

UHV techniques and Model Catalysis

- **1. Motivation and basics**
- 2. Characterization methods
- 3. In situ spectroscopy on model catalysts
- 4. Molecular level case studies

1. Motivation

Why model systems?

Use of surface science approach for new insights in catalysis

- surface chemistry, surface physics
- surface science toolbox \rightarrow often UHV based
- **reduced complexity** compared to real catalysts \rightarrow single crystals

Goals

- answering specific fundamental questions, looking at elementary steps
- help in assignment of bands, peaks, effects, etc.
- bridge/interface to simulation and DFT calculations

Challenges

- Cleanliness/contaminants
- Complex and expensive UHV techniques and setups
- Sensitivity (low surface area)





Nobel Prize 2007 in Chemistry

Pioneer in model catalysis

Ν

Gerhard Ertl (Fritz Haber Institute Berlin) for his studies of Chemical Processes at Solid Surfaces





 $H_{2} \rightleftharpoons 2 H_{ad}$ $N_{2} \rightleftharpoons N_{2,ad} \rightleftharpoons 2 N_{s}$ $N_{s} + H_{ad} \rightleftharpoons NH_{ad}$ $NH_{ad} + H_{ad} \rightleftharpoons NH_{2,ad}$ $NH_{2,ad} + H_{ad} \rightleftharpoons NH_{3,ad}$ $NH_{3,ad} \rightleftharpoons NH_{3}$

Oscillatory Surface Reactions: CO oxidation on Pt(110) Ammonia Synthesis on Fe(100)

Fe

General Objectives in catalysis

- Identification of the active sites
- Identification of reaction mechanism

Challenges

Materials complexity:

composition

- dynamics under reaction conditions
- **Surface species:**
 - spectators, intermediates, poisons, ...

different configurations/geometries of adsorbates

→ need for well defined + clean samples





 Active site (for a particular reaction) one or more atoms in a particular configuration

Hugh Scott Taylor Proc. Roy. Soc. A108, 105 (1925)

Catalyst Preparation



activity and selectivity depend on:

metal precursor (PdCl₂, Pd(NO₃)₂, etc)

support oxide nature and "grade"

details of synthesis and processing, activation etc.

(on everything and even more ...)

→ need for well defined + clean samples



Example for effect of preparation residues:

Hydrodechlorination of trichloroethylene (TCE) to ethylene



RED

G. Rupprechter, J. Llorca, J.E. Sueiras, Applied Catalysis B: Environmental 87 (2009) 84

The surface: What does a real surface look like?

Even a single crystal surface has some defects!

Sites/atoms: terraces, edges, step edges, kinks, adatoms, vacancies, corners....



STM image of a TiO₂ surface



STM image (200 Å x 200 Å) of a $TiO_2(1 \ 1 \ 0)$ surface showing point defects. Features labelled with "A" have been assigned as oxygen vacancies.

Fig. 12. STM image of a clean stoichiometric $TiO_2(1\ 1\ 0)$ - (1×1) surface after sputtering and annealing to 1100 K in UHV. The step structure is dominated by step edges running parallel to $\langle 1\ \overline{1}\ 1\rangle$ and $\langle 0\ 0\ 1\rangle$ directions. A kink site at a $\langle 1\ \overline{1}\ 1\rangle$ step edge is marked with 'K'. Smooth ('UR') and rugged ('R'econstructed) $\langle 0\ 0\ 1\rangle$ -type step edges appear with roughly equal probability and are marked with arrows. The inset shows a $100\ \text{\AA} \times 100\ \text{\AA}$ wide image of a reconstructed step edge. From

The surface: What does a real surface look like?

Even a single crystal surface has some defects! Sites/atoms: terraces, edges, step edges, kinks, adatoms, vacancies, corners....

Scheme of MgO surface: defects



From "Defects at Oxide Surfaces", eds. Jacques Jupille, Geoff Thornton Springer

Types of model systems



G. Rupprechter, C. Weilach J. Phys.: Condens. Matter 20 (2008) 184019

Model Catalysts: single crystal surfaces (e.g. Pd(111)) nanoparticles (e.g. Pd/Al₂O₃/NiAl(110))







Why nanoparticles ? Nanosize Effects



Why nanoparticles ? Particle size effects

impregnated (powder) catalysts

structure sensitivity - particle size effect

R. van Hardeveld & A. van Montfoort, Staatsmijnen in Limburg, Geleen, NL (-> DSM) Surf. Sci. 4 (1966) 396-430 "The Influence of Crystallite Size on the Adsorption of Molecular Nitrogen on Nickel, Palladium and Platinum"

N2 adsorption occurs only on small Ni particles between 1.5 and 7 nm

assumption: chemisorptive and catalytic properties of a surface atom vary with the number and arrangement of its nearest neighbors (coordination number)

largest fraction of edge and step related sites from 1.8 to 2.5 nm

"... the results point to the necessity of considering the diameter of metal catalyst particles as a new parameter in catalysis research."



Why inverse catalysts ? Metal - support interaction



Bell, Somorjai et al., J. Catal. 106 (1987) 401 Hayek et al., Topics in Catal. 13 (2000) 55 Compare TiOx (reducible oxide) \rightarrow optimum loading, enhanced rate and AlOx (non-reducible) \rightarrow reduced active metal area

Preparation and cleaning

- Typically elaborate preparation and cleaning procedures
- Often freshly prepared surfaces for each experiment
- Cleaning by sputtering, annealing, gas treatments at high T

Sputtering:

- preparation: sputter deposition for thin films (eroding material from a target onto substrate)
- cleaning: bombardment of target by energetic gas ions (e.g. Ar⁺) → surface atoms ejected

How long does the surface remain clean ? → depends on p and t Langmuir:

- unit of exposure/dosage to a surface
- defined by multiplying gas pressure by exposure time
- 1 L corresponds to an exposure of 10⁻⁶ Torr during 1 s
- assuming the sticking coefficient is 1, 1 L leads to a coverage of about 1 monolayer

→ UHV (pressure range < 10⁻⁹ mbar) ! (time for experiments in range of hours)

Example: how long does it take until the surface is covered with 1 ML assuming a sticking coefficient 1 at $p=10^5$ Pa, p=100 Pa, $p=10^{-8}$ Pa ?

UHV setup for model studies at TU Wien

Stainless steel UHV setup with flanges, UHV pumps, pressure gauges, ... Typically 10⁻¹⁰ to 10⁻¹¹ mbar base pressure

Baking out



Tools and components:

- -Preparation
- -Characterization
- -Sample manipulation
- -Resistive heating



2. Characterization techniques

 Structure: LEED, STM, AFM, LEIS

 Composition and adsorption sites: XPS, AES, LEIS
TPD, IRAS, EELS

 Reactivity: TPD, molecular beams, STM

XPS, AES, TPD, IRAS, EELS \rightarrow see respective lectures

Surface Sensitivity when detecting electrons



Figure 3.1: The mean free path of an electron depends on its kinetic energy and determines how much surface information it carries. Optimum surface sensitivity is obtained with electrons in the 25 - 200 eV range (from Somorjai, [16]).

Surface Structure Analysis – Low Energy Electron Diffraction



 $n\lambda = a \sin \alpha$

collimated beam of low energy electrons (20–200 eV) wavelength of 100 V electrons: ~1Å



- Surface structure and symmetry
- Reconstruction
- Structure of adsorbates

Pd(111) 1x1

(2x2) CO Pd(111)





Ir(100)-(1x5) reconstruction Ir(100)-(1x1) Top View



Side View

Figure 8. Top and side view of the lr(100)-(1×5) surface reconstruction. The more open square (100) lattice is reconstructed into a close-packed hexagonal overlayer, with a slight buckling as shown in the side view.



Ni(100)-p4g(2x2)-2C Ni(100)-(1x1)

Figure 12. Carbon-chemisorption-induced restructuring of the $Ni(100)\,\mbox{surface}.$

Low-energy ion scattering spectroscopy (LEIS)

Ion stream directed at a surface

observation of the positions and energies of the ions that have interacted with the surface

 \rightarrow deduce information about relative positions of atoms in a surface lattice and their elemental identity \rightarrow structure and composition

Ion source (mostly noble gases), optics (electrostatic lenses for focusing, collimation), sample manipulation (position, angle), detector (electrostatic analyzer), UHV pumps

Energy range: ca. 500 eV to 20 keV

unique in high sensitivity to the first surface layer

elastic collisions \rightarrow energy and momentum conservation \rightarrow determination of the mass of the scattering surface atom from energy of outgoing (scattered) ions

Low-energy ion scattering spectroscopy (LEIS)



Fig. 2. LEIS-derived Pd:Zn surface composition on Pd foil after \sim 300 K Zn deposition and subsequent thermal annealing at 503 K.

\rightarrow follow surface alloy formation



● Pd:Zn surface fractions derived from LEIS for Zn films deposited on Pd(111). ○ Difference in critical angles for backscattering of 5 keV Ne ions from Pd and Zn atoms. Inset: schematic side views of a corrugated and a non-corrugated (2x1) PdZn surface. Arrows and gray shadow cones indicate the critical angles where backscattering from Pd and Zn atoms, respectively, sets in.

C. Rameshan et al., Journal of Catalysis 276 (2010) 101.

C. Rameshan et al., Angew. Chem. Int. Ed. 49 (2010) 3224.

Scanning Tunneling Microscopy (STM)





0 a

 $i\hbar\dot{\psi}=H\psi$

- distances typically 1 nm or less
- bias voltage of a few millivolts between tip and sample
- tunneling current a few nanoamperes

Surface and Thin Film Analysis: A Compendium of Principles, Instrumentation, and Applications. Edited by Gernot Friedbacher, Henning Bubert. 2011 Wiley-VCH

Scanning Tunneling Microscopy (STM)



• exponential relationship tunneling current – tipsurface separation \rightarrow extremely sensitive

• tunneling current depends on the electronic structure of the surface (local density of states)

Images of atoms/LDOS

Images or movies of processes e.g. chemical reactions

- Image of individual atoms
- not only in UHV but also in various liquid or gas ambients
- at T ranging from near 0 K to a few hundred °C.
- well-defined planar and conducting surfaces needed

Scanning Tunneling Microscopy (STM)



Example: following reacions and determining reaction parameters by STM: CO oxidation on Pt(111) Wintterlin et al., Science 278 (1997) 1931.



Fig. 1. Series of STM images, recorded during reaction of adsorbed oxygen atoms with co-adsorbed CO molecules at 247 K, all from the same area of a Pt(111) crystal. Before the experiment, a submonolayer of oxygen atoms was prepared (by an exposure of 3 Langmuirs O₂ at 96 K, a short annealing to 298 K, and cooling to 247 K), and CO was continuously supplied from the gas phase ($P_{\rm CO} = 5 \times 10^{-8}$ mbar). At this pressure, the

impingement rate of CO molecules is about 1 monolayer per 100 s, where the zero-coverage sticking coefficient on the empty and oxygen-covered surface is about 0.7 (8); the times refer to the start of the CO exposure. The structure at the upper left corner is an atomic step of the Pt surface. Image sizes, 180 Å by 170 Å; tunneling voltage (with respect to the sample), +0.5 V; tunneling current, 0.8 nA.



G. Rupprechter, C. Weilach J. Phys.: Condens. Matter 20 (2008) 184019

Why in situ studies on model systems



Figure 1. Illustration of structural and compositional changes that may occur during the transformation of catalysts from the 'as-prepared' to the 'active state': sintering and restructuring ((a), (b)), oxide/hydride formation and coking ((c), (d)), particle encapsulation (e) and surface segregation of bimetallic particles (see the text for details).

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Vibrational Spectroscopy on Model Catalysts

Polarization-Modulation Infrared Reflection-Absorption Spectroscopy (PM-IRAS)



Vibrational Spectroscopy on Model Catalysts

Polarization-Modulation Infrared Reflection-Absorption Spectroscopy (PM-IRAS)

\rightarrow see lecture by Dr. Ferri

PM-IRAS setup



at metal surfaces:

p - polarization: gas phase + surfaces - polarization: gas phase

p – s: SURFACE

only those vibrations contribute to the absorption signal whose dynamic dipole moment possesses a non-zero component *perpendicular* to the metal surface: $(d\mu/dq)_{\perp} \neq 0$ *metal surface selection rule* parallel component is screened by the metal electrons (s-polarized electric field is cancelled at a metal surface)



IR-vis Sum Frequency Generation (SFG) Laser Spectroscopy



inherently surface sensitive (SFG not allowed in media with inversion symmetry due to 2nd order nonlinearity)

no signal from isotropic gas phase and centrosymmetric substrate \rightarrow adsorbate spectra from UHV to 1 bar

IR-vis Sum Frequency Generation (SFG) Laser Spectroscopy: experimental

Laser spectroscopy (SFG)



IR-vis Sum Frequency Generation (SFG) Laser Spectroscopy



K. Föttinger et al., "Sum Frequency Generation and Infrared Reflection Absorption Spectroscopy" in: "Characterization of Solid Materials and Heterogeneous Catalysts", Wiley-VCH, 2012. and refs therein



- differential pumping, short pathway of PE in gas atmosphere
- synchrotron radiation
- depth profiling by different E_{hv}
- flow cell connected to MS

ISISS Beamline U49/2-PGM1 at BESSY, http://www.fhi-berlin.mpg.de

NAP-XPS



ISISS Beamline U49/2-PGM1 at BESSY, <u>http://www.fhi-berlin.mpg.de</u> D. Teschner et al.

4. Case studies and examples

4.1 Vibrational Spectroscopy of CO on metal surfaces: band assignment

α.



CO structures on Pd(111) under UHV: combining LEED and PMIRAS for band assignment



G. Rupprechter, Phys.Chem.Chem.Phys. 3 (2001) 4621. H. Unterhalt et al., J. Phys. Chem. B 106 (2002) 356.

4.2 PdZn intermetallic compounds (IMC) in methanol steam reforming (MSR)

methanol steam reforming (MSR):

source of hydrogen: $CH_3OH + H_2O \rightarrow CO_2 + 3H_2$

⇒ challenge: no CO (poison e.g. for fuel cells)
by methanol decomposition
CH₃OH → CO + 2H₂

commercial catalyst: Cu/ZnO active and selective, low stability

Pd on inert supports completely unselective, Used but Pd/ZnO and Pd/Ga₂O₃ promising Flow? Plate



source: www.ballard.com

Catalytic properties of powder catalysts:



A. Haghofer, K. Föttinger, F. Girgsdies, D. Teschner, A. Knop-Gericke, R. Schlögl, G. Rupprechter, J. Catal. 286 (2012) 13.

Structural properties of powder catalysts:



Possible explanations for different reactivity of PdZn



activation barrier for MeOH dehydrogenation

electronic properties of PdZn similar to Cu

K.M. Neyman et al., *PCCP*, **2007**, *9*, 3470

A. Bayer, et al., Surf. Sci., 2006, 600, 78

Preparation of PdZn surface alloy as model system



- evaporation of Zn (0.5 3 ML) at I-N₂ temperature or 300 K
- annealing (3 min) at 550 K
- formation of 1:1 Pd:Zn surface alloy
- 2x1 surface periodicity

A. Bayer, et al., Surf. Sci., 2006, 600, 78.

C. Weilach, S. Kozlov, H. Holzapfel, K. Föttinger, K M Neyman, G. Rupprechter, J. Phys. Chem. C, 116 (2012) 18768. Weirum, G., Bako, I., Winker, A., Surnev, S., Netzer, F.P., Schennach, R. et al., J. Phys. Chem. C, 2009, 113, 8788

Preparation of PdZn surface alloy: XPS



deposition of 1 ML Zn on Pd substrate intermixing and alloy formation upon annealing

> Bayer A et al., *Surface Science*, **2006**, *600*, 78 Weilach C., PhD thesis

Comparison vibrational spectroscopy of CO adsorption on PdZn/ZnO powder catalyst and PdZn surface alloy model system: excellent agreement



Characteristic band at 2070 cm⁻¹ at saturation coverage (i.e. 0.5 ML from TPD)

(A) Transmission FTIR spectra of CO adsorption (5 mbar, 303 K) on 7.5 wt% Pd nanoparticles supported on ZnO after reduction at 303 K (upper spectrum, representing Pd/ZnO) and 623 K (lower spectrum, representing PdZn/ZnO). (B) PMIRAS spectra of CO adsorption at 195 K on a 4 ML PdZn/Pd(111) model catalyst annealed to 550 K and on Pd(111).

K. Föttinger, J.A. van Bokhoven, M. Nachtegaal, G. Rupprechter, J. Phys. Chem. Lett. 2 (2011) 428.C. Weilach, S. Kozlov, H. Holzapfel, K. Föttinger, K M Neyman, G. Rupprechter, J. Phys. Chem. C, 116 (2012) 18768.

NAP-XPS of PdZn surface alloy : new insights



NAP-XPS spectra (Pd 3d, Zn3d, and valence-band (VB) regions; BESSY II) acquired in situ during MSR on the PdZn 1:1 multilayer (red traces) and monolayer alloy (blue traces). For comparison, the corresponding "pure" Pd spectra are added (black traces). The oxidized ZnOH component is highlighted by the dotted red line (middle panel). To obtain equal information depth for all spectra the Pd3d spectra were recorded with 650 eV photon energy, the Zn3d and valence-band regions at 120 eV. Reaction conditions: 0.12 mbar methanol, 0.24 mbar water, 553 K.

C. Rameshan et al., Angew. Chem. Int. Ed. 49 (2010) 3224.

Low-energy ion scattering spectroscopy (LEIS)



Pd:Zn surface fractions derived from LEIS for Zn films deposited on Pd(111). ○
Difference in critical angles for backscattering of 5 keV Ne ions from Pd and Zn atoms. Inset: schematic side views of a corrugated and a non-corrugated (2x1)
PdZn surface. Arrows and gray shadow cones indicate the critical angles where backscattering from Pd and Zn atoms, respectively, sets in.

C. Rameshan et al., Angew. Chem. Int. Ed. 49 (2010) 3224.

4.3 Carbonate formation on alumina surfaces



Al₂O₃ thin film on NiAl (110) as model catalyst

Preparation:

- NiAl(110) single crystal surface

- cleaned by sputtering in 1x10⁻⁵ mbar argon (1 kV ion energy) at 800 K for 60 min, followed by annealing in vacuum for 5 min at 1150 K to heal the surface structure. - oxidation at 550 K for 15 minutes in 1x10⁻⁶ mbar O₂, followed by annealing in vacuum at 1100 K for 3 minutes. oxidation/annealing repeated twice to obtain well-ordered alumina films

- contrary to high surface area alumina, the model system of $Al_2O_3/NiAl(110)$ exhibits few defect sites and is intrinsically free of hydroxyl groups

- defect-enriched films were prepared by additionally sputtering the as-prepared alumina film at reduced pressure $(1x10^{-6} \text{ mbar Ar})$ up to 5 min.







C. Weilach, C. Spiel, K. Föttinger, G. Rupprechter, Surface Science, 605 (2011), 1503

Al₂O₃ thin film model catalyst

Carbonate formation from CO and CO₂: XPS and PMIRAS



PM-IRAS surface spectra of the pristine $AI_2O_3/NiAI(110)$ film acquired in 100 mbar CO (a) and 100 mbar CO₂ (b). The topmost trace in b) was taken after CO₂ was removed from the HP-cell. Relative amount of carbonates upon mbar CO_2 exposure as derived from XPS C 1s peak areas. The area of the carbonate signal for the 5 min sputtered film was taken as reference (1).

- no carbonates under UHV conditions
- monodentate carbonates in a 100 mbar CO₂ : IR band at 1460 cm⁻¹, peak in XPS C 1s region at 291 eV
- surface concentration of carbonates increased after generating defects by Ar⁺ ion bombardment: reaction of coordinatively unsaturated O²⁻ ions of alumina with CO₂
- no carbonates detected from CO even upon mbar CO exposure due to the absence of the required OH-groups (in agreement with the "water gas shift" mechanism)

4.4 Methanol oxidation on Pd(111) and Pd nanoparticles on Al₂O₃/NiAl(110)

Reaction pathways on a metal surface: Methanol decomposition and oxidation





Methanol decomposition on Pd(111)



Detected surface species: dehydrogenation pathway and

C-O bond scission pathway

Figure 5. (a) PM-IRAS (p - s) surface vibrational spectra and (b) HP-XPS spectrum measured during CH₃OH decomposition on Pd(111) at 300 K, with the various species indicated. The time-dependent evolution of CH₂O (as observed by PM-IRAS) and of CH_x (values deduced from XPS) upon methanol decomposition at $\sim 10^{-5}$ mbar in (a) suggests a correlation between the two species. Adapted in part from [32]

G. Rupprechter, C. Weilach, J. Phys.: Condens. Matter 20 (2008) 184019

Methanol decomposition on Pd(111)



G. Rupprechter, C. Weilach, J. Phys.: Condens. Matter 20 (2008) 184019

Methanol oxidation on Pd(111) and Pd nanoparticles on Al₂O₃/NiAl(110)



metallic on Pd(111)

Ox on nanoparticles (6 nm): partial oxidation ring reaction at 400 K

Reaction mechanism



blocking of hollow sites

Literature

I. Chorckendorff, J.W. Niemantsverdriet, "Concepts of Modern Catalysis and Kinetics", Wiley-VCH 2003.

R. I. Masel, "Chemical Kinetics and Catalysis", Wiley 2001.

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G. Ertl, H. Knözinger, F. Schüth, J. Weitkamp, (Eds.), "Handbook of Heterogeneous Catalysis", 2nd ed., Wiley-VCH 2008.

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K. Oura et al., "Surface Science", Springer 2003.

H. Lüth, "Solid Surfaces, Interfaces and Thin Films", Springer 2001.