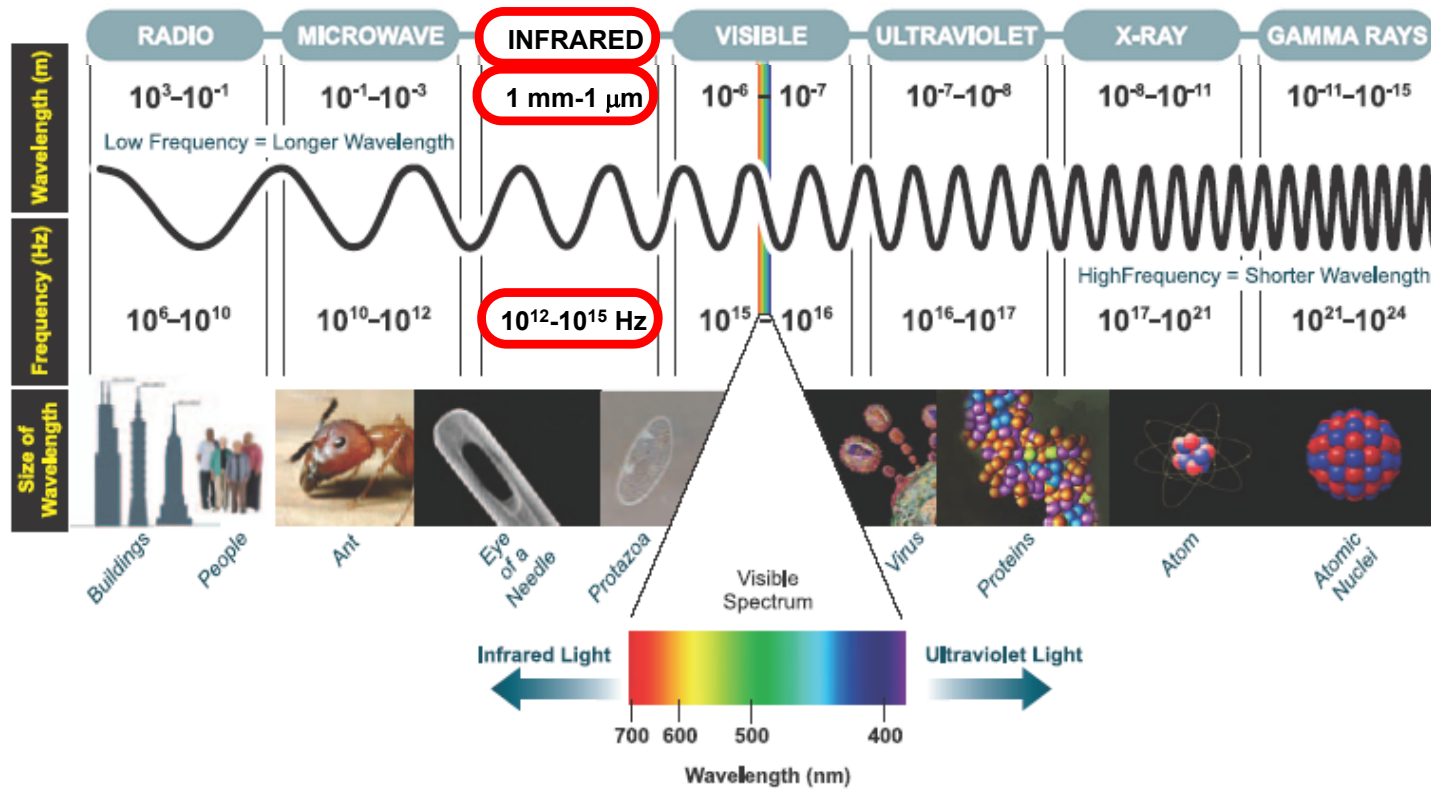


Davide Ferri :: Paul Scherrer Institut

Infrared spectroscopy

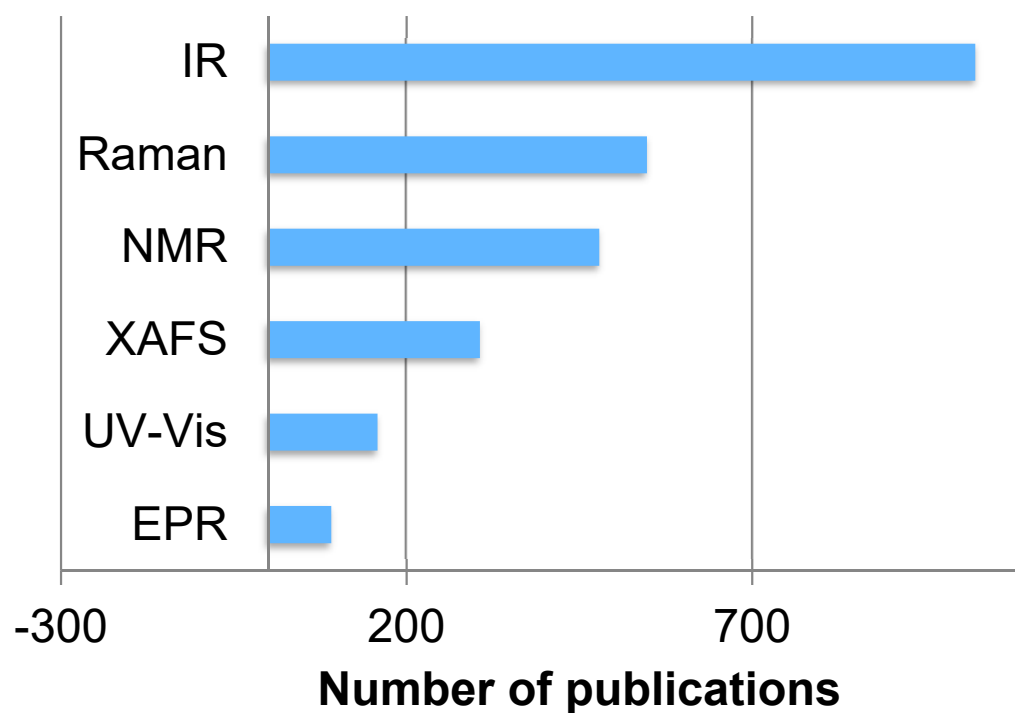
Molecular aspects of catalysts and surfaces :: ETHZ

The electromagnetic spectrum



source: Andor.com

Importance of IR spectroscopy in catalysis

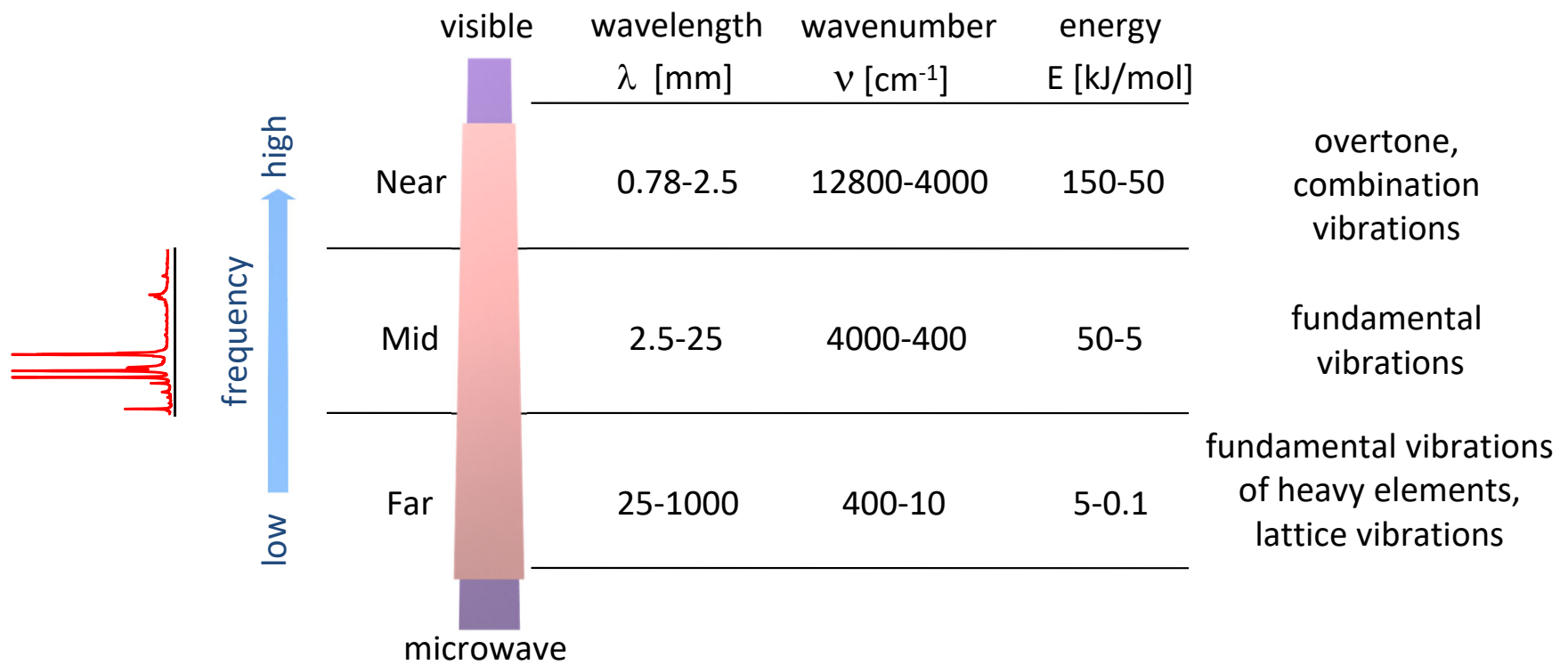


- pros
 - economic
 - non-invasive
 - versatile (e.g. solid, liquid, gas, interfaces)
 - very sensitive (concentration)
 - fast acquisition (down to ns!)
- cons
 - no atomic resolution

Number of publications containing *in situ*, *catalysis*, and respective method

Source: ISI Web of Knowledge (Sept. 2008)

Infrared spectroscopy

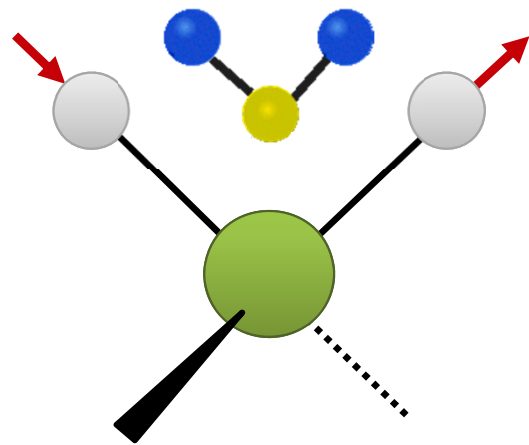


Why IR spectroscopy

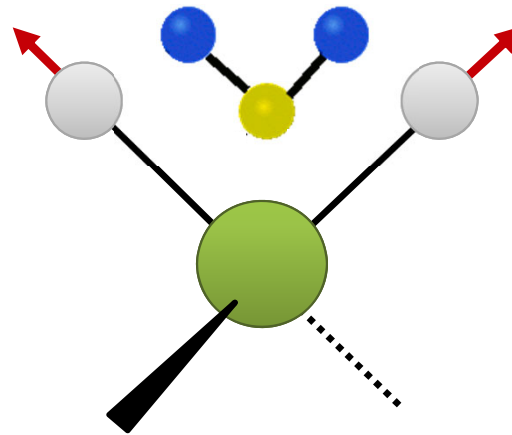
- ‘quality control’: identification of compounds according to their fingerprint spectrum
 - also inorganic materials, e.g. metal oxides
 - ex situ, but also after degassing in cell (vacuum)
- Identification of surface sites | Detailed characterization of surface
 - use of molecular probes
 - in situ experiments, controlled dosage of probe
- Identification of surface sites under reaction conditions
 - in situ/operando experiments to obtain molecular reaction mechanism, exposure to reaction conditions

Vibrational spectroscopy

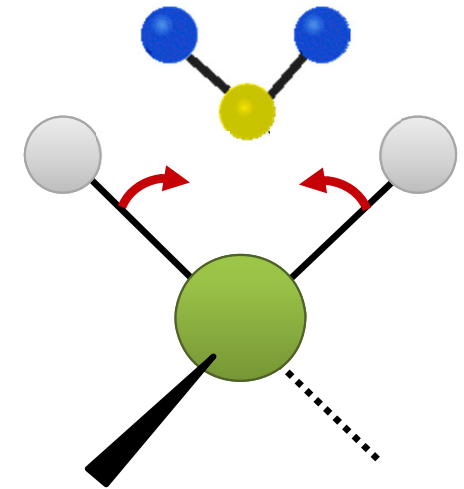
- Interaction with matter
 - energy causes vibration of molecular bonds
 - energy is absorbed in correspondence of vibrational modes
 - an absorption band is generated



asymmetric stretching



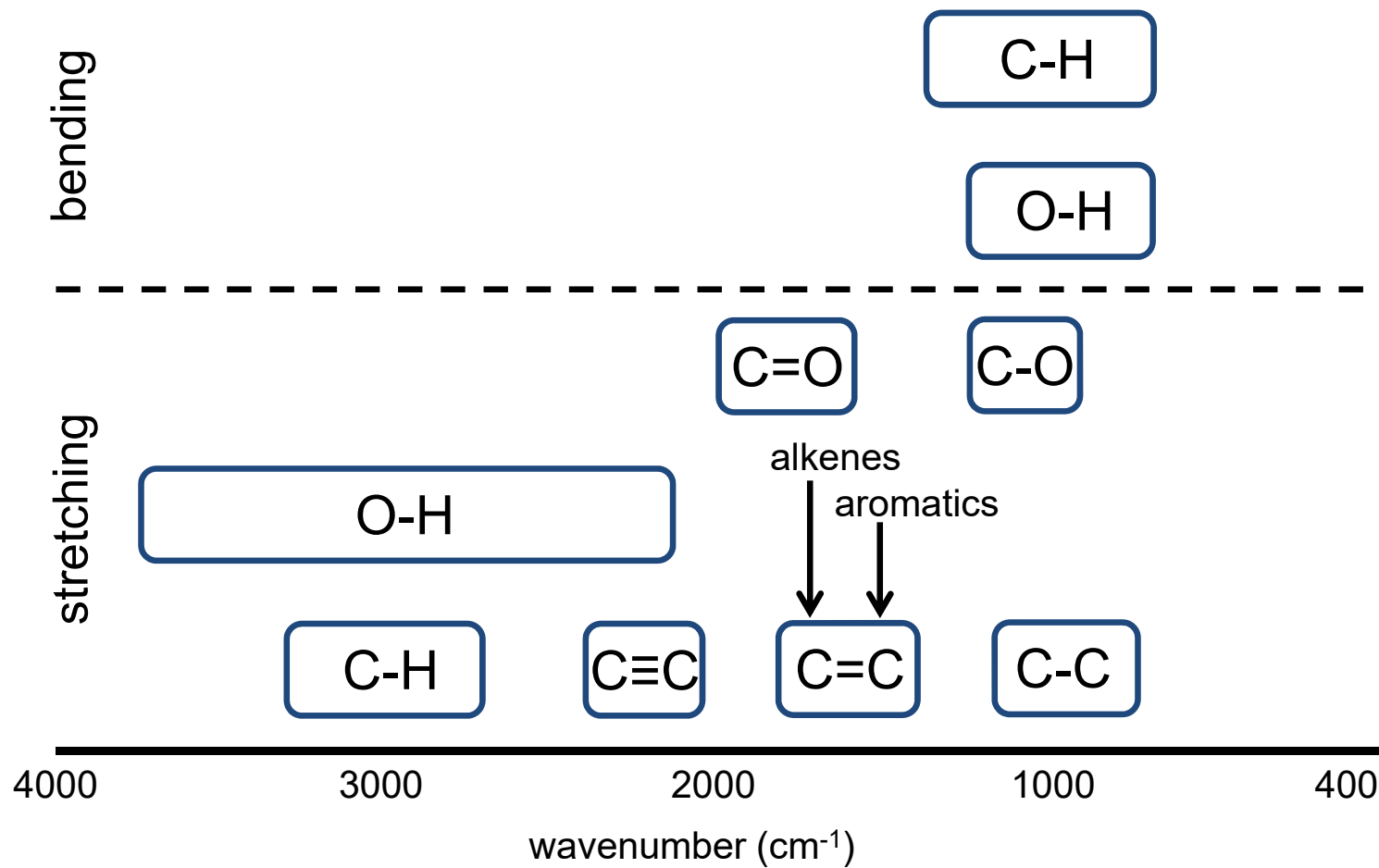
symmetric stretching



bending

← energy

Vibrations

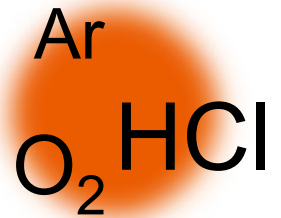


Vibrations

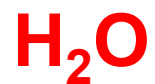
- Why do vibrations appear in the IR spectrum?

selection rule

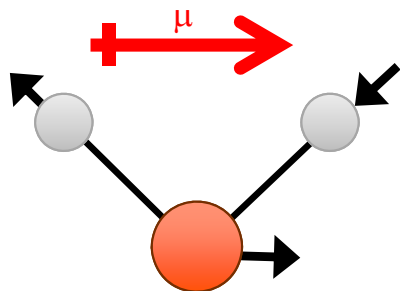
$$\left(\frac{\partial \mu}{\partial Q} \right) \neq 0$$



molecular dipole moment μ must change due to vibration or rotation along its coordinate (so called, normal mode or normal coordinate, Q)

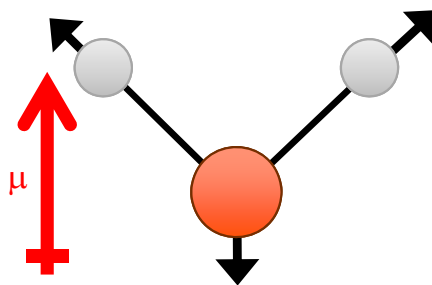


N=3, non-linear, 3 fundamental modes



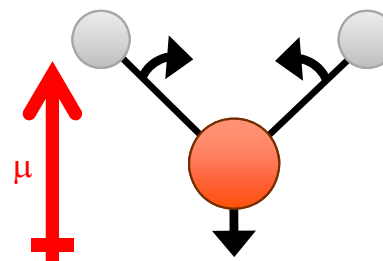
3756 cm⁻¹

asymmetric stretching



3657 cm⁻¹

symmetric stretching

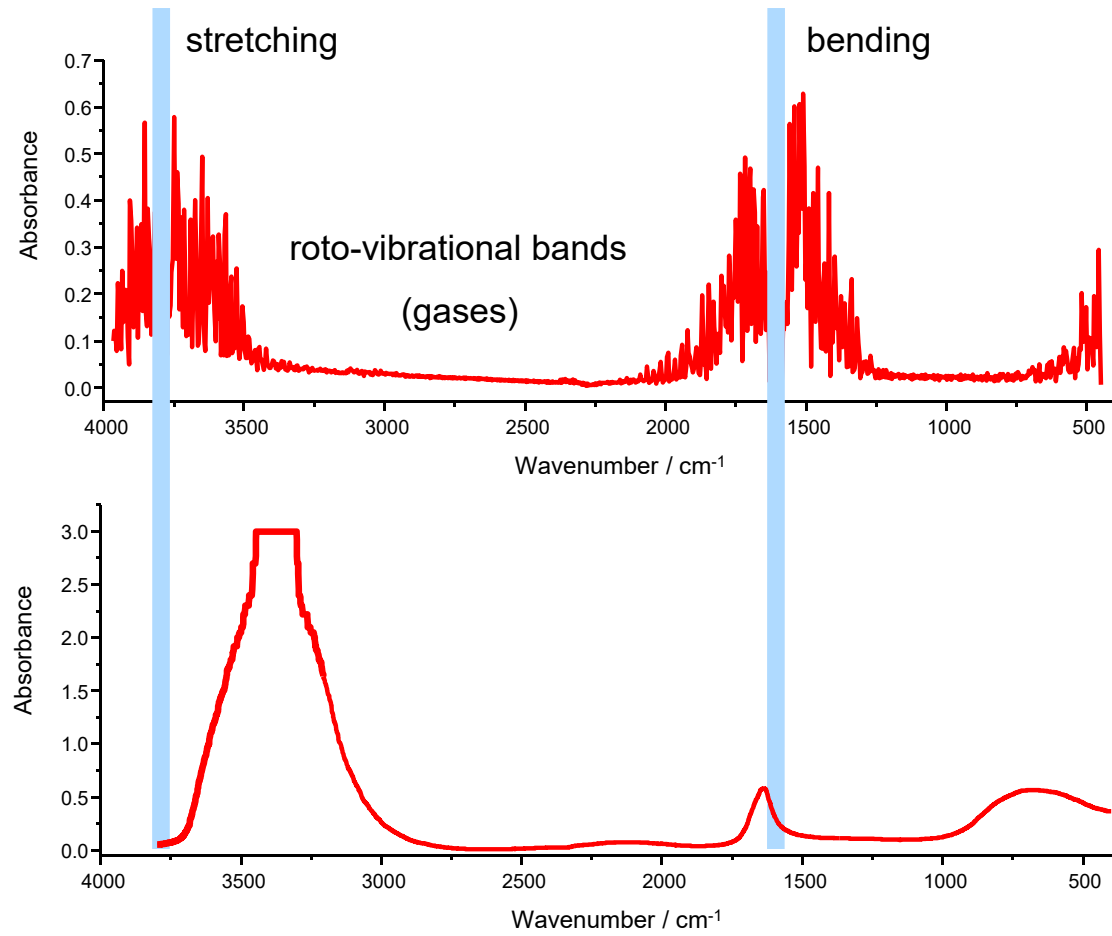


1595 cm⁻¹

scissoring (bending)

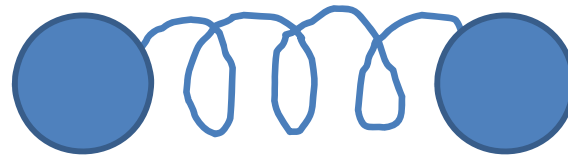
All modes IR active

Gas and liquid phase H₂O



Vibrations

- Harmonic oscillator
 - The stretching frequency of a bond can be approximated by Hooke's law. Two atoms and the connecting bond are treated as a harmonic oscillator composed of two masses (atoms) joined by a spring.



$$\nu = \frac{1}{2\pi} \sqrt{\frac{k}{\mu}}$$

k: force constant

$$\mu = \frac{m_1 \times m_2}{m_1 + m_2}$$

m: reduced mass

Vibrations

$$\nu = \frac{1}{2\pi} \sqrt{\frac{k}{\mu}}$$

C-C
1200 cm⁻¹

C=C
1650 cm⁻¹

C≡C
2200 cm⁻¹

larger k

C-H
3000 cm⁻¹

C-D
2100 cm⁻¹

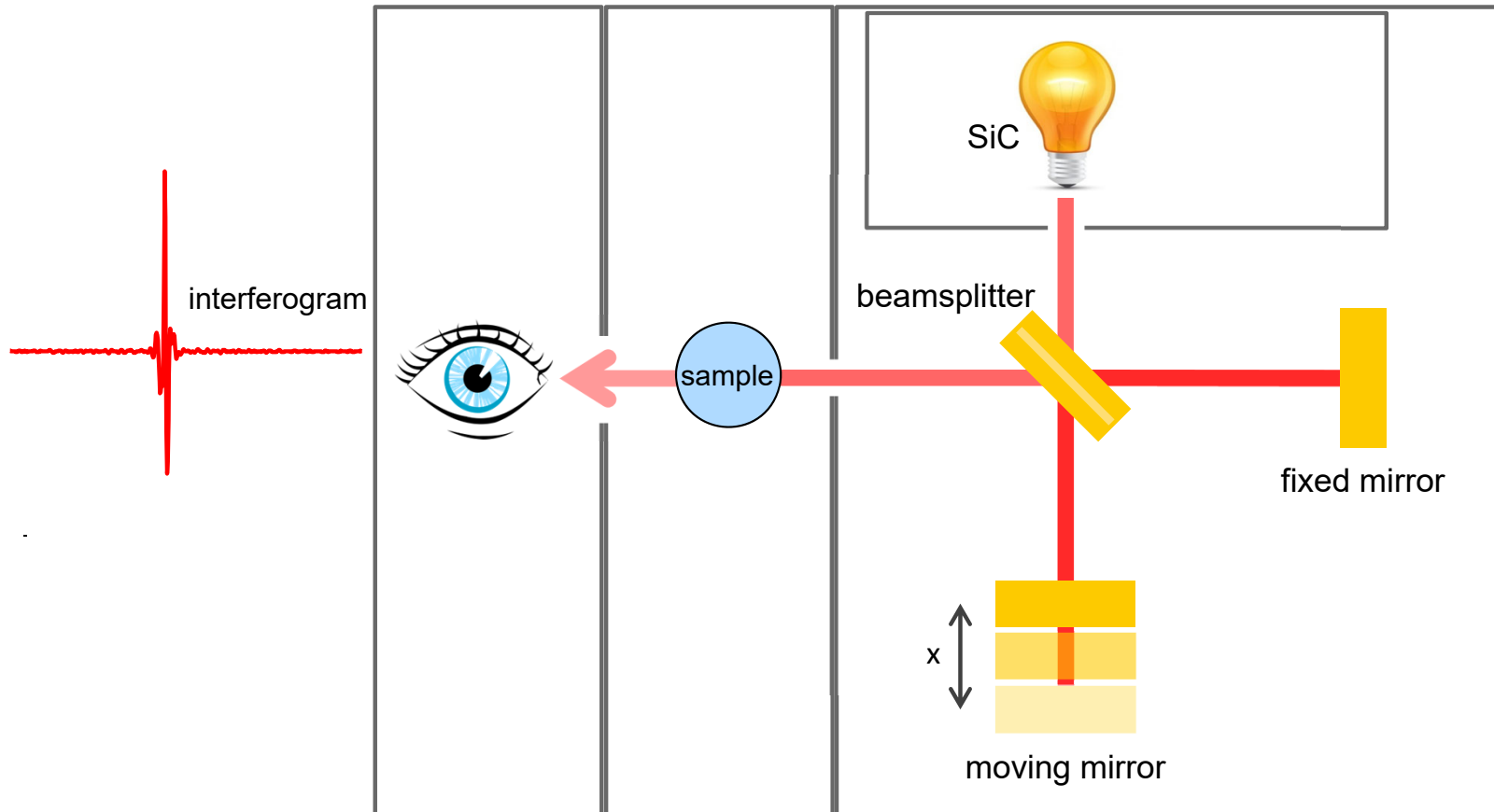
C-C
1200 cm⁻¹

C-O
1100 cm⁻¹

C-Cl
800 cm⁻¹

larger μ

The spectrometer



Dispersive vs. FT

FT-IR spectrometer has significant advantages over dispersive one

- **Multiplex (Fellgett) advantage**

All source wavelengths are measured simultaneously

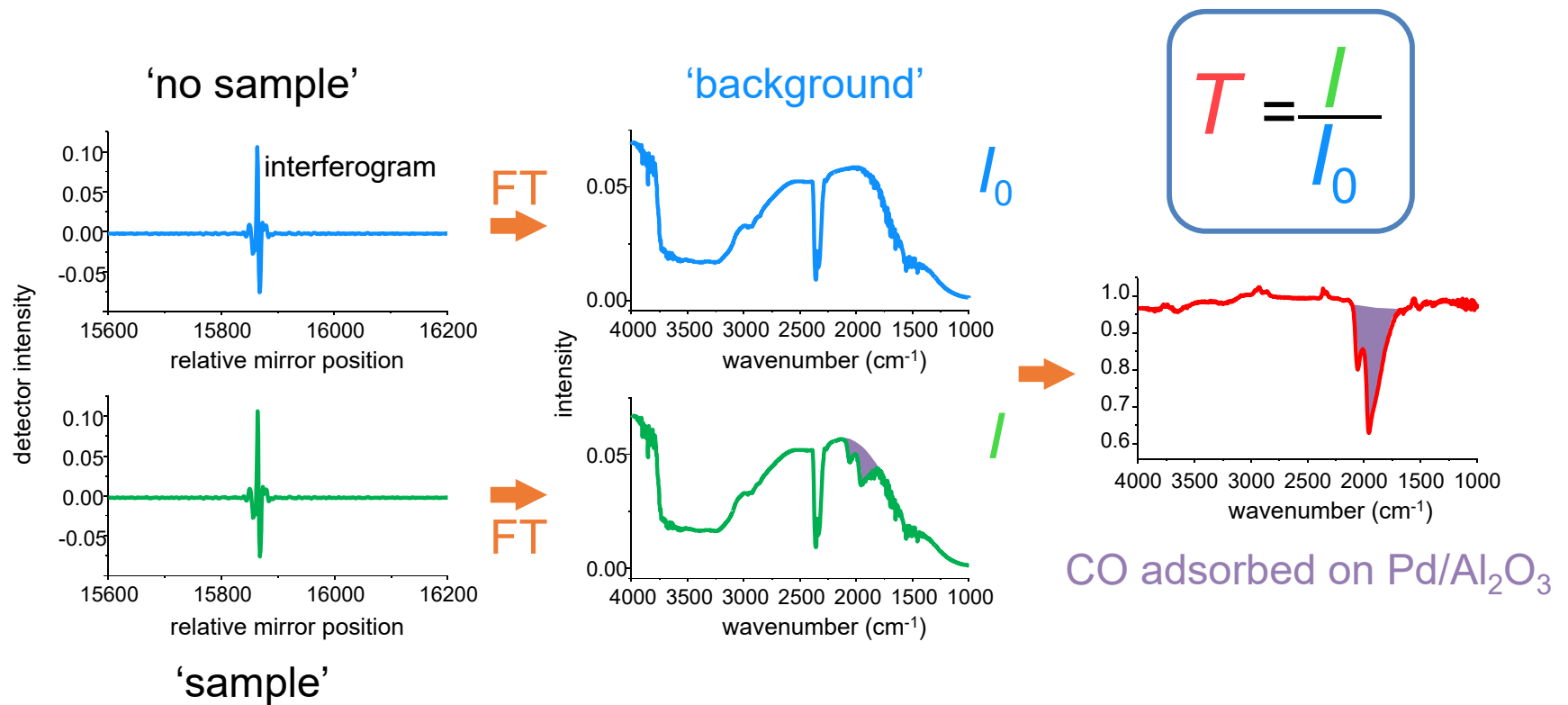
- **Throughput (Jacquinot) advantage**

For the same resolution, the energy throughput in an interferometer can be higher
→ the same S/N as a dispersive-IR in a much shorter time

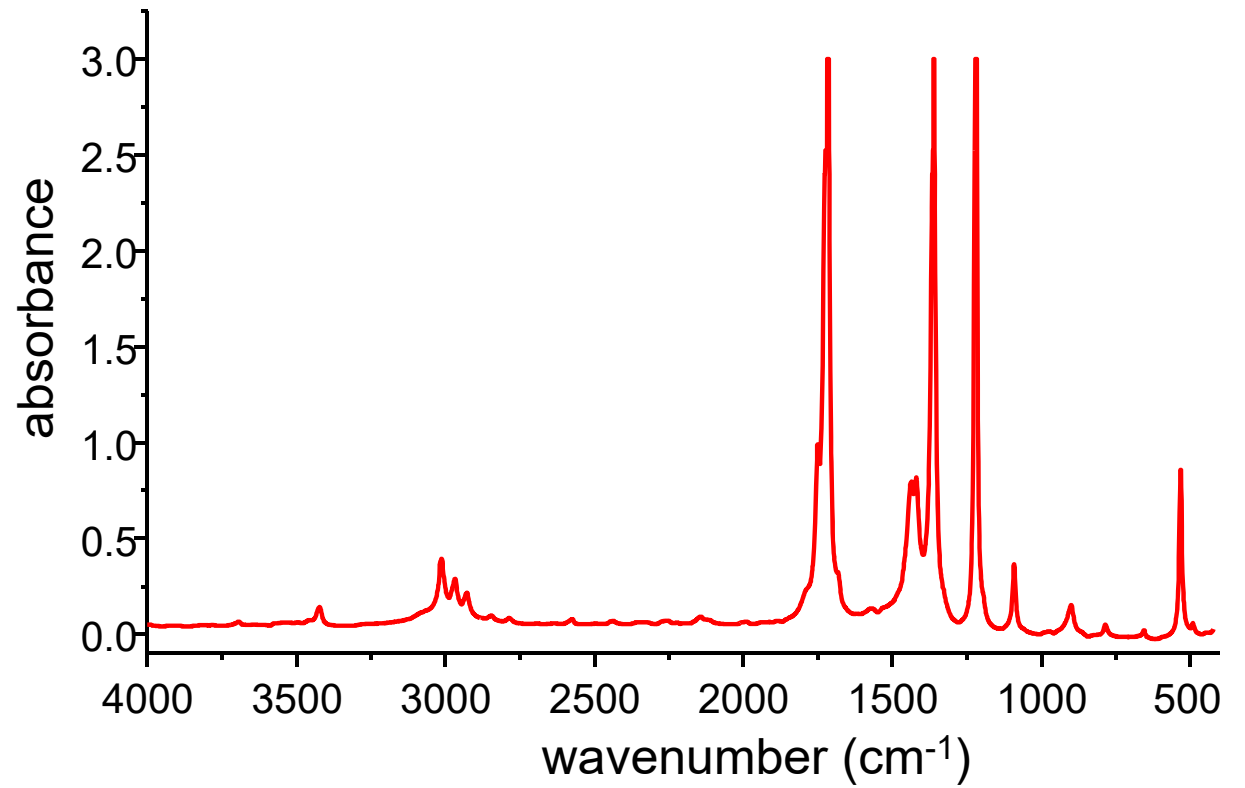
- **Precision (Connes) advantage**

The wavenumber scale of an interferometer is derived from a HeNe laser that acts as an internal reference for each scan

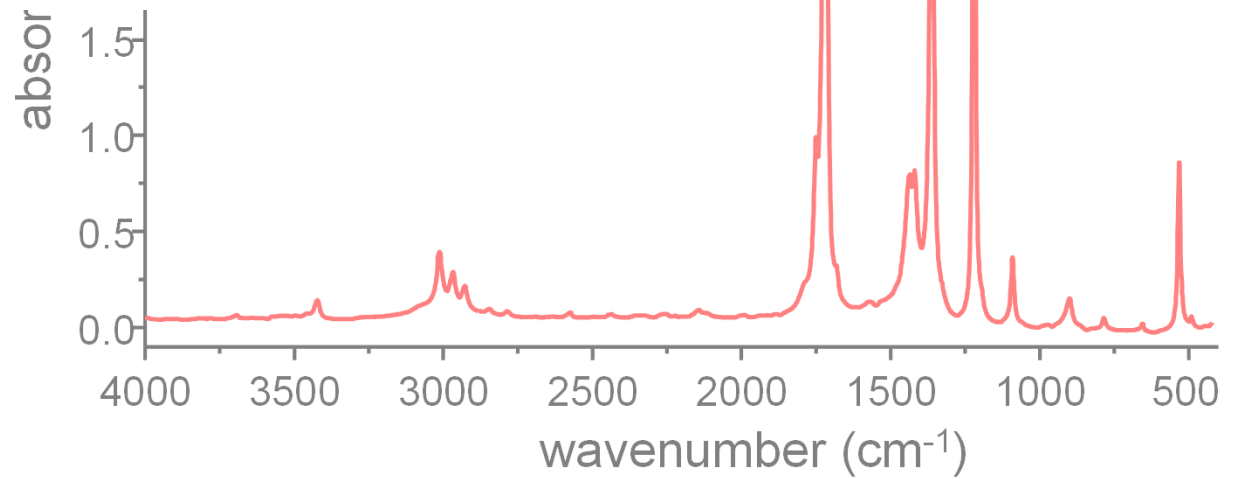
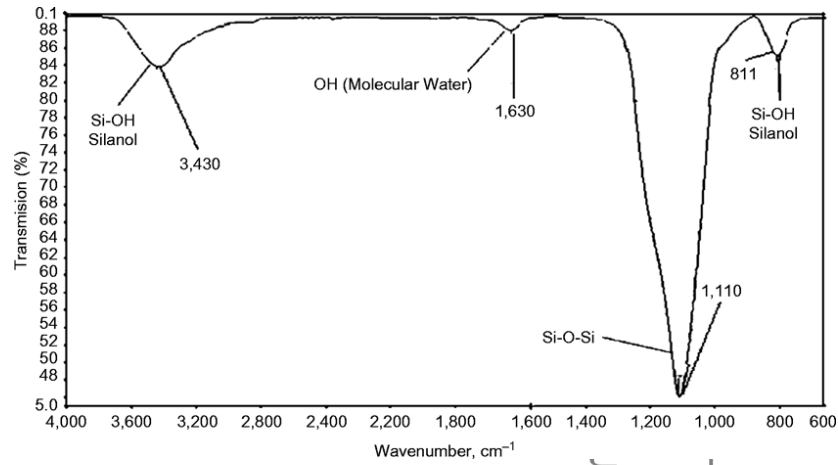
The IR spectrum



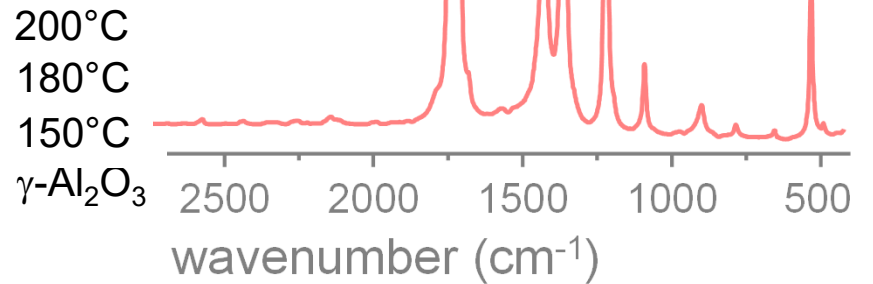
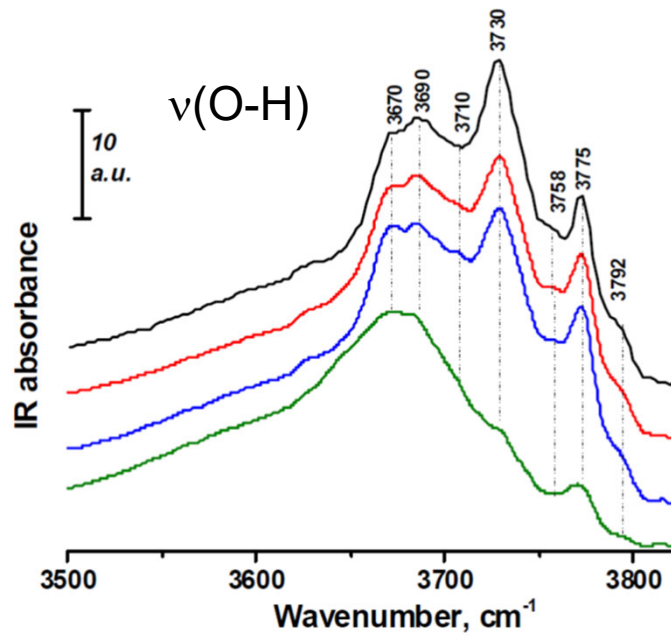
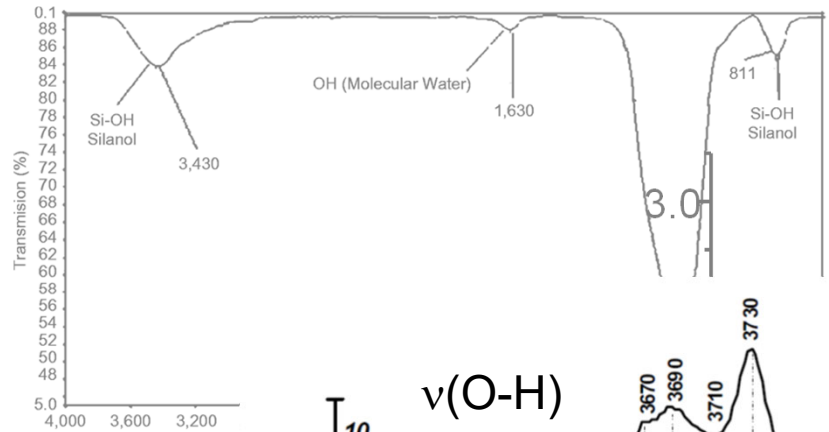
The IR spectrum



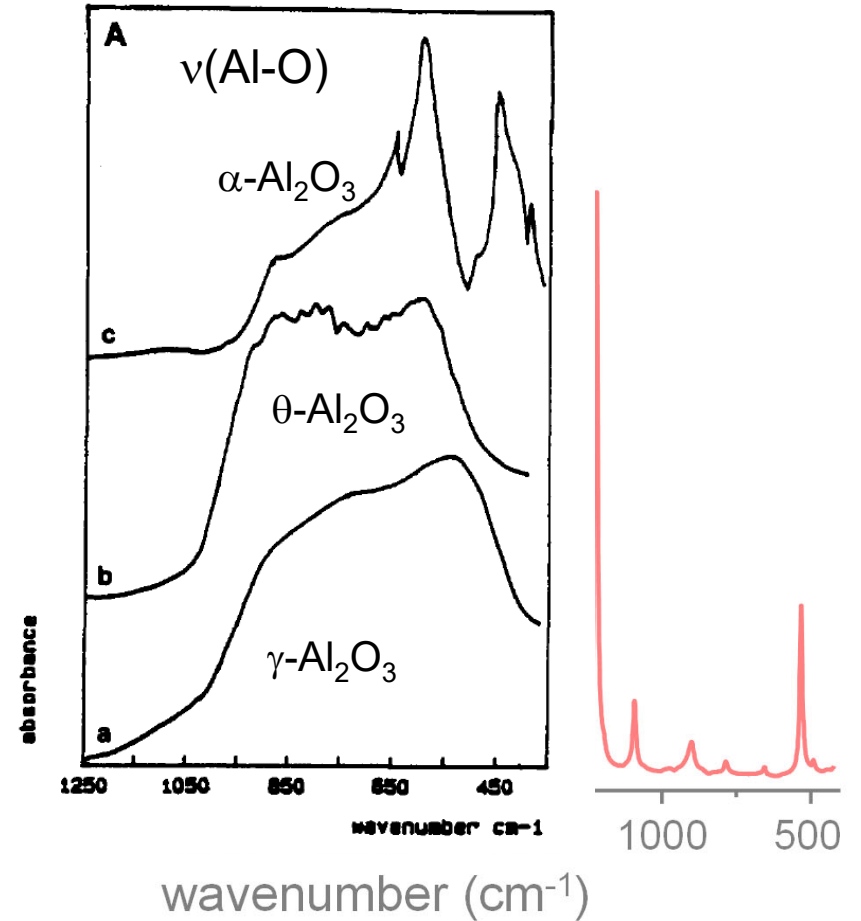
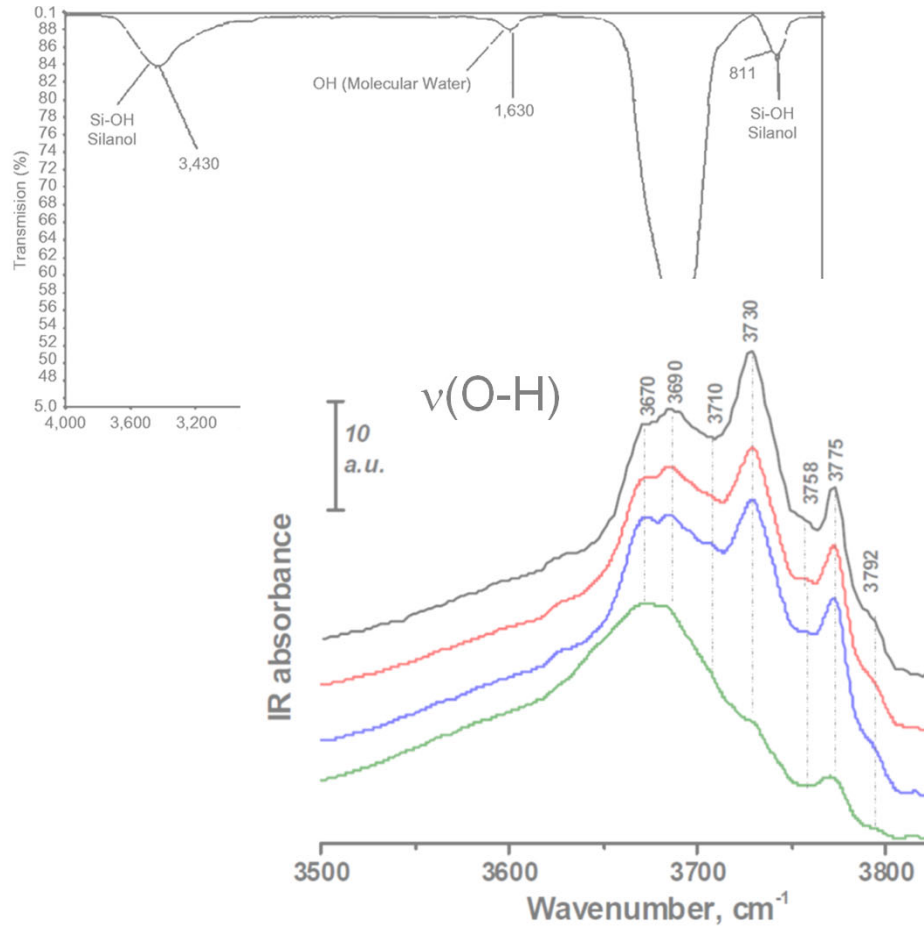
The IR spectrum



The IR spectrum

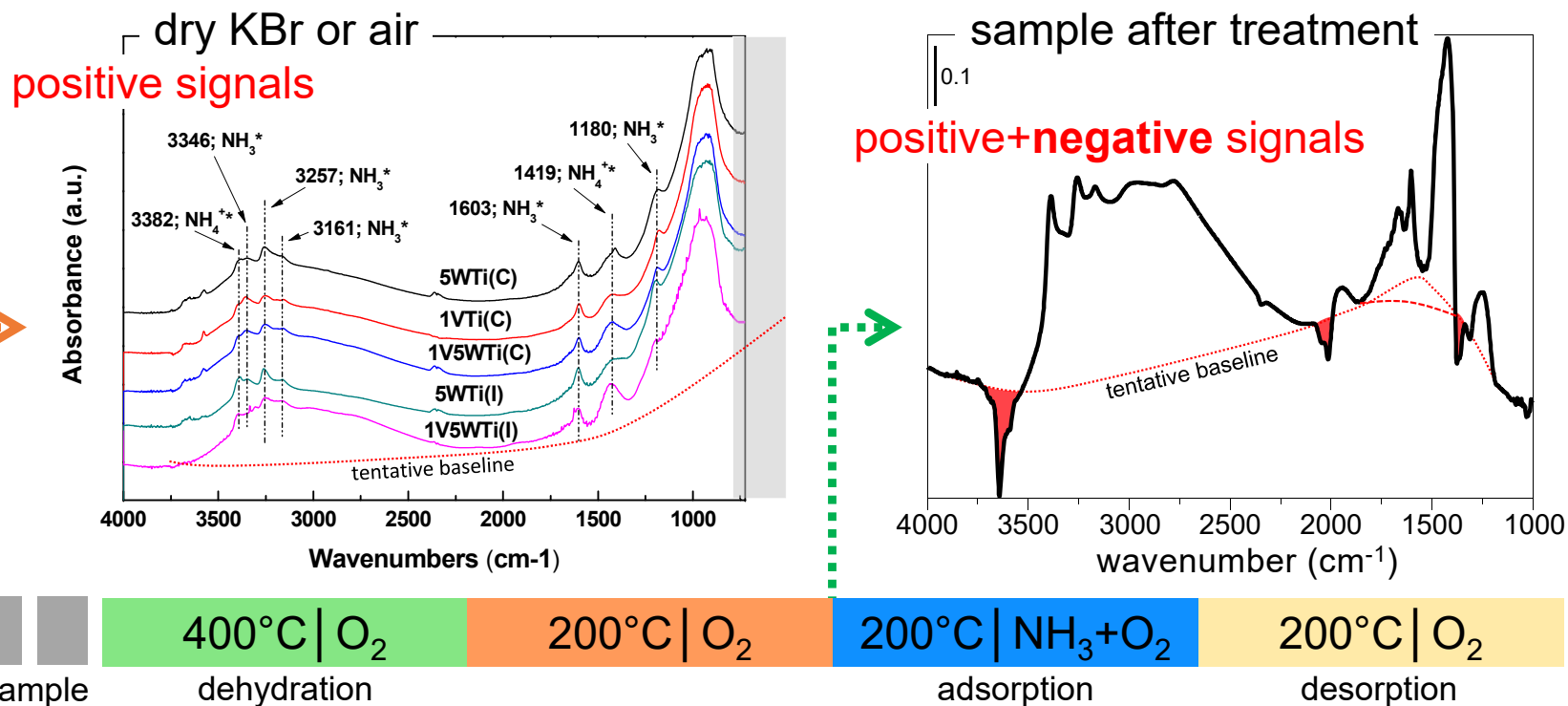


The IR spectrum



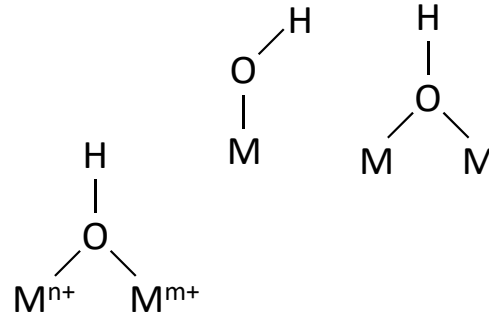
The background

- DRIFT spectra of V-W-TiO₂ catalysts after adsorption of NH₃
 - aspect of spectra changes with background



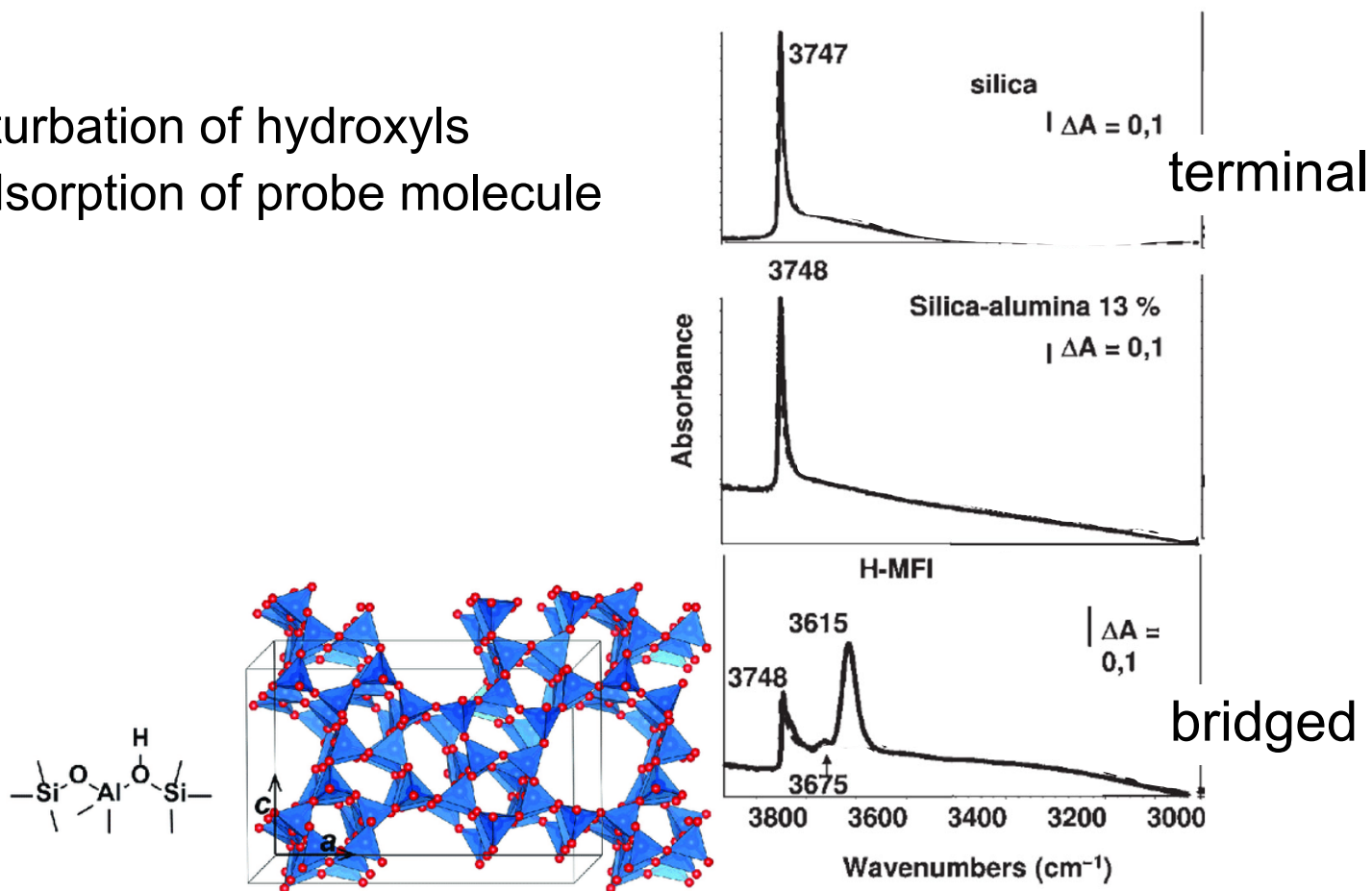
Information on materials

- The spectrum contains information on
 - terminal O-H bonds | 3800-3600 cm^{-1}
 - bridge hydroxyls | Brønsted acidity
 - H-bonded hydroxyls
 - M-O and M=O bonds, bulk and surface
 - fundamental (n) and overtone ($2 \times n$) modes
 - other groups, e.g. C-H, carbonates, carboxylates...



Information on materials

- Perturbation of hydroxyls
– adsorption of probe molecule



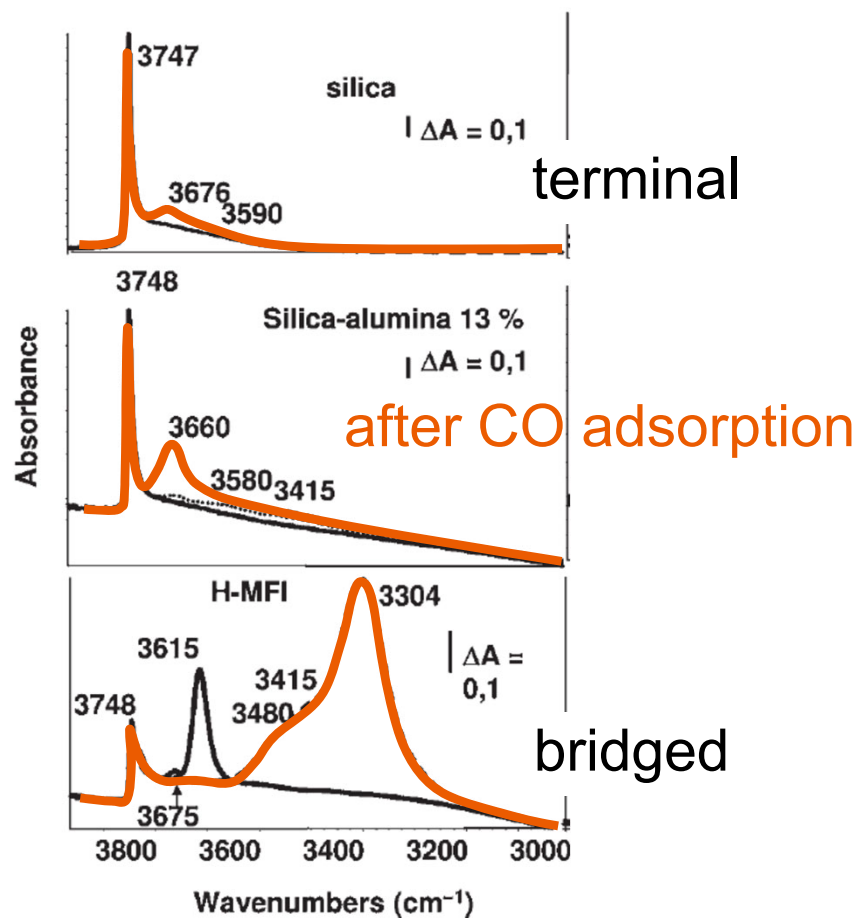
Busca, in Metal Oxide Catalysis, Wiley-VCH Verlag GmbH & Co. KGaA, Weinheim (2009) 95 | Uslamin, Zeolite-based catalysis for sustainable aromatics production, Technische Universiteit Eindhoven (2019)

Information on materials

- Perturbation of hydroxyls
 - adsorption of probe molecule



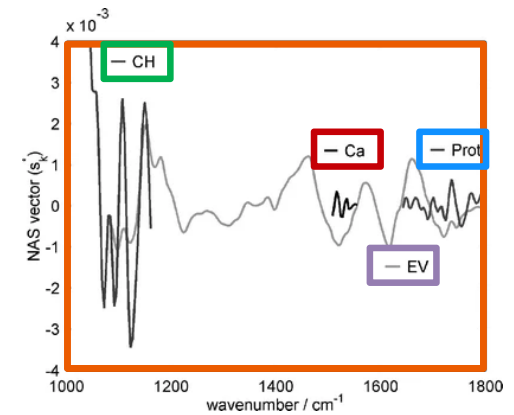
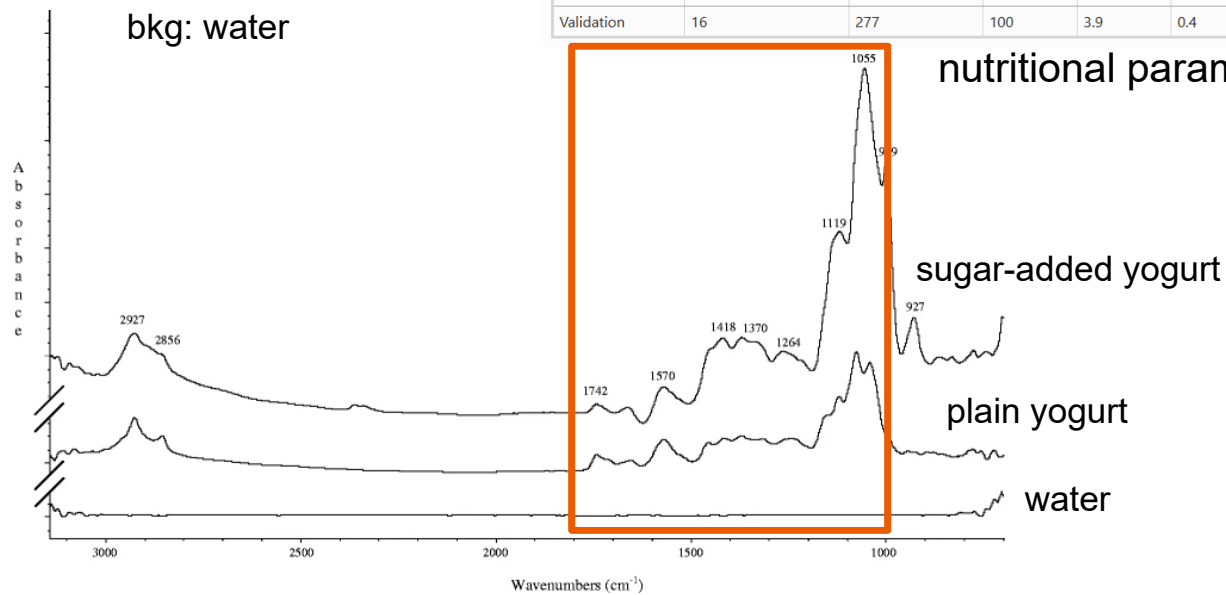
- H-bonded hydroxyls



Information on materials

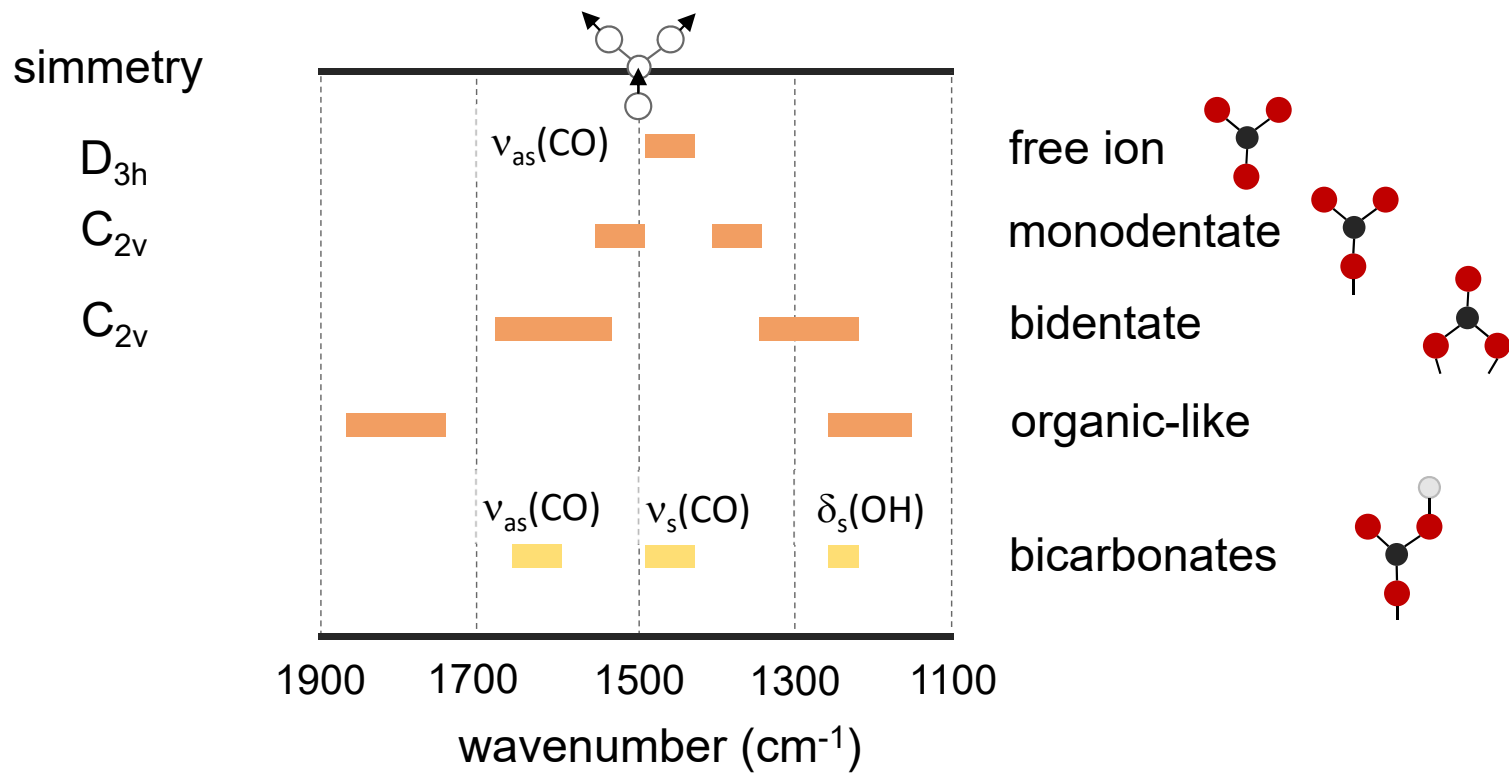
- Also other disciplines...

| Single model | Number of samples | Energetical value (kJ/100 g) | | Proteins (g/100 g) | | Fat (g/100 g) | | Carbohydrates (g/100 g) | | Calcium (mg/100 g) | |
|----------------|-------------------|------------------------------|-----|--------------------|-----|---------------|-----|-------------------------|-----|--------------------|-----|
| | | Mean | ± s | Mean | ± s | Mean | ± s | Mean | ± s | Mean | ± s |
| Calibration | 19 | 334 | 154 | 4.1 | 0.7 | 2.7 | 3.0 | 9.4 | 4.2 | 135 | 22 |
| Validation | 29 | 268 | 106 | 3.8 | 0.5 | 1.6 | 1.4 | 8.4 | 4.4 | 125 | 6 |
| Extended model | Number of samples | Energetical value (kJ/100 g) | | Proteins (g/100 g) | | Fat (g/100 g) | | Carbohydrates (g/100 g) | | Calcium (mg/100 g) | |
| | | Mean | ± s | Mean | ± s | Mean | ± s | Mean | ± s | Mean | ± s |
| Calibration | 32 | 295 | 137 | 3.9 | 0.7 | 2.1 | 2.3 | 8.8 | 4.4 | 129 | 16 |
| Validation | 16 | 277 | 100 | 3.9 | 0.4 | 1.7 | 1.4 | 8.6 | 4.4 | 127 | 12 |

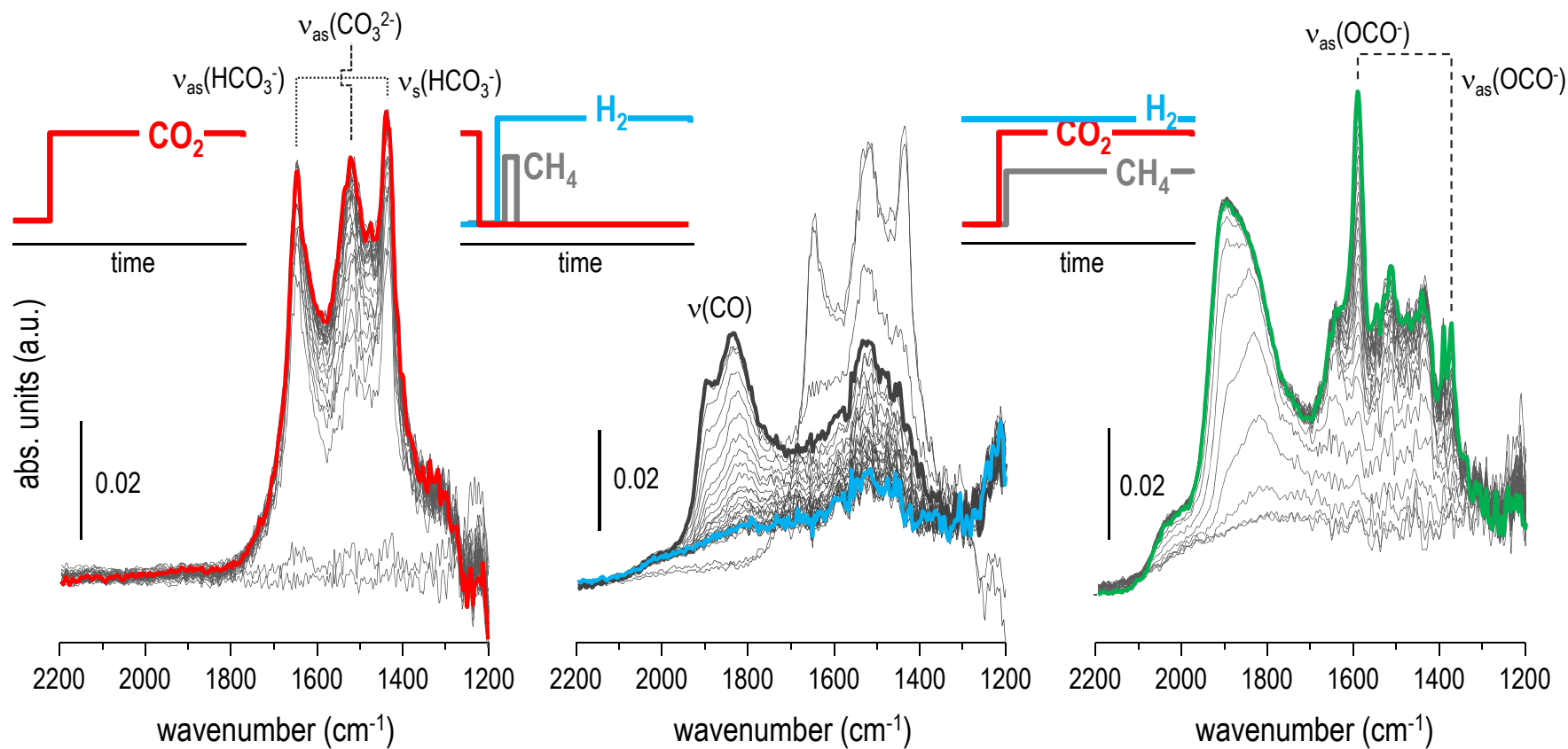


Adsorbates by FTIR

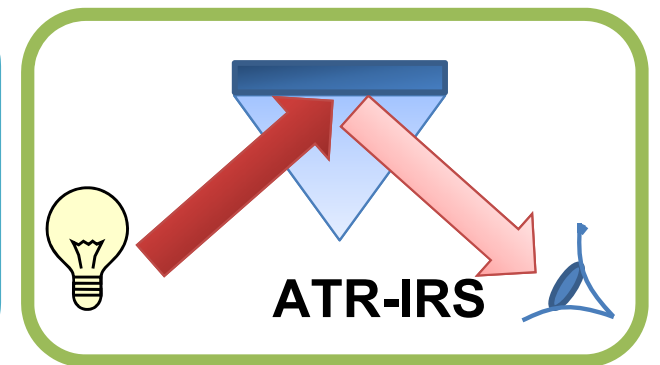
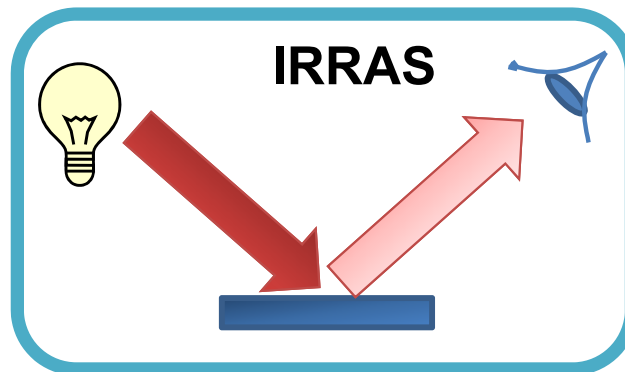
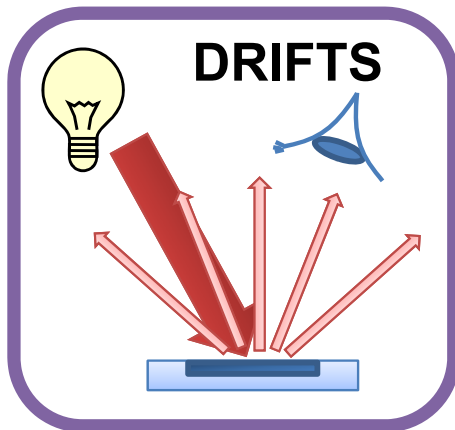
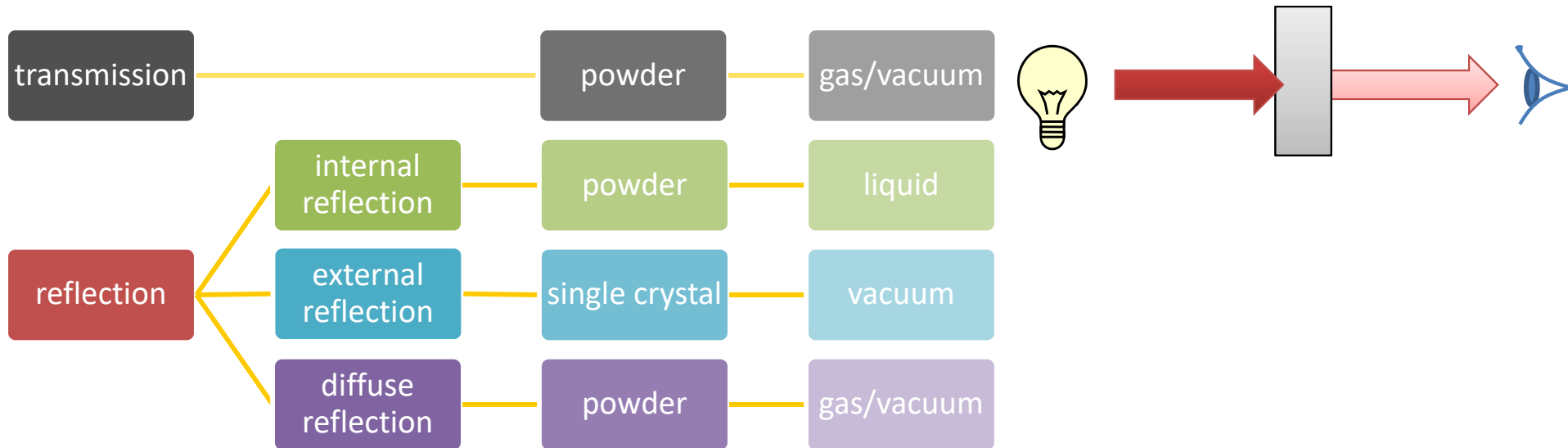
- The carbonate ion



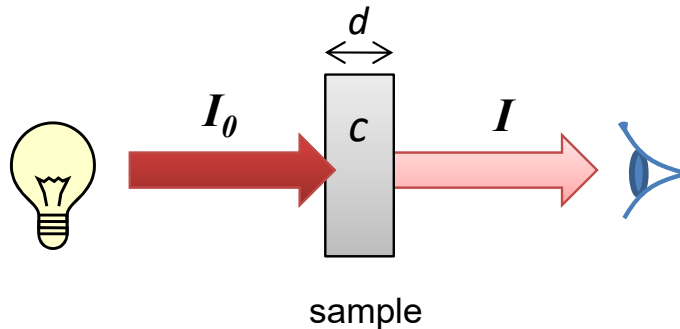
Adsorbates by FTIR



Techniques, sample form, environment



Transmission



- popular for detections of gas and liquid samples
- solids have to be diluted or shaped in a very thin film
- quantification is more straightforward than other IR techniques

$$A = -\log(T) = -\log\left(\frac{I}{I_0}\right) = \varepsilon cd$$

Lambert-Beer law

T: transmittance, **A**: absorbance, ε : molar absorption (extinction) coefficient, **c**: concentration, **d**: path length

Transmission

■ Solid samples

Large solid particles generally absorb too much IR light, therefore particles should be small and also special preparations are often necessary.

Most popular sample preparation methods (for mid-IR):

■ Alkali halide disk method

- Typically solid samples are diluted in KBr and ground
- Then pressurized to form a disk

■ Mull method

- Most common one is Nujol (liquid paraffin)
- Samples are ground and suspended in one or two drops of a mulling agent
- Followed by further grinding until a smooth paste is obtained

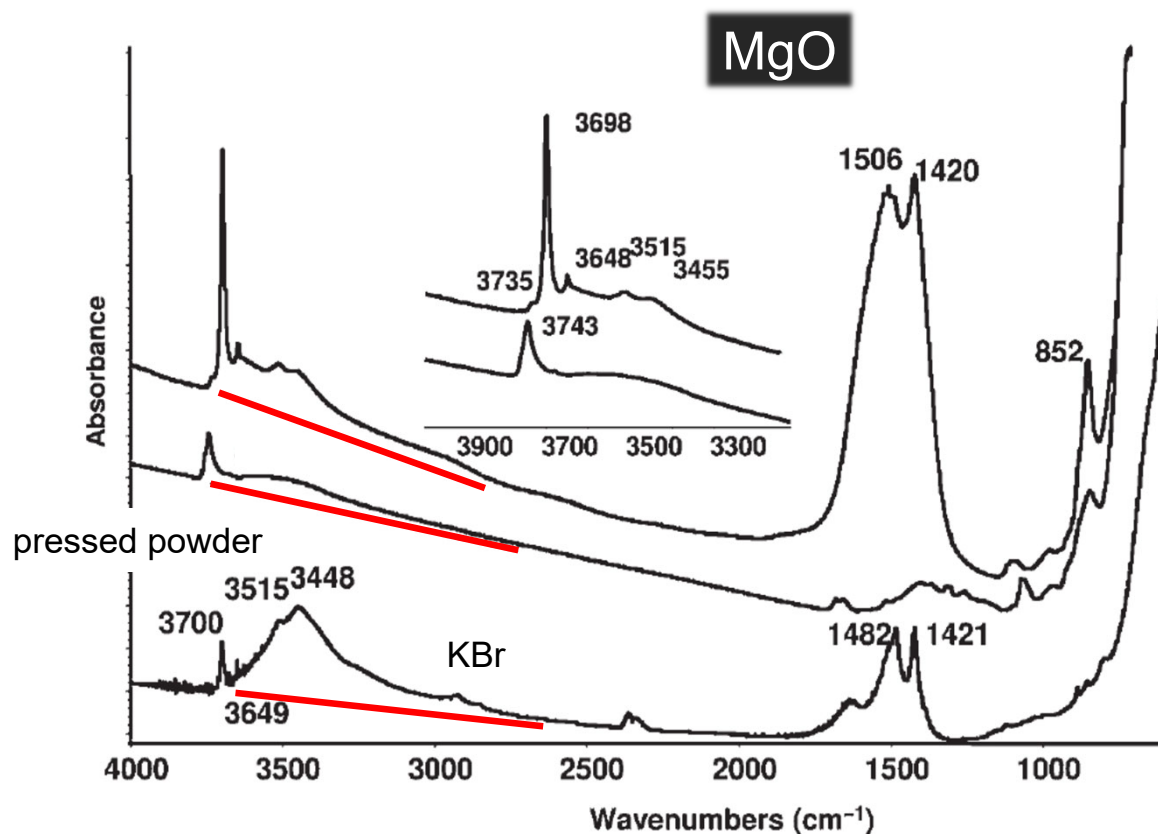
■ Film method

- By solvent casting or melt casting

**NOT FOR IN SITU/OPERANDO
EXPERIMENTS**

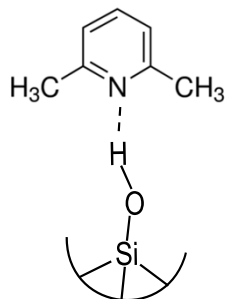
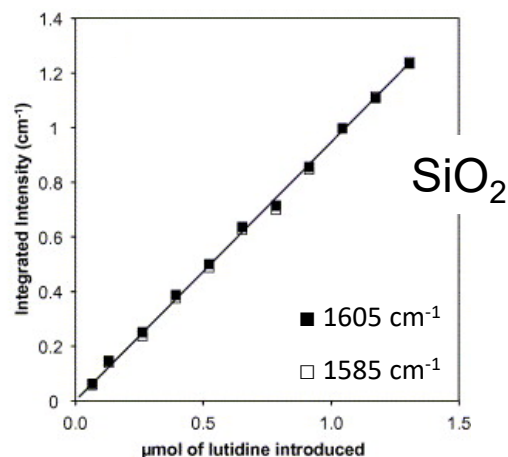
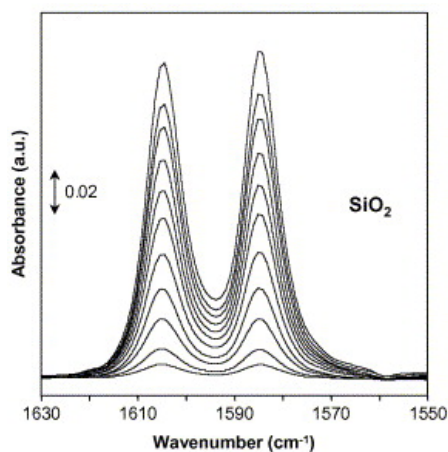
Transmission

- Sample preparation
 - self supporting wafers (few mg)
- Controlled exp. conditions
 - vacuum and controlled dosages
- Baseline
 - **slope** increases at high frequency (beam scattering increases with increasing frequency)
 - slope depends on particle size (very steep for powders with large particles, ca. 1 μm)
 - $T @ 4000 \text{ cm}^{-1}$ is ca. 0 for large particle size oxides



Transmission

- Quantification | Molar absorption coefficient ϵ



$$A = \epsilon \ell \frac{n}{S \ell}$$

$$A = \frac{\epsilon n}{S}$$

$$\epsilon = \frac{SA}{n}$$

ϵ , integrated molar absorption coefficient

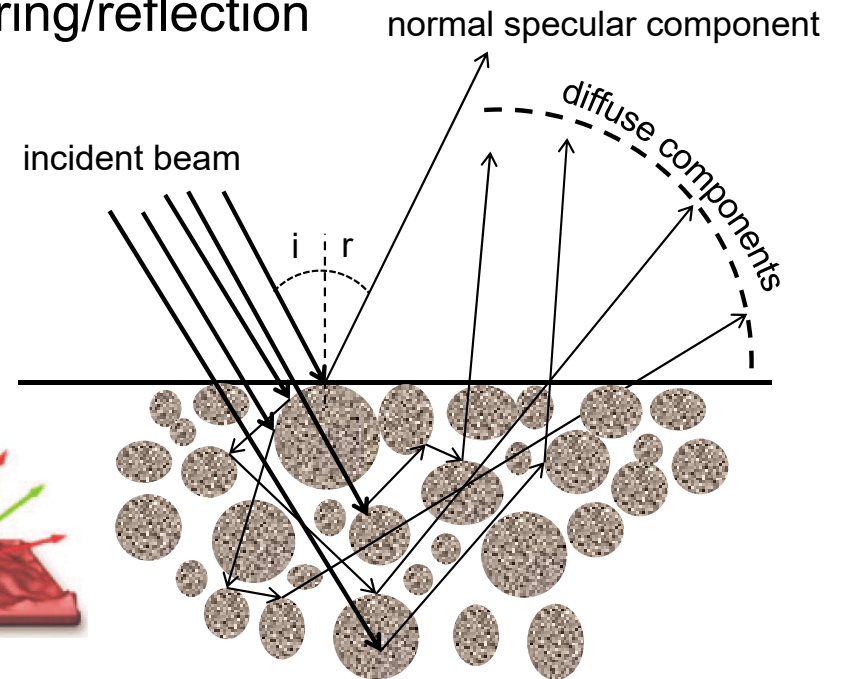
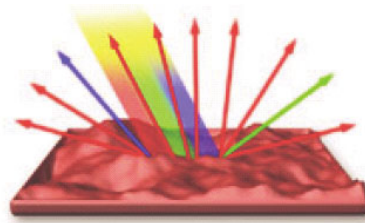
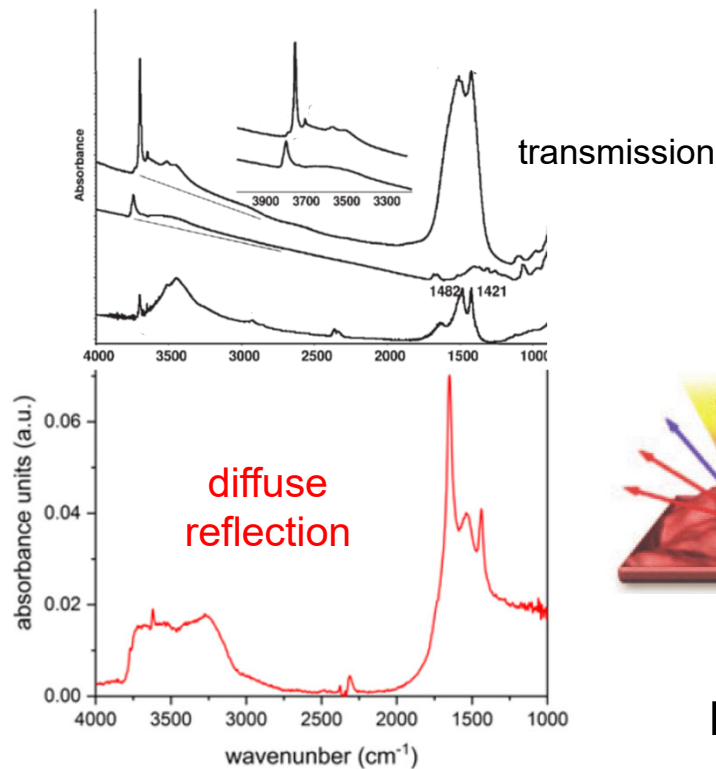
ℓ , disc thickness (optical path)

n , amount of adsorbed molecule

S , disc area

Diffuse reflection

- Combination of transmission and scattering/reflection



Reflectance increases by increasing scattering
→ spectrum baseline is flat or even decreasing

Diffuse reflection

- Qualitative analysis
 - very sensitive to surface species due to its diffuse reflective nature
 - the detected light is reflected multiple times at powder surfaces
- Quantitative analysis
 - can be very complicated
 - the spectra are largely influenced by various experimental parameters, e.g. particles shape and size, refractive index of particles, absorption characteristics of particles, and porosity of the powder bed
 - a popular method is to use the Kubelka-Munk (K-M) function to transform reflectance to a sort of absorbance (K-M) unit
 - solid (approximated) theory
 - applicability and accuracy for highly absorbing and non-absorbing samples is questionable

Kubelka-Munk function

- Infinitely thick medium

$$K/S = (1-R_\infty)^2/2R_\infty$$

K, absorption coeff.

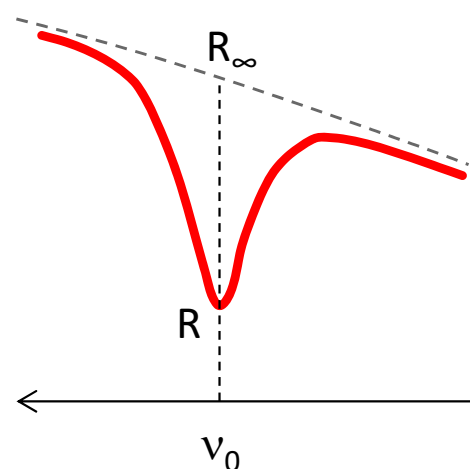
S, scattering coeff.

- Adsorbate on infinitely thick medium

$$(K+\varepsilon C)/S = (1-R)^2/2R$$

$$F(R) = J(1/R - R_\infty) = 2\varepsilon C/S$$

Kubelka-Munk function

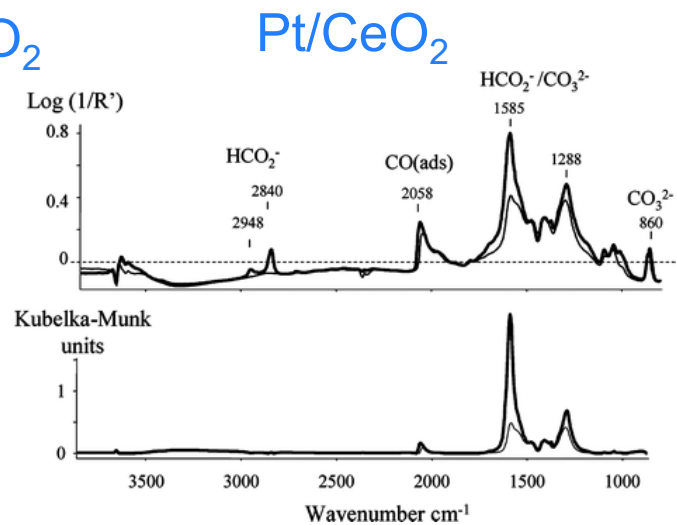
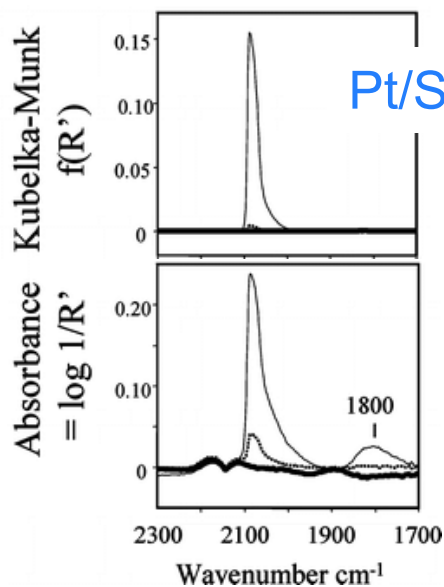
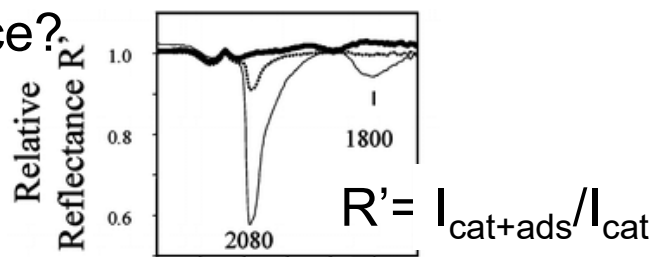
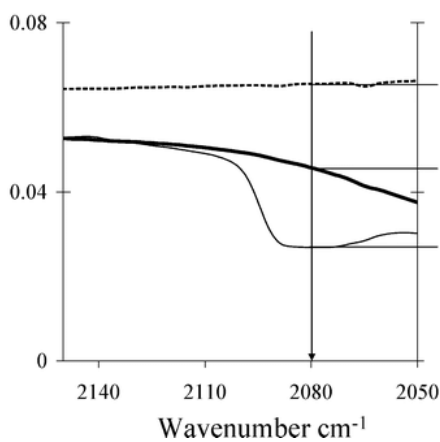


$$J = \frac{R_\infty - R}{R_\infty}$$

optical length (d in L-B Law) much larger than in transmission → more sensitivity

Diffuse reflection

- Reflectance or Absorbance?

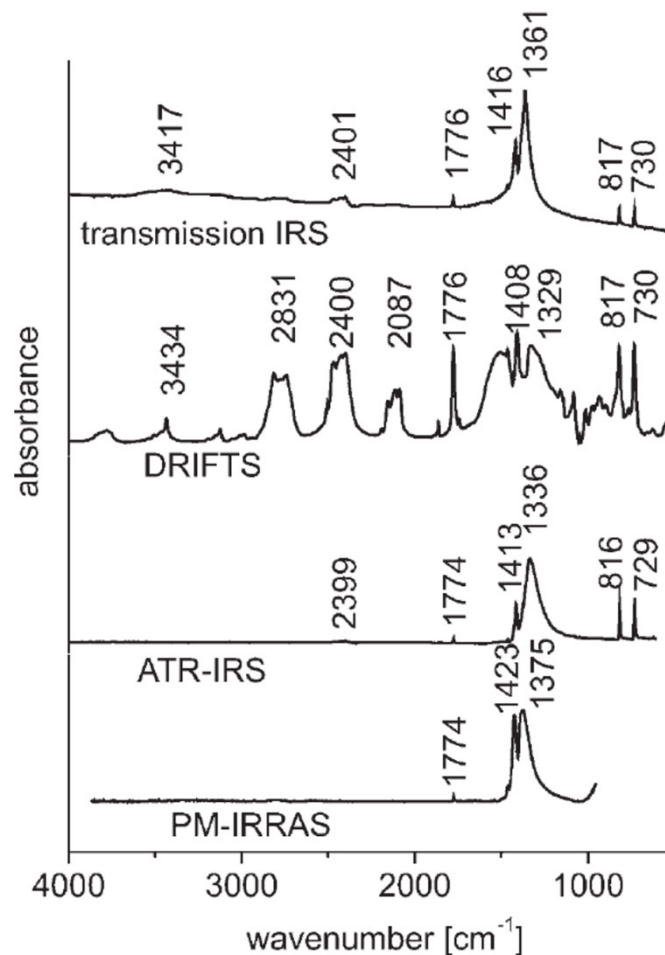


Diffuse reflection vs Transmission

- Advantages of diffuse reflection
 - easier sampling
 - applicability to powders that scatter too much in transmission, assuming the surface area is sufficiently high to detect surface
 - vibrations with a sufficiently high signal-to-noise ratio
 - slightly lower sensitivity to bulk conduction phenomena, because of a higher surface-to-bulk sensitivity ratio
 - ideally suited for in situ/operando studies
- Disadvantages of diffuse reflection
 - less obvious optical setup
 - work in flow rather than in vacuum
 - more difficult sample activation (i.e. water removal from highly porous materials)

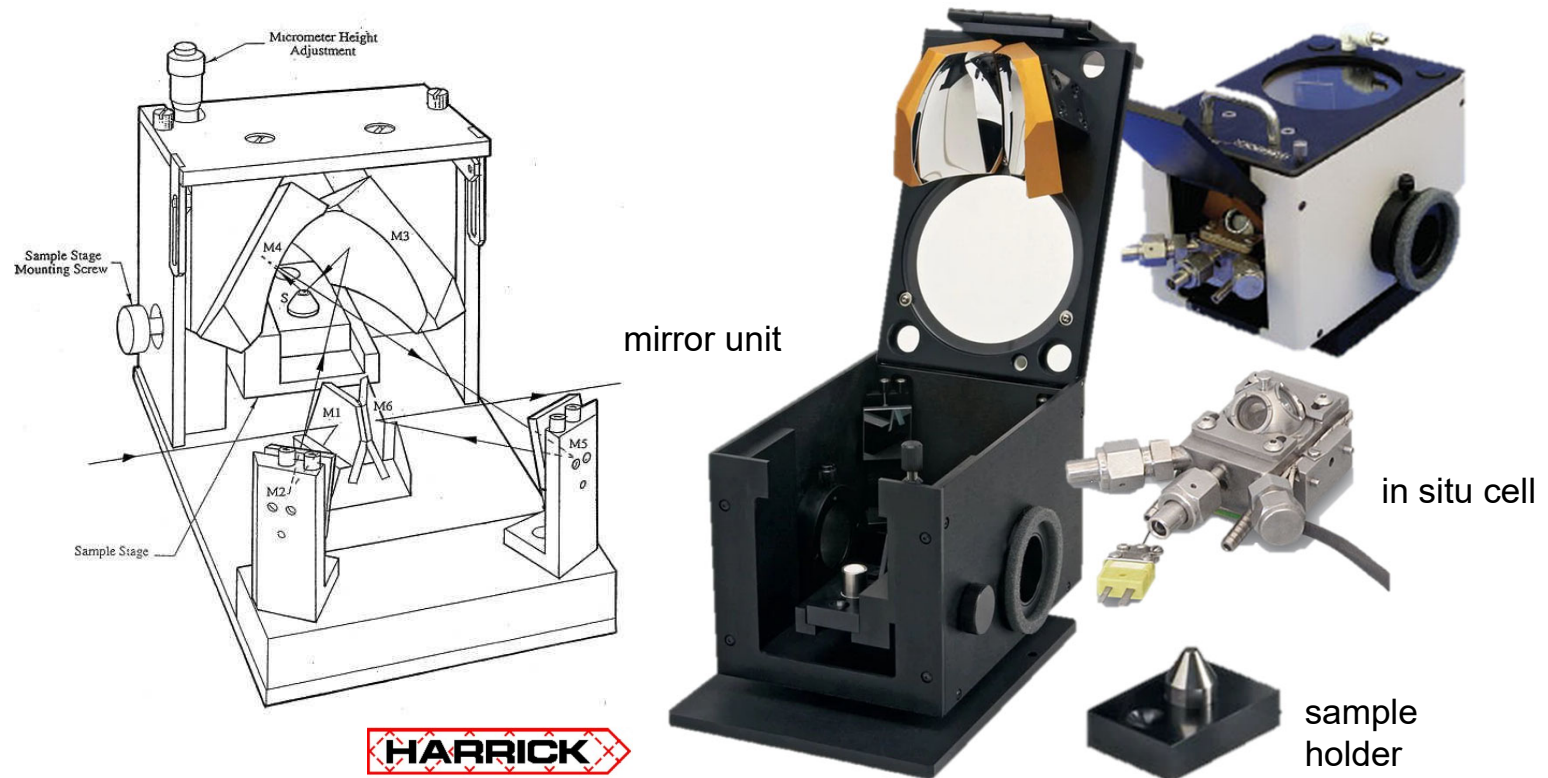
Diffuse reflection vs Transmission

- Comparison between techniques with different sensitivity (bulk/surface) should be careful
- DRIFTS more sensitive than TIRS
- Band assignment depends on surface sensitivity of the technique



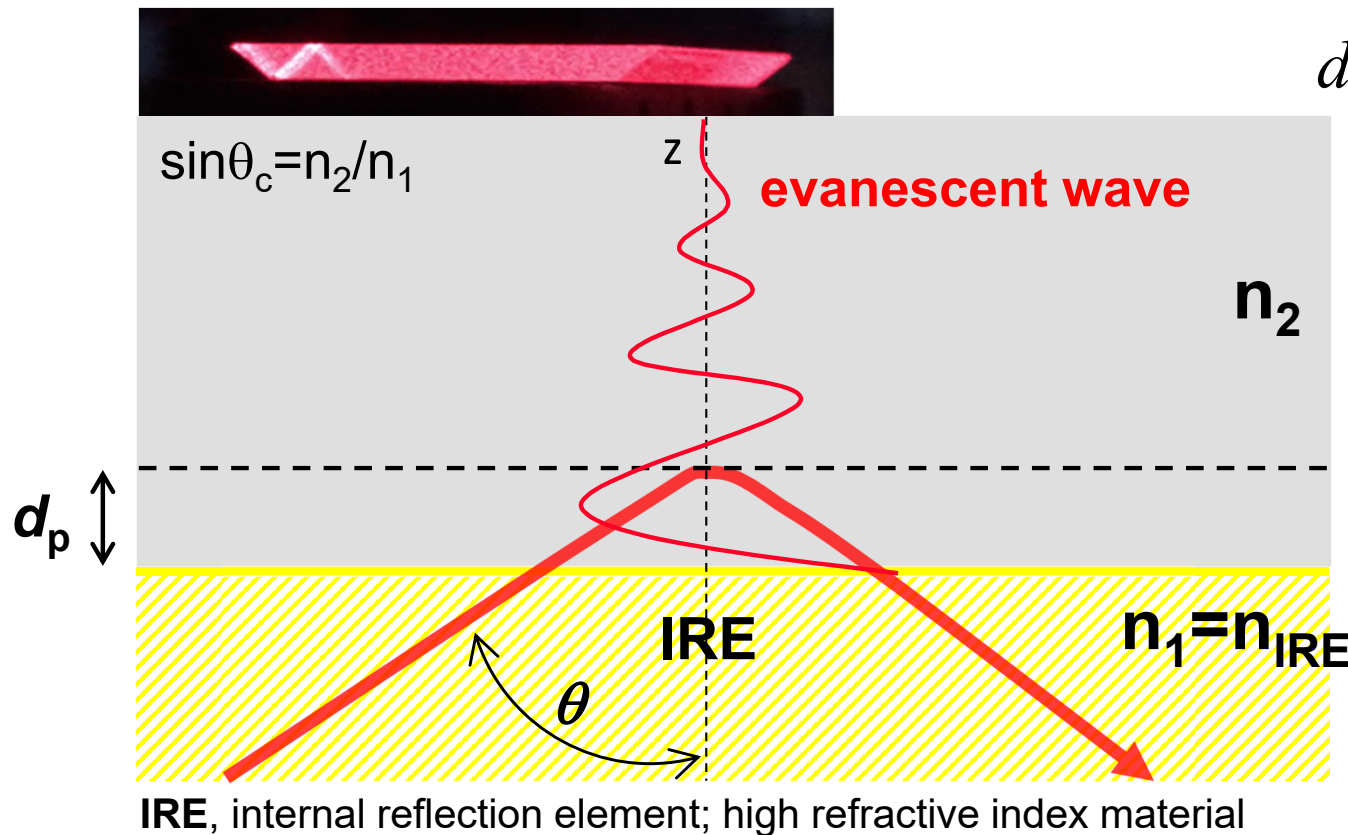
Diffuse reflection

- Typical mirror unit, sample holder and in situ cell



Harrick Scientific | Praying Mantis

Attenuated total reflection



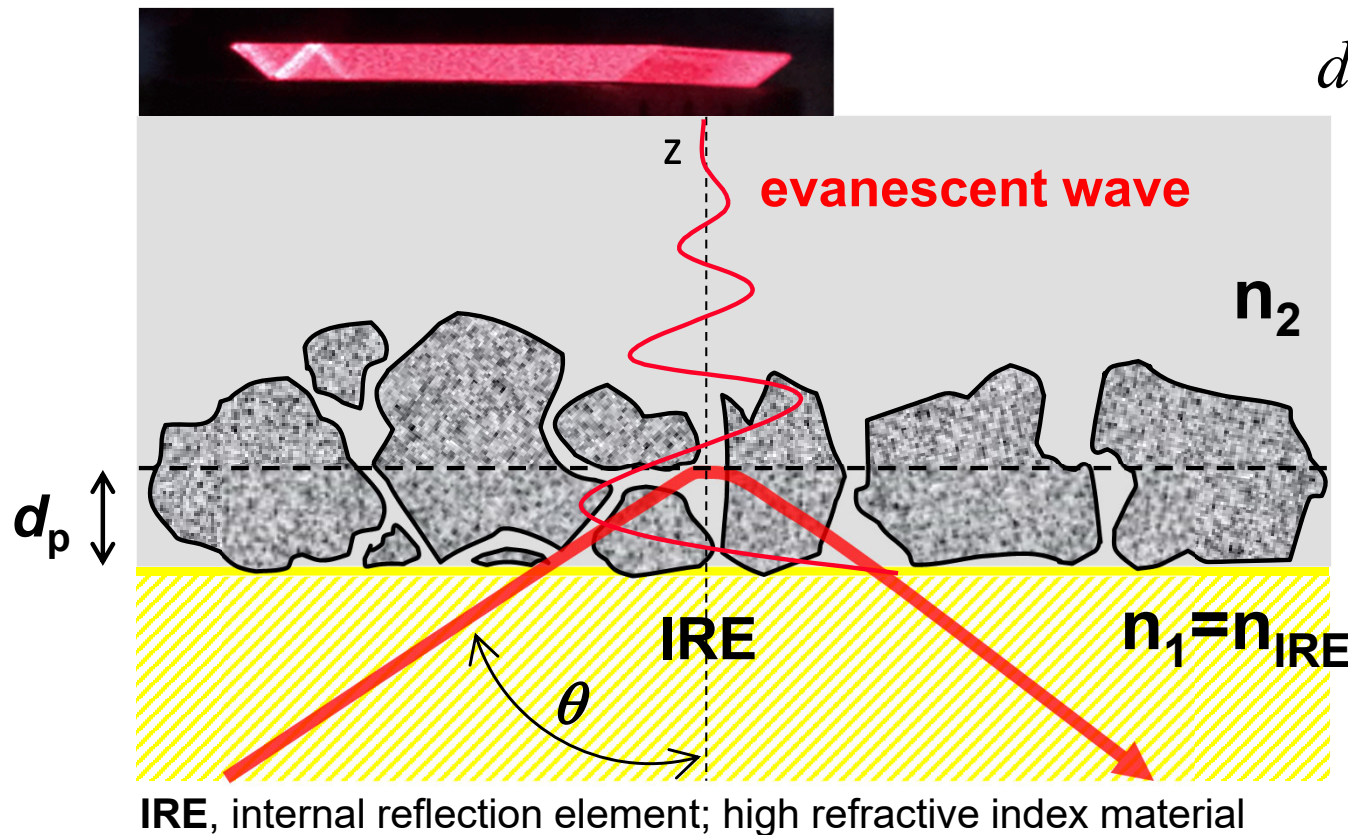
$$d_p = \frac{\lambda_1}{2\pi \sqrt{\sin^2 \theta - n_{21}^2}}$$

θ : angle of incidence

$$\lambda_1 = \frac{\lambda}{n_1} \quad n_{21} = \frac{n_2}{n_1}$$

d_p : penetration depth
the distance from interface where the electric field has decayed to $1/e$ of its value E_0 at the interface

Attenuated total reflection



$$d_p = \frac{\lambda_1}{2\pi \sqrt{\sin^2 \theta - n_{21}^2}}$$

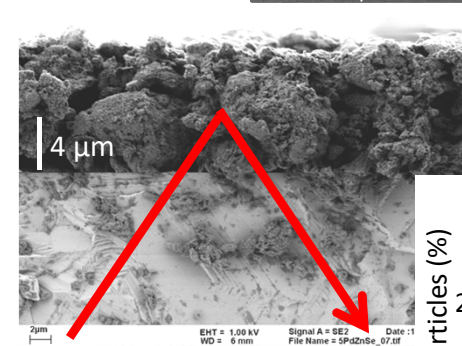
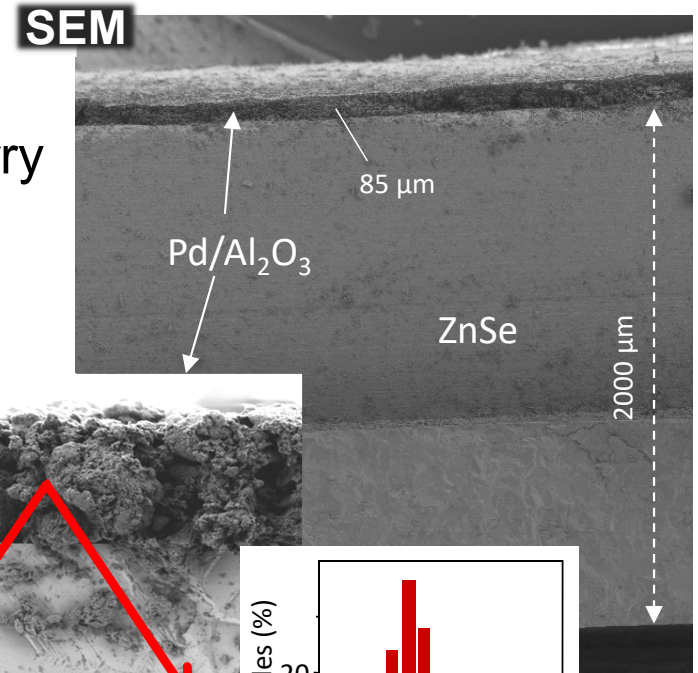
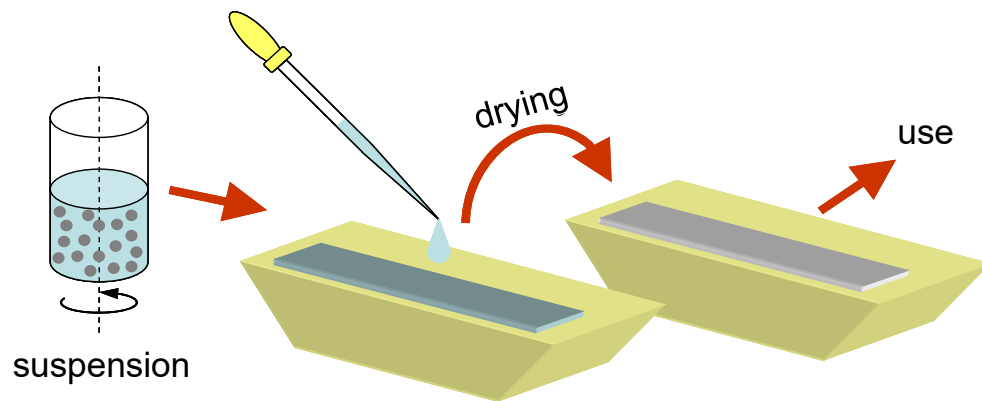
θ : angle of incidence

$$\lambda_1 = \frac{\lambda}{n_1} \quad n_{21} = \frac{n_2}{n_1}$$

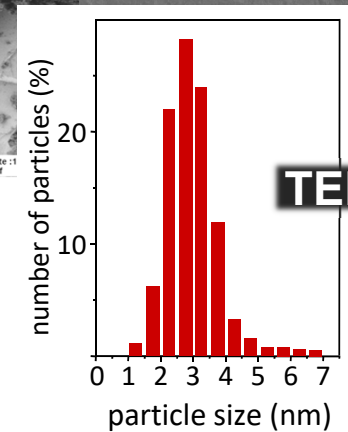
d_p : penetration depth
the distance from interface where the electric field has decayed to $1/e$ of its value E_0 at the interface

Attenuated total reflection

- Sample preparation
 - sample deposition on IRE from aqueous slurry
 - dry in air



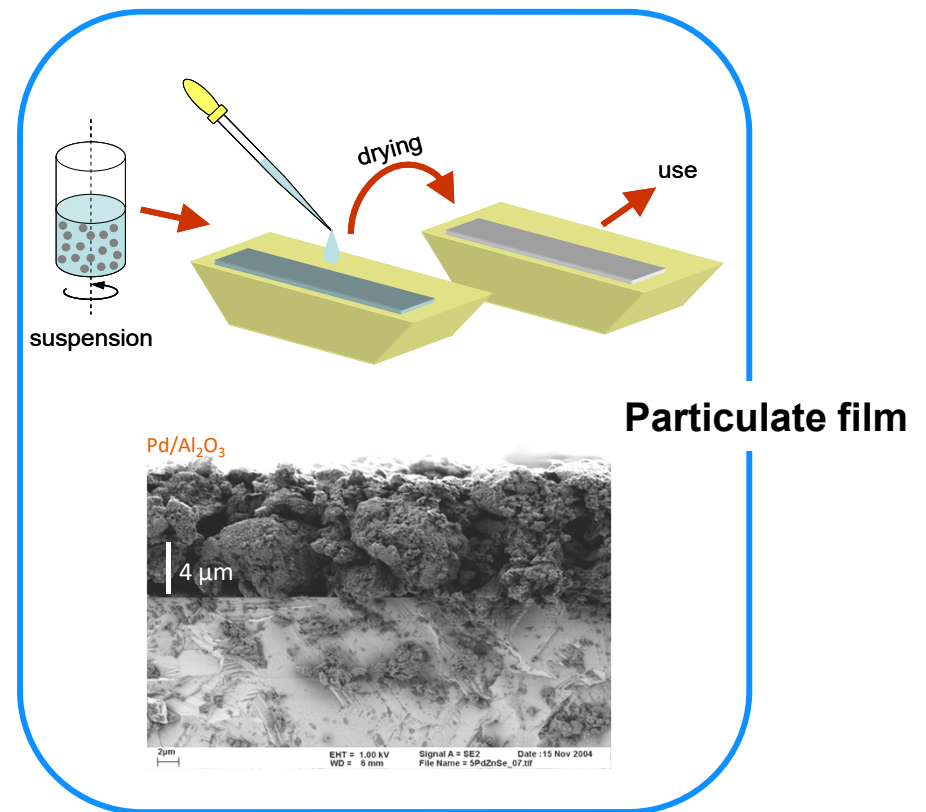
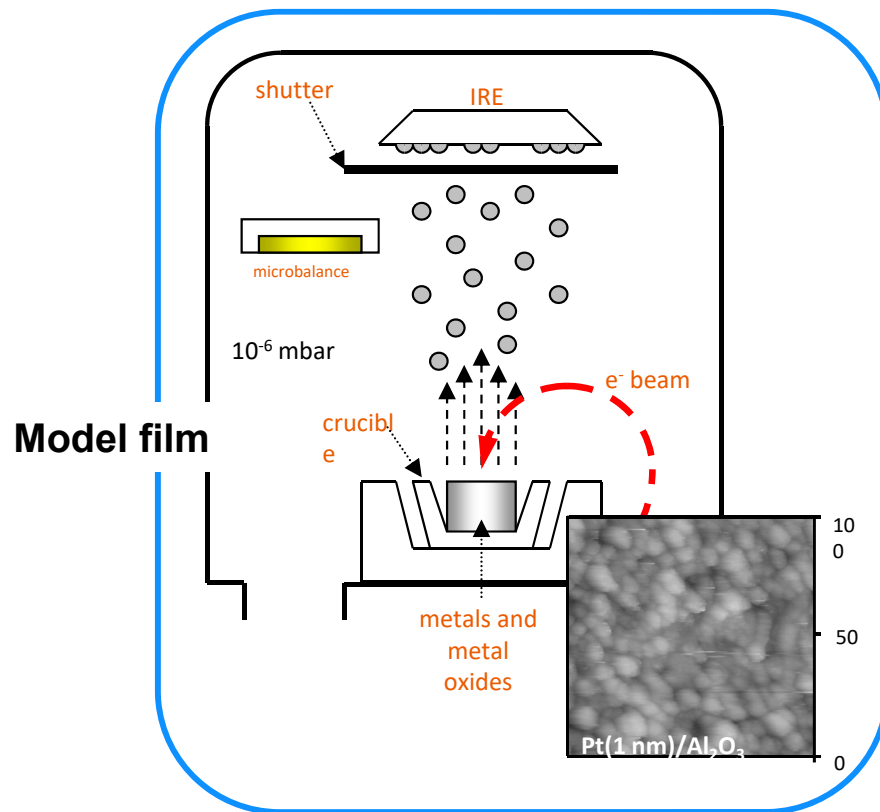
IR



TEM

Attenuated total reflection

- Stable films needed for in situ investigations

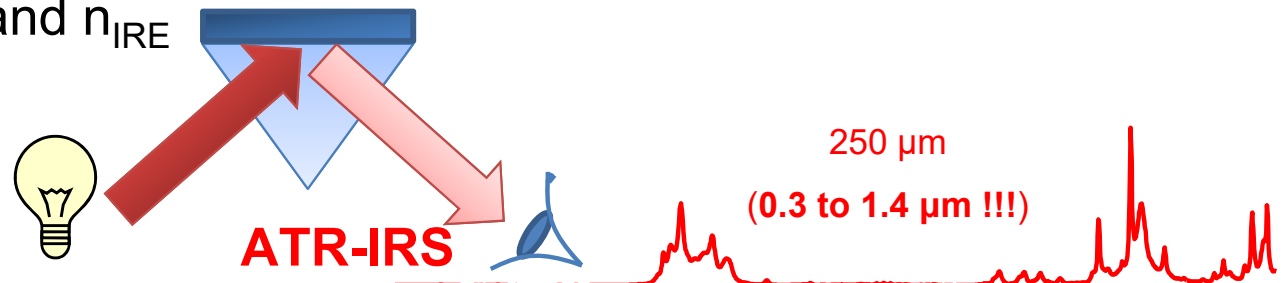


Materials for internal reflection elements

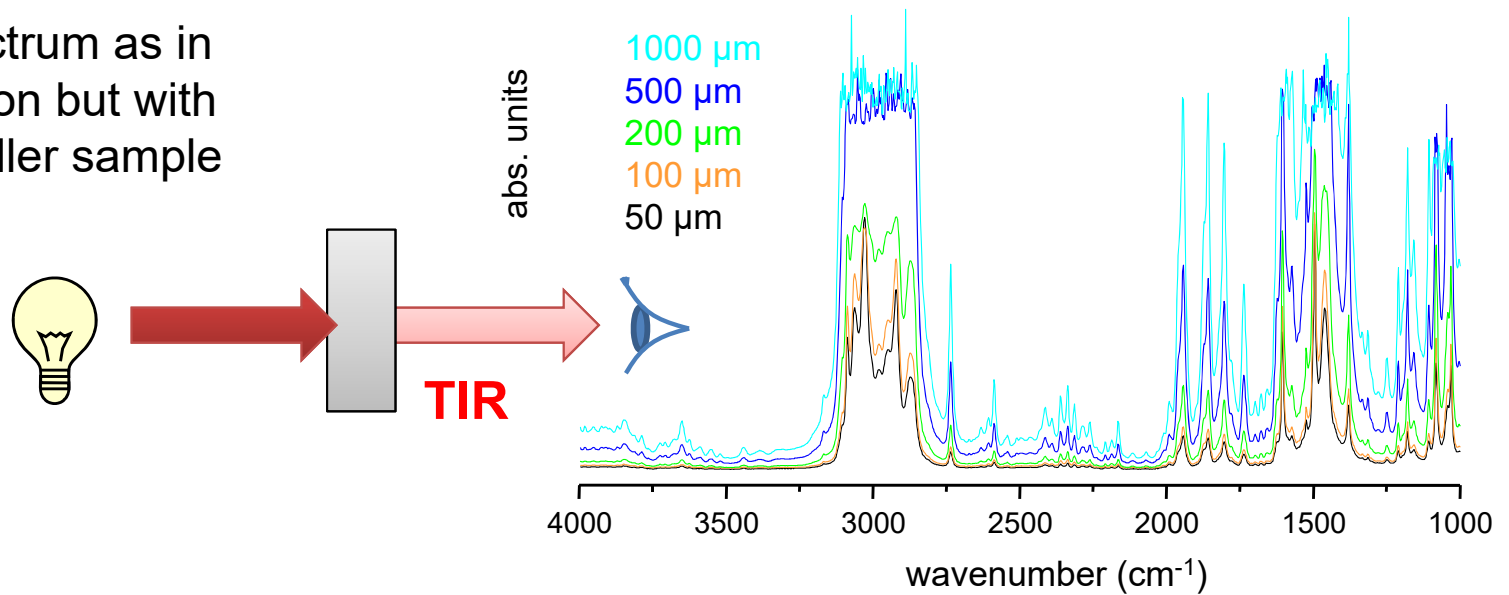
| Material | Useful range / cm^{-1} | n | d_p | Properties |
|---------------------------------|---------------------------------|------|-------|--|
| ZnSe | 20000-700 | 2.43 | long | soluble in strong acid; usable up to ca. 300°C |
| Ge | 5000-900 | 4.02 | short | good chemical resistance; hard and brittle; becomes opaque at 250°C |
| Si | 9400-1500; 350-FIR | 3.42 | short | excellent chemical resistance; hard; usable up to ca. 300°C |
| KRS-5 (Thallium bromoiodide) | 14000-330 | 2.45 | long | toxic; slightly soluble in water and soluble in base; usable up to ca. 200°C |

Attenuated total reflection

- The meaning of d_p and n_{IRE}

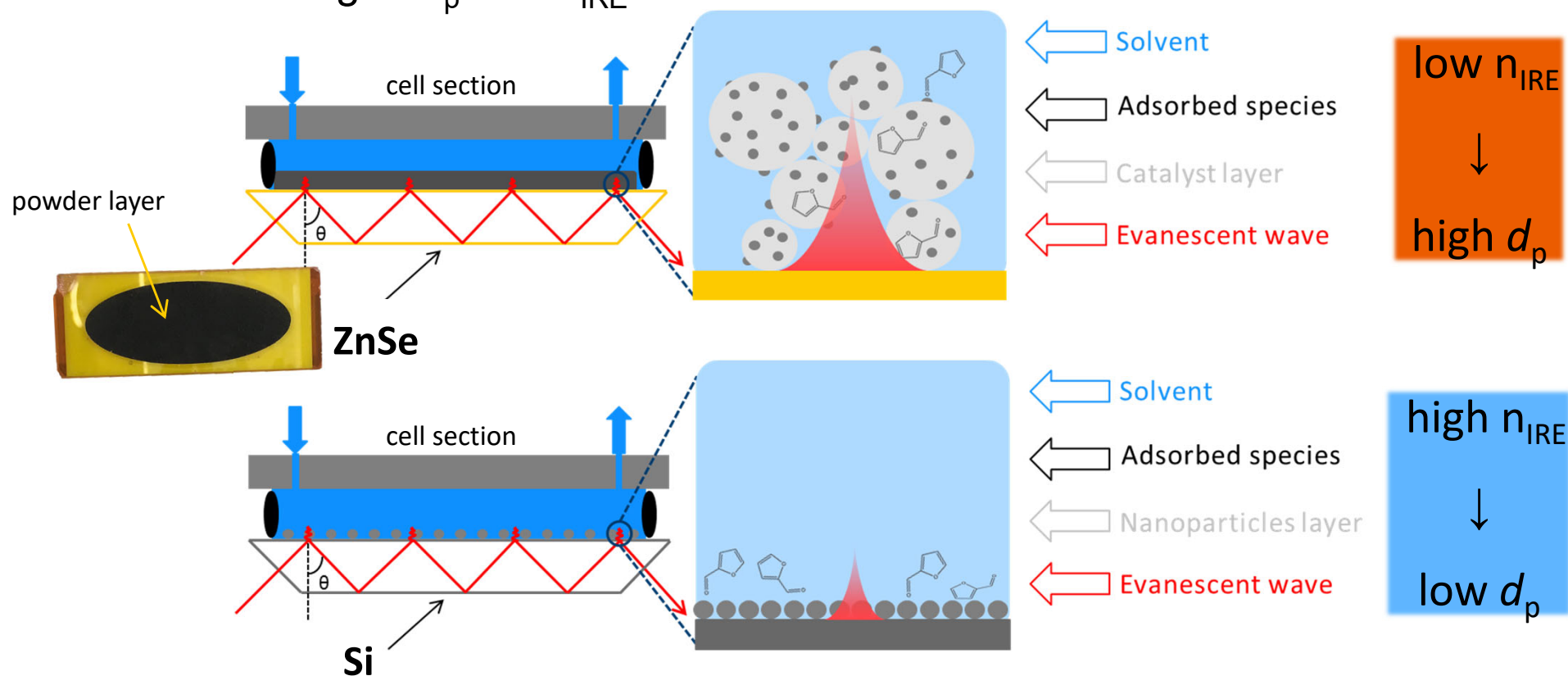


same spectrum as in transmission but with much smaller sample thickness



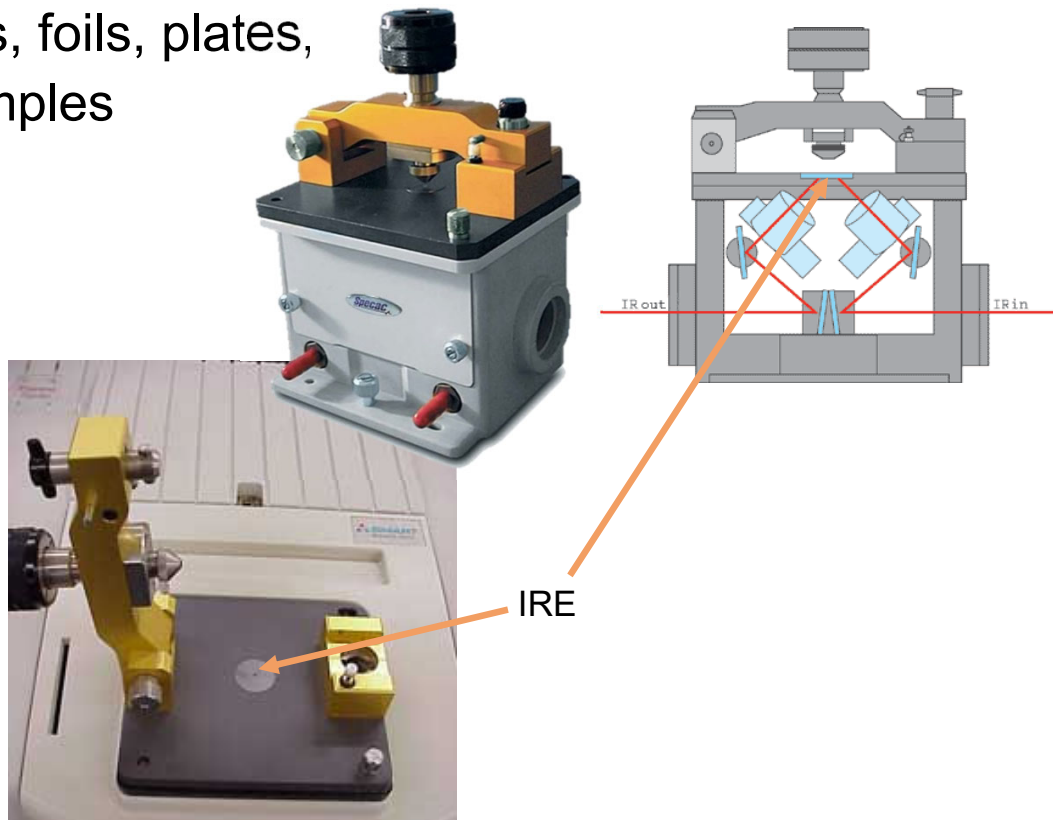
Attenuated total reflection

- The meaning of d_p and n_{IRE}



Attenuated total reflection

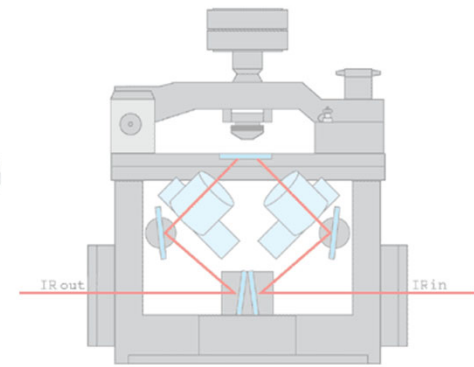
- Solid (powders, crystals, foils, plates, seeds...) and liquid samples
- Ex situ
 - structure assignment
 - identification
 - quality control



Attenuated total reflection

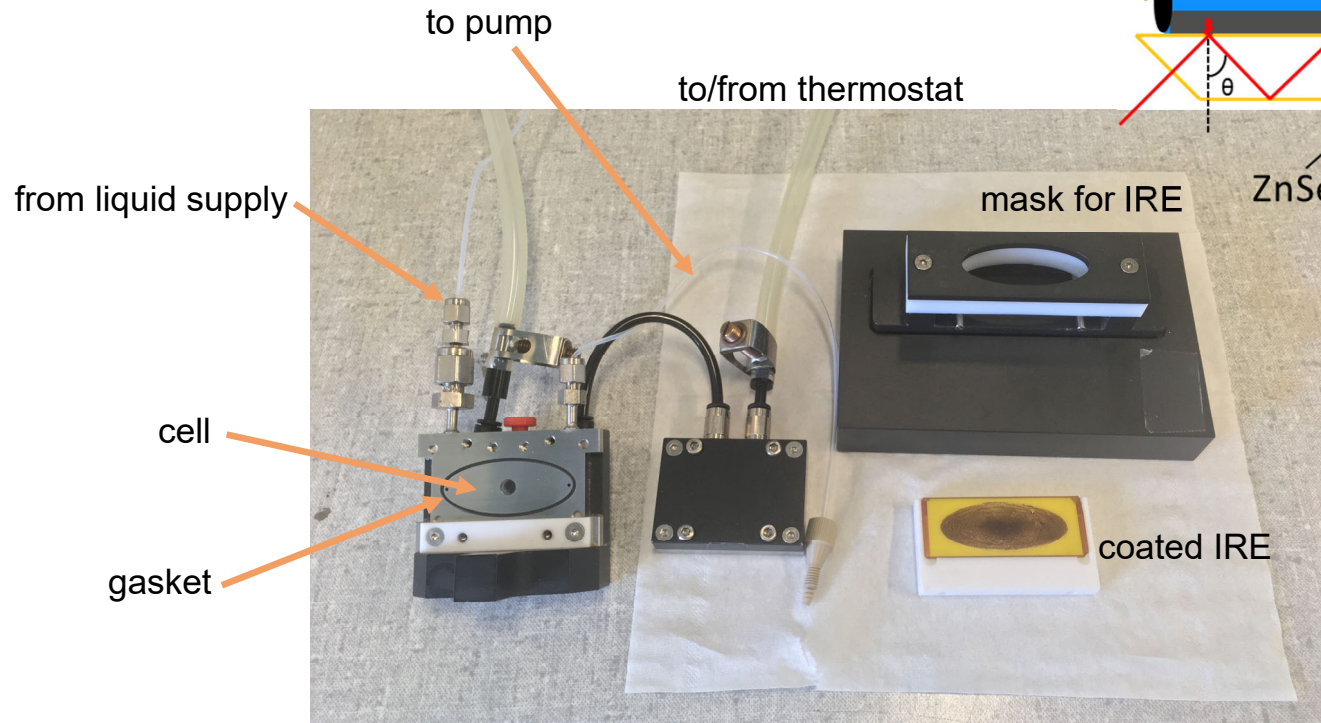
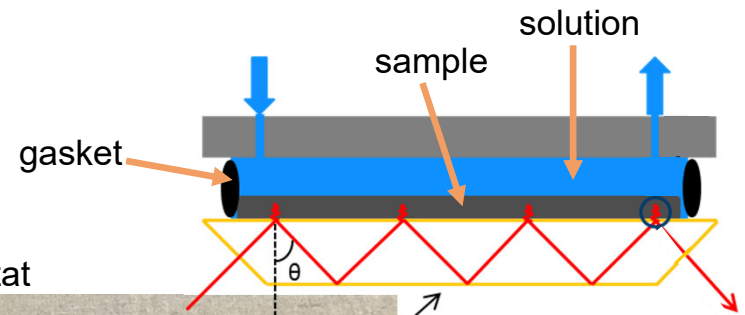
- Solid (powders, crystals, foils, plates, seeds...) and liquid samples
- Ex situ

- In situ/operando
 - only liquids
 - suspensions
 - solid in contact with liquid



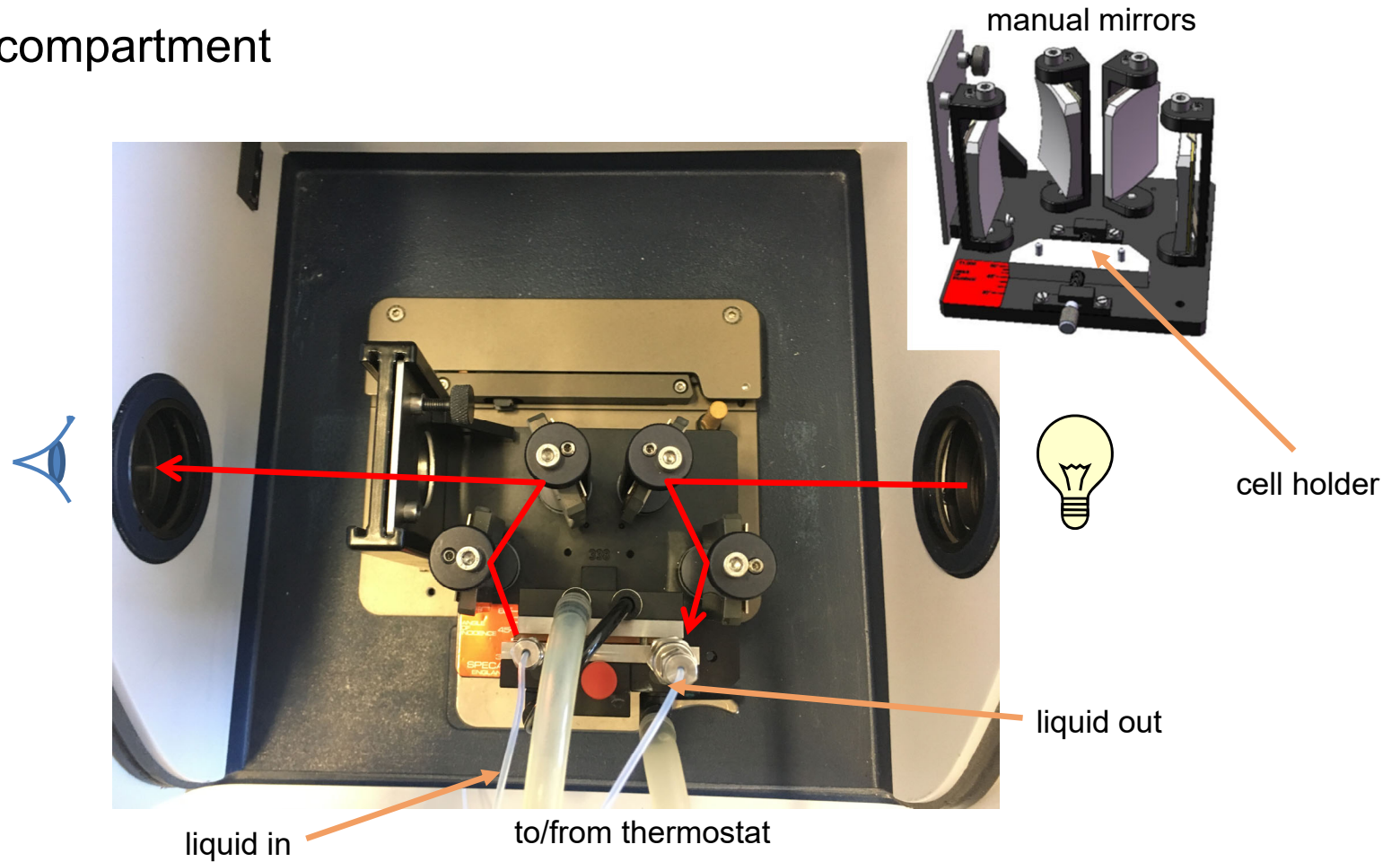
Attenuated total reflection

- Cell mounting

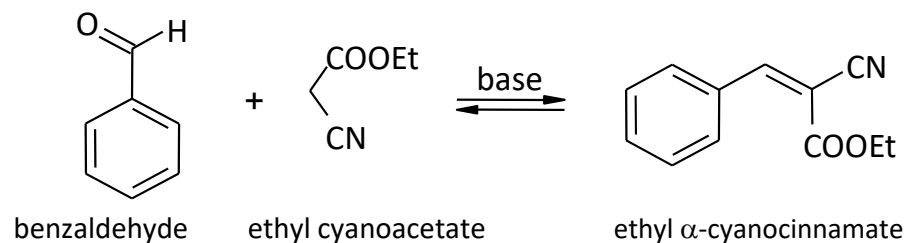


Attenuated total reflection

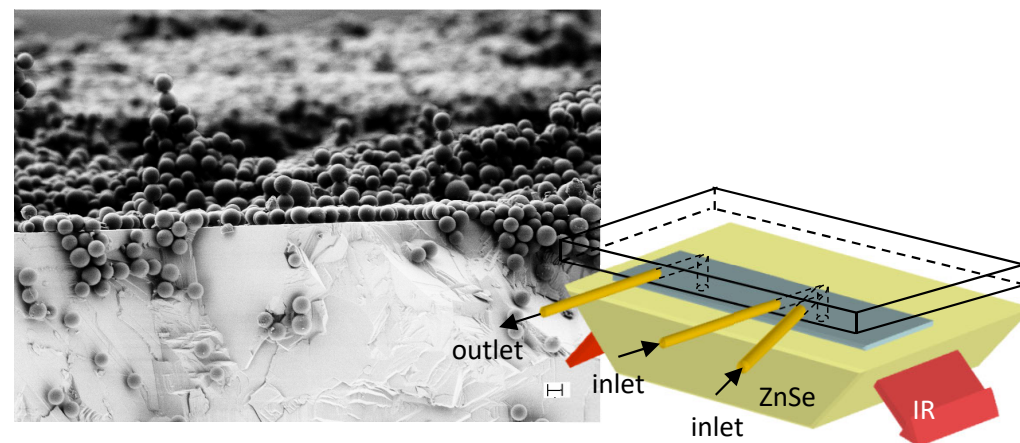
- Sample compartment



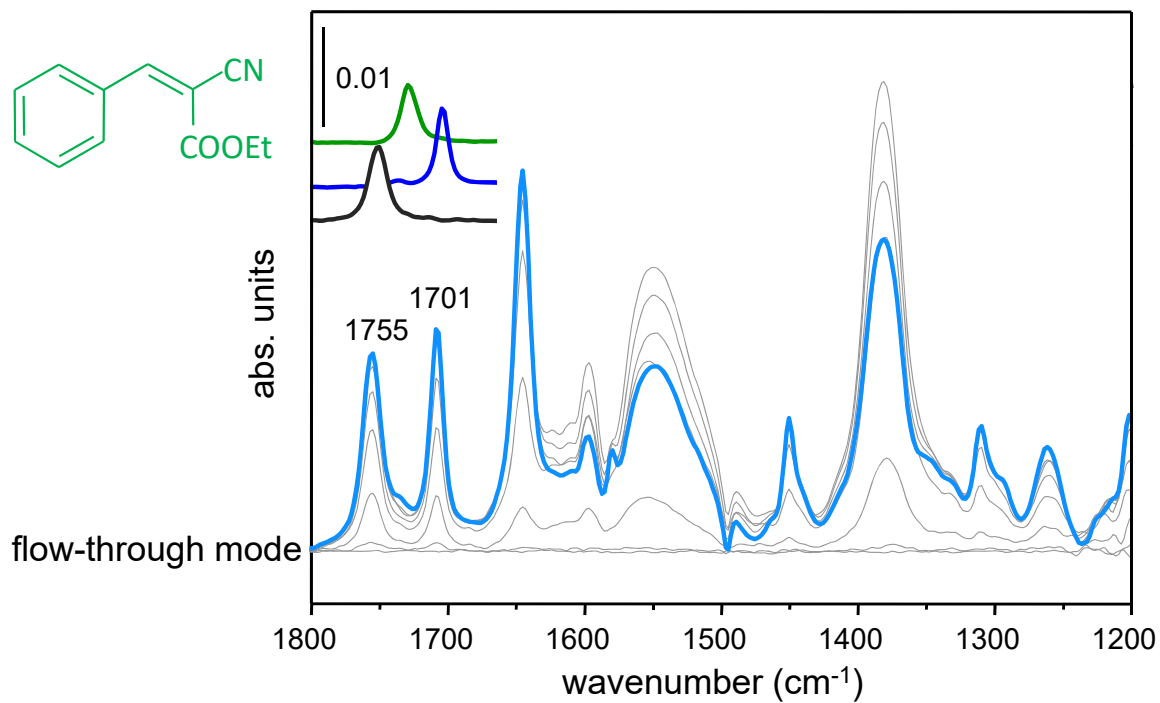
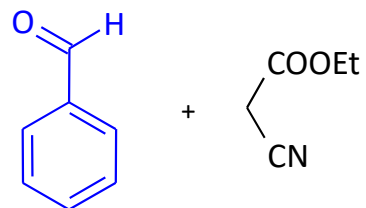
Knoevenagel condensation



- γ -aminopropyl modified SiO_2 (APS- SiO_2)
 - 1.5 mmol/g NH_2
 - 202 m^2/g
 - deposited on ZnSe from
 - CCl_4 slurry
 - toluene/PE slurry prep. 80°C
 - dried in *vacuum*
- toluene, 60°C

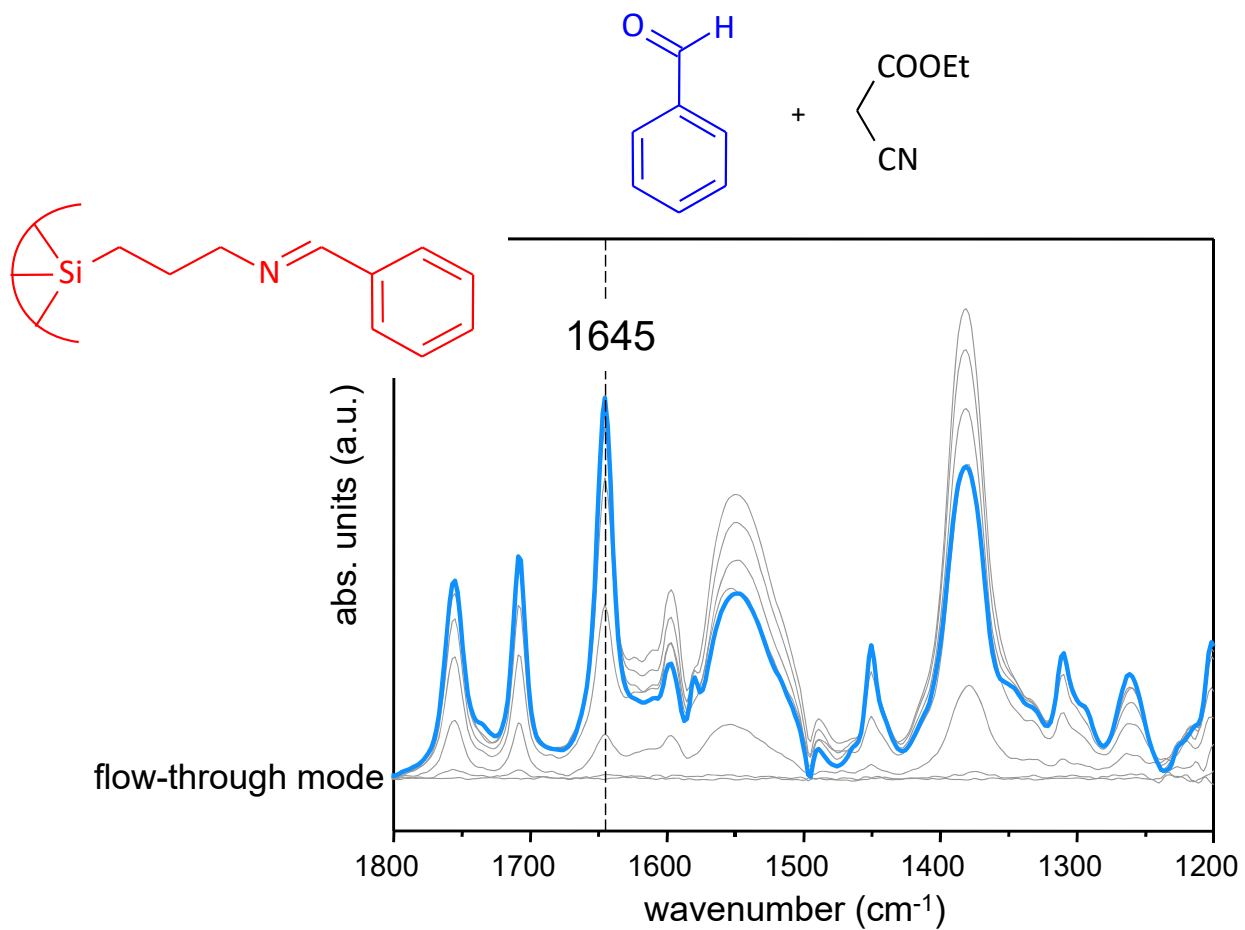


Knoevenagel condensation



toluene, 60°C, 20 mM

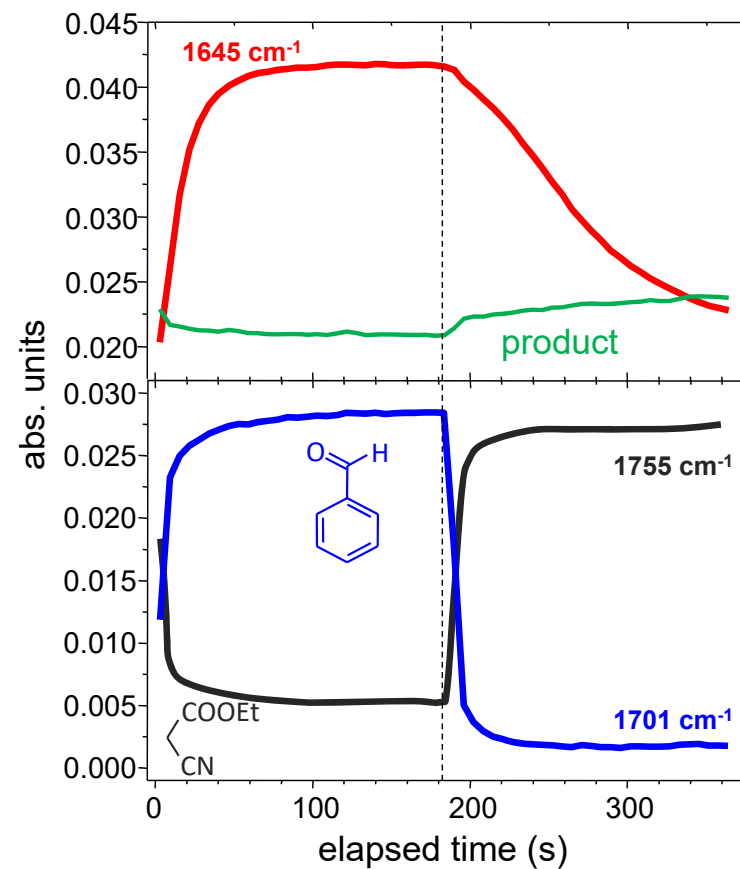
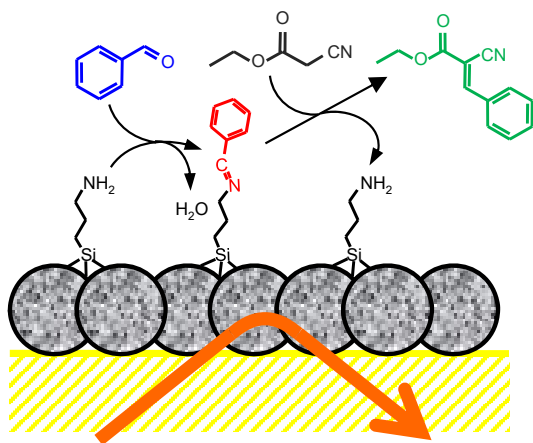
Knoevenagel condensation



toluene, 60°C, 20 mM

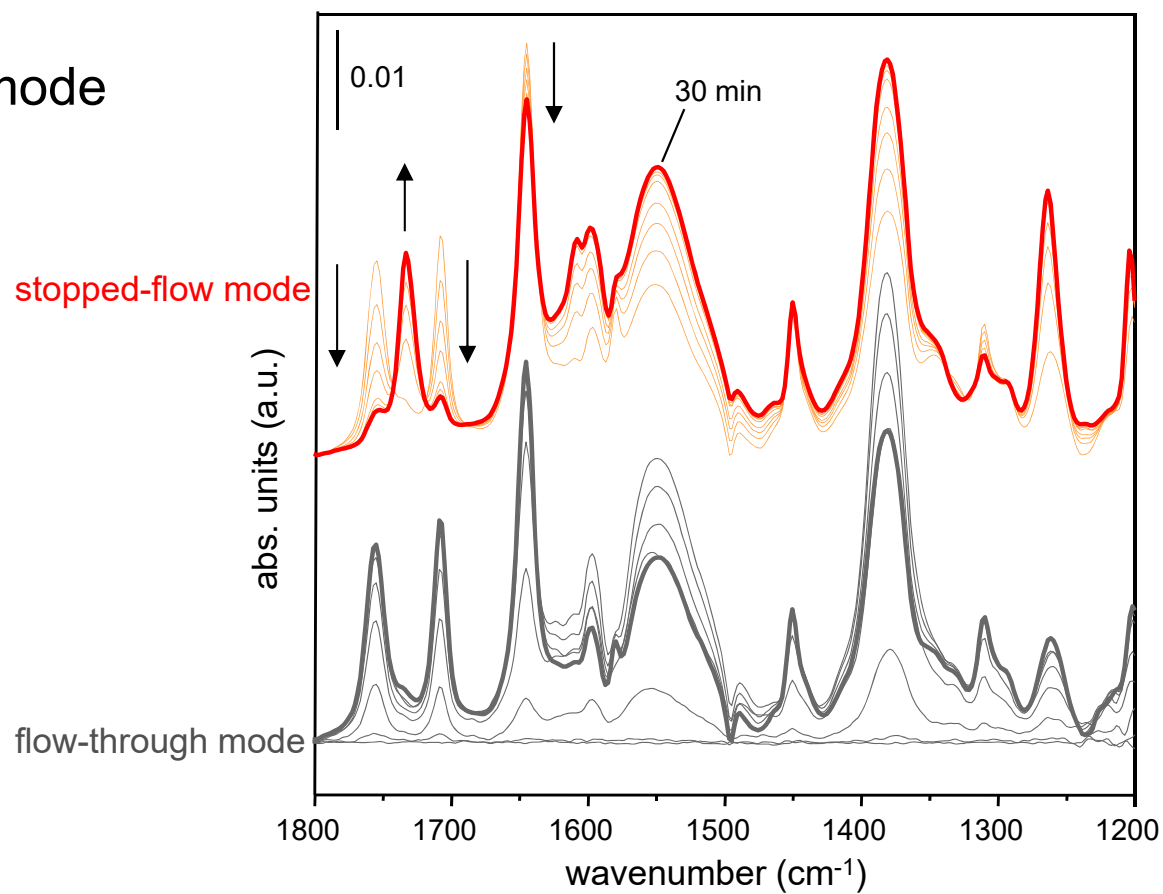
Knoevenagel condensation

- Consecutive dosage of reactants
- Time dependence



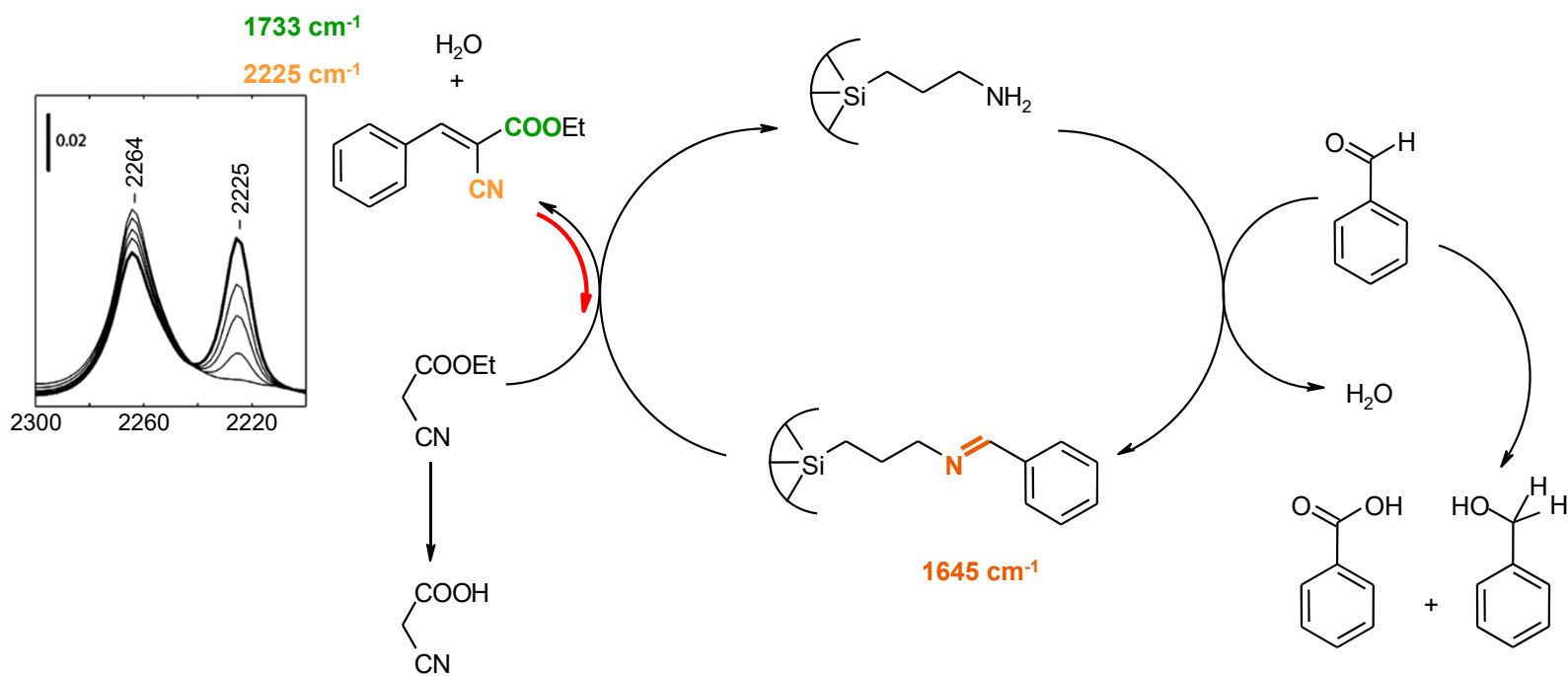
Knoevenagel condensation

- Stopped-flow mode



toluene, 60°C, 20 mM

Knoevenagel condensation



Information on materials

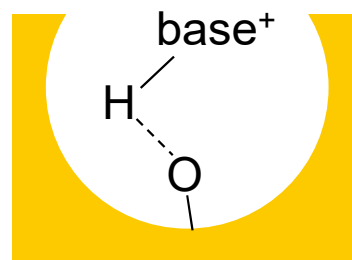
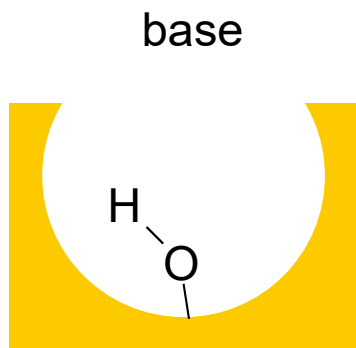
- The spectrum contains information on
 - terminal O-H bonds | 3800-3600 cm^{-1} Adsorption sites on metals?
 - bridge hydroxyls | Brønsted acidity Acid sites?
 - H-bonded hydroxyls Basic sites?
...
 - M-O and M=O bonds, bulk and surface
 - fundamental (n) and overtone ($2 \times n$) modes
 - other groups, e.g. C-H, carbonates, carboxylates...

Acid sites

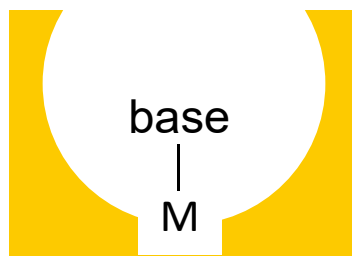
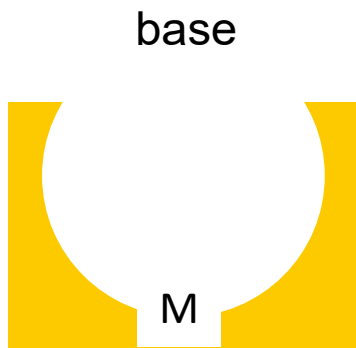
- Quality and quantity of acid sites | Criteria
 - unequivocal analysis of intermolecular interaction
 - selective interaction with acidic or basic sites
 - sufficient accuracy in frequency shift determination
 - high (and available) extinction coefficients of adsorbed probe
 - appropriate acid (base) strength to induce interaction
 - high specificity (allow discrimination between sites with different strength) - Use different molecules !
 - small molecular size - Use different molecules !
 - pyridine (smaller channels) and picoline (larger channels or surface only)
 - low reactivity under exp. conditions
- Examples
 - acidity of zeolites with different channel sizes
 - acid sites located in all channels

Acid sites

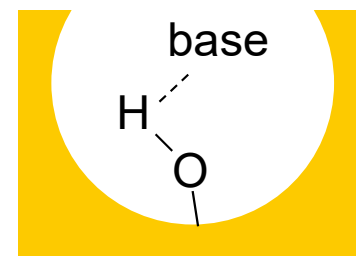
BAS
Brønsted sites
(protic)



LAS
Lewis sites
(aprotic)

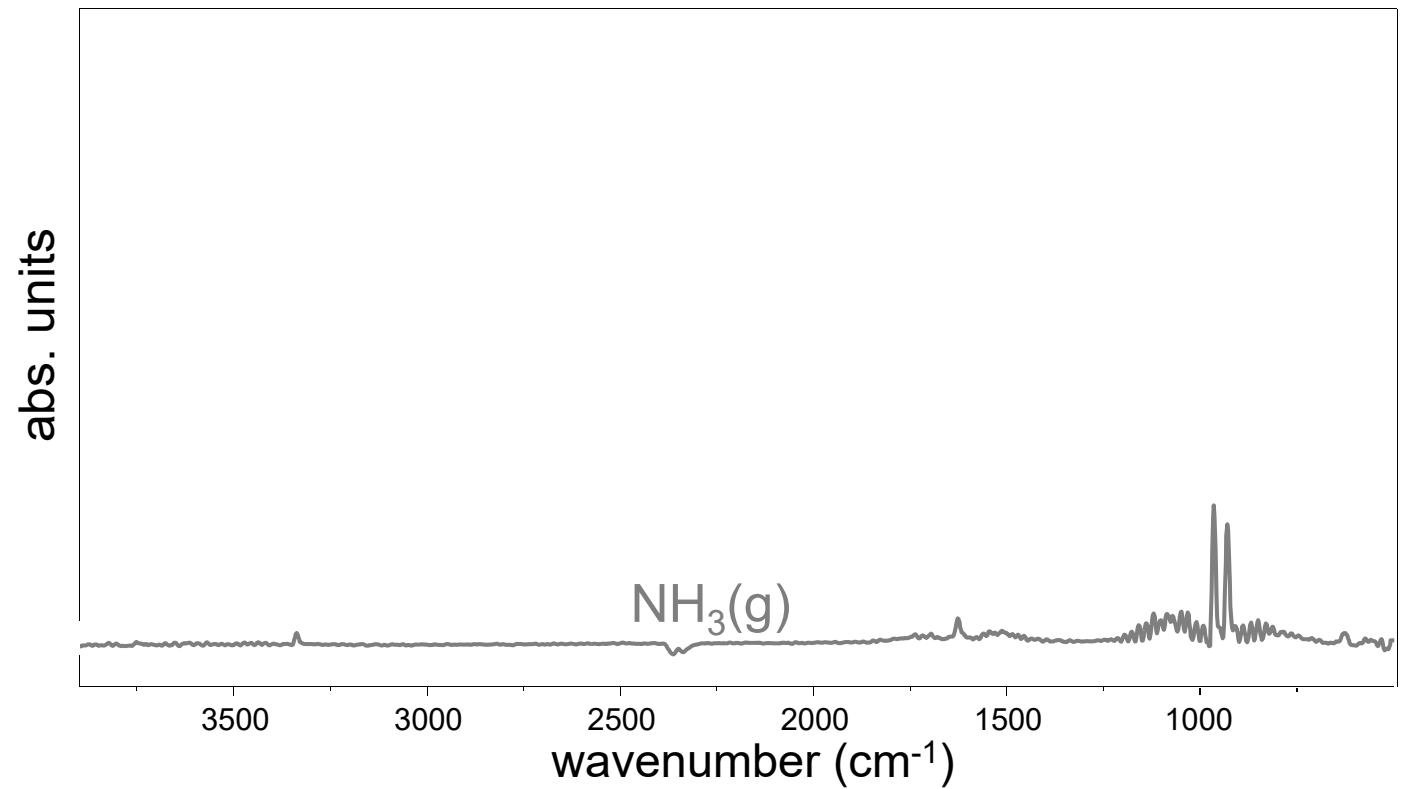


HB
hydrogen bond



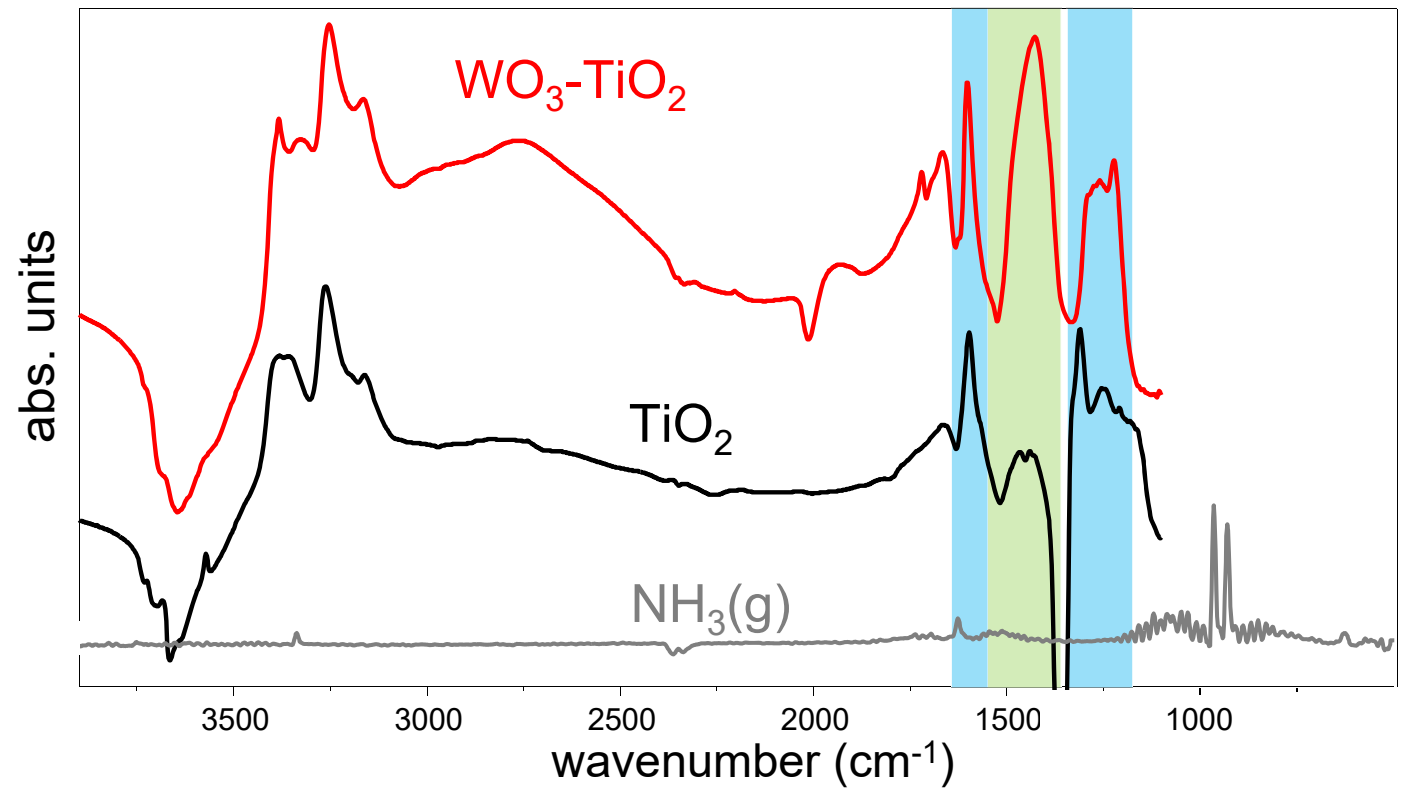
Probe molecules

- Adsorption of NH_3



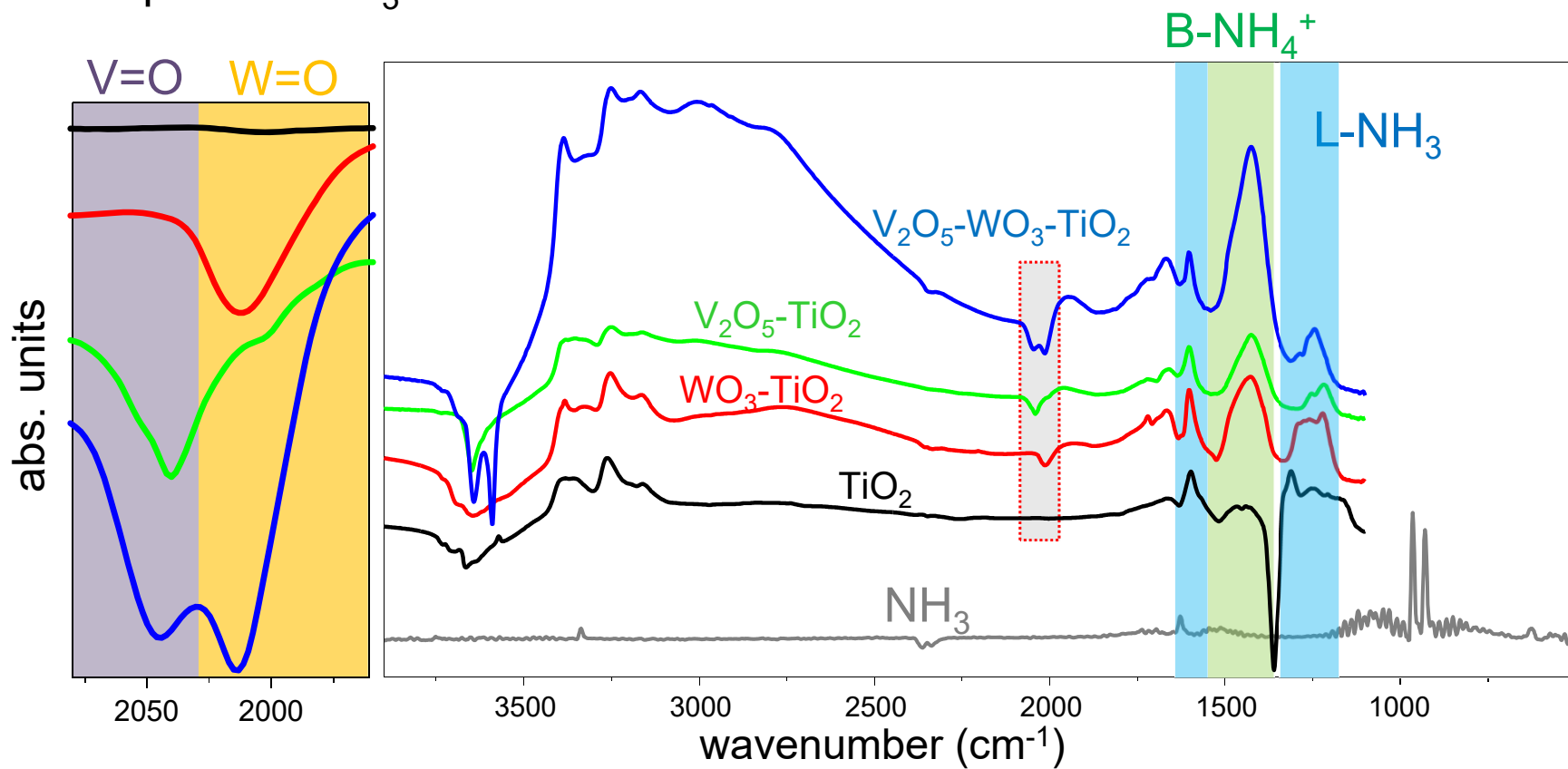
Probe molecules

- Adsorption of NH_3



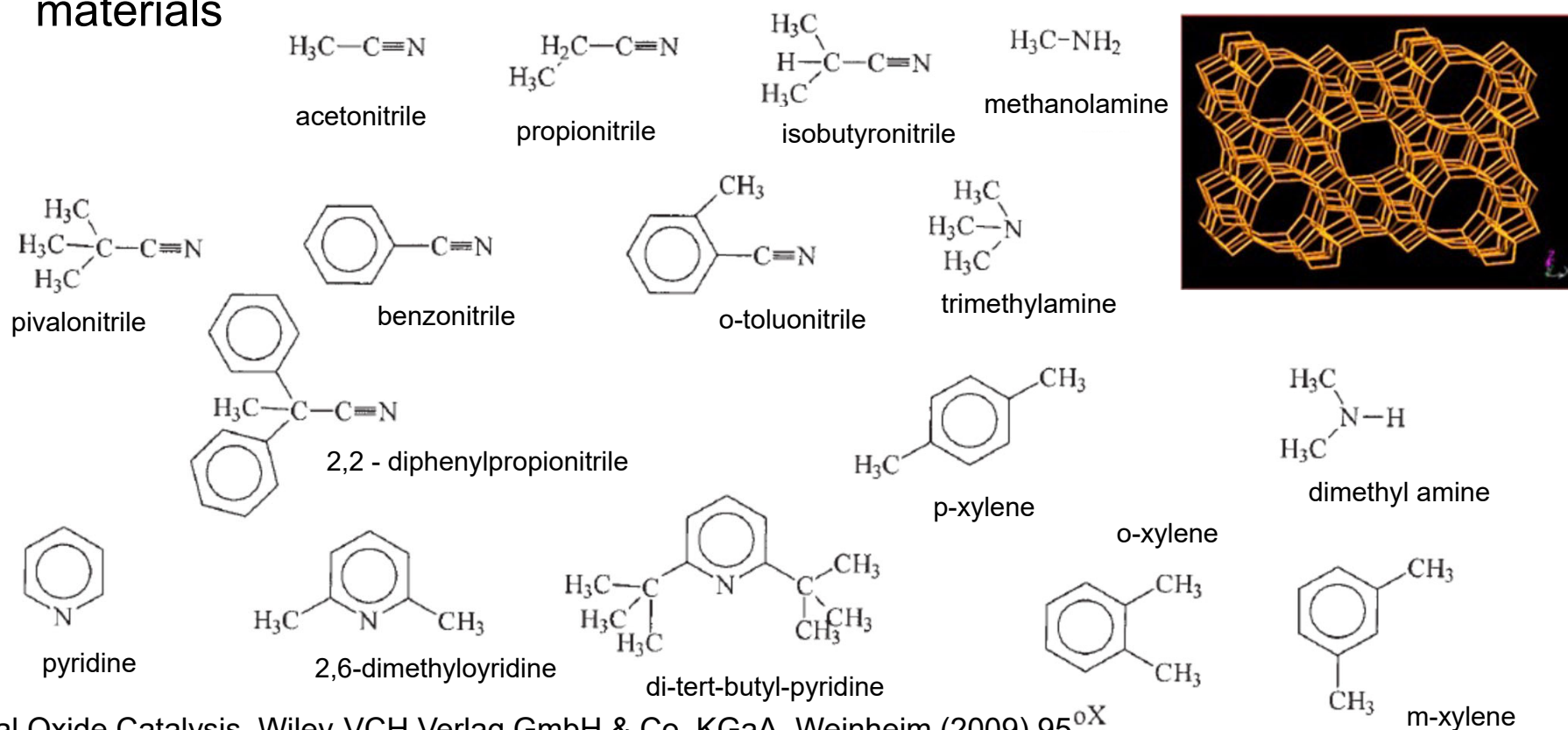
Probe molecules

- Adsorption of NH_3



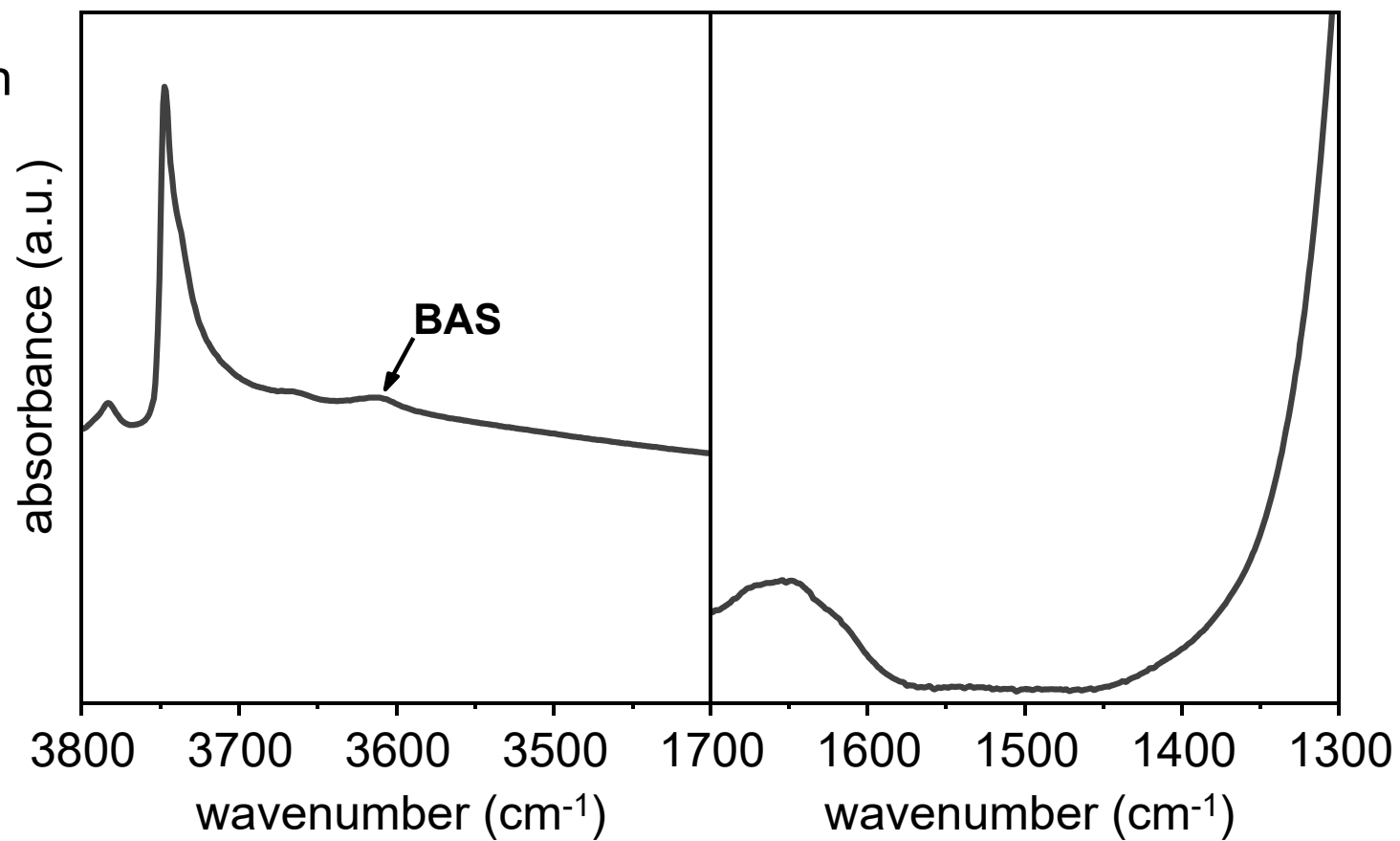
Acid sites

- Probe molecules for the study of localization of active sites in microporous materials



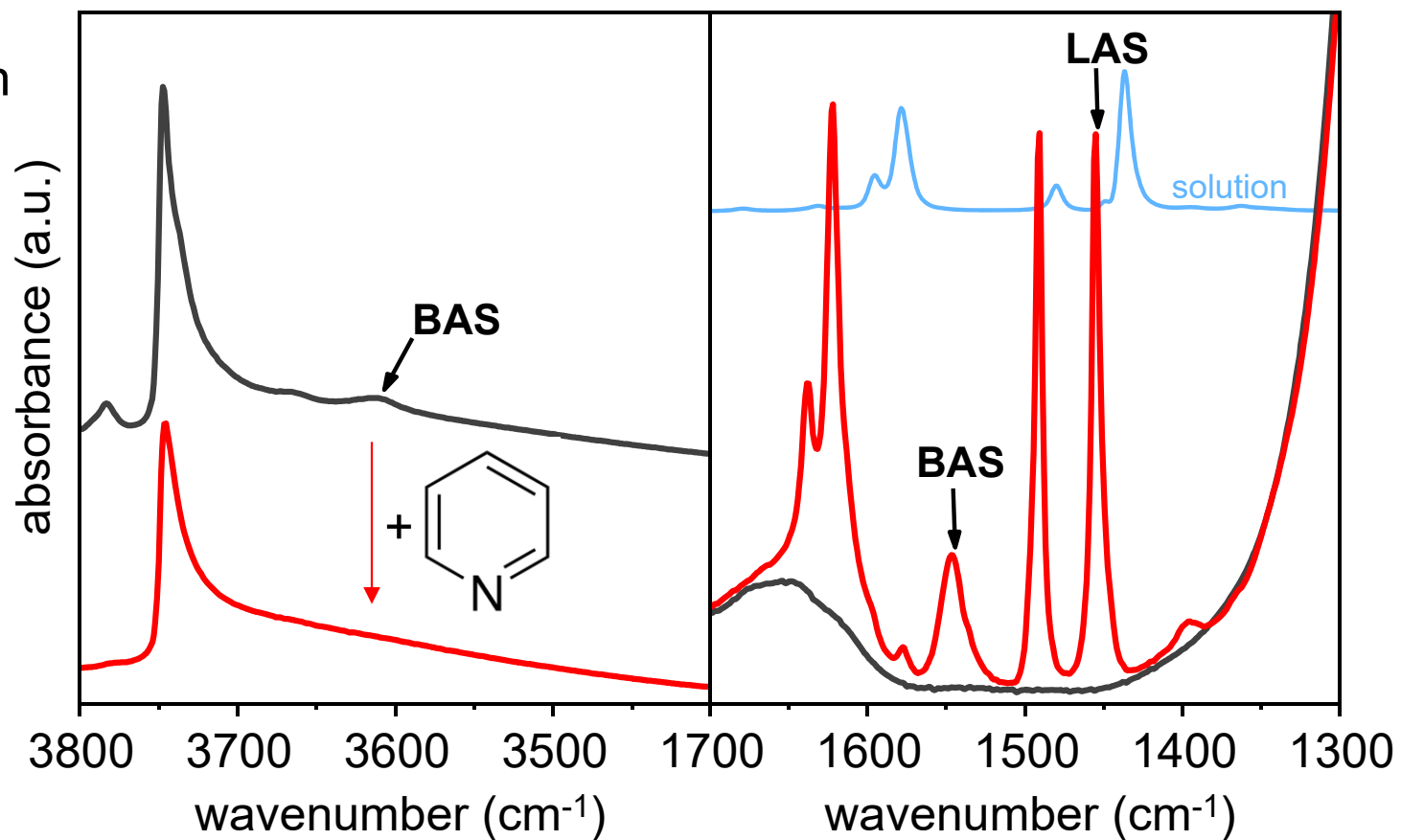
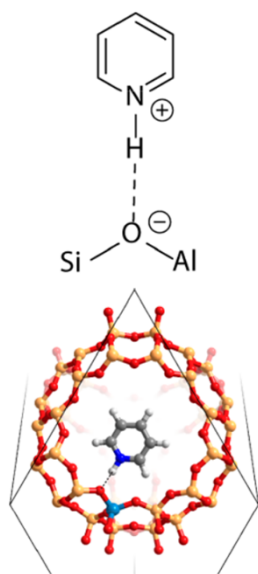
Acid sites

- Pyridine
– Transmission



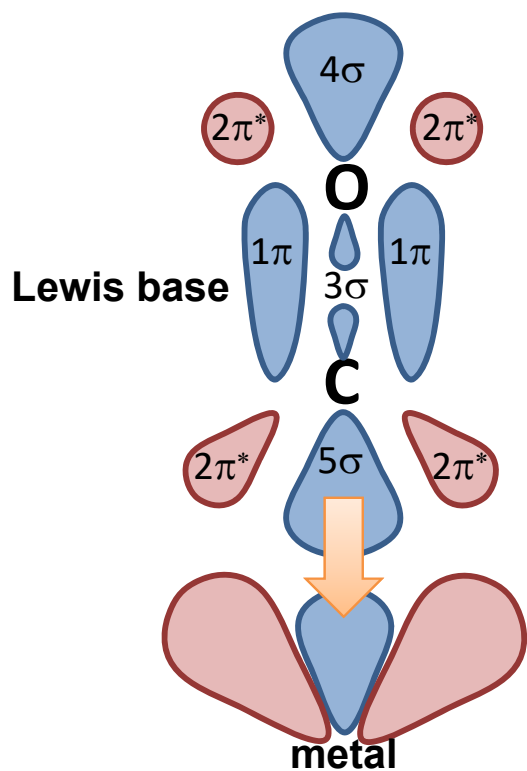
Acid sites

- Pyridine
– Transmission



Probe molecules

- Carbon monoxide

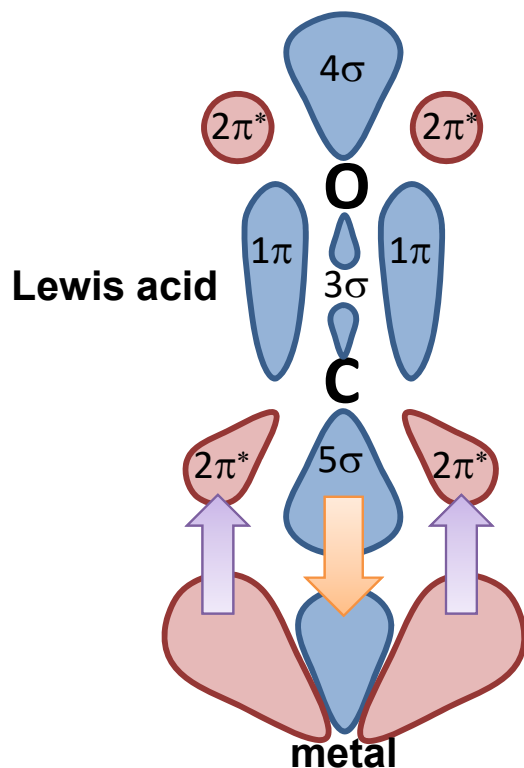


Donation

CO donates electrons from the s orbital to the metal

CO adsorption

Blyholder model



Donation

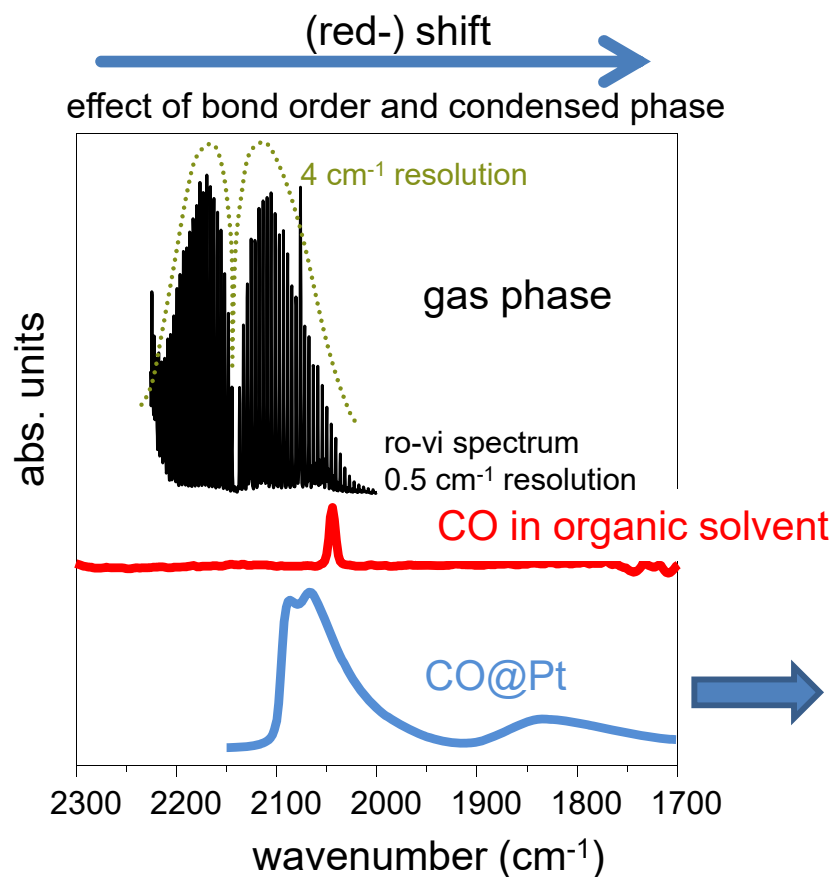
CO donates electrons from the s orbital to the metal

Back-donation (BD)

metal donates electrons back to the anti-bonding π orbital of CO

- Low CO coverage: ν_{CO} depends on the geometry of **adsorption site** (face order: **terrace – corner – edge**) – **BD is strong**
- High CO coverage: ν_{CO} depends on **dipole-dipole interactions** – **BD is weak**

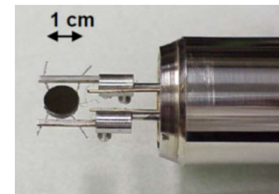
CO adsorption



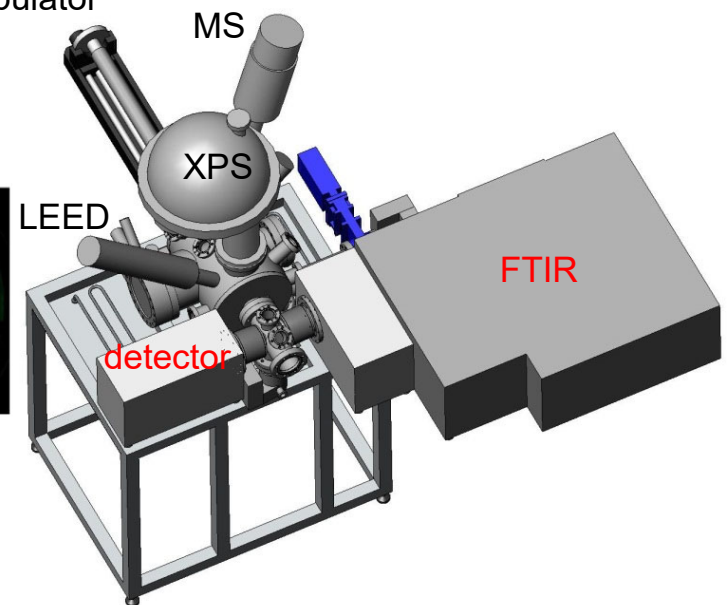
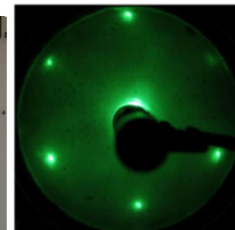
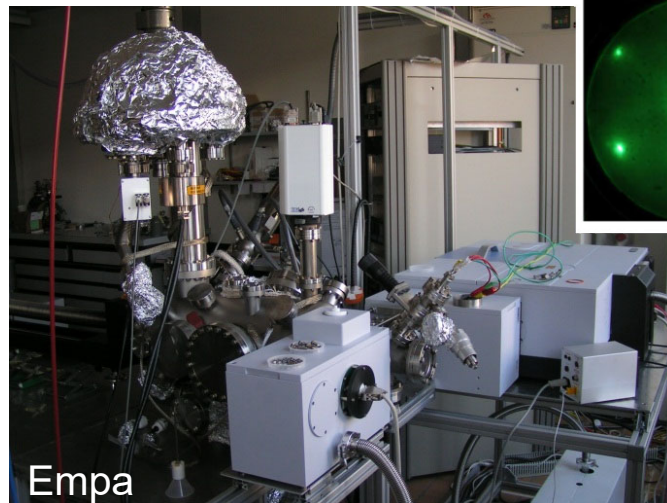
Adsorbate
assignments on powders
by comparison with
reference exps. in UHV
(single crystals)

CO adsorption

- Model studies – Surface science
 - stainless steel UHV setup with flanges, pumps, pressure gauges, etc.
 - 10^{-10} to 10^{-11} mbar base pressure
 - tools and components for preparation, characterization, sample manipulation, resistive heating

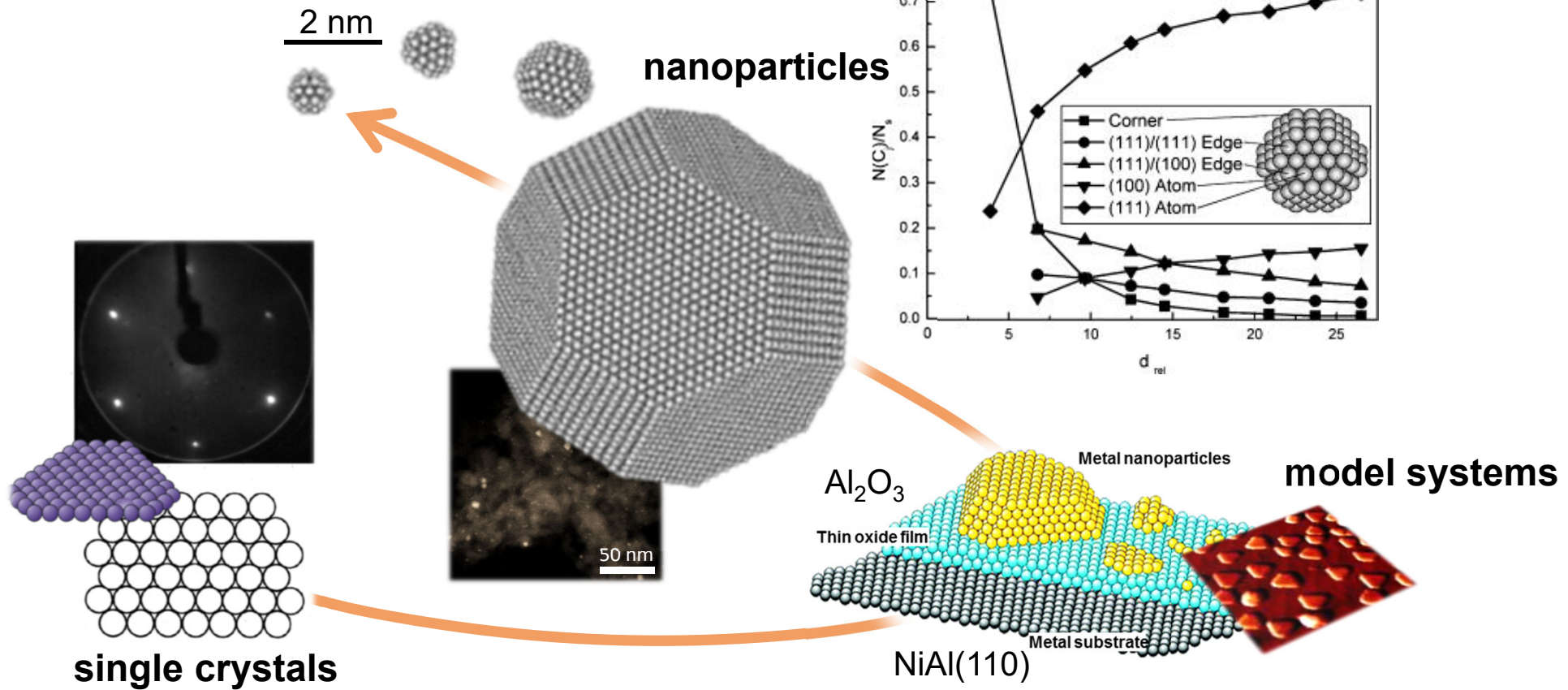


manipulator



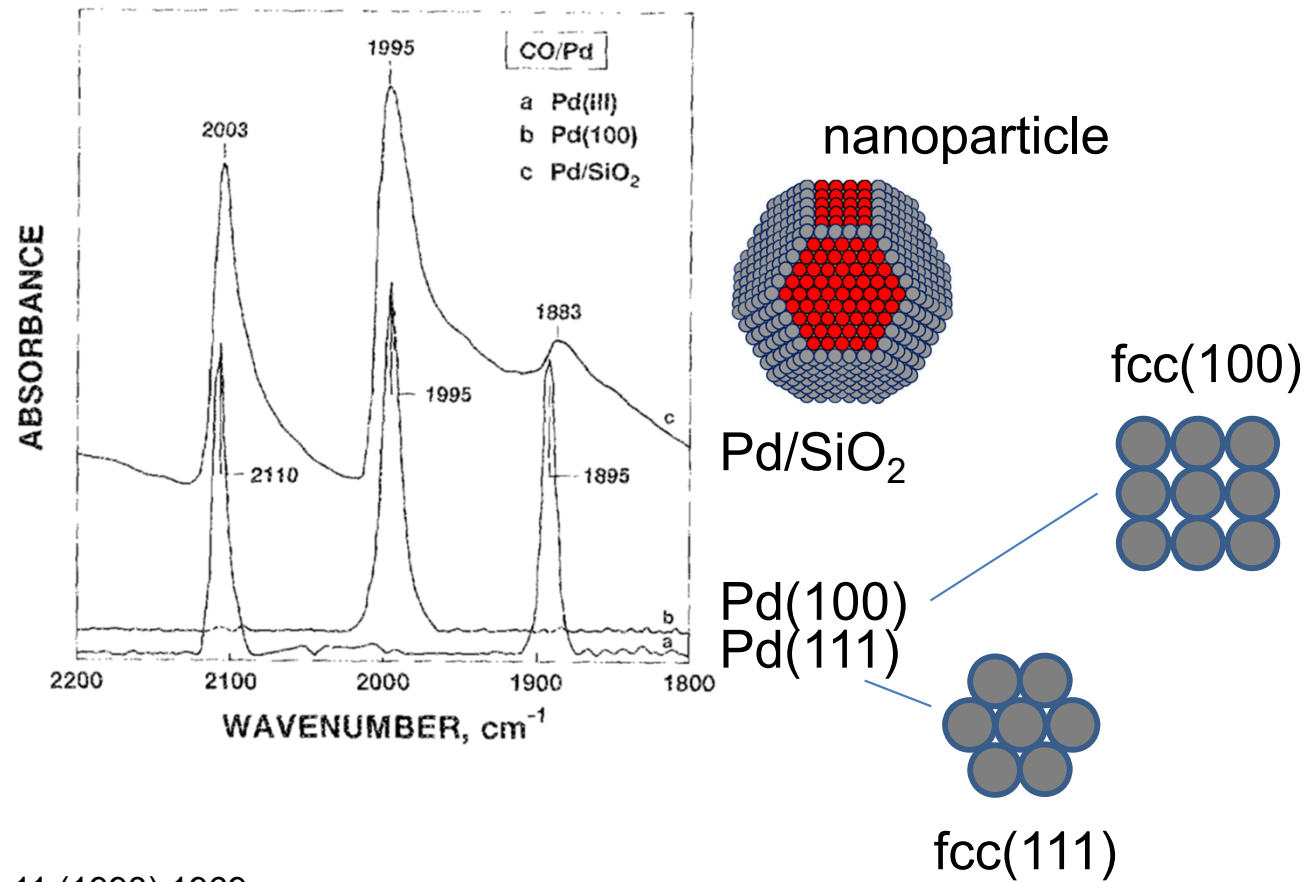
CO adsorption

- Model studies – Surface science



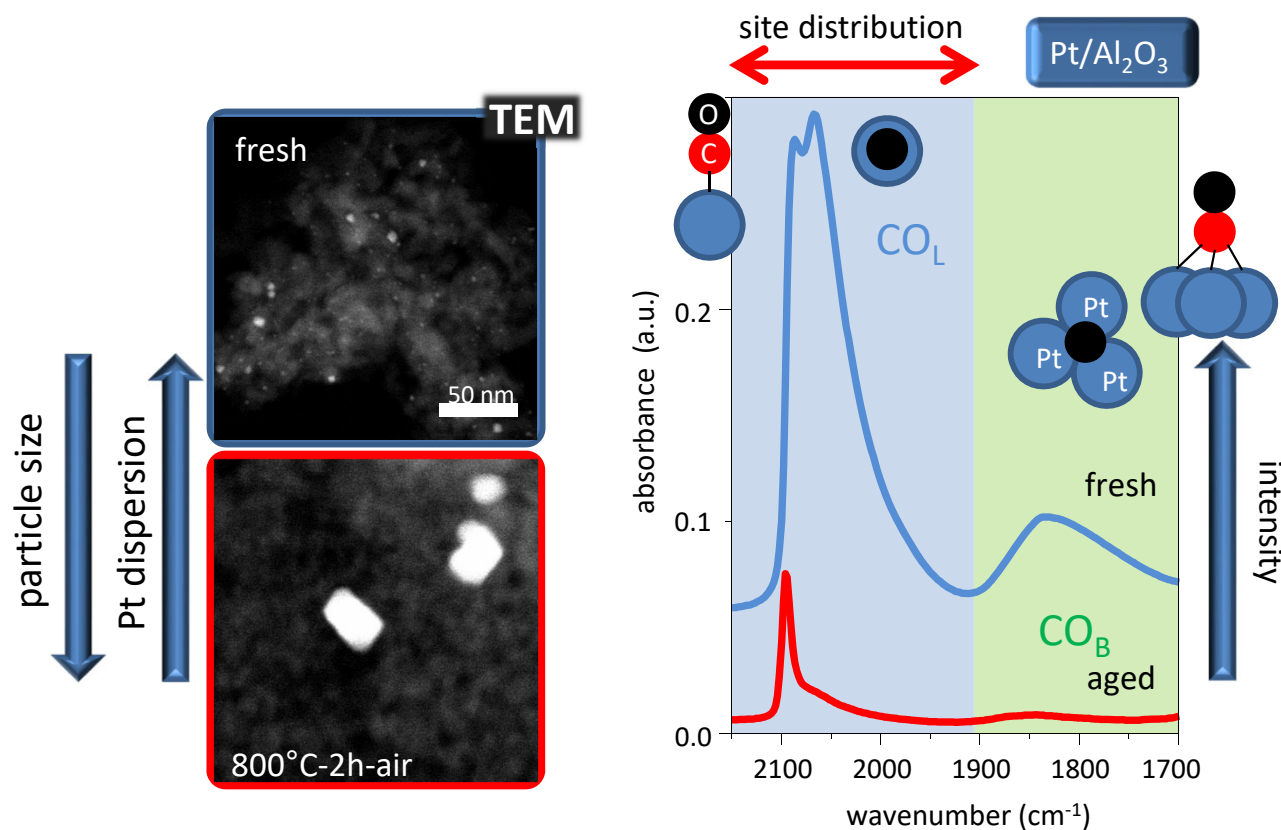
CO adsorption

- Powders



CO adsorption

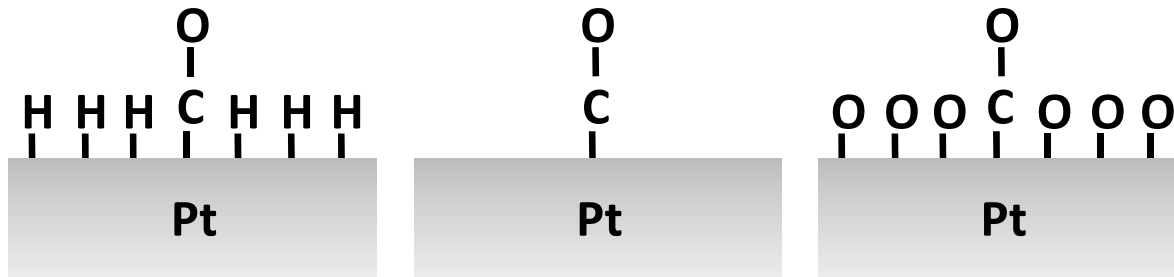
- Powders



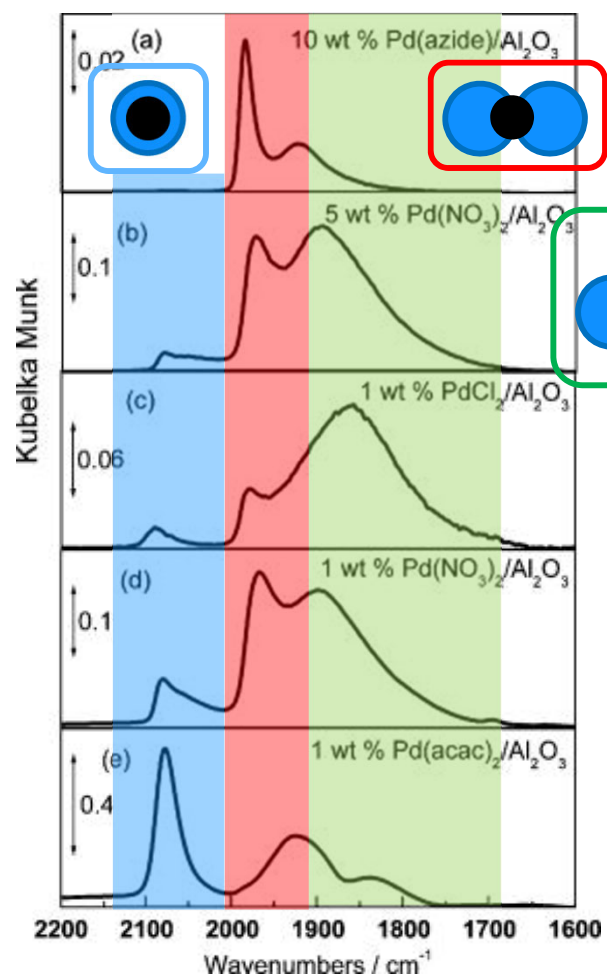
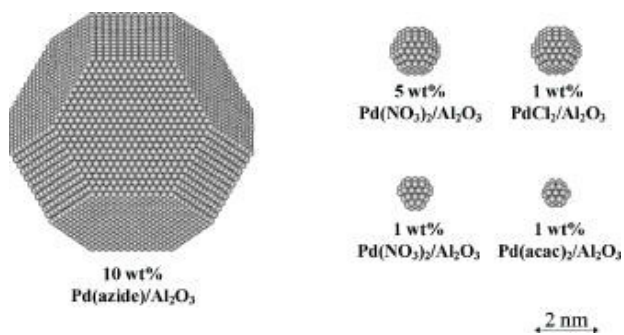
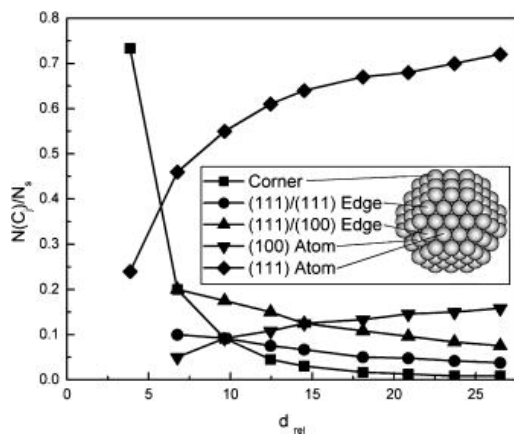
- the larger the particles, the less CO adsorbs (**intensity**)
- the larger the particles, the less the available defects (**nr. of signals**)

CO adsorption

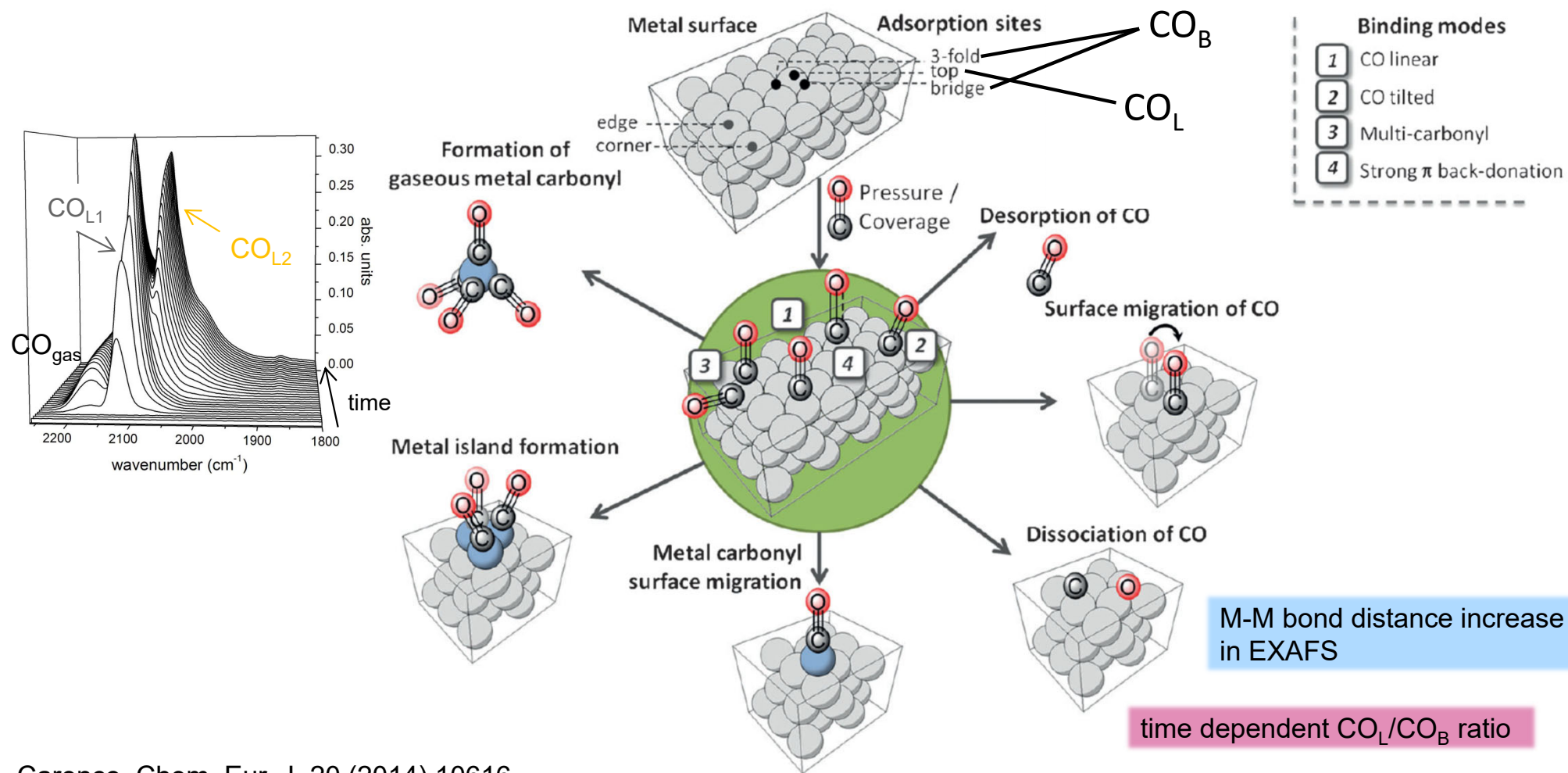
How does the CO stretching frequency shift when a Pt surface is covered with hydrogen or oxygen?



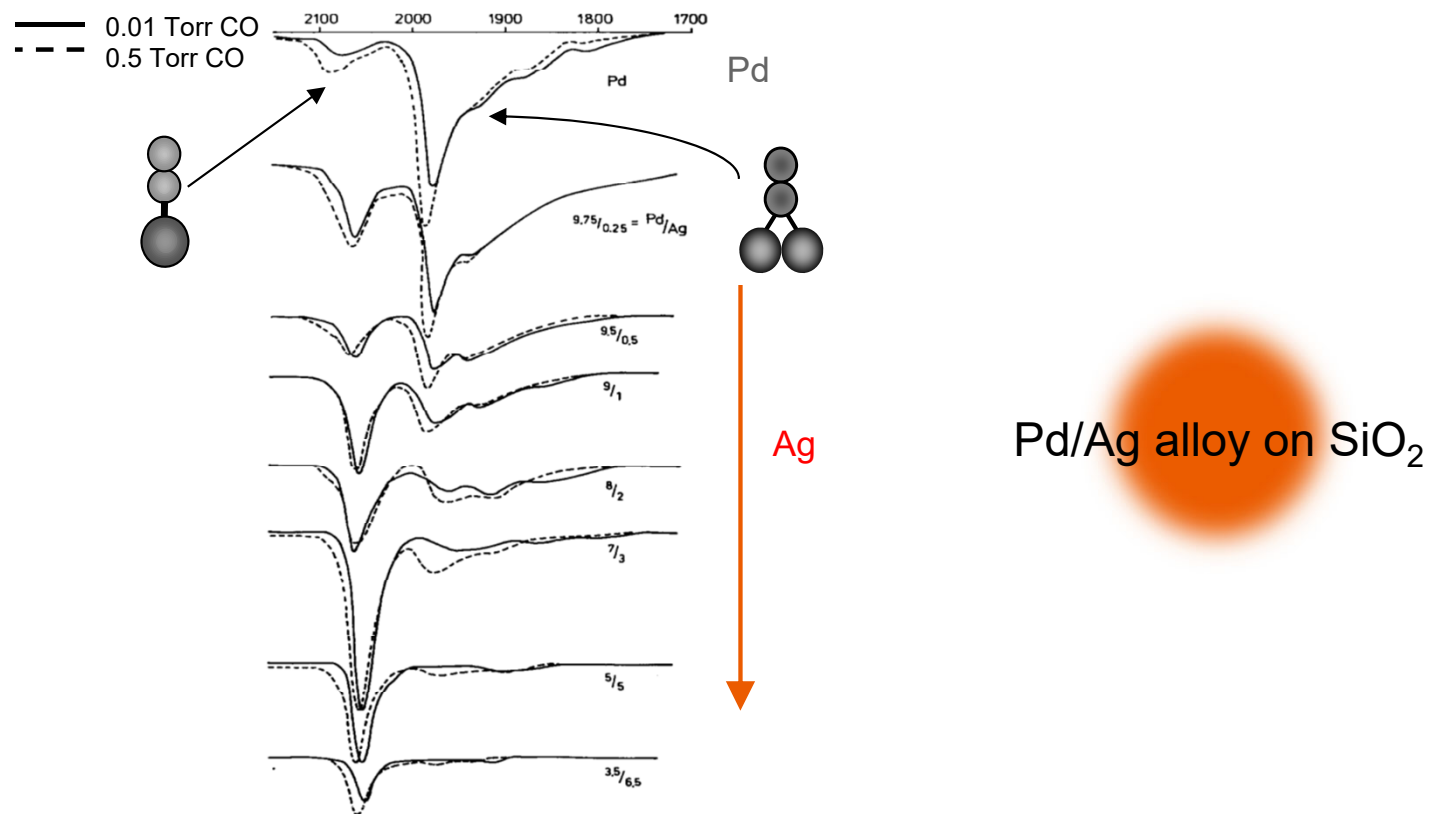
CO adsorption



CO adsorption



CO adsorption



The surface selection rule

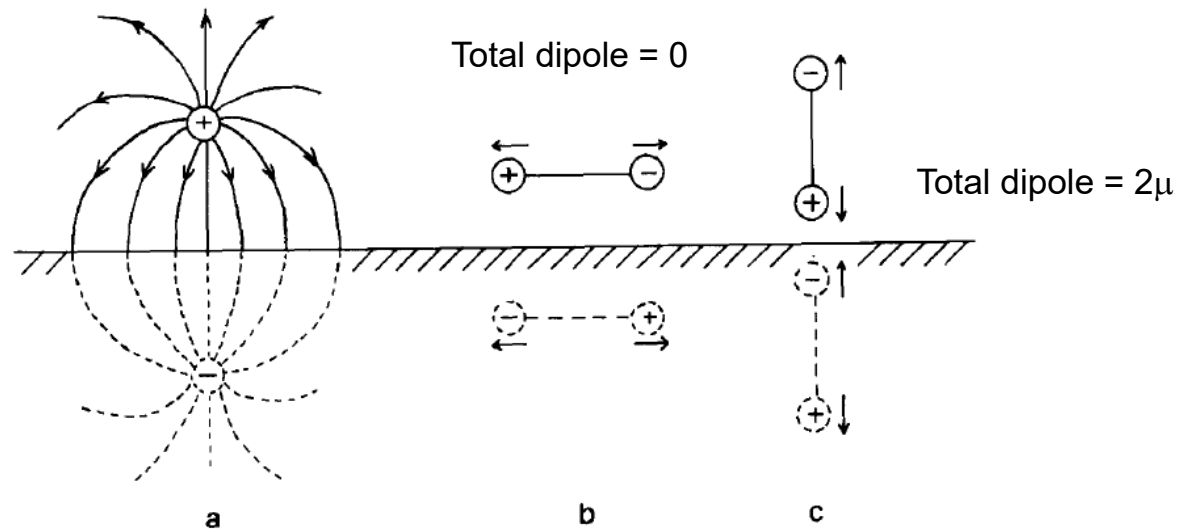
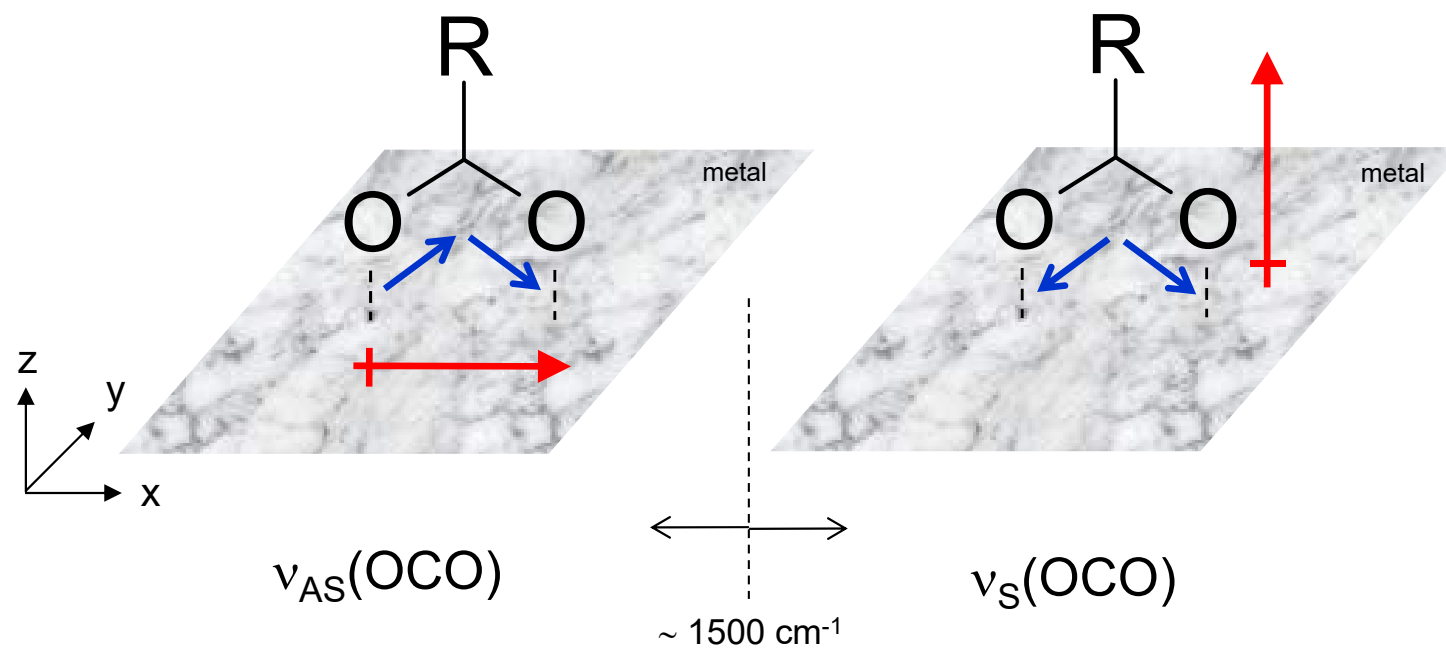


Fig. 1. (a) The lines of force and the electrical "image" resulting from a positive charge over the surface of a conductor (the metal surface is the upper line above the hatched area). (b) The changes during the vibration of a dipole parallel to the surface of the metal; the "image" dipole change is in the opposite direction to the original. (c) The changes during the vibration of a dipole perpendicular to the surface; the "image" dipole change is in the same direction as the original.

The surface selection rule

- Carboxylate groups

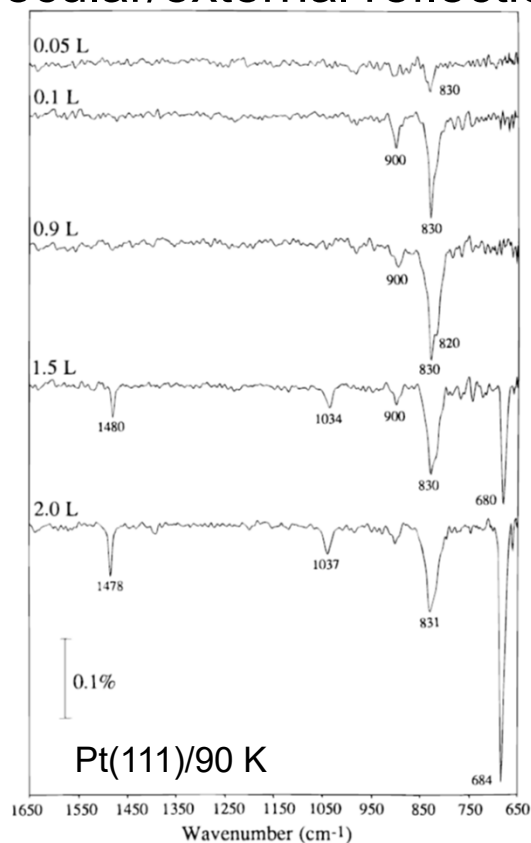
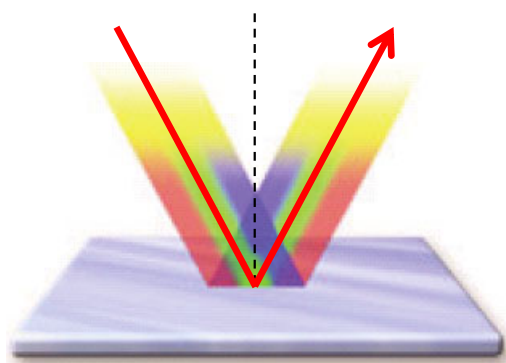


Note that the selection rule can break down for particles smaller than ca. 2 nm

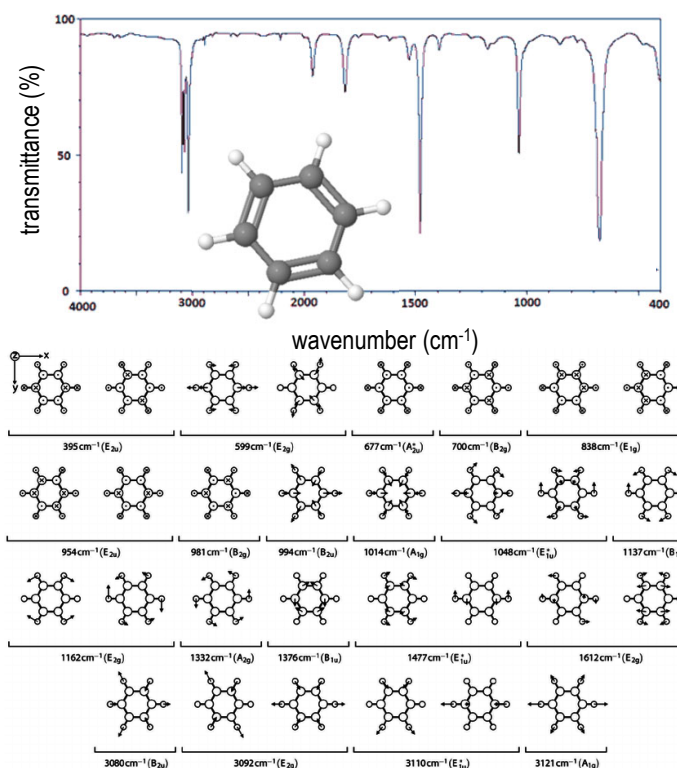
Greenler et al. Surf. Sci. 118 (1982) 415

Reflection-absorption (IRRAS)

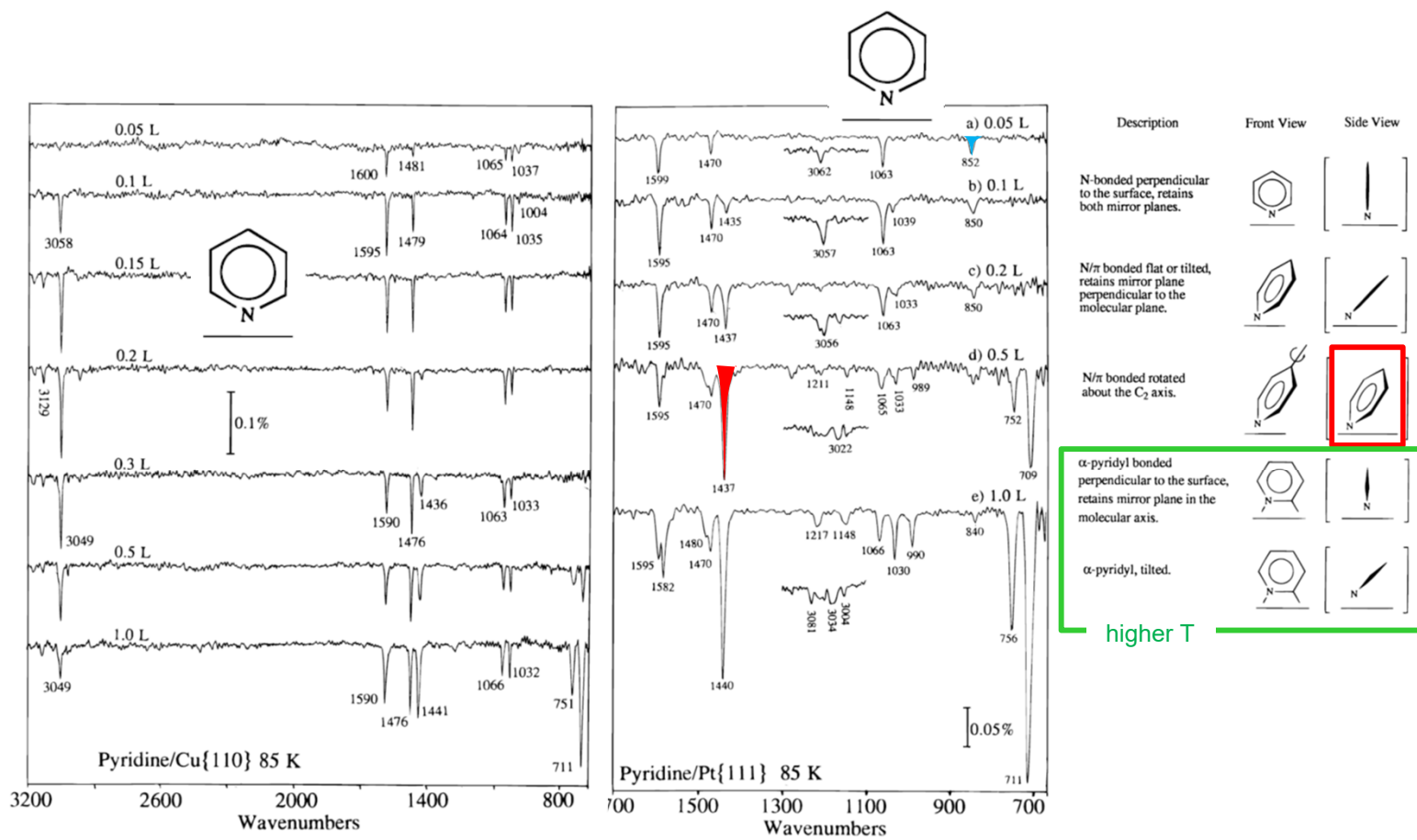
- Also RAIRS; specular/external reflection method



L (Langmuir) = exposure of 10^{-6} Torr gas for 1 s

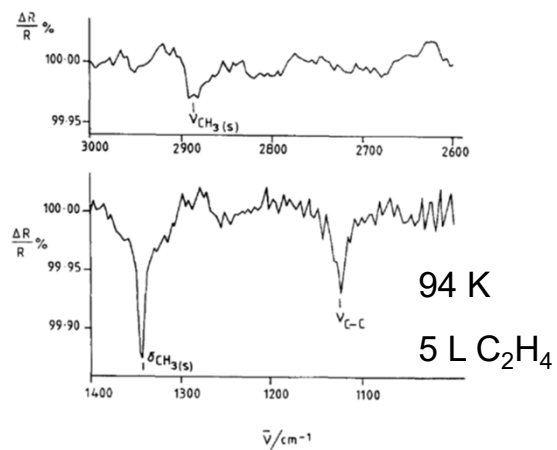


Reflection-absorption (IRRAS)



Reflection-absorption (IRRAS)

- Adsorption of ethylene

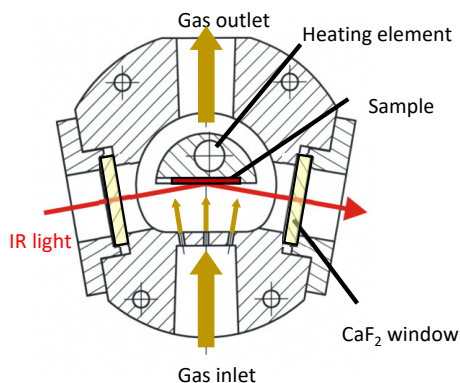


Vibrational assignments of ethyldiyne

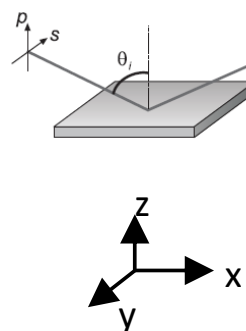
| Mode | Pt(111) (cm ⁻¹) | | Co ₃ (CO) ₉ CCH ₃ (cm ⁻¹) |
|----------------------------|-----------------------------|---------------|---|
| | FT-RAIRS [this work] | EELS [5] | |
| $\nu_{as}(\text{CH}_3)$ | Not allowed | 2950 (impact) | 2924 |
| $\nu_s(\text{CH}_3)$ | 2884 | 2895 | 2882 |
| $\delta_{as}(\text{CH}_3)$ | Not allowed | 1420 (impact) | 1432 |
| $\delta_s(\text{CH}_3)$ | 1341 | 1350 | 1359 |
| ν_{C-C} | 1124 | 1130 | 1161 |
| $\rho(\text{CH}_3)$ | Not allowed | 980 (impact) | 1006 |
| ν_{C-Pt} | Below detector cut off | 435 | ~ 600 |

Phase-modulation IRRAS (PM-IRRAS)

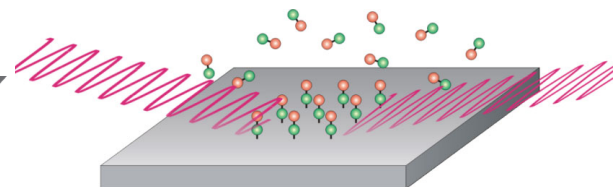
- Generation of 2 polarizations (photoelastic modulator)
 - excellent gas-phase compensation
 - non-UHV experiments possible
 - highly sensitive, time-resolved studies possible



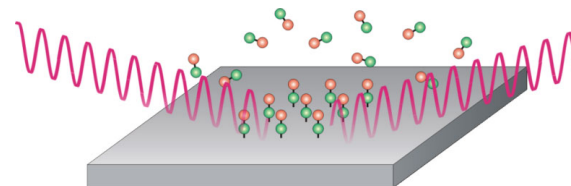
Urakawa et al., *J. Chem. Phys.* 124 (2006) 054717



Perpendicular (s-) polarization (y-axis)



Parallel (p-) polarization (x, z-axis)



$$R_p - R_s = \Delta R$$

Parallel polarization (surface + gas) Perpendicular polarization (gas) Difference (surface)

The surface spectra are often shown in $\Delta R/R$ ($R=R_s+R_p$)

Open positions

- PhD
 - Control of N_2O emissions from NH_3 fuelled engines using selective catalytic reduction catalysts

- PhD
 - Near IR spectroscopy of urea synthesis under reaction conditions