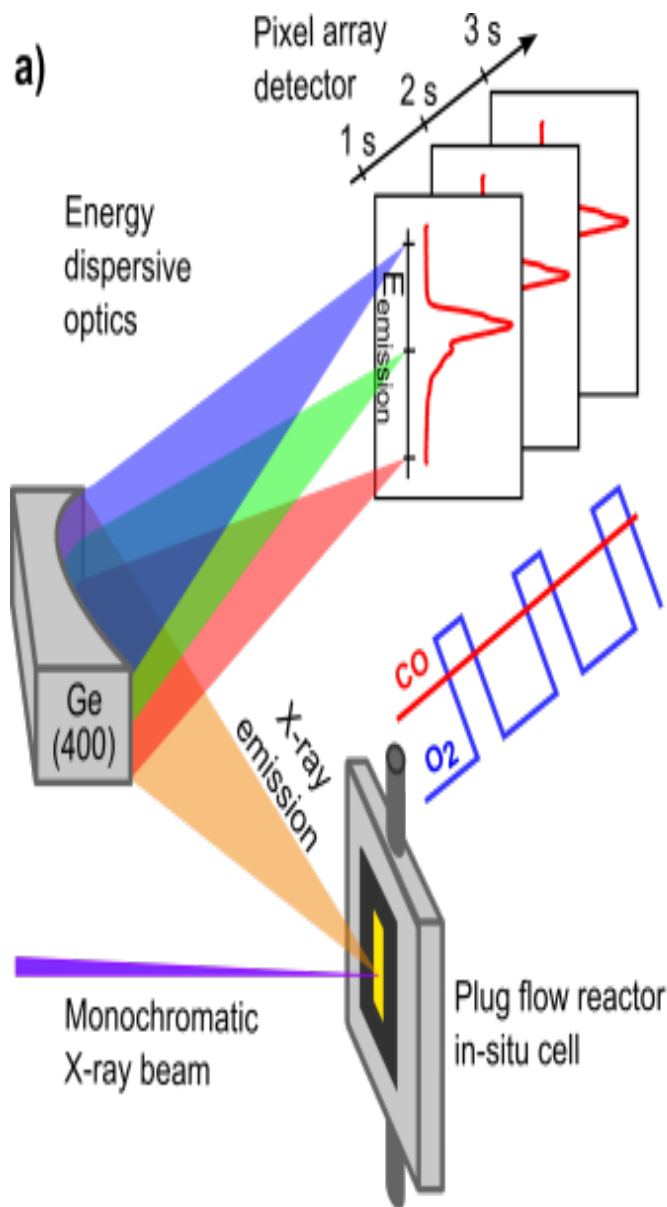
**Excess O<sub>2</sub>**  
 Balanced with He

total flow: 50 ml/min  
 (low conversion)

# Time-resolved X-ray emission

*Sub-second time resolution* (Spectra recorded in a single shot)

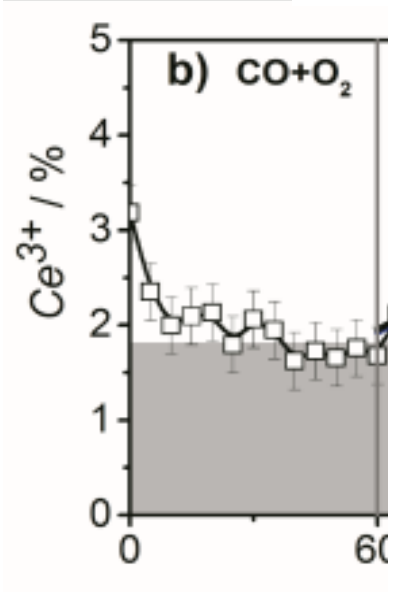
*in situ*



Ratio Ce<sup>III</sup> / Ce<sup>IV</sup>

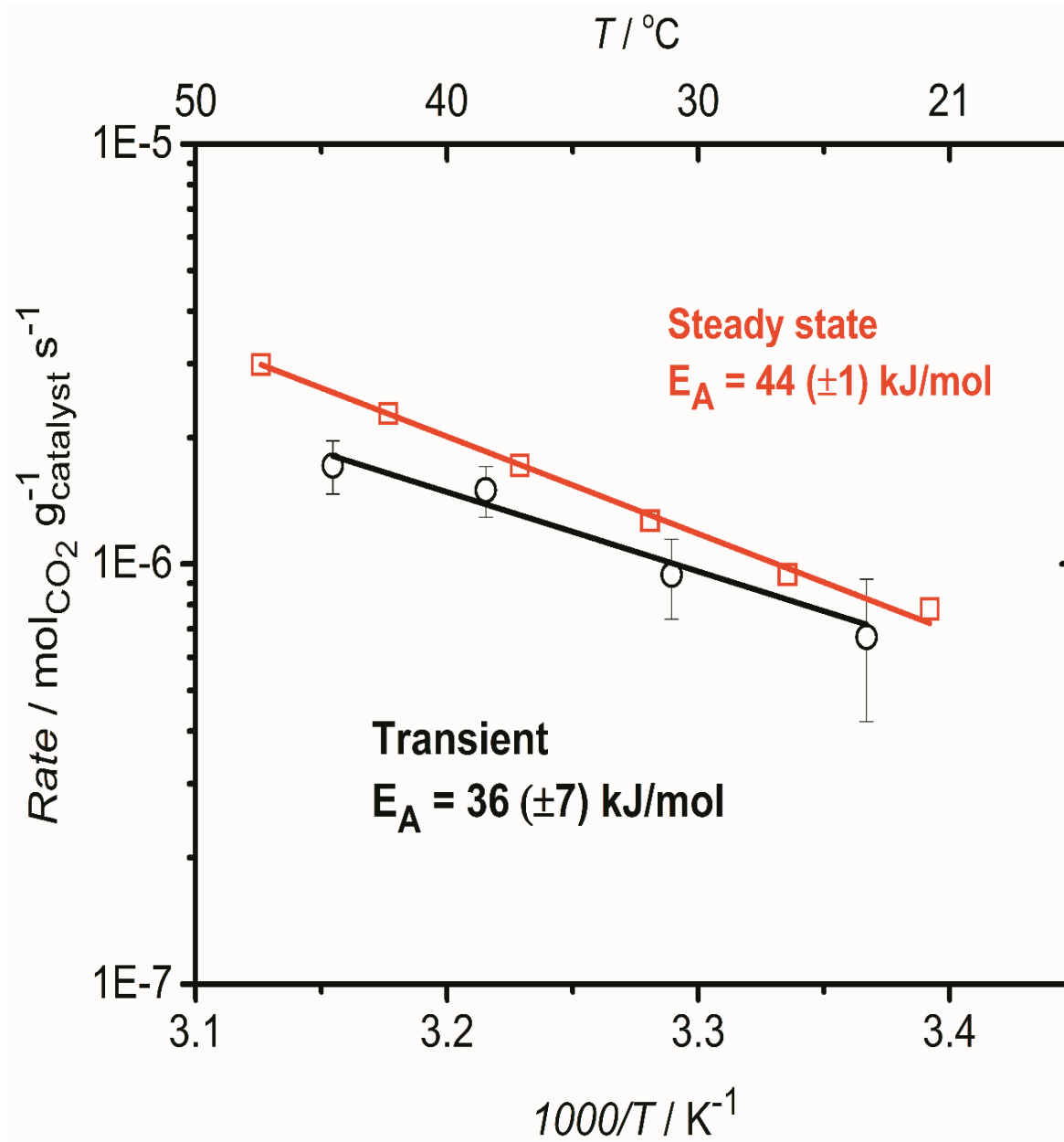


# Ce<sup>III</sup> after switch from CO + O<sub>2</sub> to CO

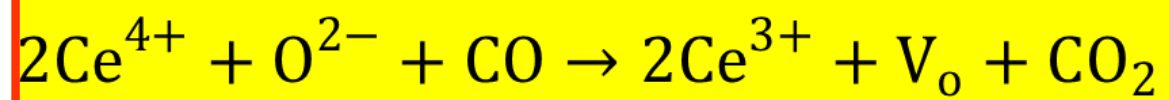
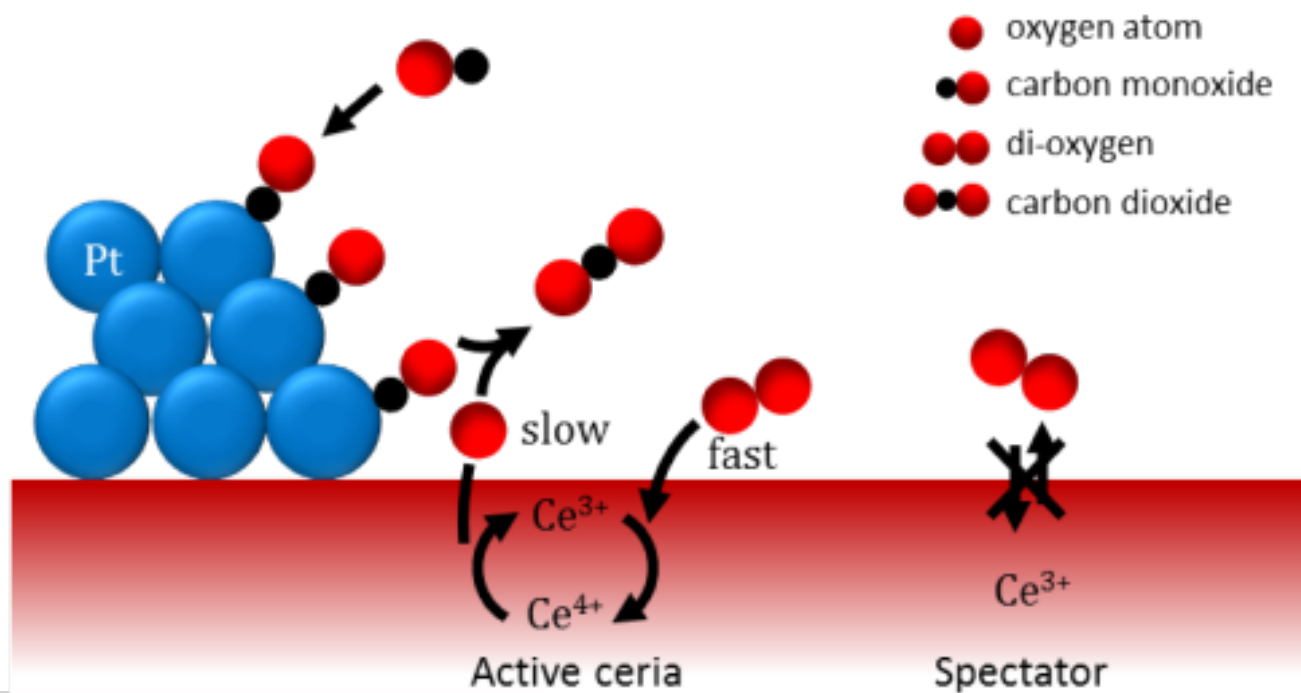


8% conversion at 35 °C

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*Steady state and transient rates are really very similar....*



Angew. Chem. Int. Ed. **54** (2015) 8728-8731

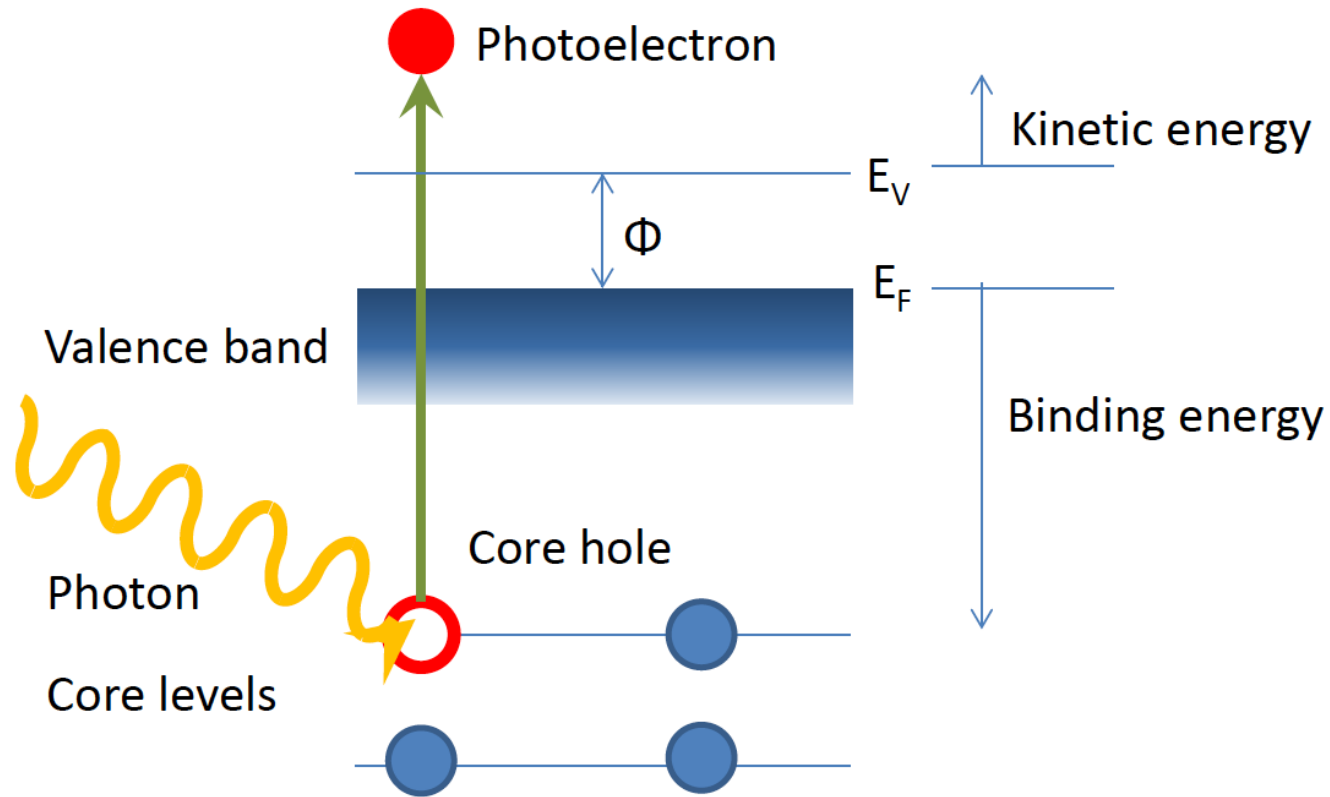
# Oxygen storage capacity

- It is the rate of oxygen release that matters
- Not the total storage capacity

# Structural depth profiling

*In situ XPS at the synchrotron*

# The photoemission process

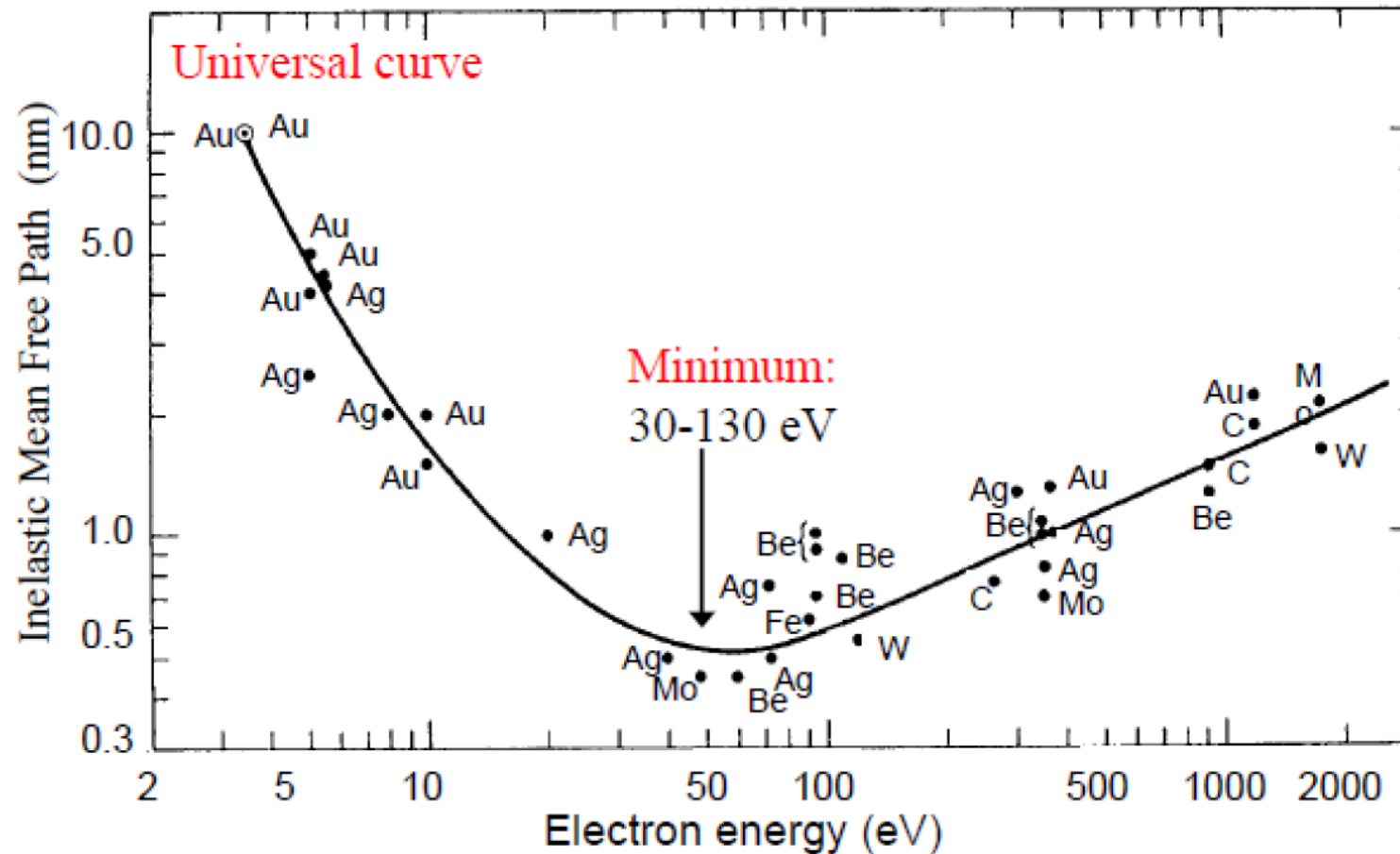


$$KE = h\nu - BE - \Phi \quad \text{for a solid}$$

$$KE = h\nu - IP \quad \text{for a gas}$$

$\Phi$  : photoelectric workfunction (4-6 eV)

# Electron inelastic mean free path



**Rule of thumb:**

$h\nu=1000$  eV

$\lambda_{\text{mfp}}$ :

5-10 Å metals

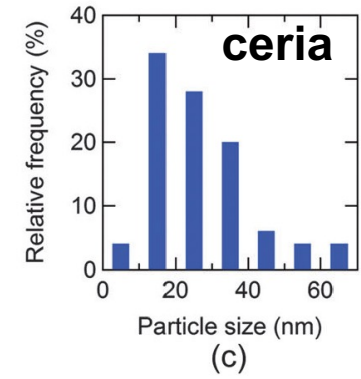
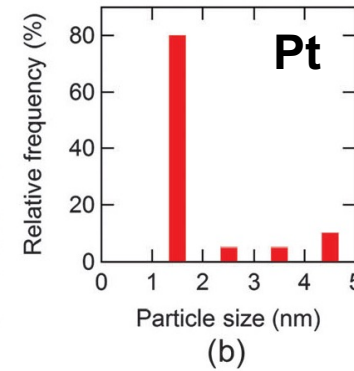
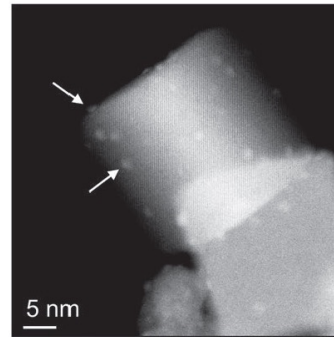
15-40 Å oxides

15-30 Å polymers

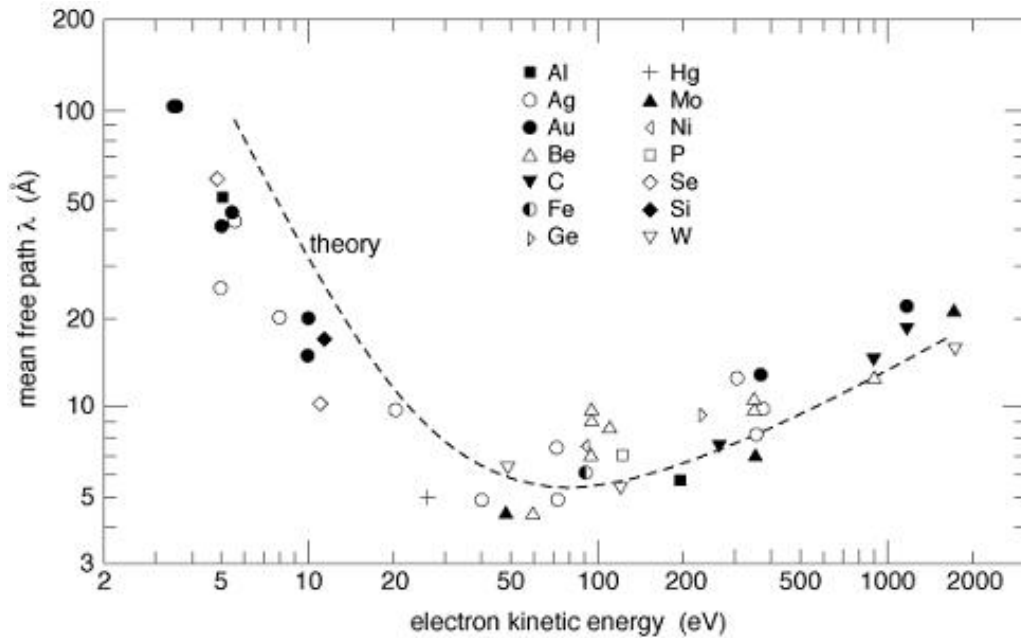
At close to normal detection angles 95%  
of the signal comes from atoms within  $3\lambda$   
of the surface

# Structural depth profiling

*In situ XPS at the synchrotron*



*High energy electrons travel further*



Measure concentration and depth profile of Ce<sup>III</sup> under oxygen respectively hydrogen

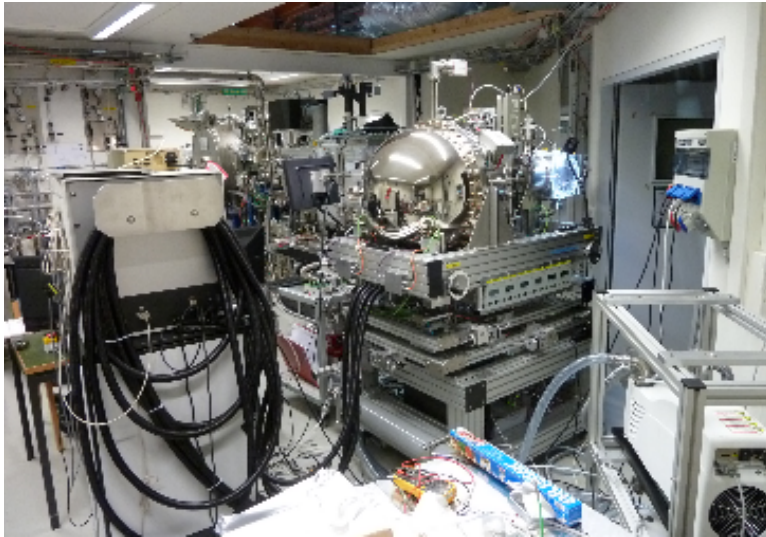
$$E_{kin} = h\nu - E_{bin}$$

*Determines the probing depth*

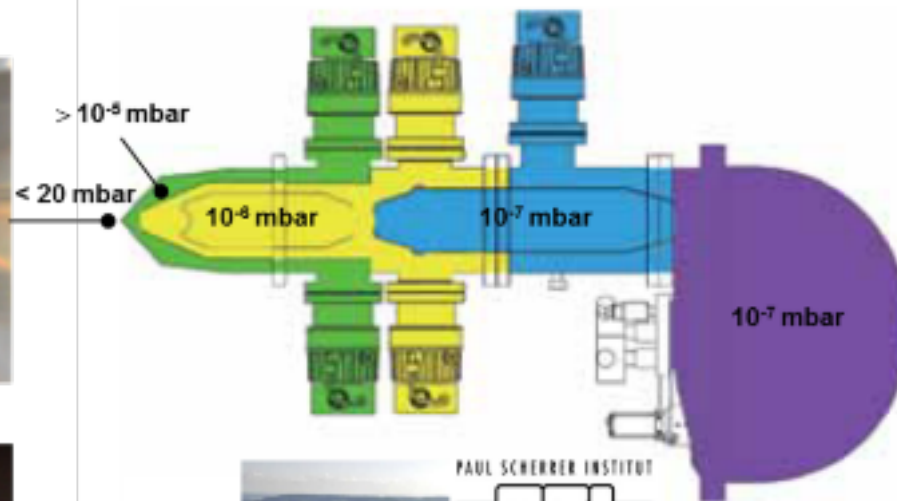
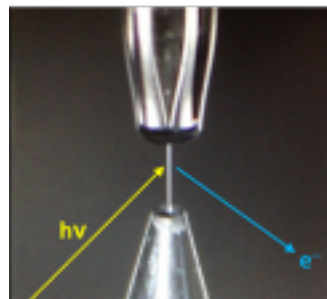
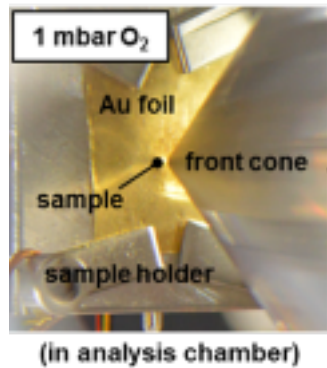
*Set by the synchrotron*



# NEAR AMBIENT PRESSURE XPS ENDSTATION AT SLS

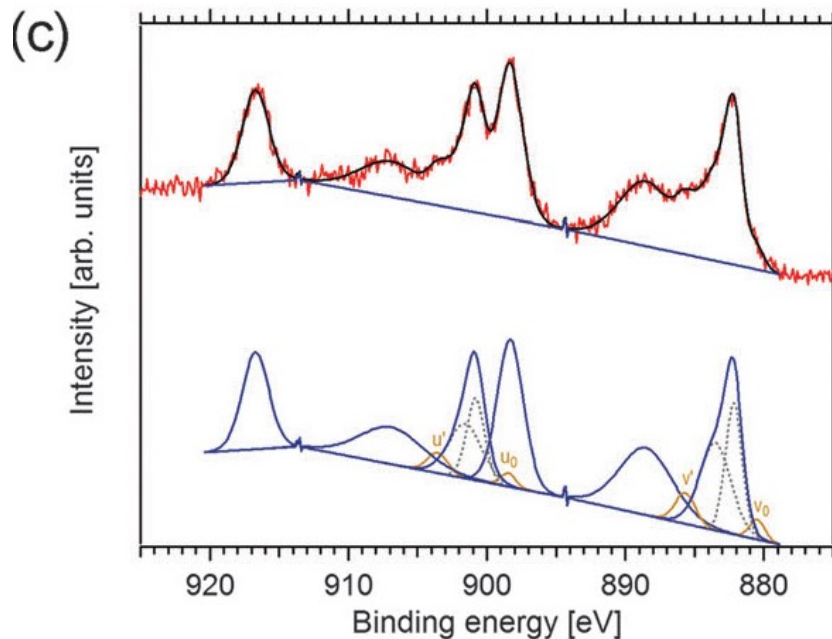


- Near ambient pressure photoelectron spectroscopy endstation
- Operation from UV to tender X-ray (7 keV)
- Use of novel pre-lens (VG SCIENTA) for combined high pressure and high kinetic energy measurement



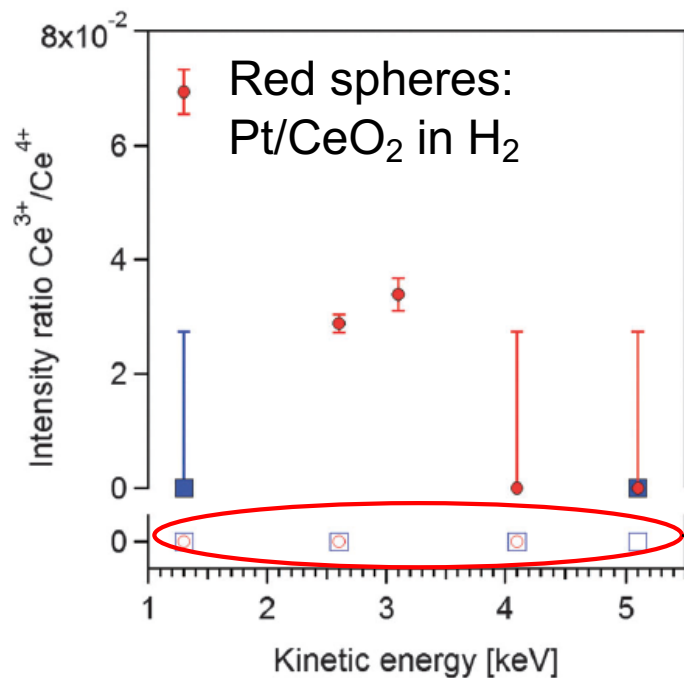
**PHOENIX beam lines**  
Thomas Huthwelker  
Markus Ammann

# Ce 3d XPS sensitive to cerium oxidation state



Pt/CeO<sub>2</sub> in 1 mbar H<sub>2</sub>

Spectrum measured at low kinetic energy  
*surface sensitive*

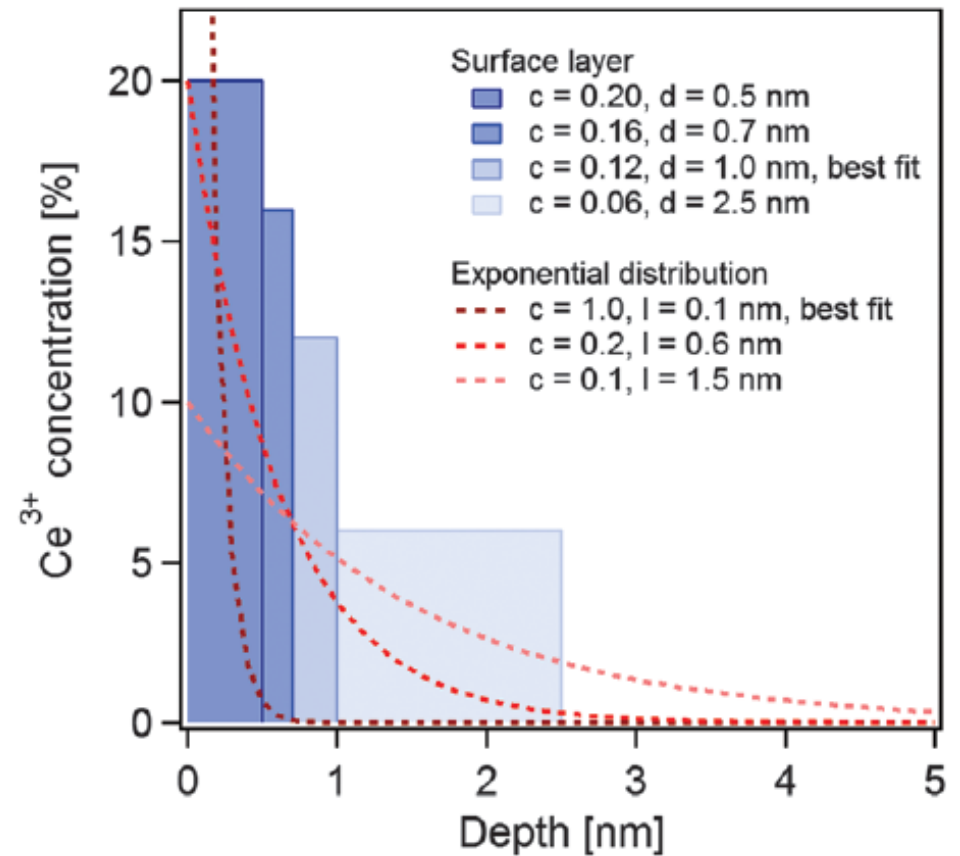
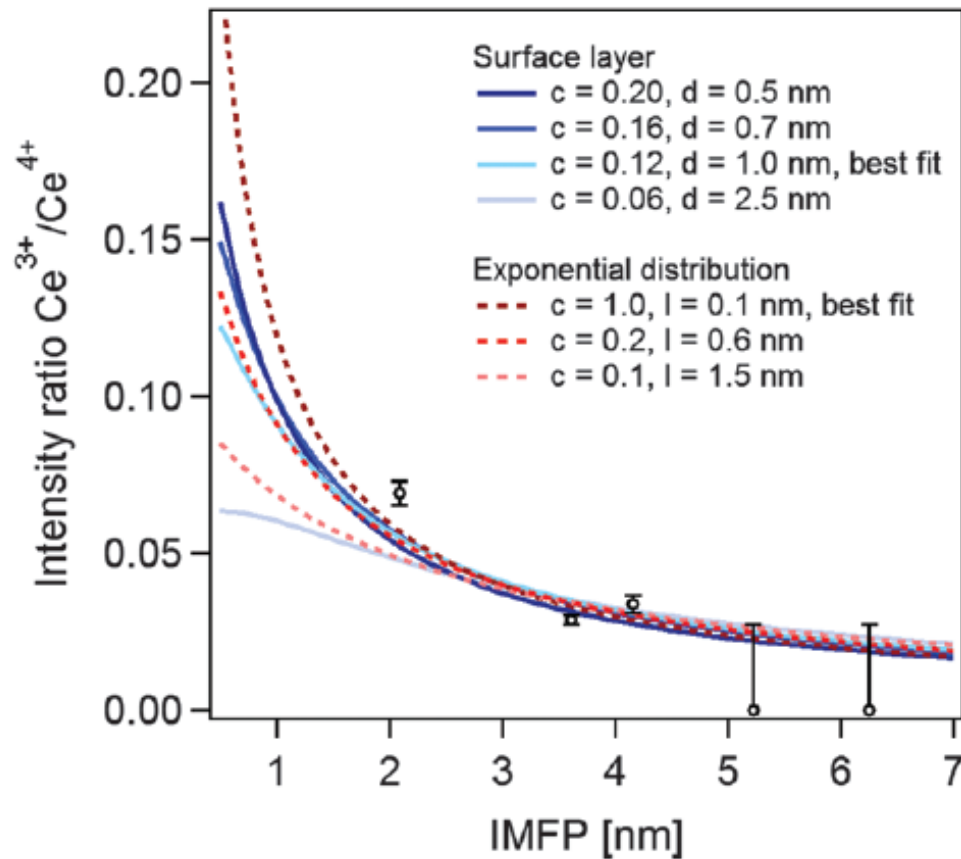


**H<sub>2</sub> and Pt needed to reduce ceria  
Ce<sup>III</sup> more at the surface**

Blue squares: Pt/CeO<sub>2</sub> in O<sub>2</sub>

CeO<sub>2</sub> in 1 mbar H<sub>2</sub> respectively 1 mbar O<sub>2</sub>

# Quantification needs a model

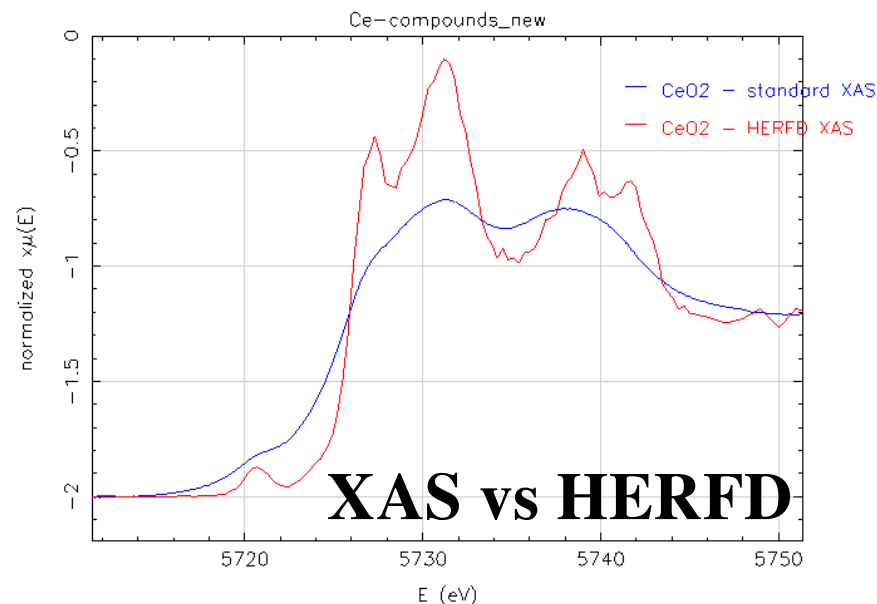


**Best fit with data: only  $\text{Ce}^{\text{III}}$  within the outermost 5-10 Å**

# Ce L<sub>3</sub> High energy resolution fluorescence detected XAS



NASA pictures before and after upgrade of HUBBLE



## Structure of Ce<sup>3+</sup>?

“HERFD”

Safonova, O. V., Tromp, M., van Bokhoven, J. A., de Groot, F. M. F., Evans, J., Glatzel, P.  
*J. Phys. Chem B.* 110 (2006) 16162-16164

Polyhedral CeO<sub>2</sub> nanoparticles: Size-dependant geometrical and electronic structure

Paun, C., Safonova, O. V., Szlachetko, J., Abdala, P. M., Nachtegaal, M., Sa, J., Kleymenov, E., Cervellino, A., Krumeich, F., van Bokhoven, J. A.  
*J. Phys. Chem. C* 116 (2012) 7312-7317

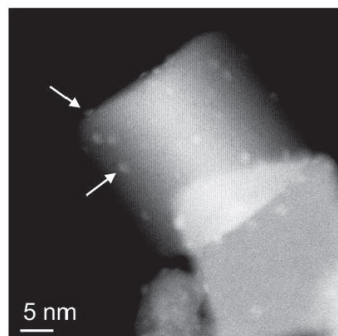
Electronic and geometric structure of Ce<sup>3+</sup> forming under reducing conditions in shaped ceria nanoparticles promoted by platinum

Safonova, O. V., Guda, A., Paun, C., Smolentsev, N., Abdala, P., Smolentsev, G., Nachtegaal, M., Szlachetko, J., Soldatov, M., Soldatov, A., van Bokhoven, J. A.

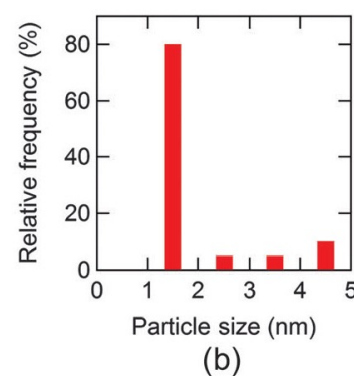
*J. Phys. Chem. C.* 118 (2014) 1974-1982

# Shaped ceria particles

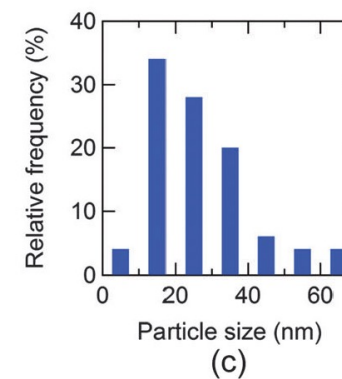
Pt/CeO<sub>2</sub>



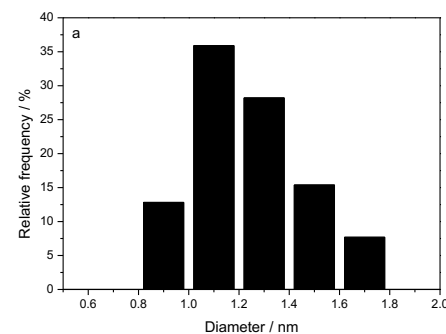
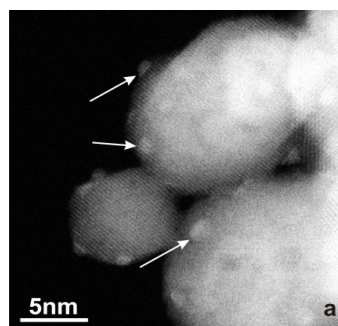
(a)



(b)



(c)

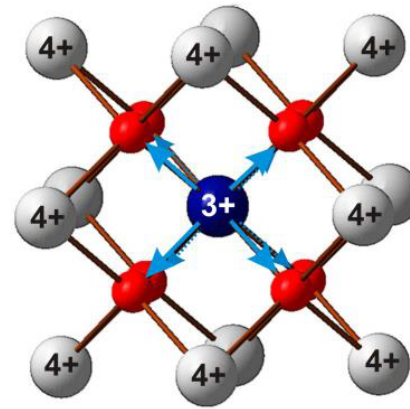
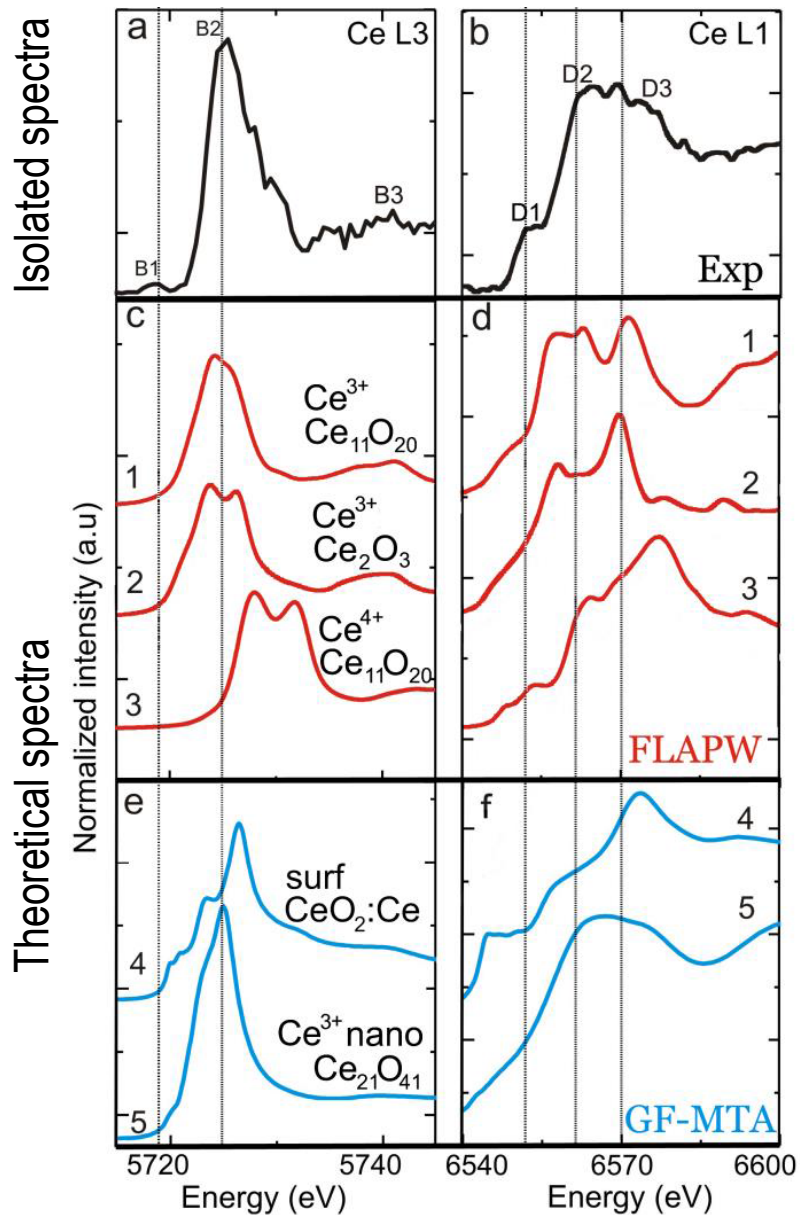


Polyhedral CeO<sub>2</sub> nanoparticles: Size-dependant geometrical and electronic structure  
Paun et al. *J. Phys. Chem. C* 116 (2012) 7312-7317

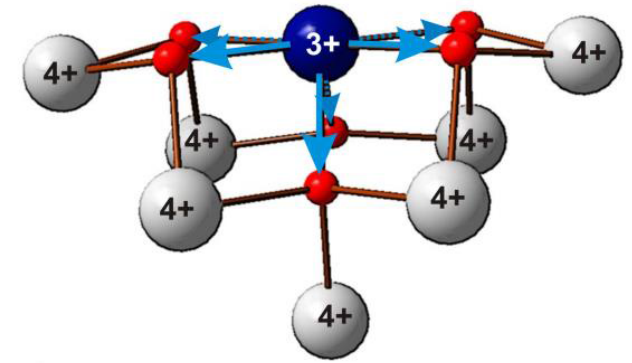
In situ hydrogen reduction at 150°C

*difference between spectrum CeO<sub>2</sub> and CeO<sub>2-δ</sub>*

# Isolation of $\text{Ce}^{3+}$ spectrum: deduction of its structure



bulk  $\text{Ce}^{3+}$



surface  $\text{Ce}^{3+}$

## Two types of $\text{Ce}^{3+}$

- Surface, six-coordinate
- Sub-surface, eight-coordinate

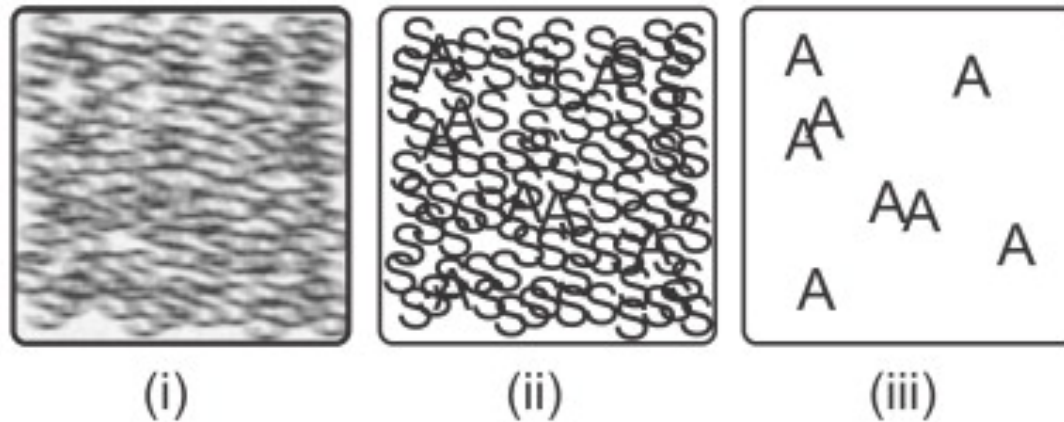
# Take-home message I

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Ce<sup>III</sup> that is observed in an in situ / operando experiment is a spectator  
*for carbon monoxide oxidation*

Ce<sup>III</sup> that participates in the reaction is too short-lived to see

S = spectator  
A = active site



# Take-home message II

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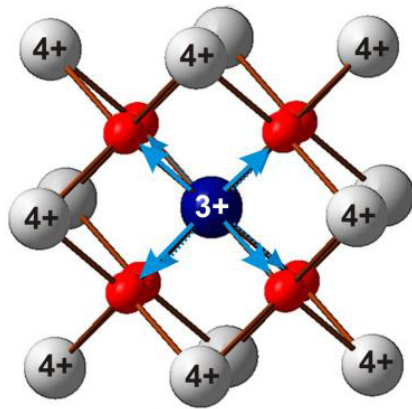
Knowledge of rate-limiting step essential

Measuring in the time domain brings significant advantages

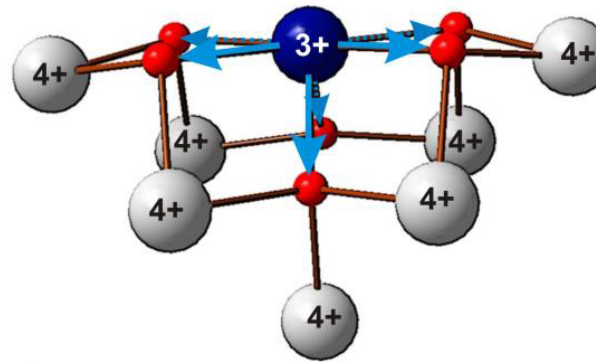


# Intermediate conclusions

- $\text{Ce}^{\text{III}}$  actively participates in the catalytic cycle
- Transient methods enable distinguishing spectator species from active ones
- $\text{Ce}^{\text{III}}$  remains at the surface



bulk  $\text{Ce}^{\text{3+}}$



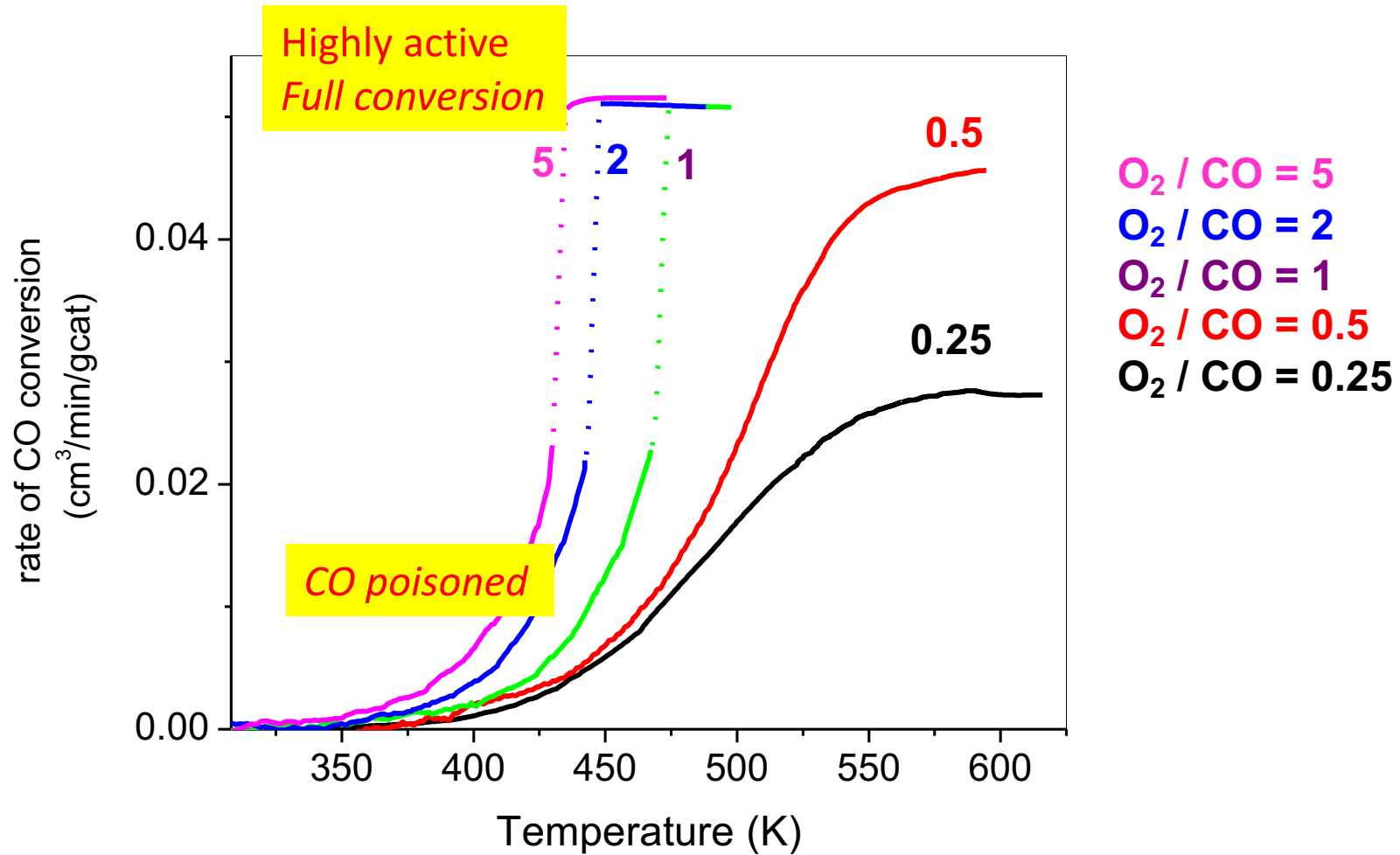
surface  $\text{Ce}^{\text{3+}}$

# Platinum on inert support

*How does the platinum structure relate to activity in a plug-flow reactor?*

# conversion vs temperature

At constant CO space velocity, except for 0.25

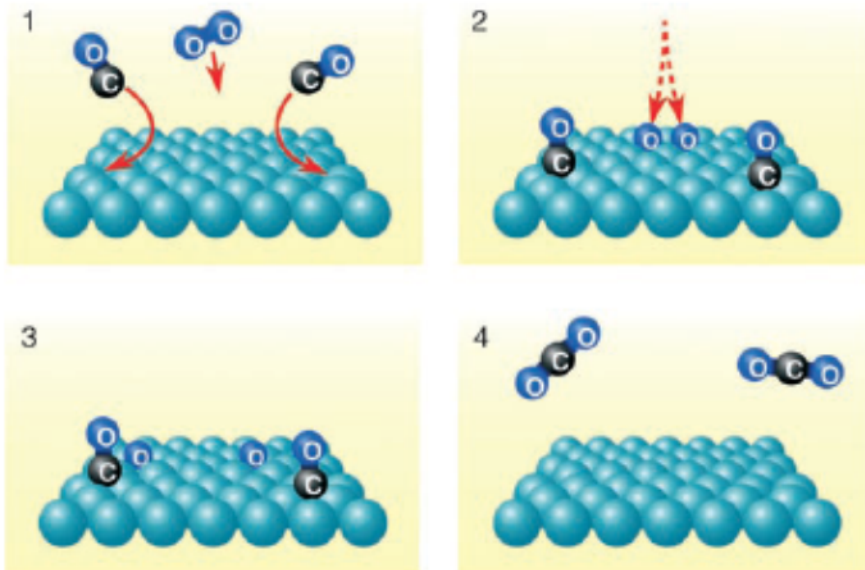


two reaction regimes

# Active phases in carbon monoxide oxidation

Ultra-high vacuum

well established



Langmuir-Hinshelwood

→ High pressure

Two (*or more*) reaction regimes:

- Low activity: CO poisoned
- High activity: **controversy**

“chemisorbed oxygen-dominant phase is hyperactive state”

Goodman *Surf. Sci.* 601 (2007) 5326

“surface oxide is most active”

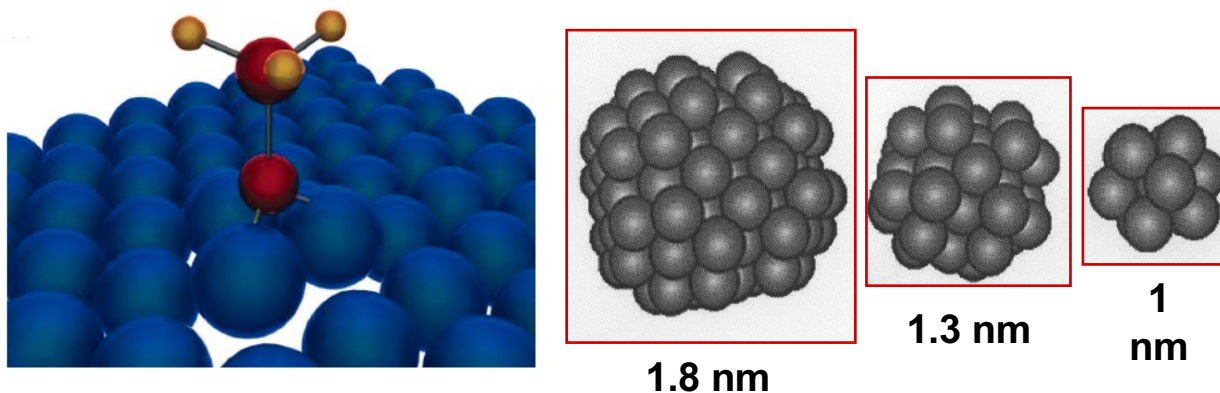
Frenken *Phys. Rev. Lett.* 89 (2002) 046101

“defect Pt surface sites are active sites”

Somorjai *J. Amer. Chem. Soc.* 119 (1997) 3994

“oxygen chemisorbed state is active state”

Delgass *J. Catal.* 204 (2001) 34

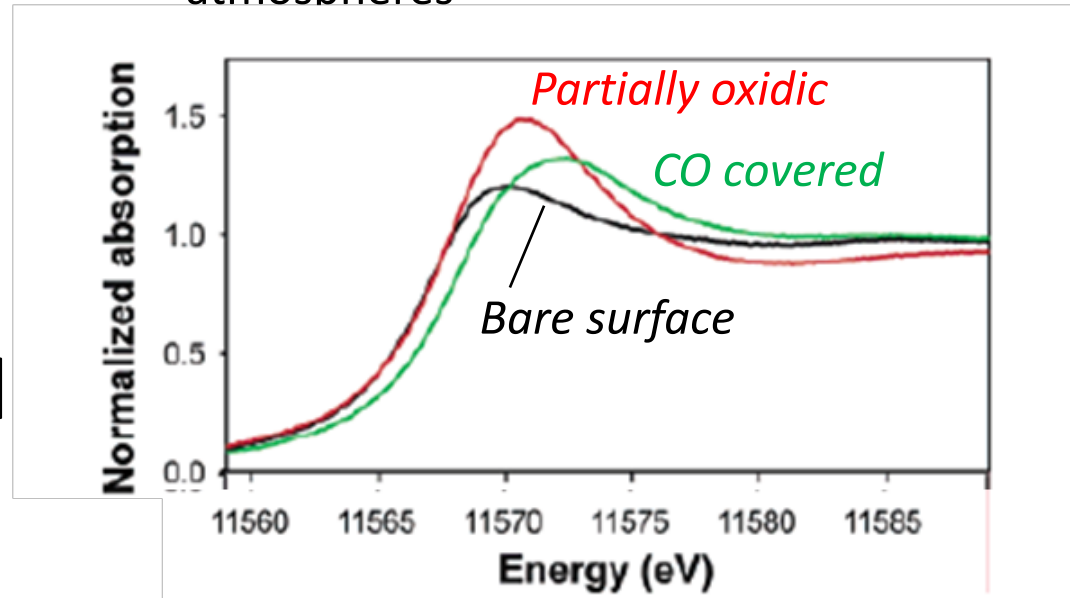


**What about real catalytic particles in a real reactor?**

# Pt L<sub>3</sub>-edge XANES

- Excitation from 2p<sub>3/2</sub> to d-band
- Spectrum gives information on oxidation state and adsorbates

Pt L<sub>3</sub>-edge XANES under different atmospheres



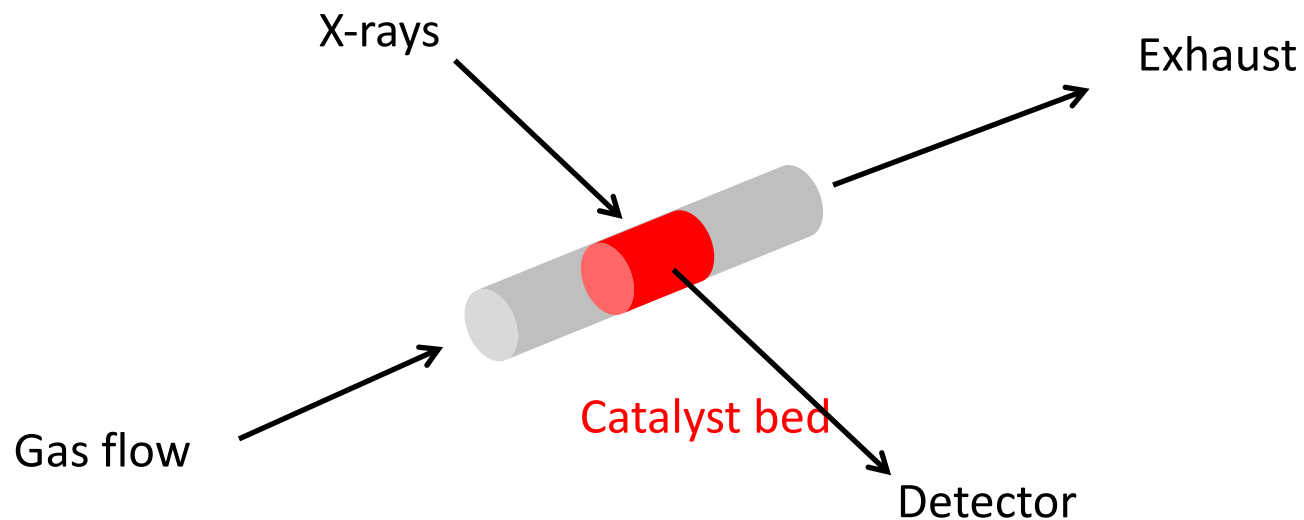
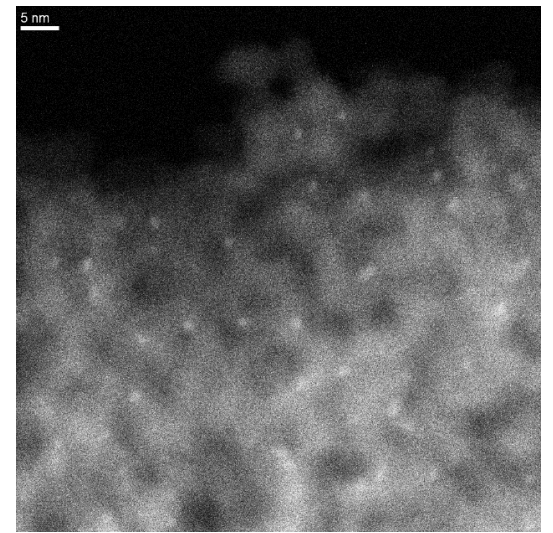
Safonova et al., *The Journal of Physical Chemistry B* **2006** 110 (33), 16162-16164

# Measuring FAST XAS

- Quick EXAFS = QEXAFS

# QEXAFS of platinum in transient conditions

- Catalyst: Pt/Al<sub>2</sub>O<sub>3</sub>
- System at high T (520 K)
- Switch from CO to CO + O<sub>2</sub> → from CO covered to catalytic conversion



## Questions

*Catalyst response to the switch*

From CO-covered to performing CO oxidation

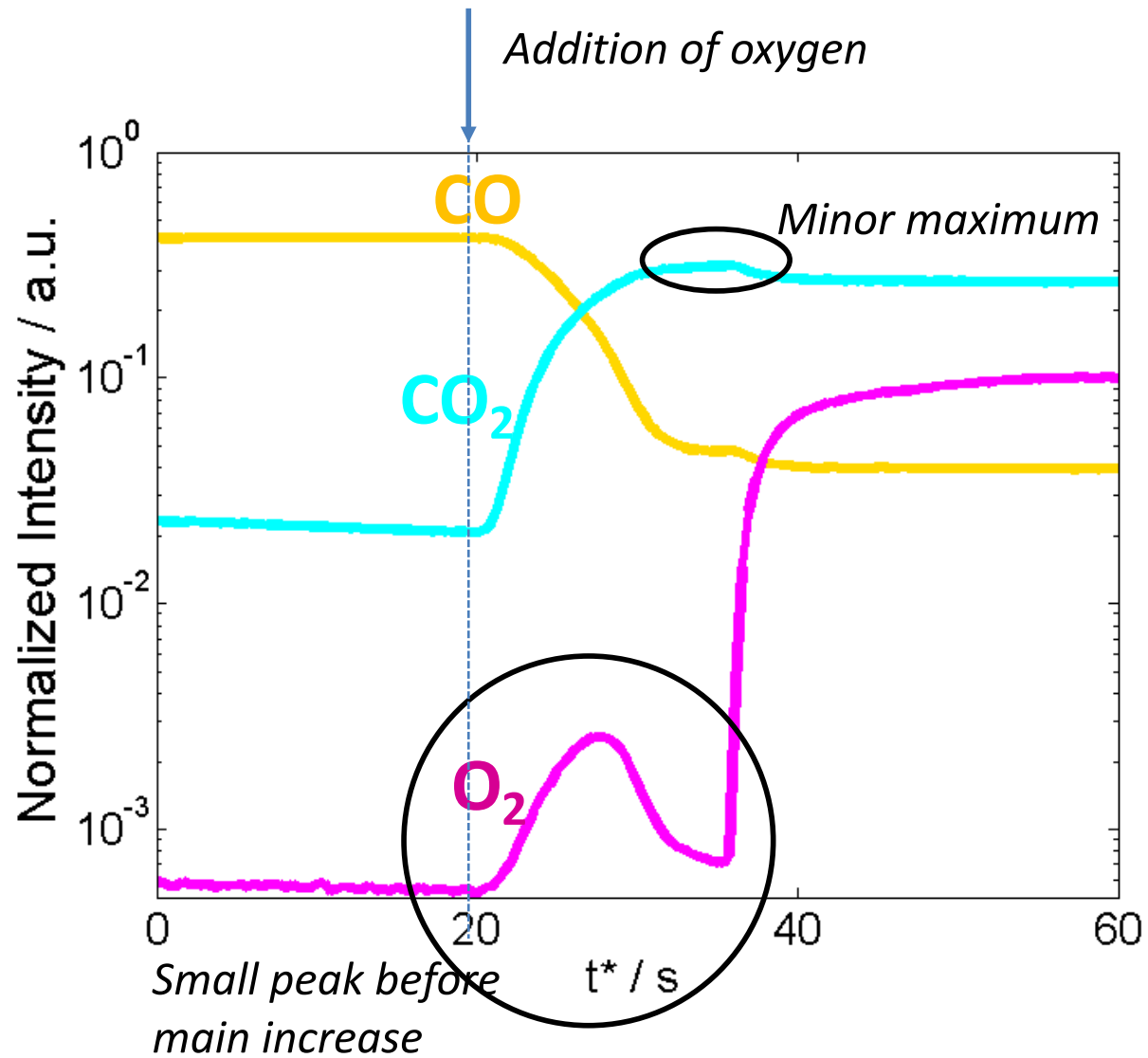
*from CO poisoned to highly active*



*Catalyst structure is not necessarily the same everywhere in a reactor!!*

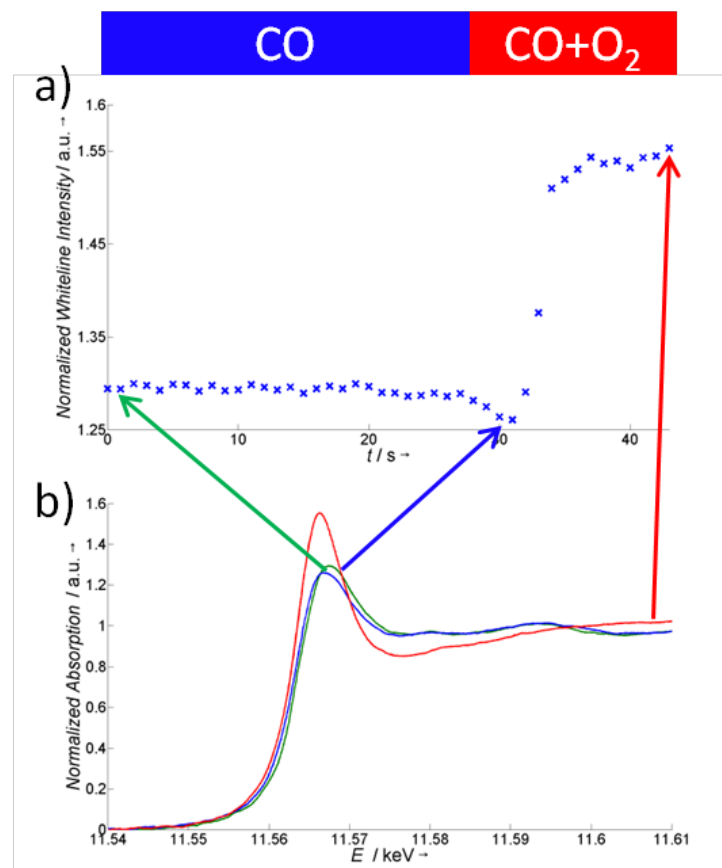
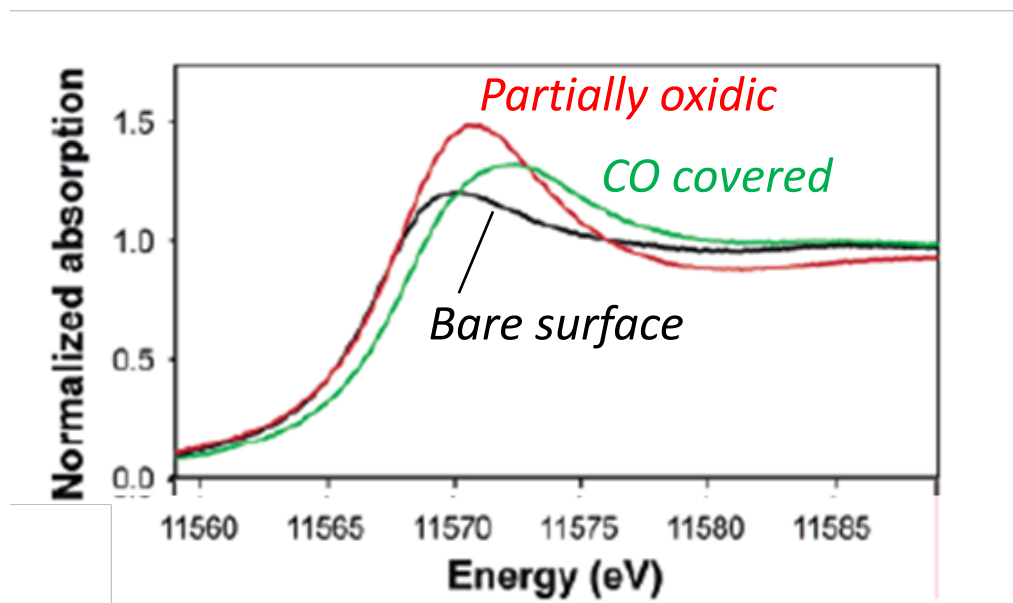


# Mass spectrometry results

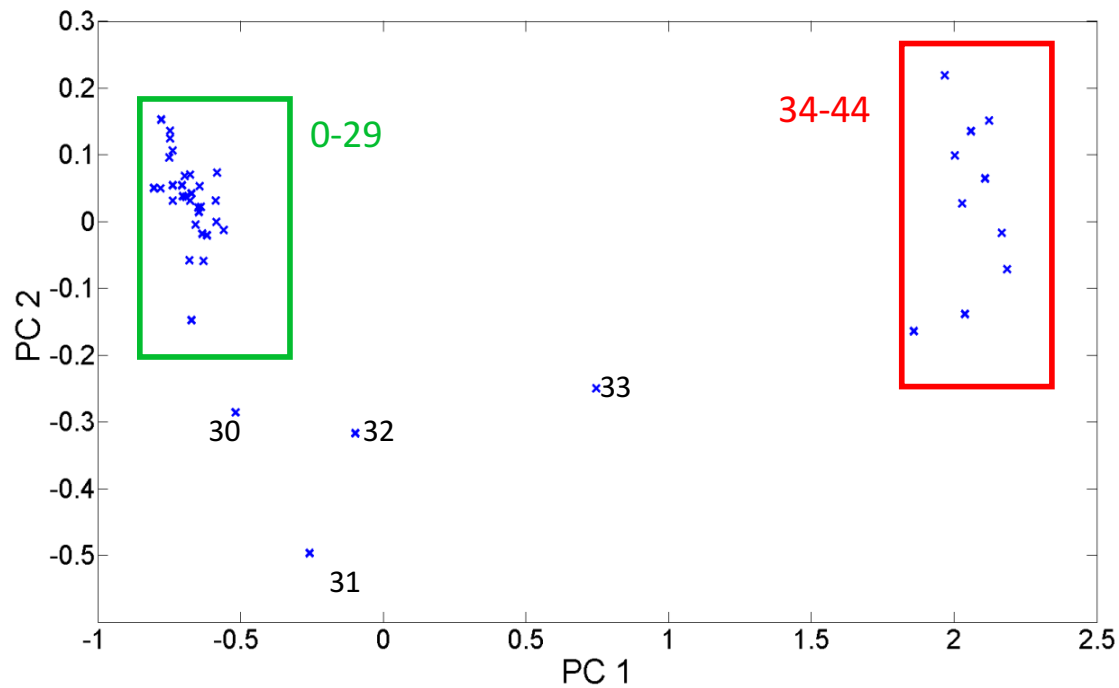


# Normalized whiteline intensity

- Whiteline intensity changes during switch
- Small decrease, followed by large and rapid increase



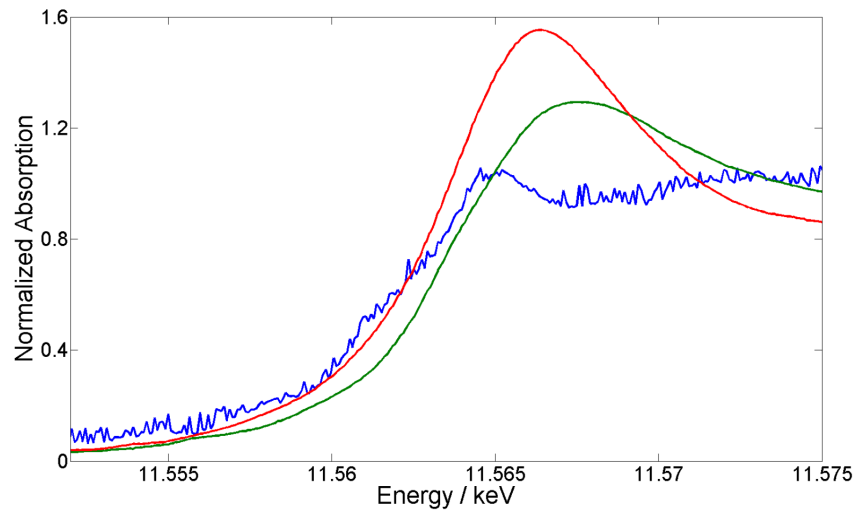
# Principal component analysis



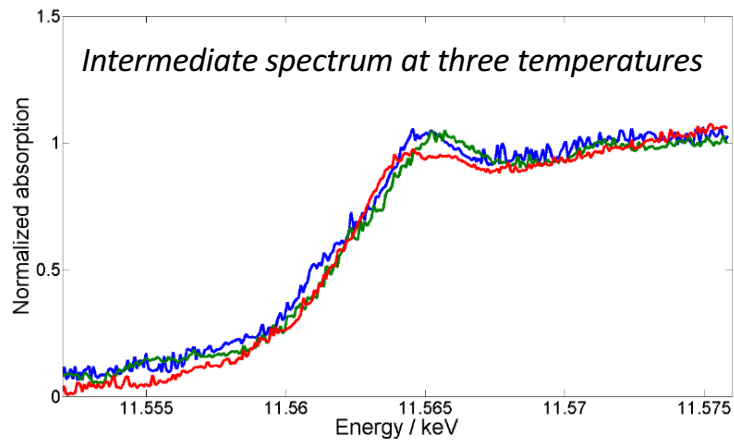
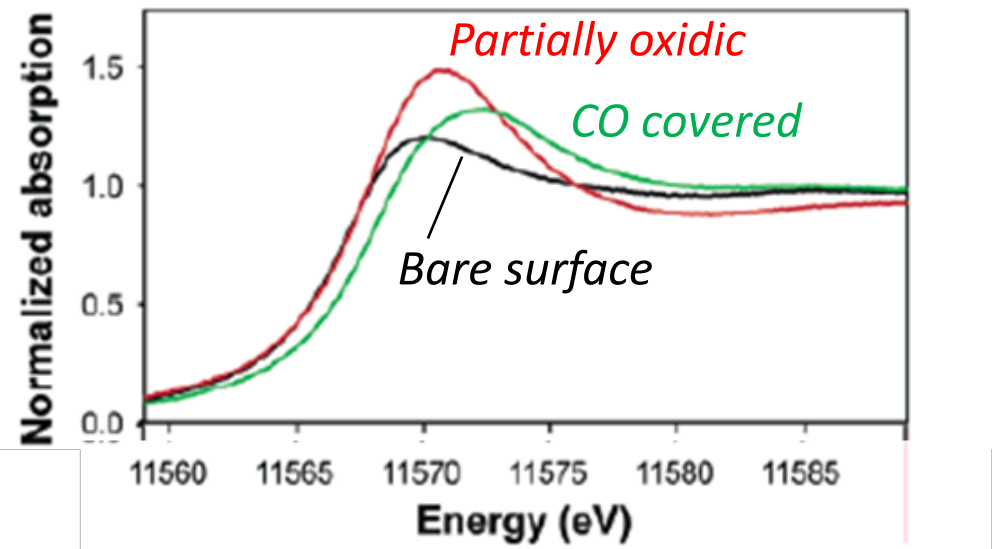
- First PC: oxidized / reduced transition
- Second PC: presence / absence of intermediate

*There is a transient state....*

# Isolation of the intermediate structure



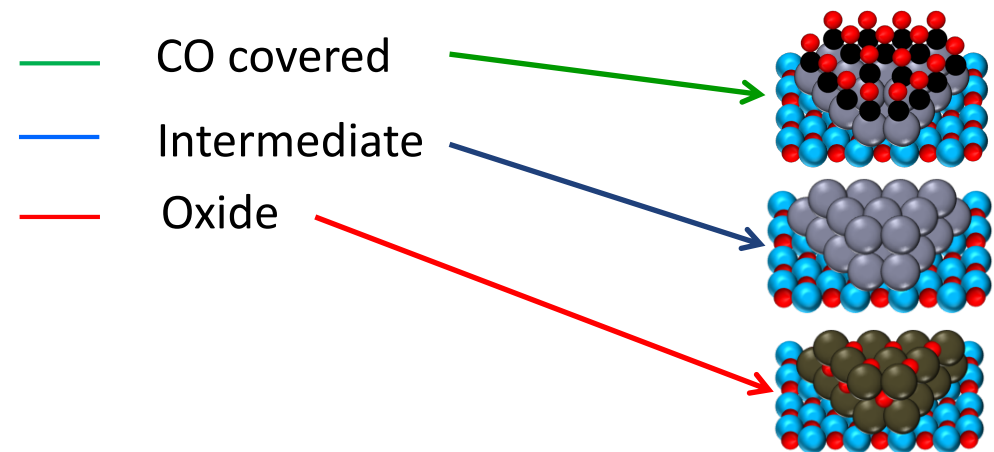
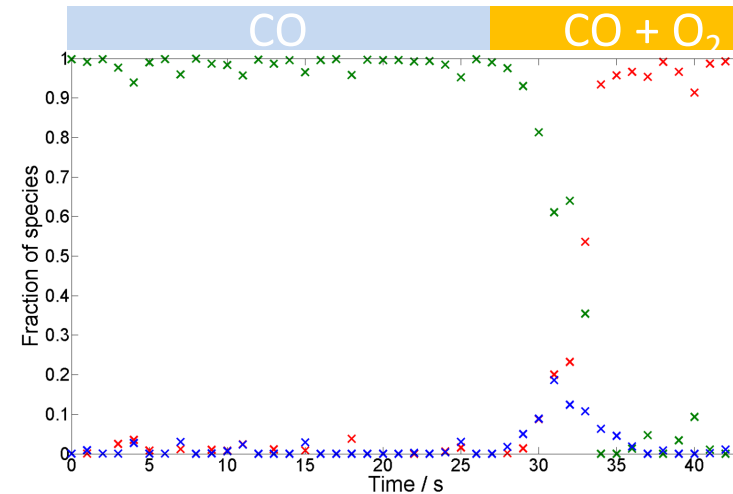
*Reference spectra*



*There is a transient state....  
... and it is an empty surface*

# Can we kinetically describe these data?

- Concentrations obtained from linear combination fit
- General behavior as expected

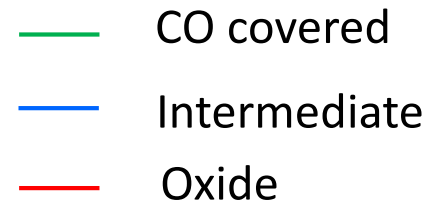
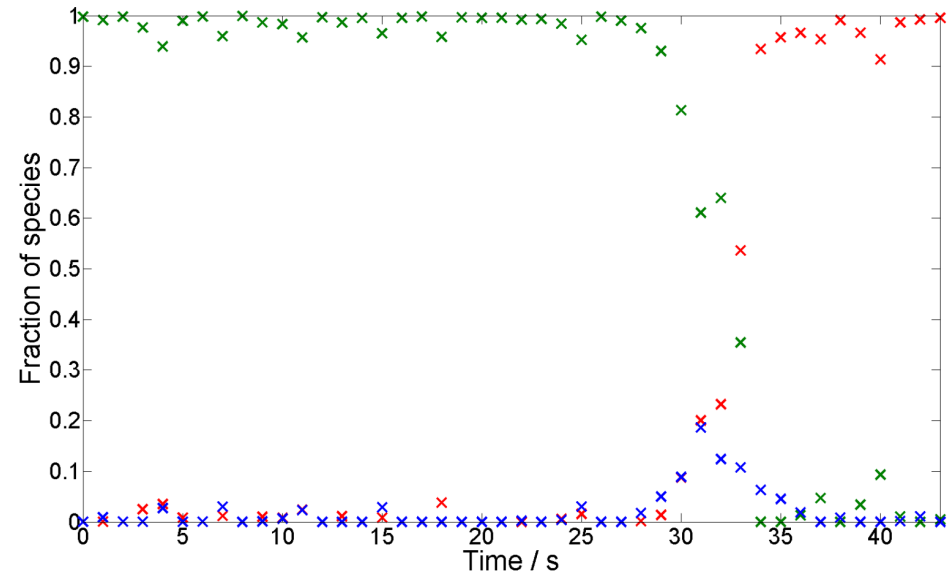


# Can we kinetically describe these data?

Model 1:  $A \rightarrow B \rightarrow C$

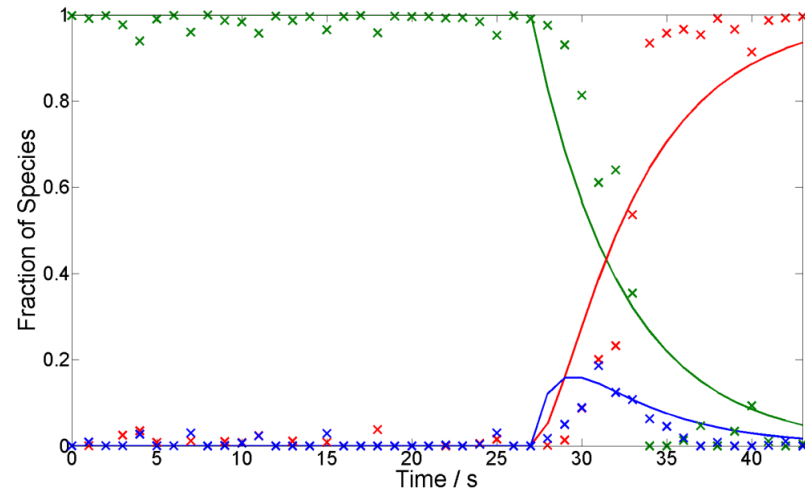
Model 2:

- Desorption of CO:  $\text{CO}_{\text{ads}} \rightarrow \text{CO} + *$
- Adsorption of  $\text{O}_2$ :  $\text{O}_2 \rightarrow 2 \text{O}_{\text{ads}}$
- Surface reaction:  $\text{O}_{\text{ads}} + \text{CO}_{\text{ads}} \rightarrow \text{CO}_2 + 2*$



# Can we kinetically describe these data?

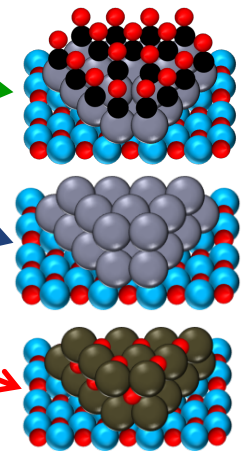
- Basic model clearly insufficient
- Observed kinetics: initially slow, then accelerated



— CO covered

— Intermediate

— Oxide



Model 1:  $A \rightarrow B \rightarrow C$   
*does not describe data*

# Kinetic model

- Desorption of CO:  $\text{CO}_{\text{ads}} \rightarrow \text{CO} + *$
- Adsorption of  $\text{O}_2$ :  $2 * + \text{O}_2 \rightarrow 2 \text{O}_{\text{ads}} \rightarrow \text{oxide}$
- Surface reaction:  $\text{O}_{\text{ads}} + \text{CO}_{\text{ads}} \rightarrow \text{CO}_2 + 2*$

- Assumptions:

- Fast desorption of  $\text{CO}_2$

- No readsorption of CO

$$\frac{d\theta_{\text{CO}}}{dt} = -k_1 * \theta_{\text{CO}} - k_3 * \theta_{\text{CO}} * \theta_{\text{O}}$$

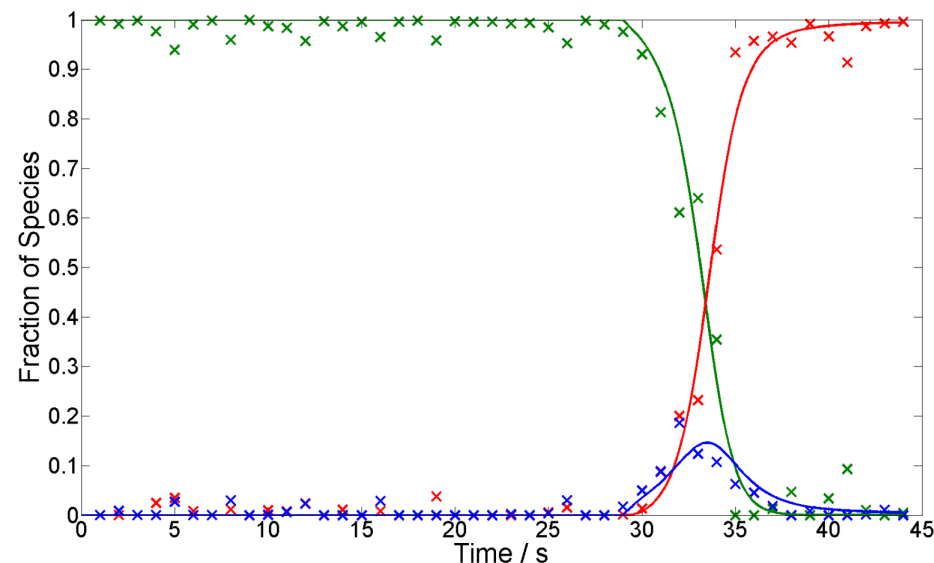
$$\frac{d\theta_{\text{O}}}{dt} = 2 * k_2 * c_{\text{O}_2} \theta_{\text{free}}^2 - k_3 * \theta_{\text{CO}} * \theta_{\text{O}}$$

$$\frac{d\theta_{\text{free}}}{dt} = k_1 * \theta_{\text{CO}} + 2 * k_3 * \theta_{\text{CO}} * \theta_{\text{O}} - 2 * k_2 * c_{\text{O}_2} \theta_{\text{free}}^2$$



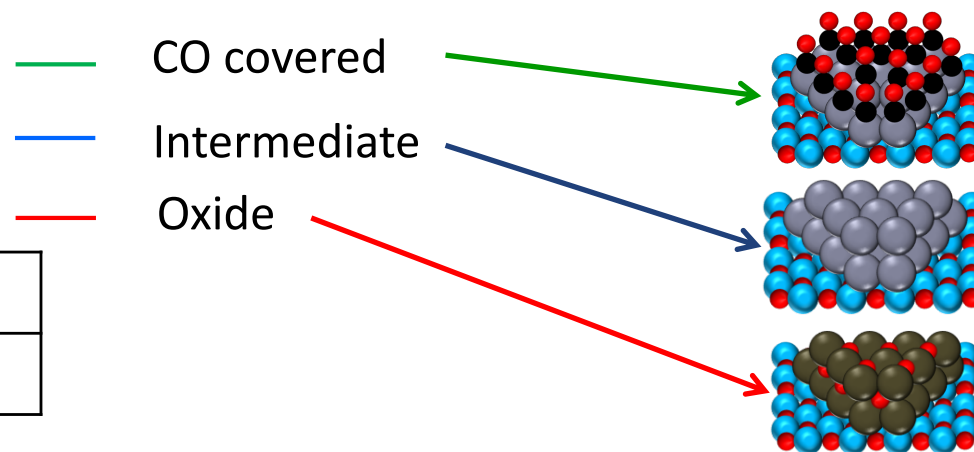
# (Near) quantitative description of data

- Clear improvement on previous fit
- Captures the characteristics of the observed behavior



CO desorbs  
Free site enables reacting with oxygen  
More free sites form, until surface oxidizes

$k_{\text{des, CO}}$	$k_{\text{ads, oxygen}}$	$k_{\text{surface}}$
$0.04 \text{ s}^{-1}$	$12.5 \text{ s}^{-1}$	$1.4 \text{ s}^{-1}$

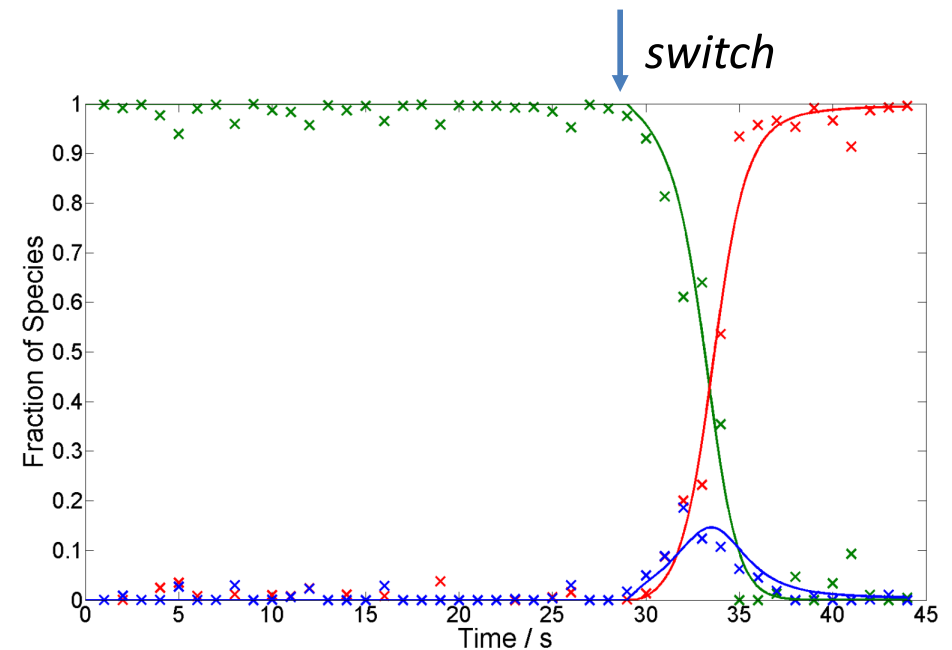
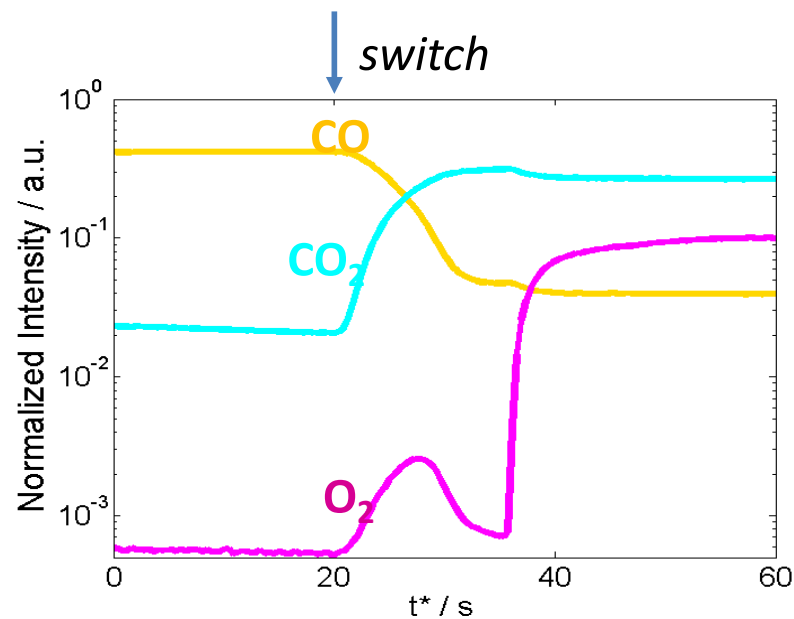


*Chemisorbed oxygen reacts to surface CO or to Pt  
Surface oxide rapidly forms*

# Extension to the reactor level

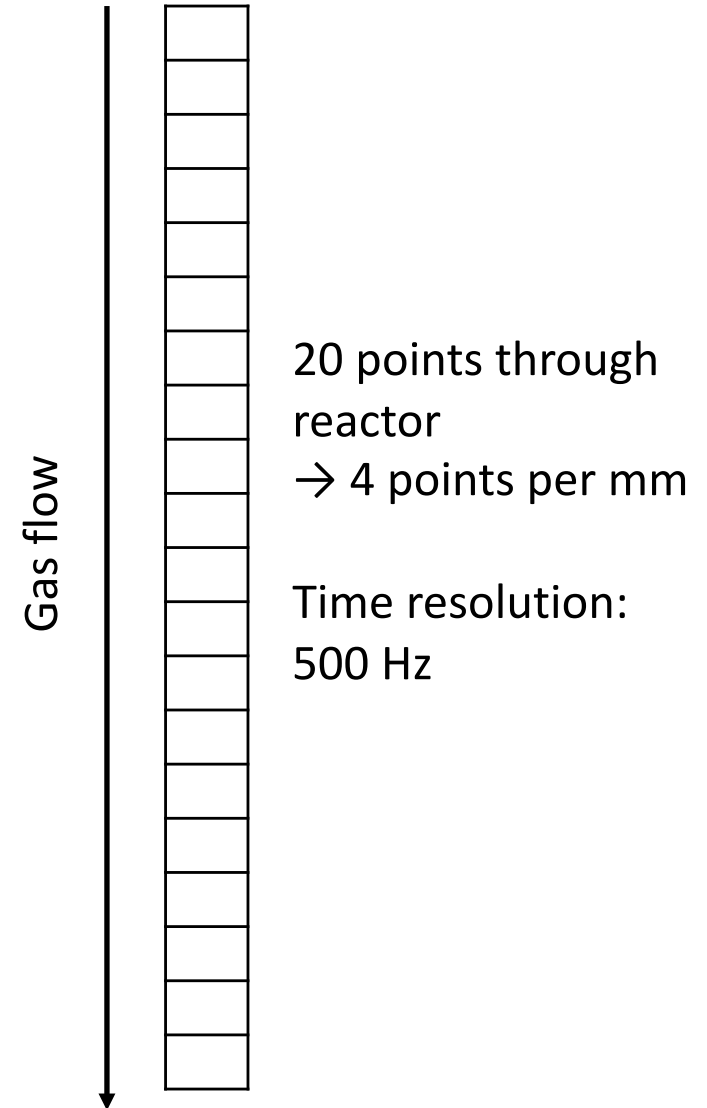
- XANES data so far reflects single point in the reactor
- Mass spectrometry gives integral view

→ Make a model that explains both



# Reactor model

- 1D reactor
- Consider only catalyst bed
- Simulation time 20 s
- We consider:
  - Adsorption and desorption
  - Reaction
  - Forced flow
- We neglect:
  - Diffusion
  - Radial distribution
  - Temperature effects



# Reaction steps

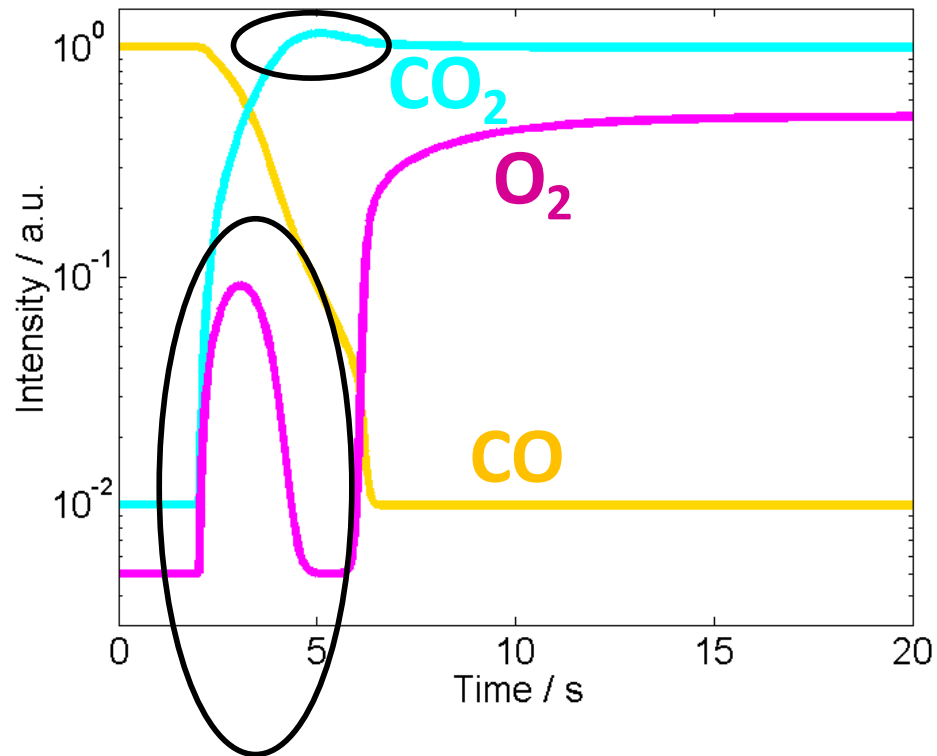
- Adsorption of CO:  $\text{CO} + * \rightarrow \text{CO}_{\text{ads}}$
- Desorption of CO:  $\text{CO}_{\text{ads}} \rightarrow \text{CO} + *$
- Adsorption of  $\text{O}_2$ :  $\text{O}_2 \rightarrow 2 \text{O}_{\text{ads}}$
- Desorption of  $\text{O}_2$ :  $2 \text{O}_{\text{ads}} \rightarrow \text{O}_2$
- Surface reaction:  $\text{O}_{\text{ads}} + \text{CO}_{\text{ads}} \rightarrow \text{CO}_2 + 2*$
- Chemisorbed to surface oxide:  $\text{O}_{\text{ads}} \rightarrow \text{PtO}_x$
- Reaction on oxidized surface:  $\text{PtO}_x + \text{CO} \rightarrow \text{CO}_2 + *$

*In short:*

- CO must desorb for  $\text{O}_2$  to react
- Number of free sites increases as CO reacts to  $\text{O}^*$
- $\text{O}^*$  may react to form surface oxide
- CO may react with surface oxide

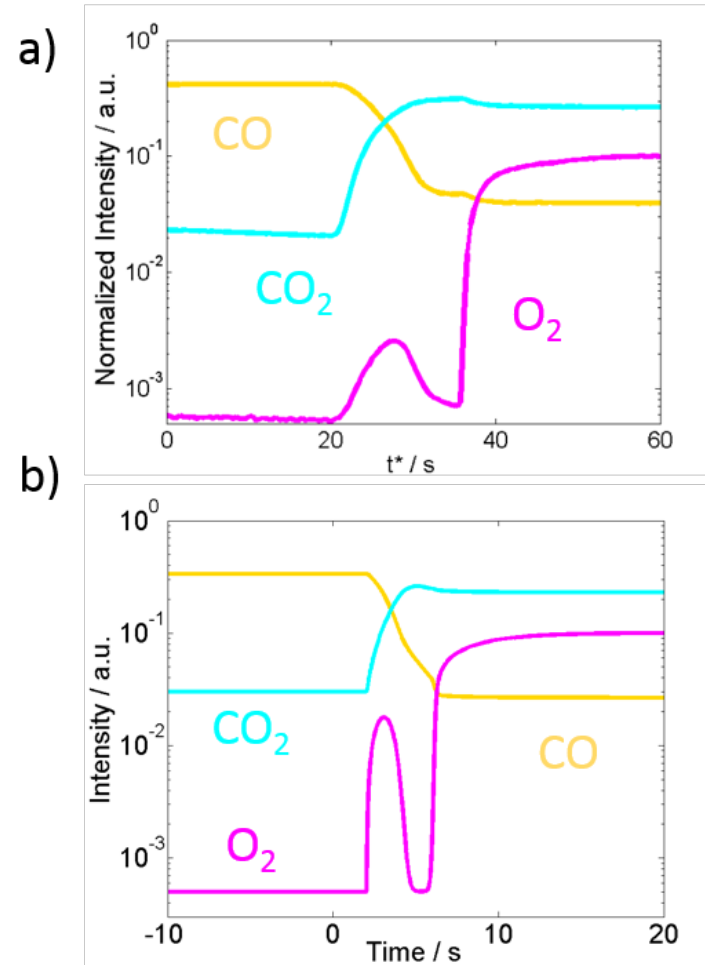
# Simulation results – reactor exhaust

- Initial peak in  $O_2$  reproduced
- Late decrease in  $CO_2$  reproduced



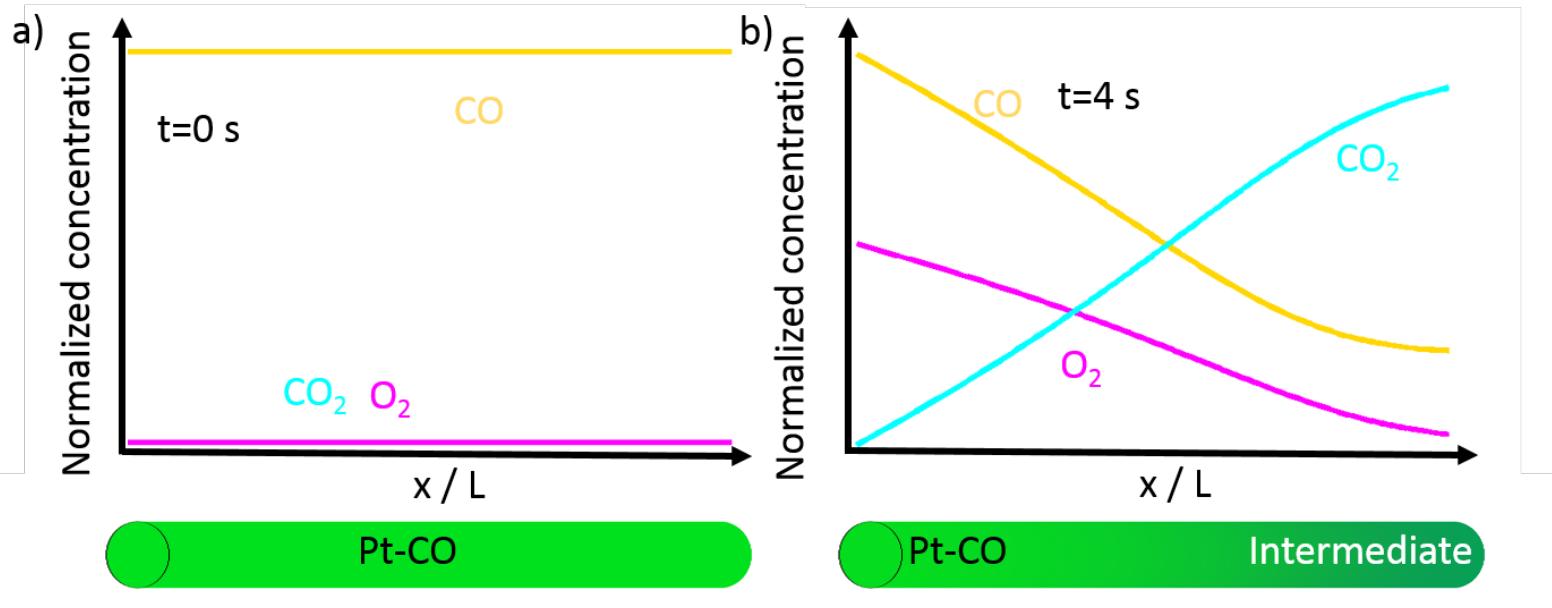
# Reactor exhaust

- Initial peak in  $O_2$  reproduced
- Maximum in  $CO_2$  reproduced

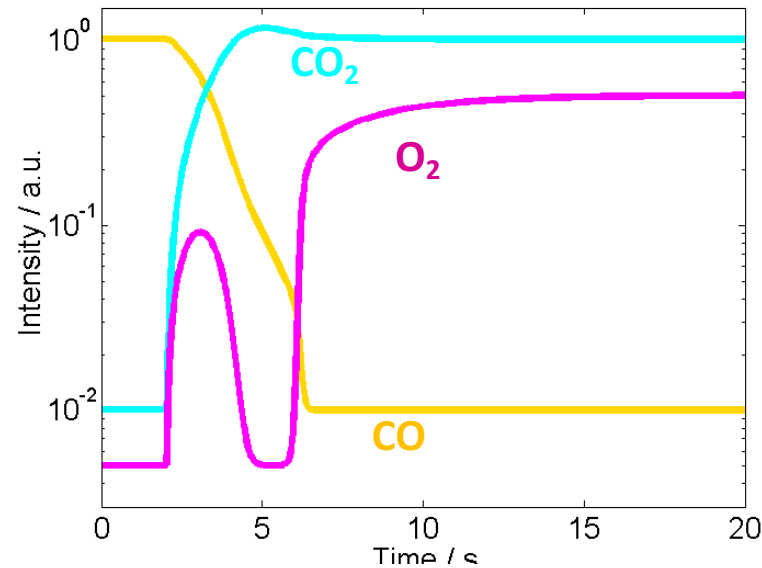


Catalyst structure simulated as function of time *throughout* the reactor

# Surface and concentrations at $t=0$ s and $t=4$ s

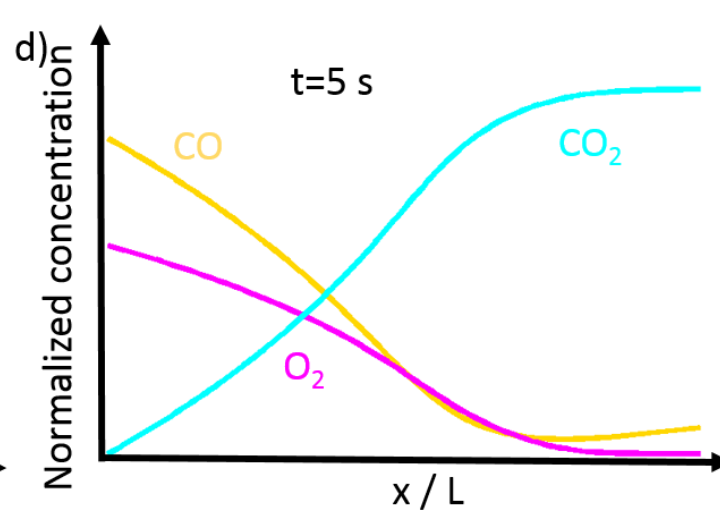
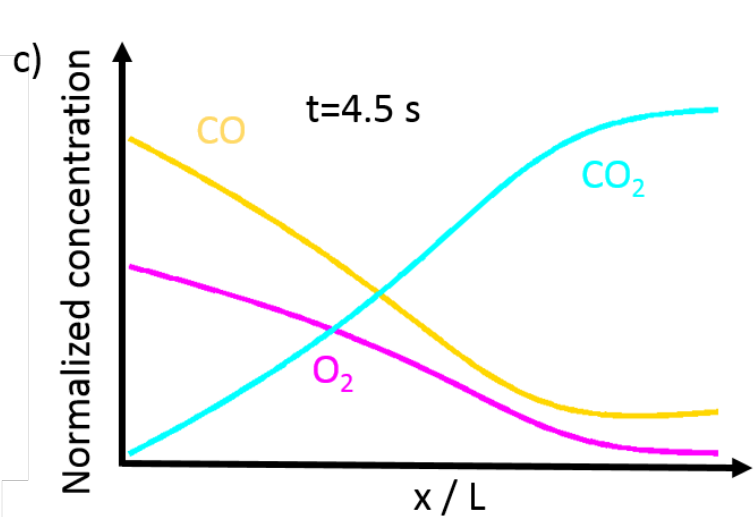


- CO covered
- Free surface
- Oxide

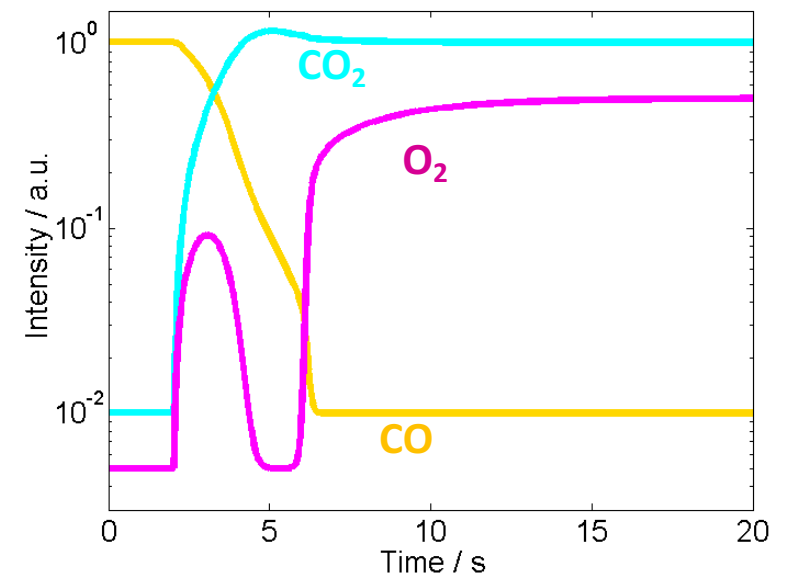




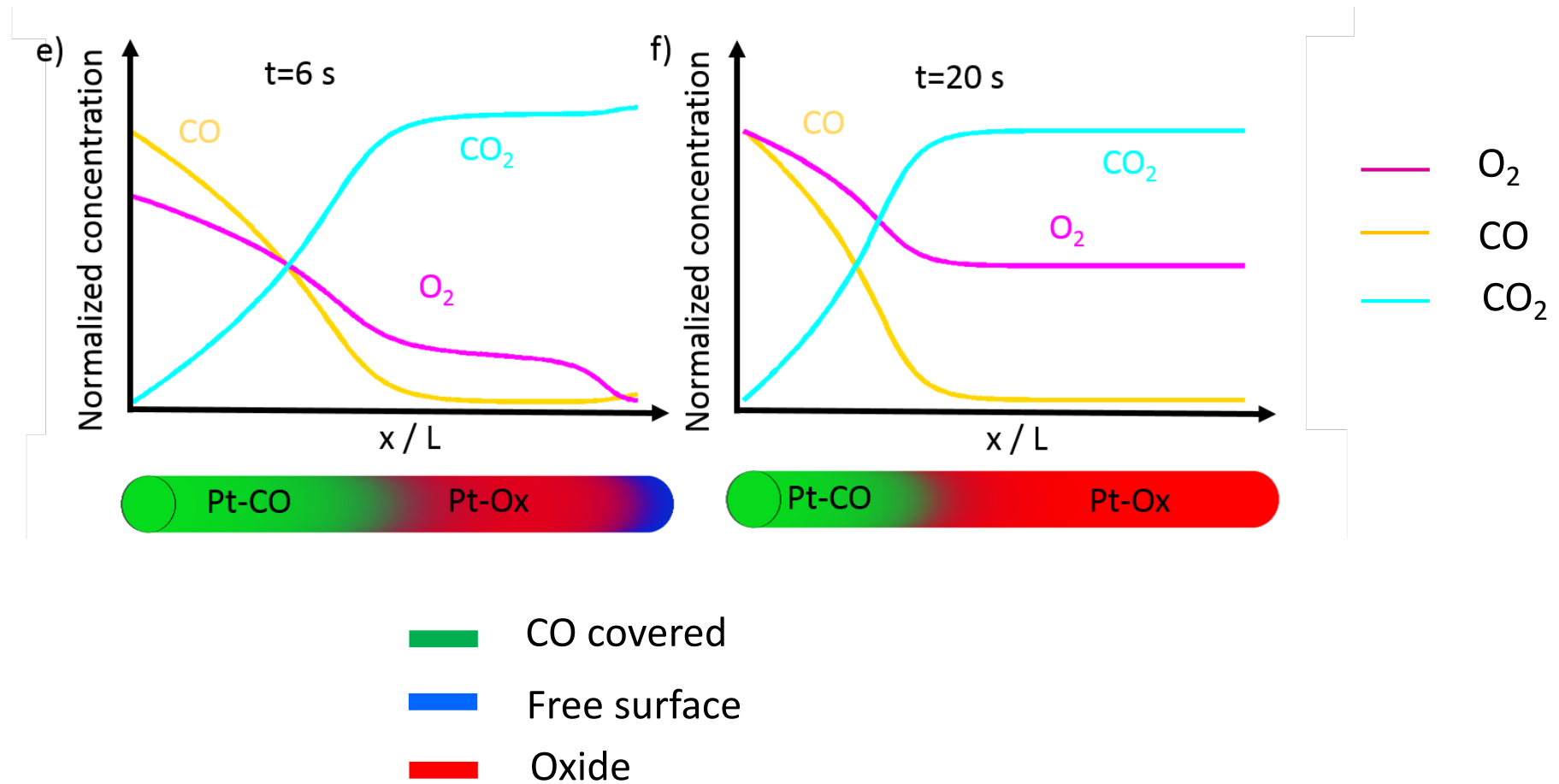
# Surface and concentrations at $t=4.5$ s and $t=5$ s



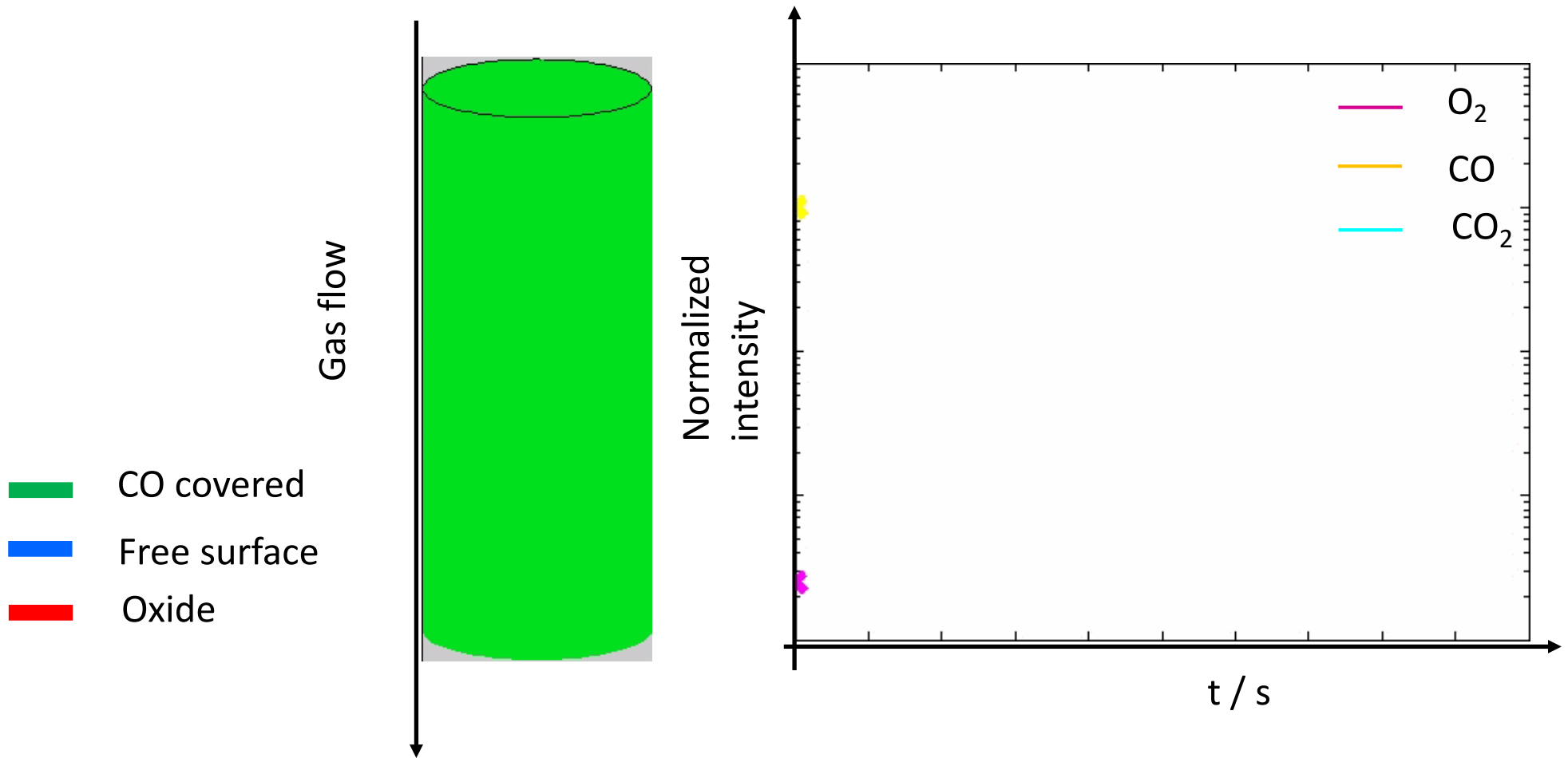
- CO covered
- Free surface
- Oxide



# Surface and concentrations at $t=6$ s and $t=20$ s



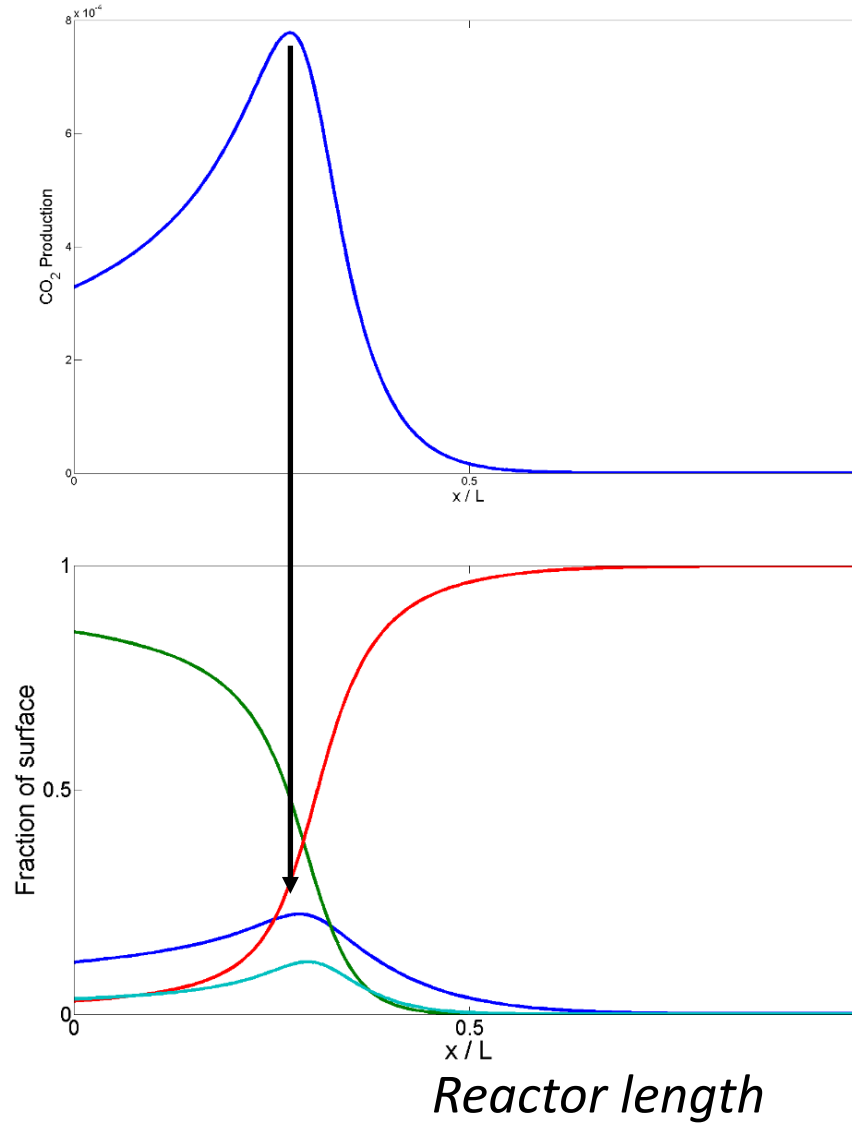
# Reactor overview



# CO<sub>2</sub> Production through the reactor

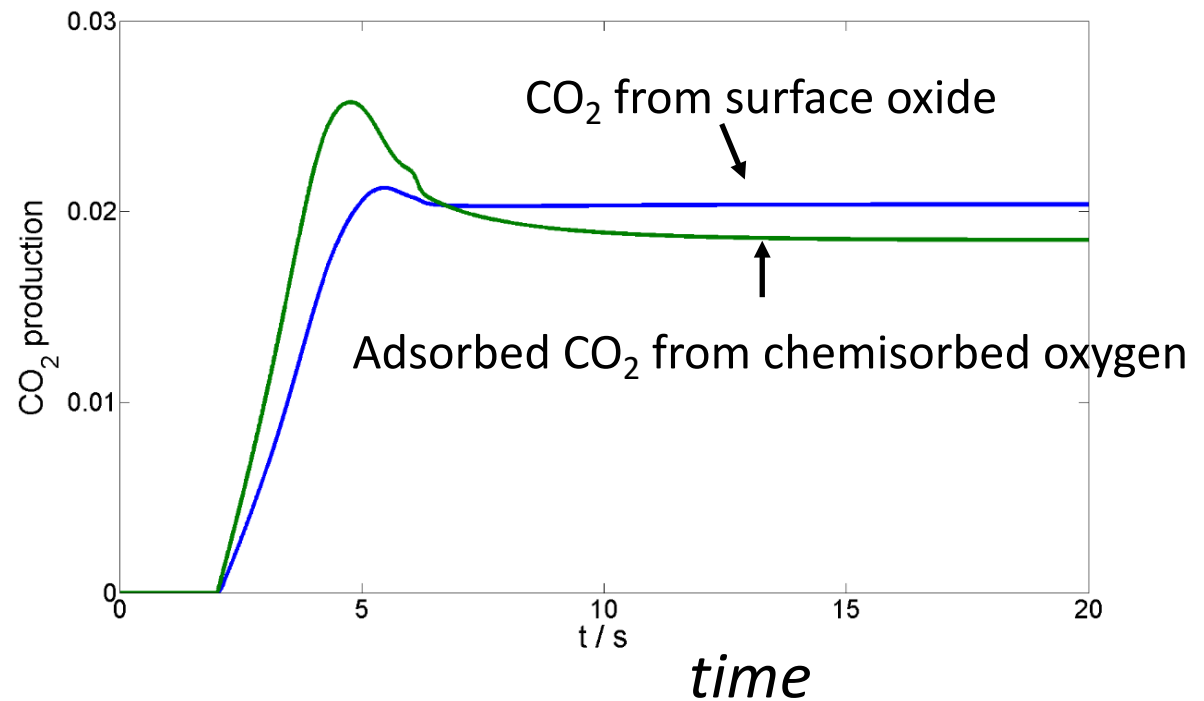
- Most CO<sub>2</sub> produced in region with all surface species present

- CO covered
- Free surface
- Oxide
- Surface Oxygen x 20



# CO<sub>2</sub> production by mechanism

- Both mechanisms contribute similarly
- Time course different



# Conclusions reactor simulations

- XAS result fitted to reactor model
- Qualitative prediction of MS results
- Transition region key for reaction

*Minority of the catalyst does the majority of the work...*

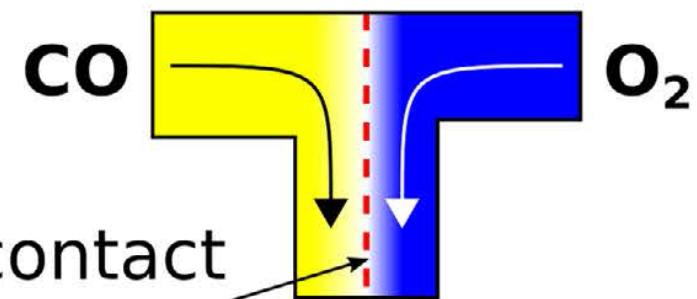
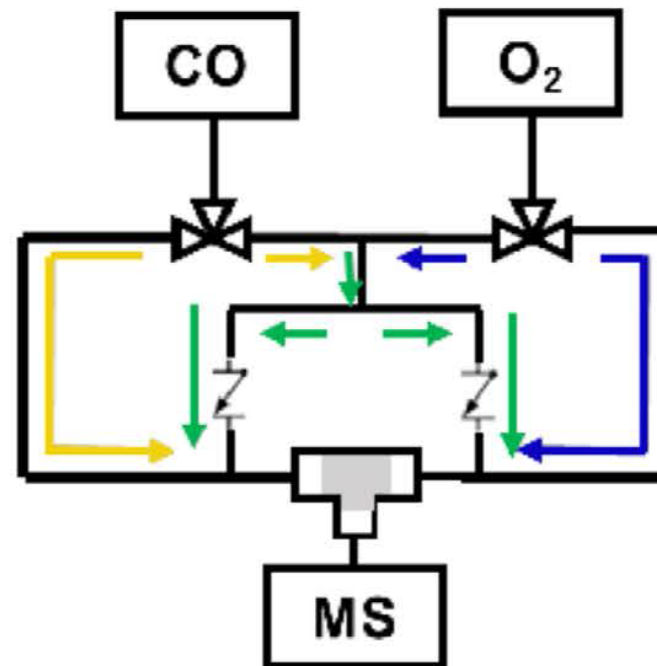
*Minority of the catalyst does the majority of the work...*

*Most of the catalyst does not contribute to the work...*

*How to get more of the highly active phases ... ??*

# The T-reactor

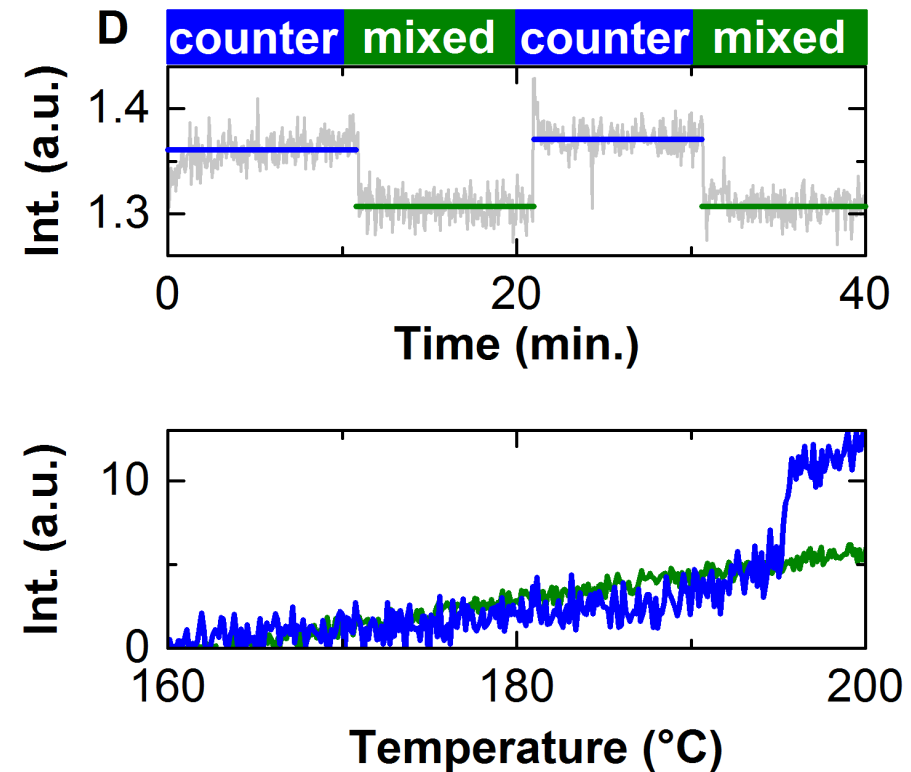
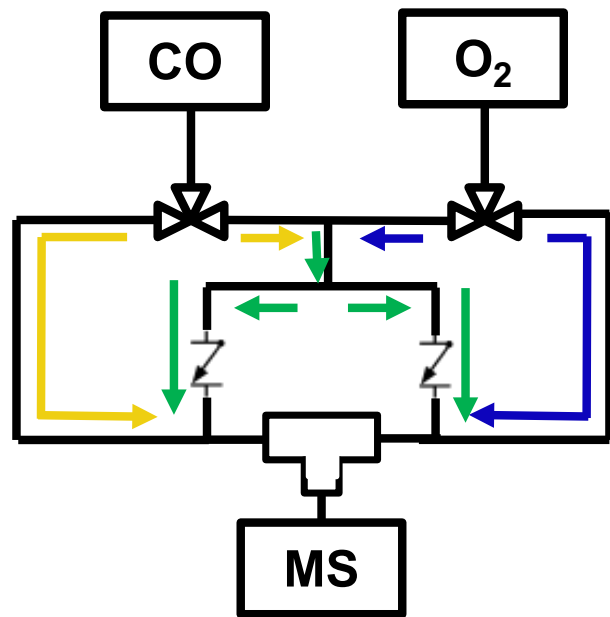
- T-shaped reactor to maximize mixing zone - Increase amount of the more active catalyst
- *Either* CO + O<sub>2</sub> from both sides
- *Or* CO from left
- O<sub>2</sub> from right



Maximized contact area of multiple phases



- Counter flow leads to dramatic increase in activity
- Light-off shifted to much lower temperatures



*Higher conversion in counter flow  
Ignition at lower temperature*

# Conclusion

- From spectators to active sites
- From structure to predicting reactor performance
- By reactor engineering, one can improve performance



THE HETEROGENEOUS  
CATALYSIS GROUP

