

# New Theoretical Approaches to Study Raman Optical Activity:

Localized Vibrations, Intensity Carrying Modes,  
Intensity-Tracking

Markus Reiher

Laboratorium für Physikalische Chemie, ETH Zurich, Switzerland

<http://www.reiher.ethz.ch>

[markus.reiher@phys.chem.ethz.ch](mailto:markus.reiher@phys.chem.ethz.ch)

VOA-2 — 2nd International Conference on Vibrational Optical Activity  
Albany, 6 August 2010

# Introduction

## Raman Optical Activity (ROA) spectroscopy

- measures difference in Raman scattering between right- and left-circularly polarized light
- sensitive to protein secondary structure
- spectra can be recorded in aqueous solution
- allows for the investigation of unfolded / disordered proteins
- ...




**Goal: Development of theoretical methods and tools to calculate and understand ROA spectra,**

**... will also applicable to any other type of vibrational spectroscopy!**

# Why *Ab Initio* Calculation of (ROA) Spectra?

- direct connection between structure and ROA signal
- well-defined structural models can be investigated
- investigation of “artificial” structures possible  
e.g., switch on and off solvation
- allows for a detailed analysis of how (and why) structural changes affect the ROA spectra

# Our Methodology for the Calculation of ROA Spectra

- semi-numerical calculations performed using `SNF` and `TURBOMOLE`  
 J. Neugebauer, MR, C. Kind, B. A. Hess, *JCC* **23** (2002), 895.
- local version of `TURBOMOLE` includes  $G'$  and  $A$  tensors  
 S. Luber, MR, *Chem. Phys.* **346** (2008), 212.
- **full ROA spectra calculated**
- density-fitting (TD-)DFT with BP86 functional (also B3LYP for transition metal complexes)
- Ahlrichs' TZVP and TZVPP basis sets
- generic structures studied first; afterwards solvent effects are considered
- For even larger calculations: `MODE-TRACKING`  
 MR, J. Neugebauer, *J. Chem. Phys.* **118** (2003), 1634.

# ROA Signatures of $\alpha$ -Helix and $3_{10}$ -Helix

## Can ROA distinguish helical secondary structure elements?

### Signatures of $\alpha$ -helix

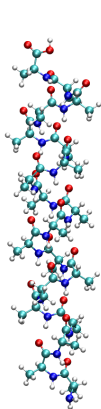
- several signatures have been identified experimentally
- amide I couplet (negative/positive) at about  $1650 \text{ cm}^{-1}$
- extended amide III region sensitive to  $\alpha$ -helix hydration state

### Signatures of $3_{10}$ -helix

- no signatures identified so far

⇒ **calculations are essential for identifying ROA signatures**

# Models of $\alpha$ -Helix and $3_{10}$ -Helix



$\alpha$ -helix



$3_{10}$ -helix

## Model system: (all-S)-(Ala)<sub>20</sub>

- polypeptide containing 20 alanine (simplest chiral amino acid)
- 203 atoms
- two conformations:
  - $\alpha$ -helix
  - $3_{10}$ -helix
- geometry fully optimized
- solvent effects not considered



Ch. R. Jacob, S. Lubner, MR, Chem. Eur. J. **15** (2009), 13491.

# $\alpha$ -Helix: Comparison with Experimental Spectra

## Amide I band

- excellent agreement of band position and intensities (amide I couplet)

## CH<sub>3</sub> bending bands

- excellent agreement of band position and intensities

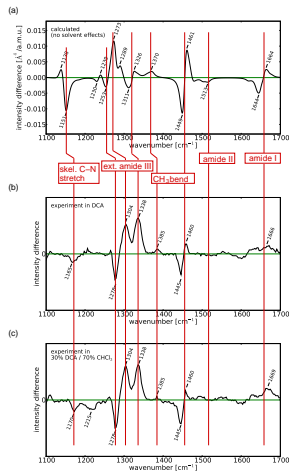
## Extended amide III region

- good agreement of band positions
- some differences for shapes and intensities

⇒ analyzed recently in more detail



Th. Weymuth, Ch. R. Jacob, MR, J. Phys. Chem. B (2010), in press

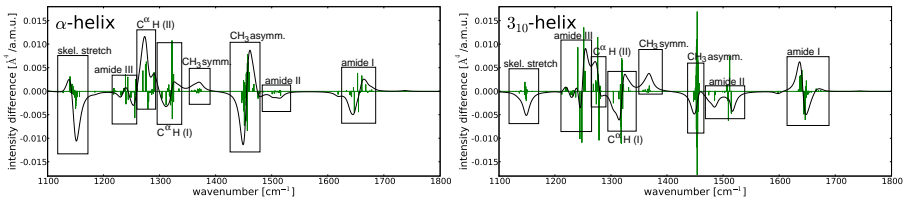


experimental spectra from:



I.H. McColl *et al.*, J. Am. Chem. Soc. **126** (2004), 8181.

# Calculated ROA Spectra of Helix Models



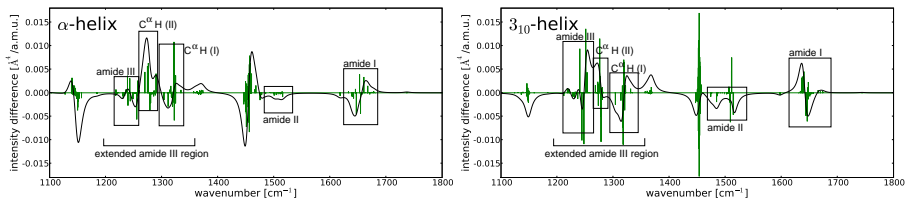
- spectra comprise large number of close-lying normal modes
- not resolved in experiment: only few number of ROA bands visible
- calculated spectra plotted using line broadening

## Assignment of normal modes to characteristic bands

- assignment based on:
  - (a) wavenumbers and (b) contributions of certain atom types
- usually 20 normal modes contribute here for  $(\text{Ala})_{20}$  model systems



# Analysis of Calculated ROA Spectra



## How are changes in the ROA spectra related to helix structure?

- which vibrations and which atoms contribute to each band?
- how do the total band intensities arise?
- what determines the individual band shapes?

## Problems with such an analysis

- several normal modes contribute to each band
- each of these normal modes is delocalized over the whole helix

⇒ transformation to “localized modes”

# Localizing Normal Modes

- 1 consider a subset of normal modes  $Q^{\text{sub}}$
- 2 transform to new set of modes by unitary transformation  $U$

$$\tilde{Q}_{i\alpha,p}^{\text{sub}} = \sum_q U_{qp} Q_{i\alpha,q}^{\text{sub}}$$

- 3 define a criterion  $\xi(\tilde{Q}^{\text{sub}})$  that measures “how localized the transformed modes are”, e.g.,

$$\xi_{\text{sat}}(\tilde{Q}^{\text{sub}}) = \sum_p \sum_i (\tilde{C}_{ip}^{\text{sub}})^2 \quad \text{with} \quad \tilde{C}_{ip}^{\text{sub}} = \sum_{\alpha=x,y,z} (\tilde{Q}_{i\alpha,p}^{\text{sub}})^2$$

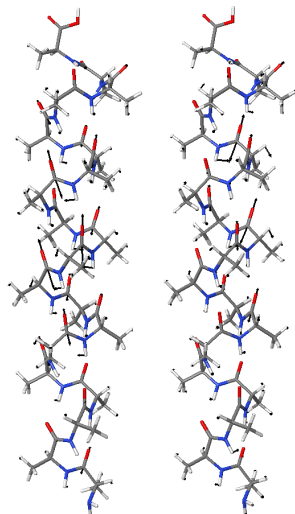
- 4 determine  $U$  such that  $\xi(\tilde{Q}^{\text{sub}})$  is maximized (using consecutive Jacobi rotations)



Ch. R. Jacob, MR, J. Chem. Phys. **130** (2009), 084106.

# Localized Vibrations: Amide I Band

- Normal modes:
    - Delocalized combination of vibrations on several residues
  - Localized modes:
    - Localized modes on different residues are very similar
    - Deviations only at the termini
- ⇒ **it is sufficient to consider only one representative localized mode**
- Vibration of a single residue
- ⇒ **it is sufficient to show the atoms of only one residue**



1660.4  $\text{cm}^{-1}$

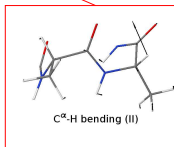
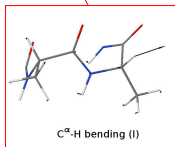
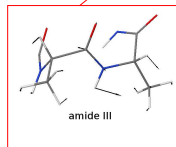
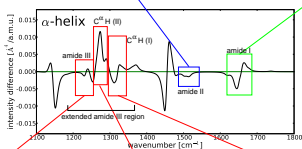
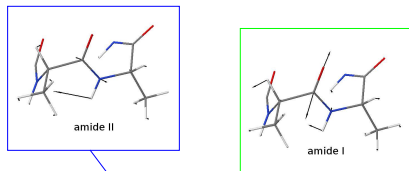
1663.4  $\text{cm}^{-1}$



Ch. R. Jacob, MR, J. Chem. Phys. **130** (2009), 084106.

# Visualization of Localized Modes

## Consideration of only one representative localized mode necessary

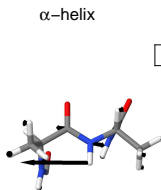
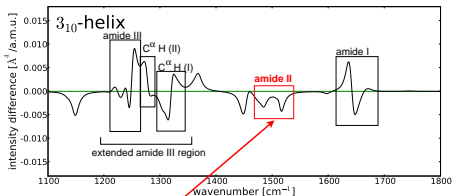
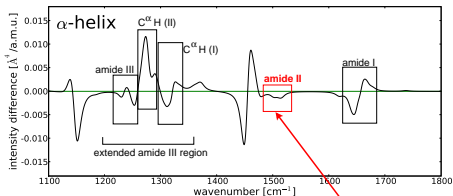


- Amide I:  
C=O stretching
- Amide II:  
N-H bending and  
C-N stretching
- Ext. Amide III:  
mixing between  
N-H bending and  
 $\text{C}^{\alpha}\text{-H}$  bending

**Note: Localized modes differ from normal modes of small model building blocks!**

# Analysis of Total Band Intensities

## Why is the amide II band more intense for the $3_{10}$ -helix?

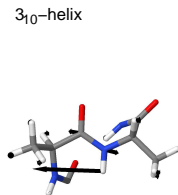


	CO(8)	NH(9)	CO(9)	NH(10)	CO(10)	NH(11)	CH	R	
CO(8)	•	•	•	•	•	•	•	•	CO(8)
NH(9)		•	•	•	•	•	•	•	NH(9)
CO(9)			•	•	•	•	•	•	CO(9)
NH(10)				•	•	•	•	•	NH(10)
CO(10)					•	•	•	•	CO(10)
NH(11)						•	•	•	NH(11)
CH							•	•	CH
R								•	R

total: -1.90

	CO(8)	NH(9)	CO(9)	NH(10)	CO(10)	NH(11)	CH	R	
CO(8)	•	•	•	•	•	•	•	•	CO(8)
NH(9)		•	•	•	•	•	•	•	NH(9)
CO(9)			•	•	•	•	•	•	CO(9)
NH(10)				•	•	•	•	•	NH(10)
CO(10)					•	•	•	•	CO(10)
NH(11)						•	•	•	NH(11)
CH							•	•	CH
R								•	R

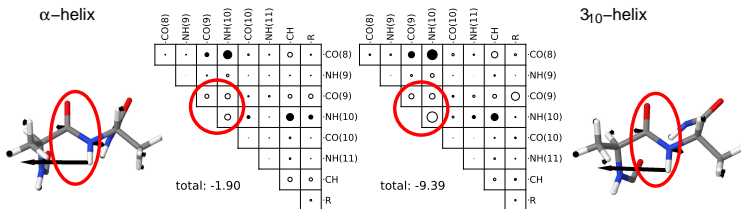
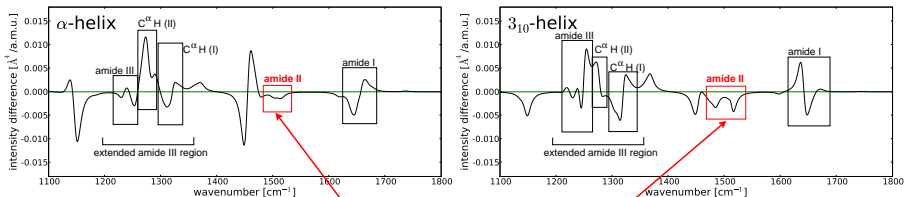
total: -9.39



- increased total intensity is reflected by localized mode intensities
- localized modes are almost identical

# Analysis of Total Band Intensities

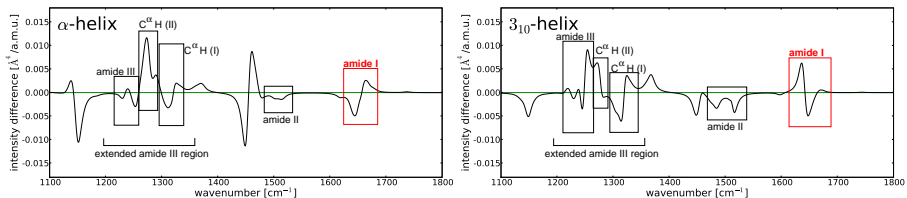
## Why is the amide II band more intense for the $3_{10}$ -helix?



- changes in ROA intensity are mainly due to achiral amide group
- relation to structure only indirect

# Analysis of Band Shapes

Why is the amide I couplet opposite in the  $\alpha$ -helix and the  $3_{10}$ -helix?



- localized modes almost identical for  $\alpha$ -helix and  $3_{10}$ -helix
- intensities of localized modes and total intensities very similar

Decompose ROA intensities of normal modes

$$I_p = \sum_{qr} U_{pq} U_{pr} [\tilde{I}]_{qr}$$

- $U_{pq}$ : coefficient of  $q$ th localized mode in  $p$ th normal mode
- $[\tilde{I}]_{qr}$ : intensity coupling matrix

# Analysis of Band Shapes

## Amide I (vibrational) coupling matrices

- $\alpha$ -helix:

	$\alpha$ -helix					
nearest-neighbor coupling largest	1659.1	8.5	-2.2	-4.2	-0.4	-0.5
3 <sup>rd</sup> nearest-neighbor coupling significant	8.5	1655.9	7.9	-2.3	-4.2	-0.4
	-2.2	7.9	1654.6	8.2	-2.2	-4.4
	-4.2	-2.3	8.2	1654.9	8.3	-2.1
	-0.4	-4.2	-2.2	8.3	1654.8	8.2
	-0.5	-0.4	-4.4	-2.1	8.2	1655.8

- $3_{10}$ -helix:

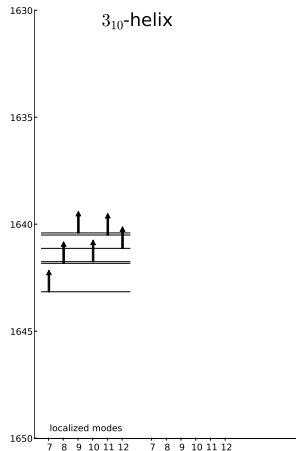
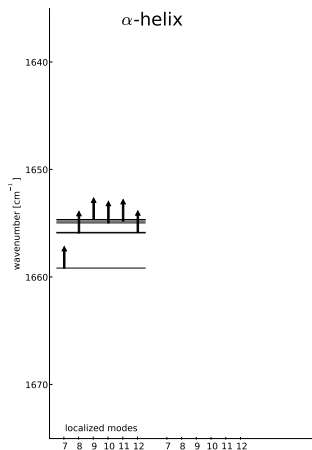
	$3_{10}$ -helix					
2 <sup>nd</sup> nearest-neighbor coupling largest	1643.1	2.5	-3.7	-0.7	-0.6	-0.6
3 <sup>rd</sup> nearest-neighbor coupling small	2.5	1641.8	2.7	-3.7	-0.8	-0.6
	-3.7	2.7	1640.4	2.8	-3.8	-0.8
	-0.7	-3.7	2.8	1641.7	2.4	-3.7
	-0.6	-0.8	-3.8	2.4	1640.5	2.5
	-0.6	-0.6	-0.8	-3.7	2.5	1641.1

→ different coupling matrices directly related to helix structures



# Analysis of Band Shapes

## Coupling of localized amide I modes



# Analysis of Band Shapes

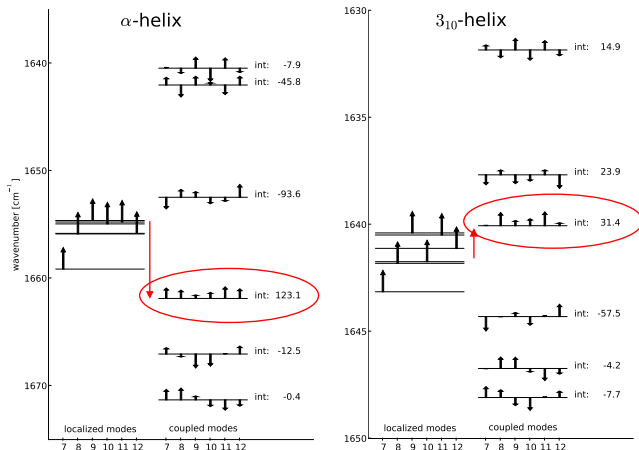
## Coupling of localized amide I modes

$\alpha$ -helix:

in-phase combination  
shifted to **higher**  
wavenumbers



$3_{10}$ -helix:

in-phase combination  
shifted to **lower**  
wavenumbers



⇒ different structure of coupling matrix  $\tilde{\Omega}$  explains opposite couplets

# Intensity-Carrying Modes (ICMs)

- 1 hypothetical modes  $\mathbf{L}_k$  with maximum intensity
- 2 contain the total intensity of the spectrum
- 3 first derived for infrared spectroscopy
  -  H. Torii, *et al.*, J. Phys. Chem. A **103** (1999), 5557;  
S. Luber, J. Neugebauer, MR, J. Chem. Phys. **130** (2009), 064105.
- 4 most efficiently exploited for Resonance Raman
  -  K. Kiewisch, J. Neugebauer, MR, J. Chem. Phys. **129** (2008), 204103.
- 5 Raman- and ROA-ICMs determined by an eigenvalue problem:

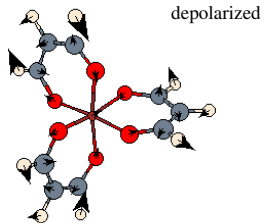
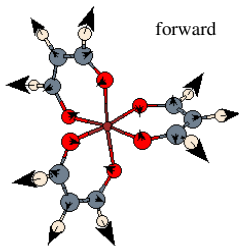
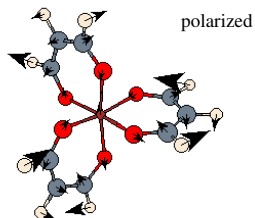
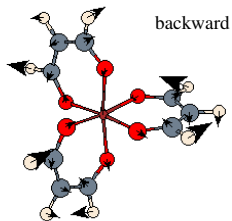
$$\mathbf{M}\mathbf{L}_k = a_k\mathbf{L}_k$$

eigenvalue  $a_k$  proportional to ROA intensity;

$\mathbf{M}$  contains Cartesian derivatives of ROA tensor components

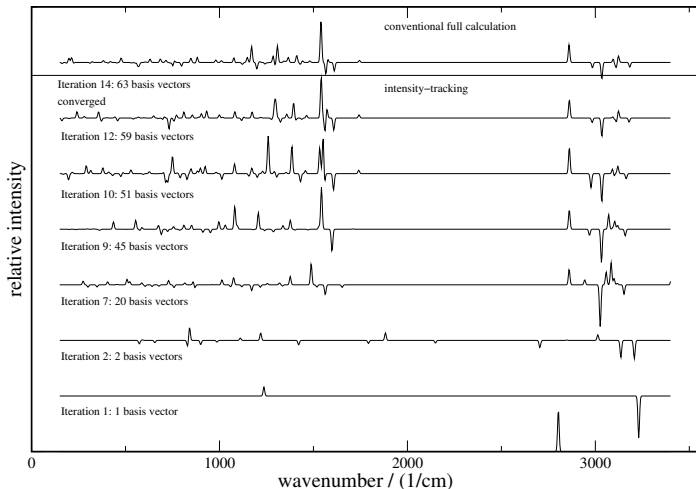
 S. Luber, MR, ChemPhysChem **10** (2009), 2049.


# ROA-ICMs of $\Lambda$ -Tris(propene-1,3-dionato)cobalt(III)



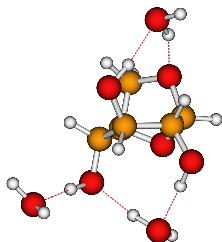
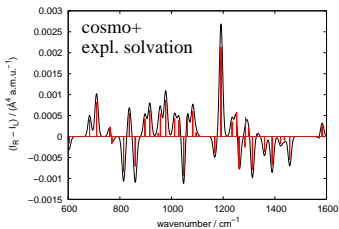
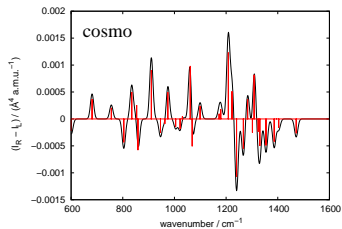
# ROA Intensity-Tracking for *L*-Tryptophan

selective calculation of normal modes with high ROA intensity



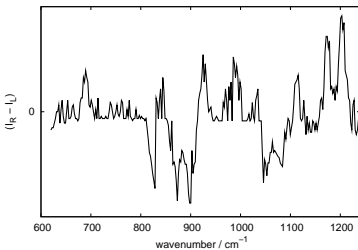
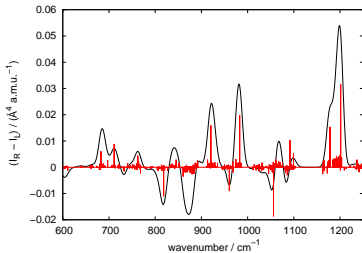
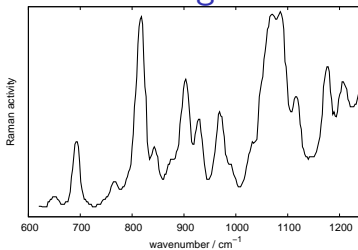
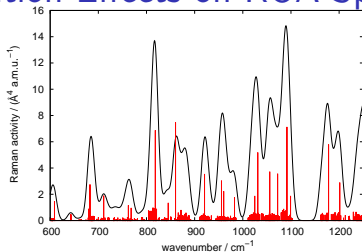
 S. Luber, MR, ChemPhysChem **10** (2009), 2049.

# Solvation Effects on ROA Spectrum of Sugar Molecules



- sugar molecules are the most critical cases because of conformation and solvation effects
- our protocol: different conformations, COSMO and explicit solvation, Boltzmann weighting
  - 📄 S. Luber, MR, *J. Phys. Chem. A* **113** (2009), 8268.
- other solvation protocols:
  - E. Blanch, P. Popelier, J. Cheeseman, talk at VOA-2
  - K. Ruud, talk at VOA-2
  - C. Johannessen, private communication

# Solvation Effects on ROA Spectrum of Sugar Molecule

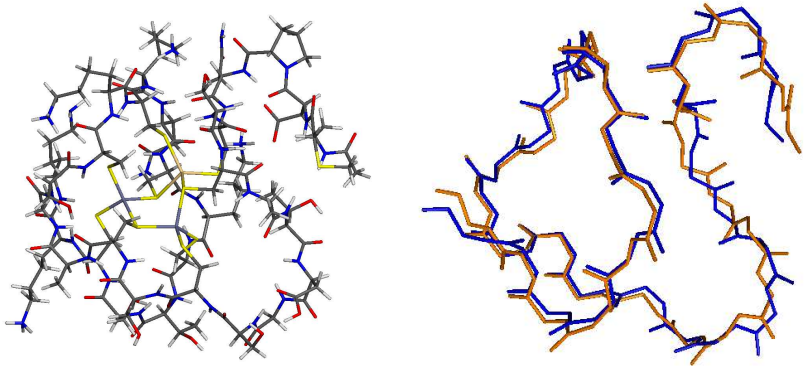


- overlay of all spectra of explicitly solvated chair conformers (left)
- Theory (left) / Exp. (right) ; Raman (top) / ROA (bottom)



Experiment: L. D. Barron et al., Carbohydr. Res. **210** (1991), 39.

# ROA spectrum of $\beta$ Domain of Rat Metallothionein

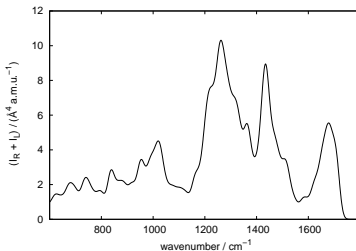
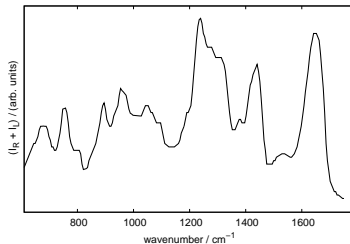
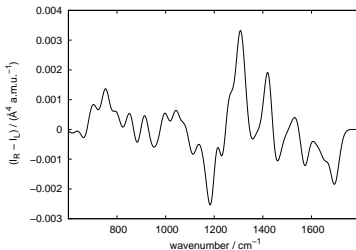
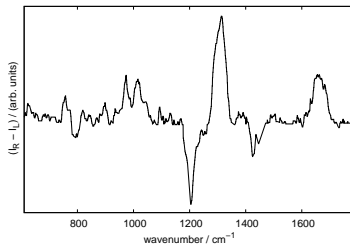


- >400 atoms, sulfur-rich, 2 Zn and 1 Cd atoms
  - hardly any regular structures like  $\alpha$ -helix or  $\beta$ -sheets, mostly  $\beta$ -turns
- identified signatures of  $\beta$ -turns

 S. Luber, MR, J. Phys. Chem. B **114** (2010), 1057.



# ROA spectrum of $\beta$ Domain of Rat Metallothionein



- Exp. (left) / Theory (right) ; ROA (top) / Raman (bottom)



Experiment: E. Smyth et al., *Biopolymers* **58** (2001), 138.

# Summary I

## Localized Vibrations for the Analysis of Vibrational Spectra

 J. Chem. Phys. **130** (2009), 084106.

## Raman, IR and ROA Spectra of Helical Polypeptides

 J. Phys. Chem. B **113** (2009), 6558.

 Chem. Eur. J. **15** (2009), 13491.

 + older work in collaboration with K. Ruud (2003–2007)

## Raman + ROA Intensity-Tracking and Intensity-carrying Modes

 ChemPhysChem **10** (2009), 2049.

- also done for Resonance Raman and IR:

 J. Chem. Phys. **129** (2008) 204103 + J. Chem. Phys. **130** (2009) 064105

## ROA calculation for small metalloprotein

 J. Phys. Chem. B **114** (2010), 1057.

# Summary II + Outlook

## Solvation effects on ROA spectra of sugar molecule



J. Phys. Chem. A **113** (2009), 8268.

## Prediction for ROA spectra of chiral transition metal complexes



Chem. Phys. **346** (2008), 212.



ChemPhysChem **11** (2010), 1876.

## Enhancement and De-Enhancement in Resonance ROA:



J. Chem. Phys. **132** (2010), 044113.

## Dependence of Extended Amide III on Secondary Structure:



Th. Weymuth, Ch. R. Jacob, MR, J. Phys. Chem. B (2010), DOI:  
10.1021/jp104542w

## Identification of $\beta$ -Turns with ROA:



Th. Weymuth, Ch. R. Jacob, MR, ChemPhysChem (2010), submitted.

# Acknowledgements

Christoph Jacob (now: KIT)

Sandra Luber (now: Basel)

Johannes Neugebauer (now: Leiden)

Carmen Herrmann (now: Evanston)

Vincent Liegeois (now: Namur)

Karin Kiewisch (now: Amsterdam)

Thomas Weymuth

and rest of the Reiher group at  
ETH Zurich



**Collaborations:** Kenneth Ruud (Tromsø), Benoit Champagne (Namur)

**Financial Support:**

Schweizer Nationalfonds SNF, NWO, FCI