

# **Software Architecture and Engineering *System Design***

**Peter Müller**

Chair of Programming Methodology

The slides in this section are partly based on the lecture  
“Software Engineering I” by Prof. Bernd Brügge, TU München

Spring Semester 2012

**ETH**

Eidgenössische Technische Hochschule Zürich  
Swiss Federal Institute of Technology Zurich

# 4. System Design

## 4.1 Overview

## 4.2 Subsystem Decomposition

## 4.3 Architectural Styles

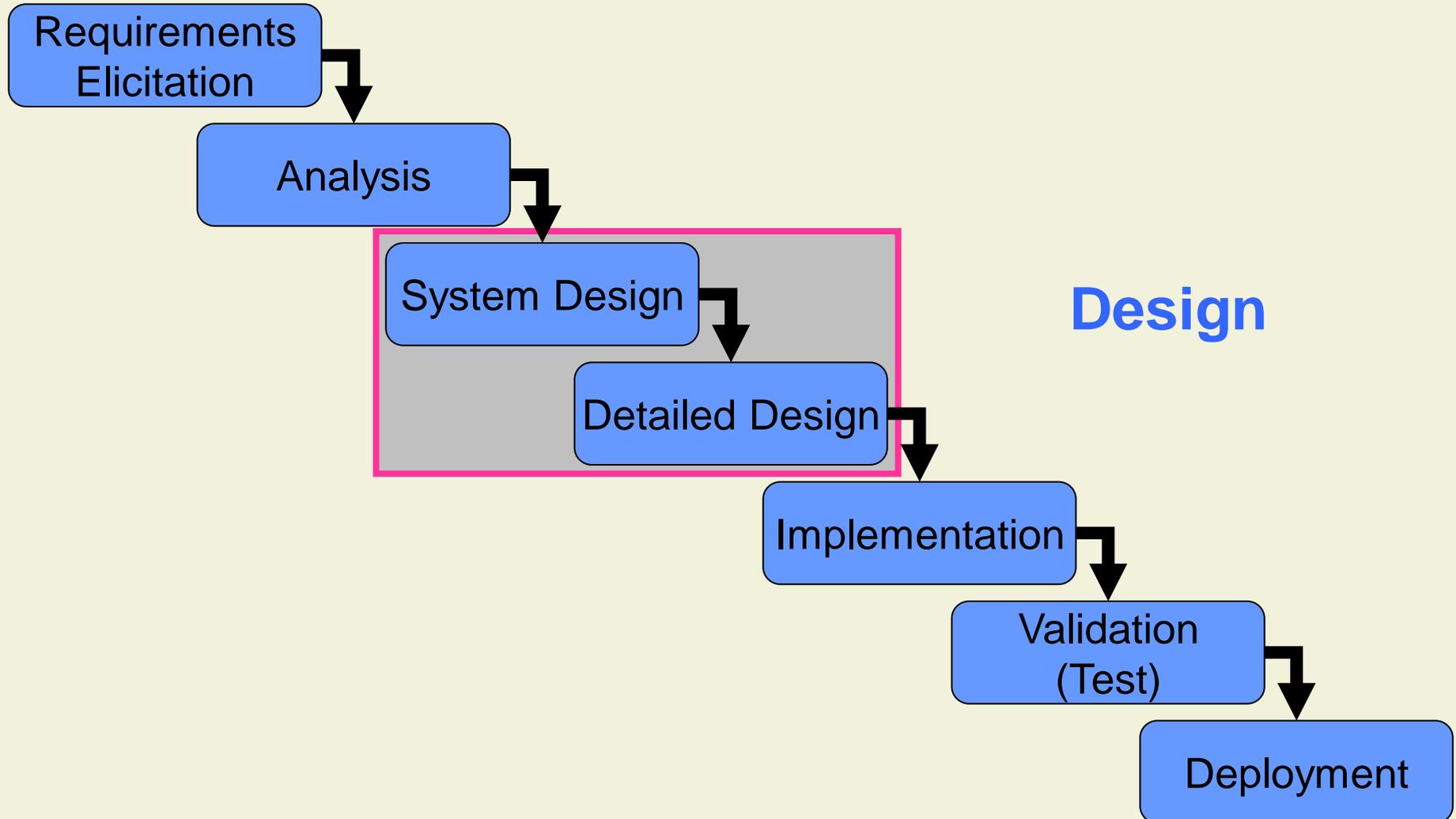
## 4.4 Specific System Design Issues

# Software Design

*“There are two ways of constructing a software design: One way is to make it so simple that there are obviously no deficiencies, and the other way is to make it so complicated that there are no obvious deficiencies.”*

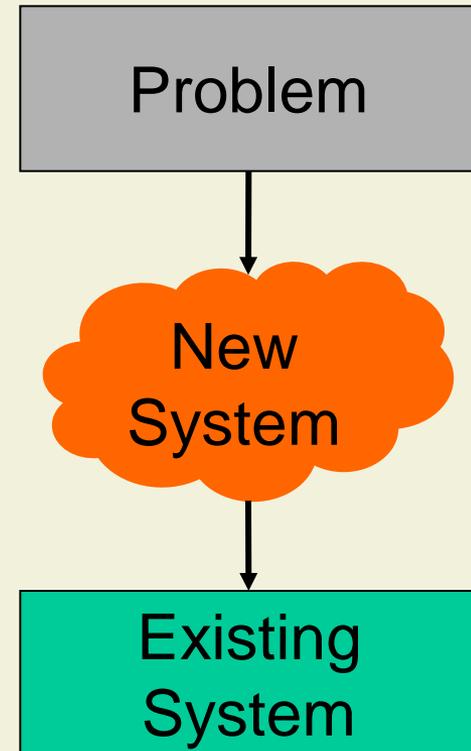
[C.A.R. Hoare]

# Waterfall Model of Project Life Cycle

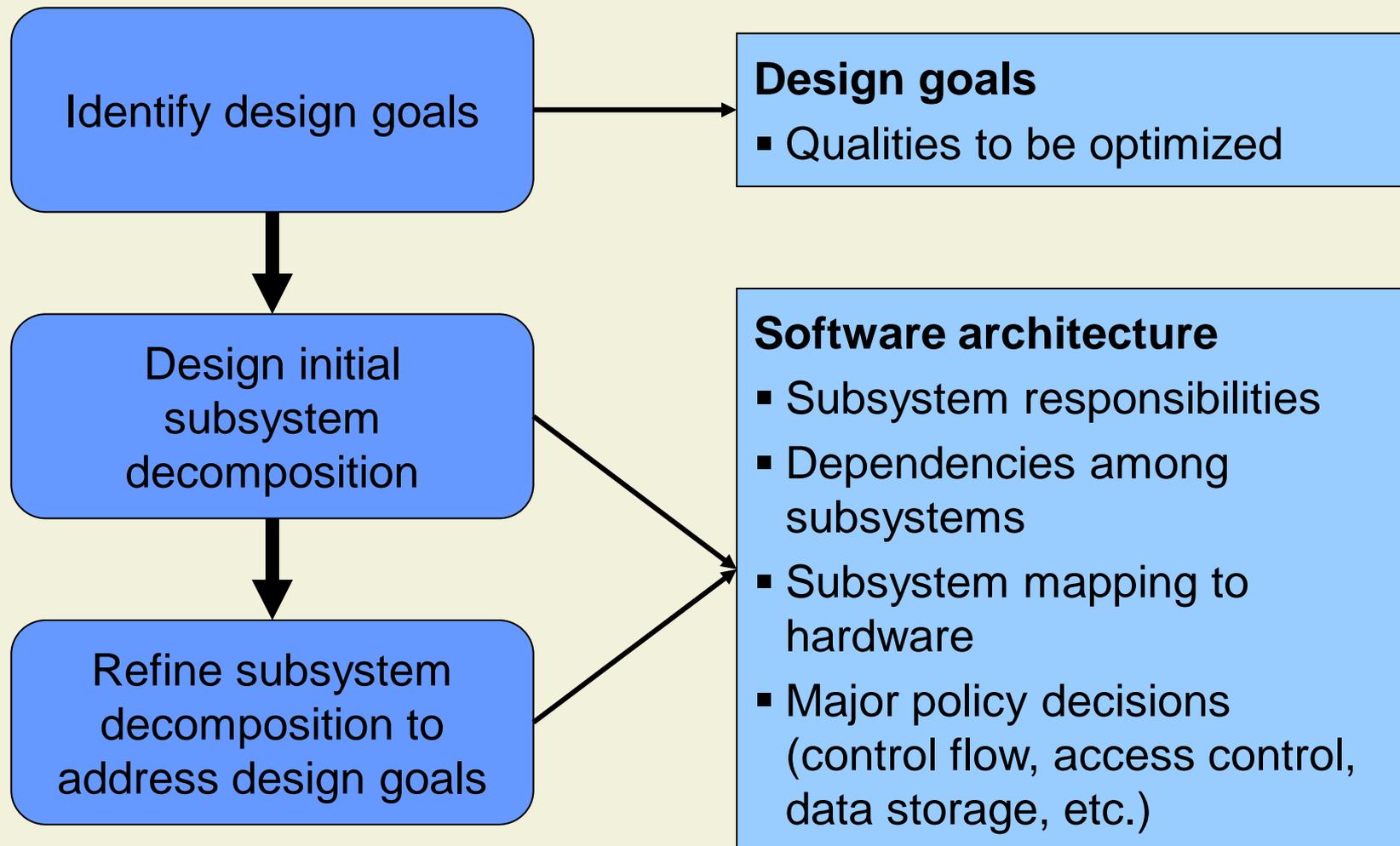


# Scope of System Design

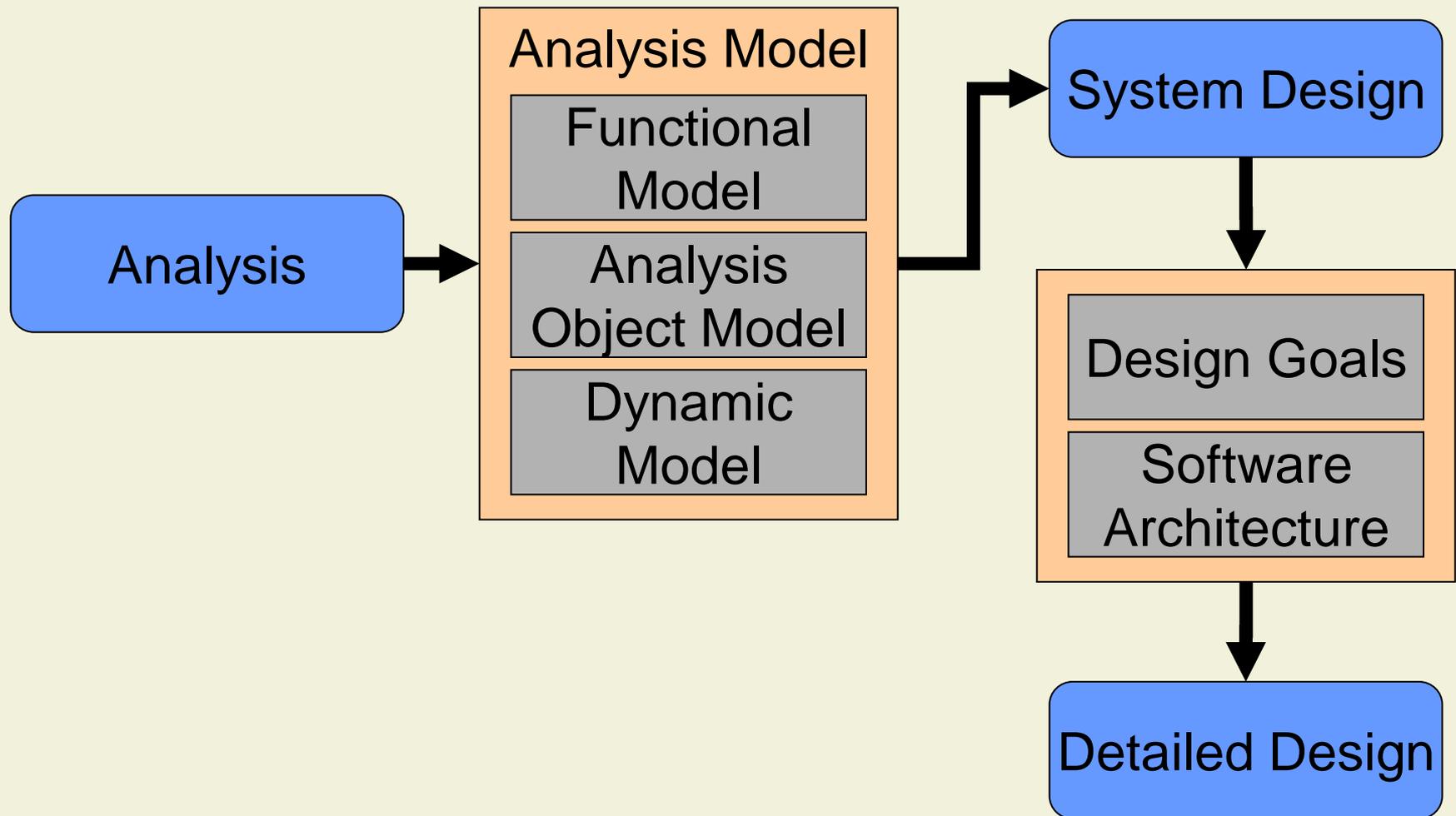
- Bridge the gap between a problem and an existing system in a manageable way
- Use divide and conquer: model the new system as a set of subsystems



# Areas of System Design



# From Analysis to System Design



# Repetition: Representative Software Qualities

Correctness

Maintainability

Performance

Verifiability

Robustness

Understandability

Scalability

Reusability

Reliability

Evolvability

Usability

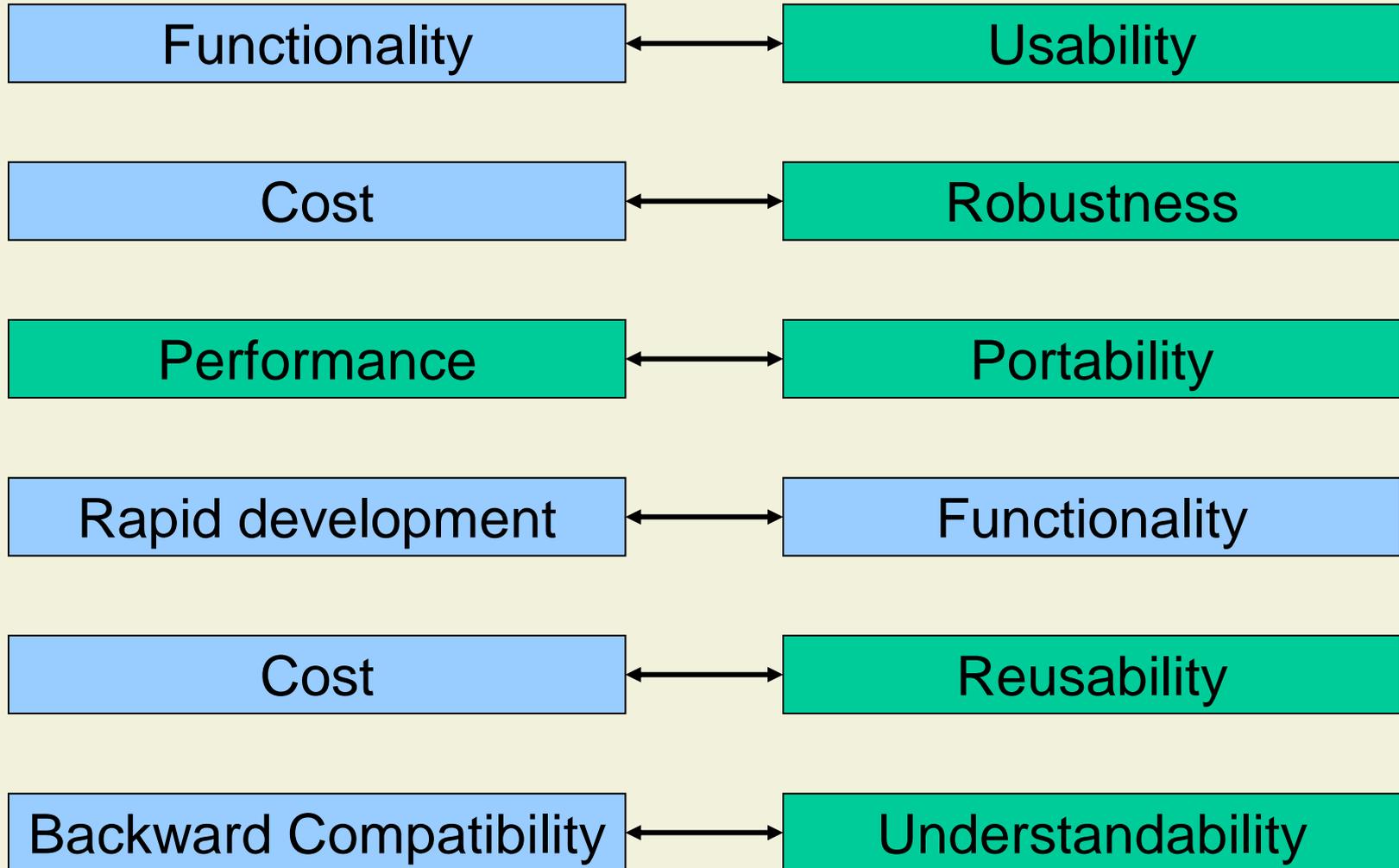
Portability

Security

Repairability

Interoperability

# Typical Design Trade-Offs



# 4. System Design

## 4.1 Overview

## 4.2 Subsystem Decomposition

## 4.3 Architectural Styles

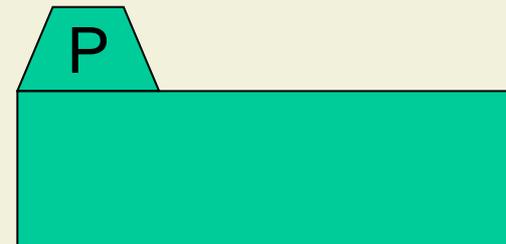
## 4.4 Specific System Design Issues

# Why Decompose a System?

- Modularity is a software engineering **principle**
- **Management**
  - Partition the overall development effort
  - Clear assignment of requirements to modules, ideally one or more requirements mapped to one module
- **Modification**
  - Decouple parts of a system so that changes to one part do not affect other parts
- **Understanding**
  - Permit system to be understood as a composition of mind-sized chunks with one issue at a time

# Subsystems

- Collection of classes, associations, operations, events and constraints that are closely interrelated with each other
- The objects and classes from the analysis object model are the “seeds” for the subsystems
- In UML, subsystems are modeled as packages
- In programming languages, subsystems are modeled as modules, packages, or by conventions



# Services and Subsystem Interfaces

## Subsystem

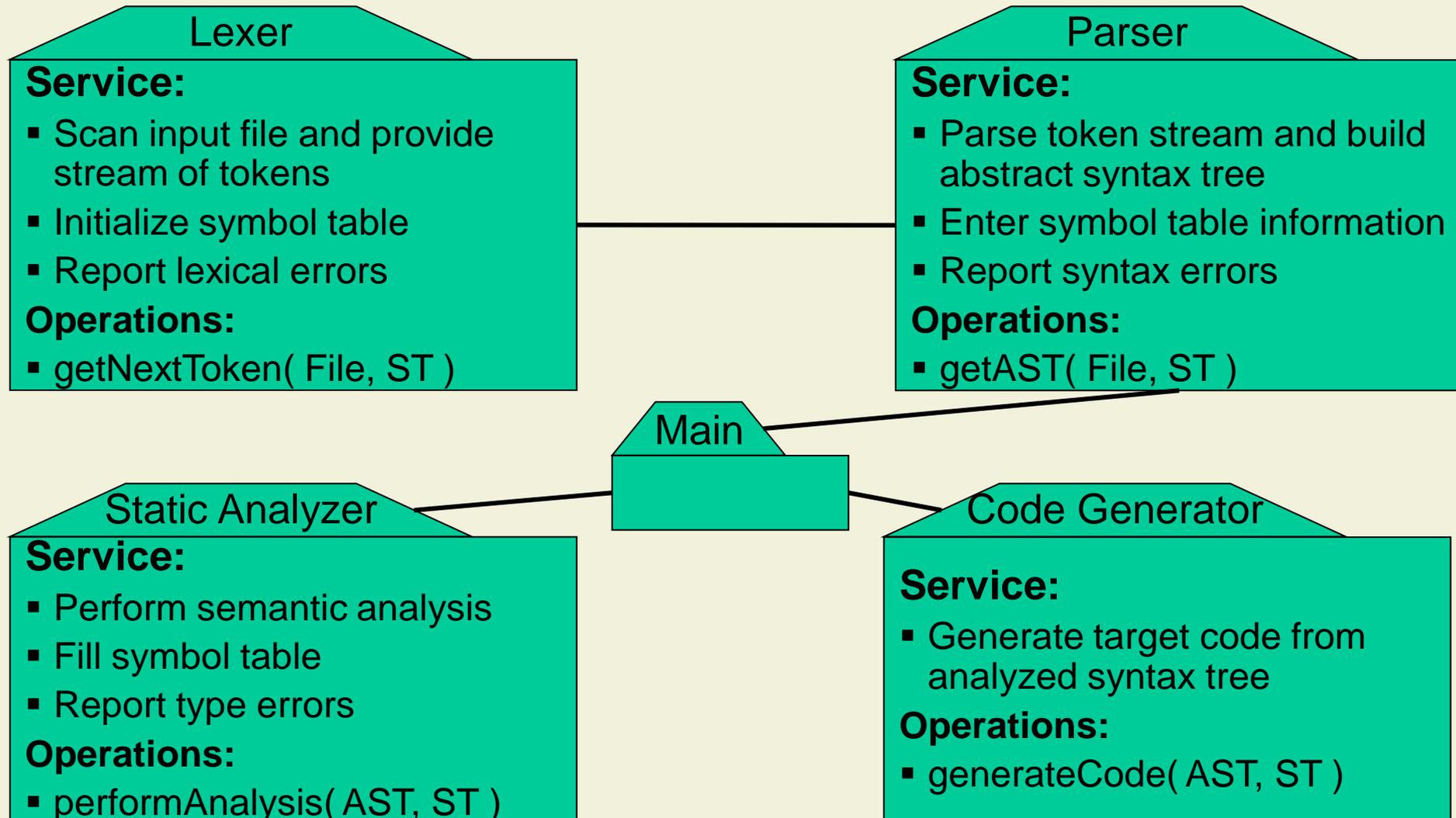
### Subsystem interface: Set of fully-typed operations

- Specifies the interaction and information flow from and to subsystem boundaries, but not inside the subsystem
- Refinement of services
- Defined in detailed design

### Service: Set of related operations

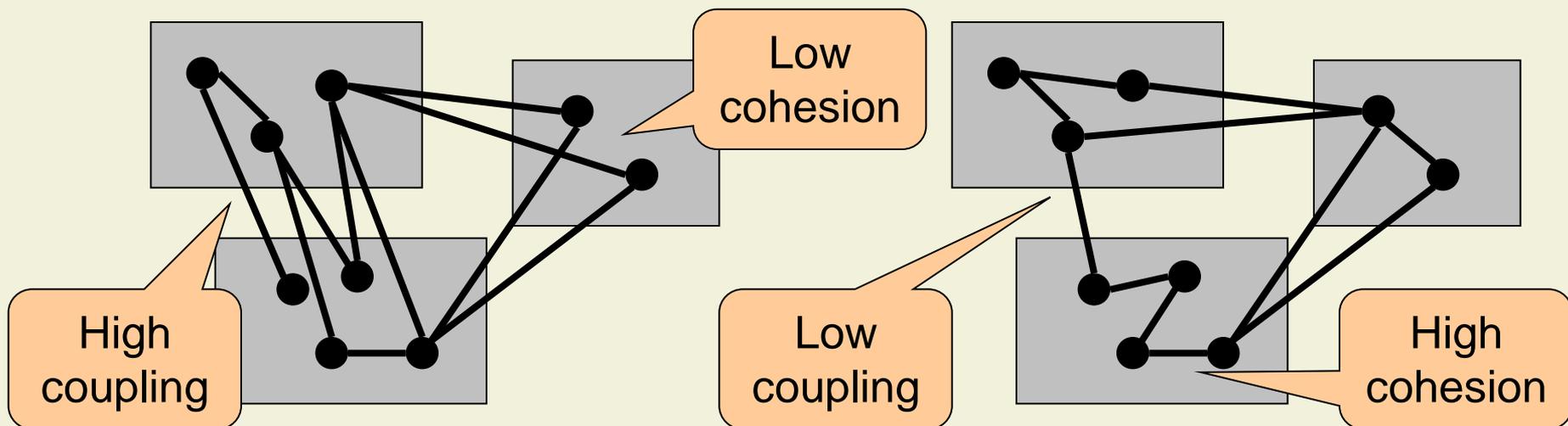
- Provided by the subsystem
- Share a common purpose
- Operations with parameters and high-level behavior defined during system design

# Decomposition Example: Compiler



# Repetition: Cohesion and Coupling

- Cohesion measures **interdependence** of the elements of **one module**
- Coupling measures **interdependence between** different **modules**
- Goal: **high cohesion** and **low coupling**



# Achieving High Cohesion and Low Coupling

## High cohesion

- Operations work on same data
- Operations implement a common abstraction (abstract data type)
- **Use object-orientation!**

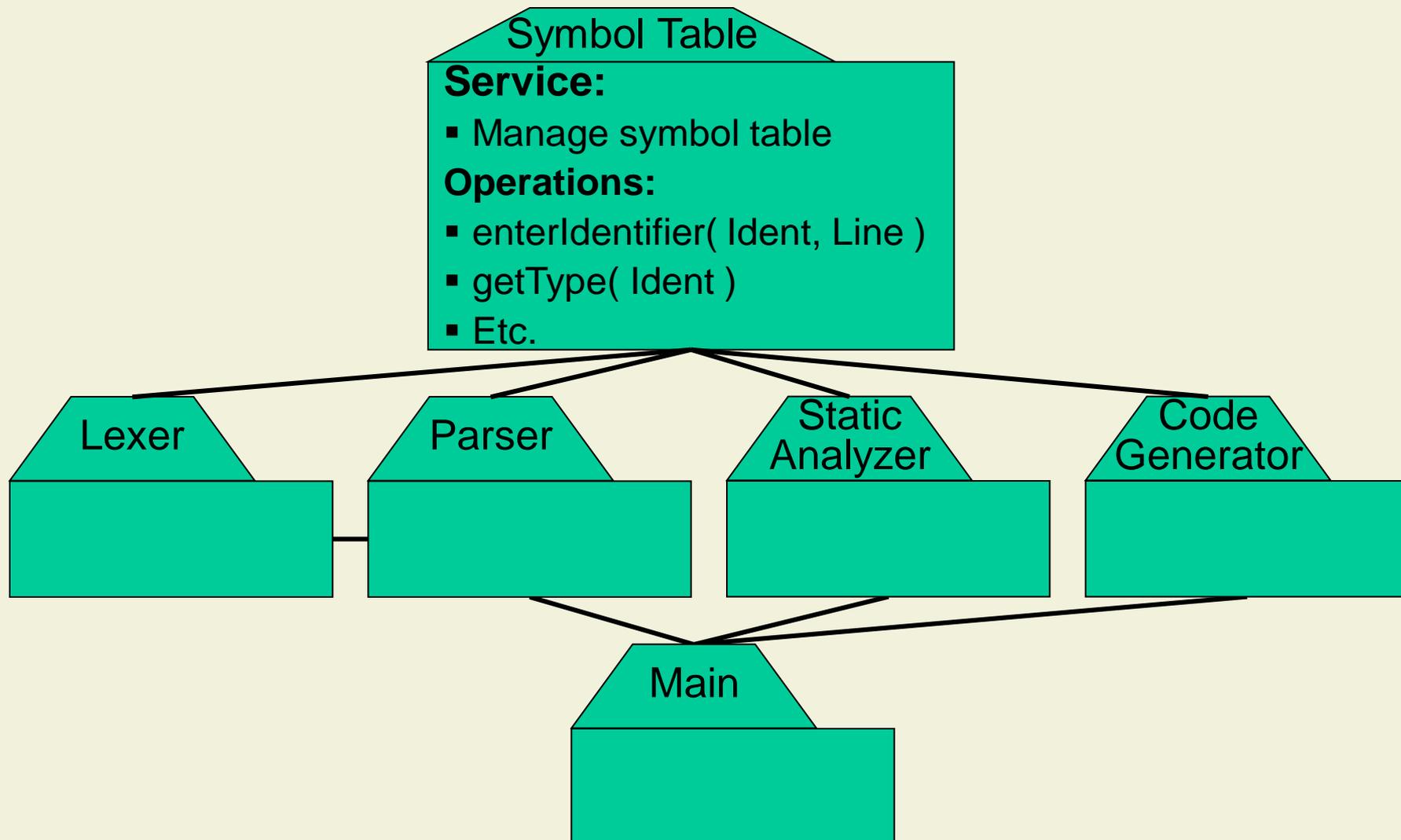
## Low coupling

- Small interfaces
- Information hiding
- No global data
- Interactions are within subsystem rather than across subsystem boundaries
- **Use object-orientation!**

# Cohesion and Coupling in Compiler Example

- Cohesion
  - Each subsystem has a clear responsibility
  - Very high cohesion in compiler
- Coupling
  - Small interfaces between subsystems
  - But: All subsystems read and update the symbol table (global data)
  - Changes of symbol table structure have effect on all subsystems
  - Coupling can be further reduced

# Compiler Example Revisited



# 4. System Design

4.1 Overview

4.2 Subsystem Decomposition

**4.3 Architectural Styles**

4.4 Specific System Design Issues

# Good Architecture

- Result of a consistent set of **principles** and **techniques**, applied **consistently** through all phases of a project
- **Resilient** in the face **of** (inevitable) **changes**
- Source of **guidance** throughout the product lifetime
  
- Reuse of established engineering knowledge
  - Application of architectural styles
  - Analogous to design patterns in detailed design

# Architecture as an Art

- Inventing a novel architecture is a highly creative act
- Requires
  - Knowledge of existing work
  - Experience



# Styles in Building Architecture



Ranch style



T-Ranch style



Raised Ranch style

- Customer picks an architectural style
  - Main components of the style are fixed
- Architect changes details according to requirements of the customer
- We apply the same approach to software

# Elements of a Software Architecture

- Subsystems (components)
  - Computational units with specified interface
  - Examples: filters, databases, layers, objects
  
- Connectors
  - Interactions between components
  - Examples: method calls, pipes, event broadcasts, shared data
  
- See M. Shaw, D. Garlan: Software Architecture. Prentice Hall, 1996.

# Architectural Styles: Overview

- Data flow systems
  - Batch sequential, pipe-and-filter
- Call-and-return system
  - Main program and subroutine
- Independent components
  - Interacting processes, event system
- Data-centered systems (repositories)
  - Databases, blackboards
- Hierarchical systems
  - Layers
  - Interpreters, rule-based systems
- Client-server systems
- Peer-to-peer systems

# 4. System Design

## 4.1 Overview

## 4.2 Subsystem Decomposition

## 4.3 Architectural Styles

### 4.3.1 Data flow systems

### 4.3.2 Call-and-return system

### 4.3.3 Independent components

### 4.3.4 Data-centered systems

### 4.3.5 Hierarchical systems

### 4.3.6 Client-server systems

### 4.3.7 Peer-to-peer systems

## 4.4 Specific System Design Issues

# Data Flow Systems

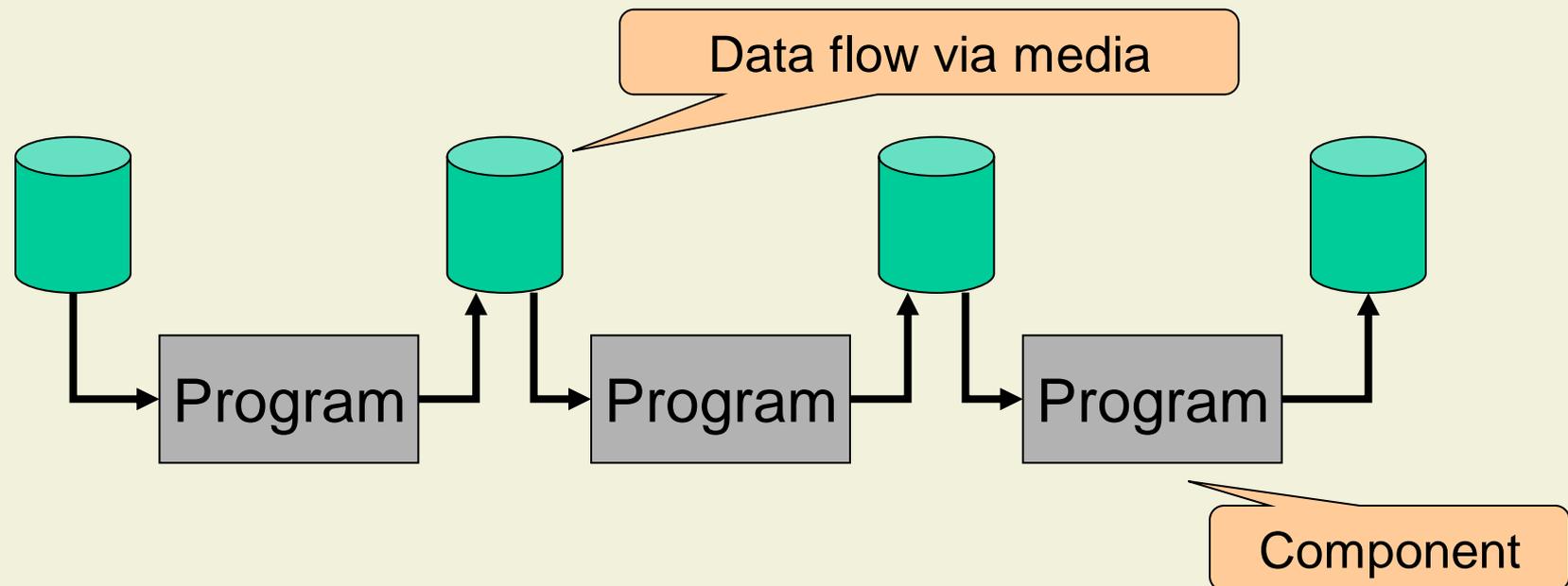
- The **availability of data** controls the computation
- The structure is determined by the **orderly motion of data** from component to component
- Data flow is the only form of communication between components
- Variations
  - How control is exerted (e.g., push versus pull)
  - Degree of concurrency between processes
  - Topology

# Data Flow Systems (cont'd)

- **Components: data flow components**
  - Interfaces are input ports and output ports
  - Input ports read data; output ports write data
  - Computational model: read data from input ports, compute, write data to output ports
- **Connectors: data streams**
  - Uni-directional
  - Usually asynchronous, buffered
  - Computational model: transport data from writer to reader

# Batch Sequential Style

- Components are **independent programs**
- Connectors are some type of **media**
- Each step **runs to completion** before next step begins

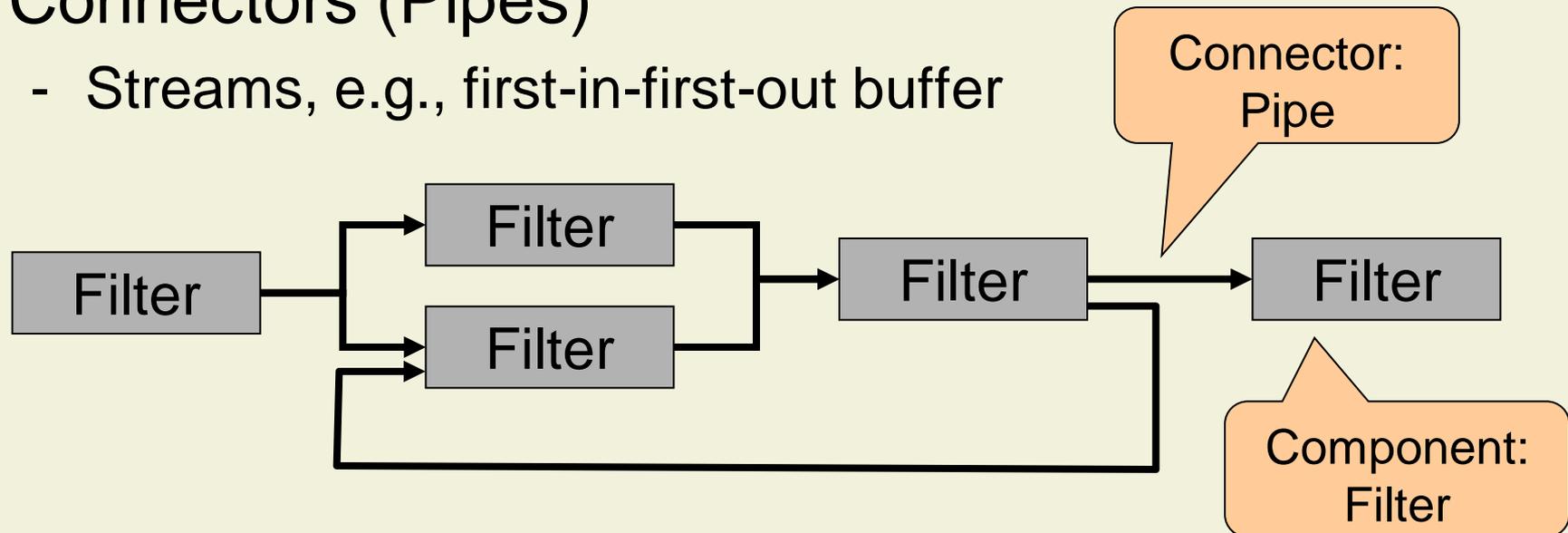


# Batch Sequential Style: Properties

- History: Mainframes and magnetic tape
- Applications: Business data processing
  - Discrete transactions of predetermined type and occurring at periodic intervals
  - Creation of periodic reports based on periodic data updates
- Examples
  - Payroll computations
  - Tax reports

# Pipe-and-Filter Style

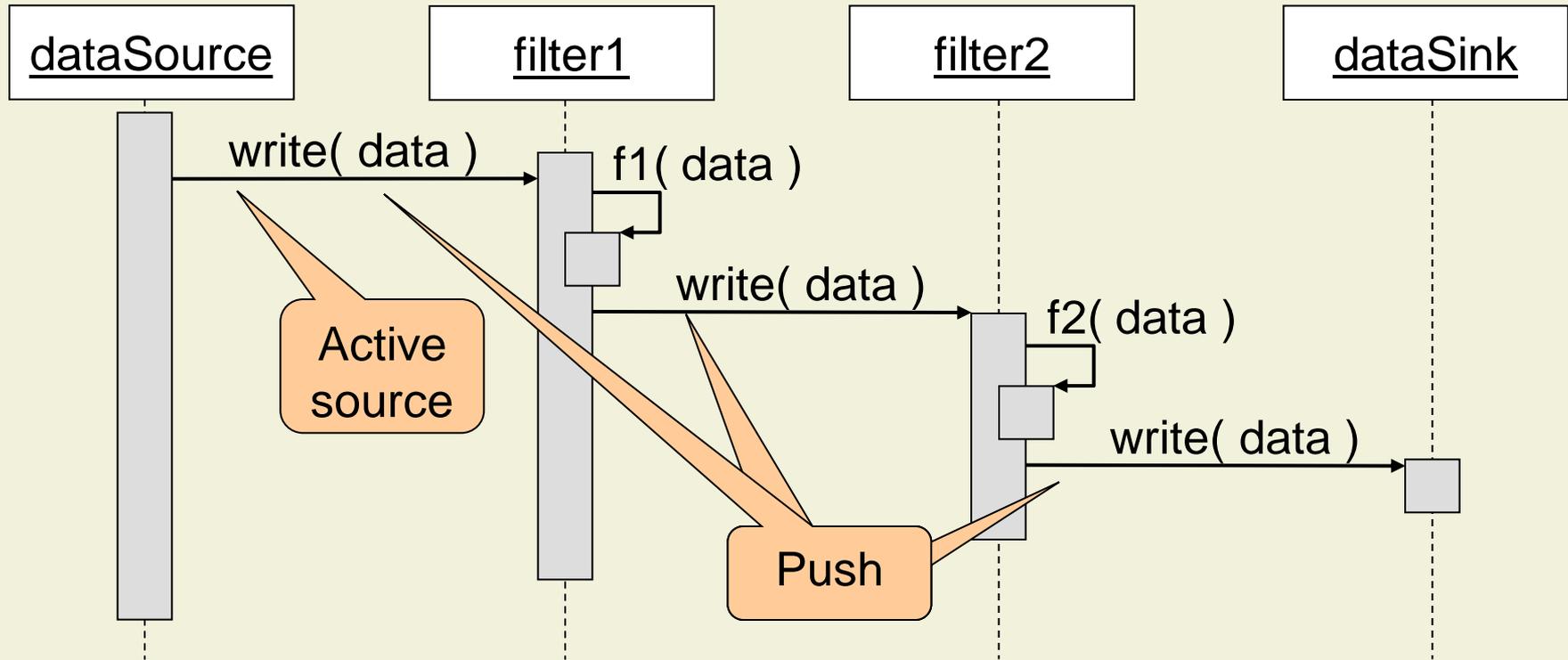
- Components (Filters)
  - Read streams of input data
  - Locally transform input data
  - Produce streams of output data
- Connectors (Pipes)
  - Streams, e.g., first-in-first-out buffer



# Pipe-and-Filter Style: Properties

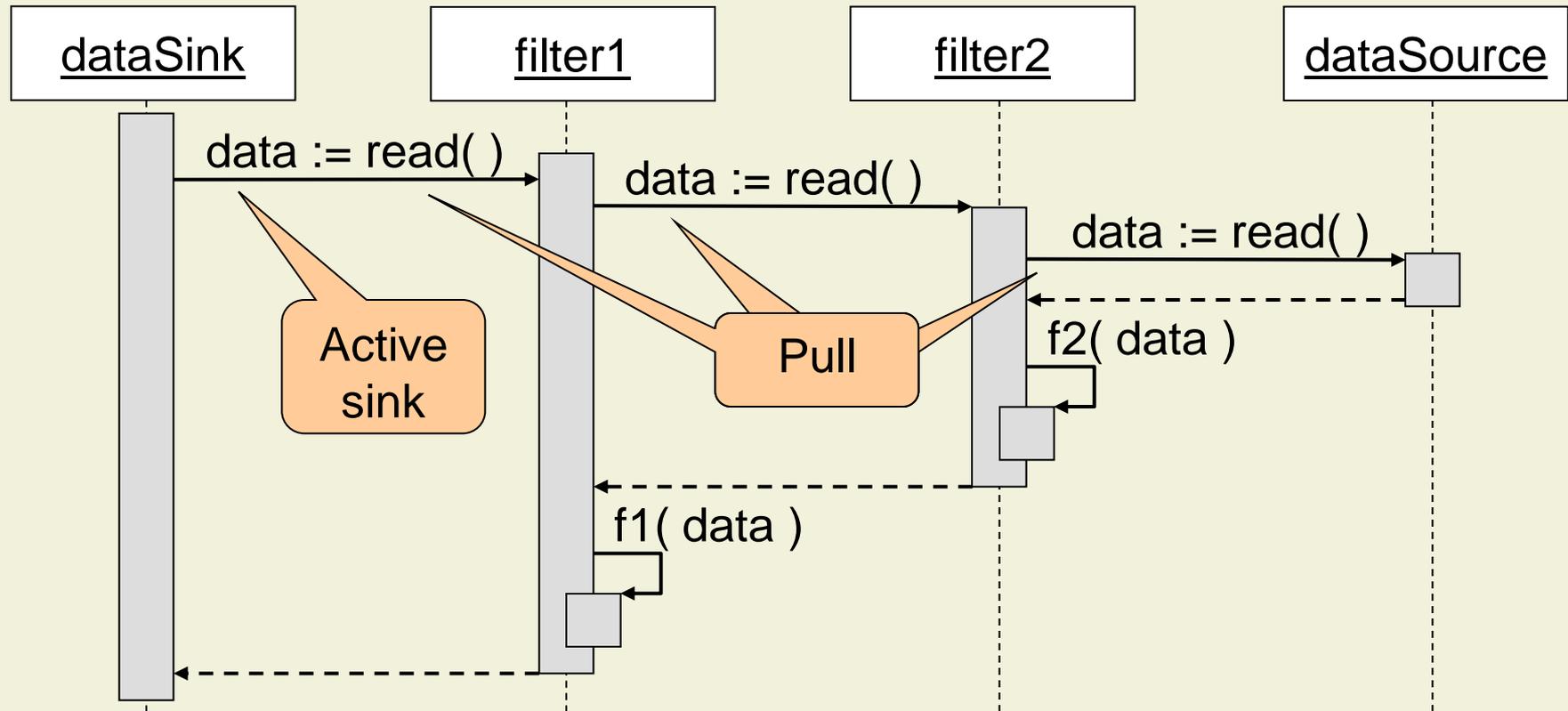
- Data is processed **incrementally** as it arrives
- Output usually begins before input is consumed
- Filters must be **independent**, no shared state
- Filters don't know upstream or downstream filters
  
- Examples
  - lex/yacc-based compiler (scan, parse, generate code, ...)
  - Unix pipes
  - Image / signal processing

# Push Pipeline with Active Source



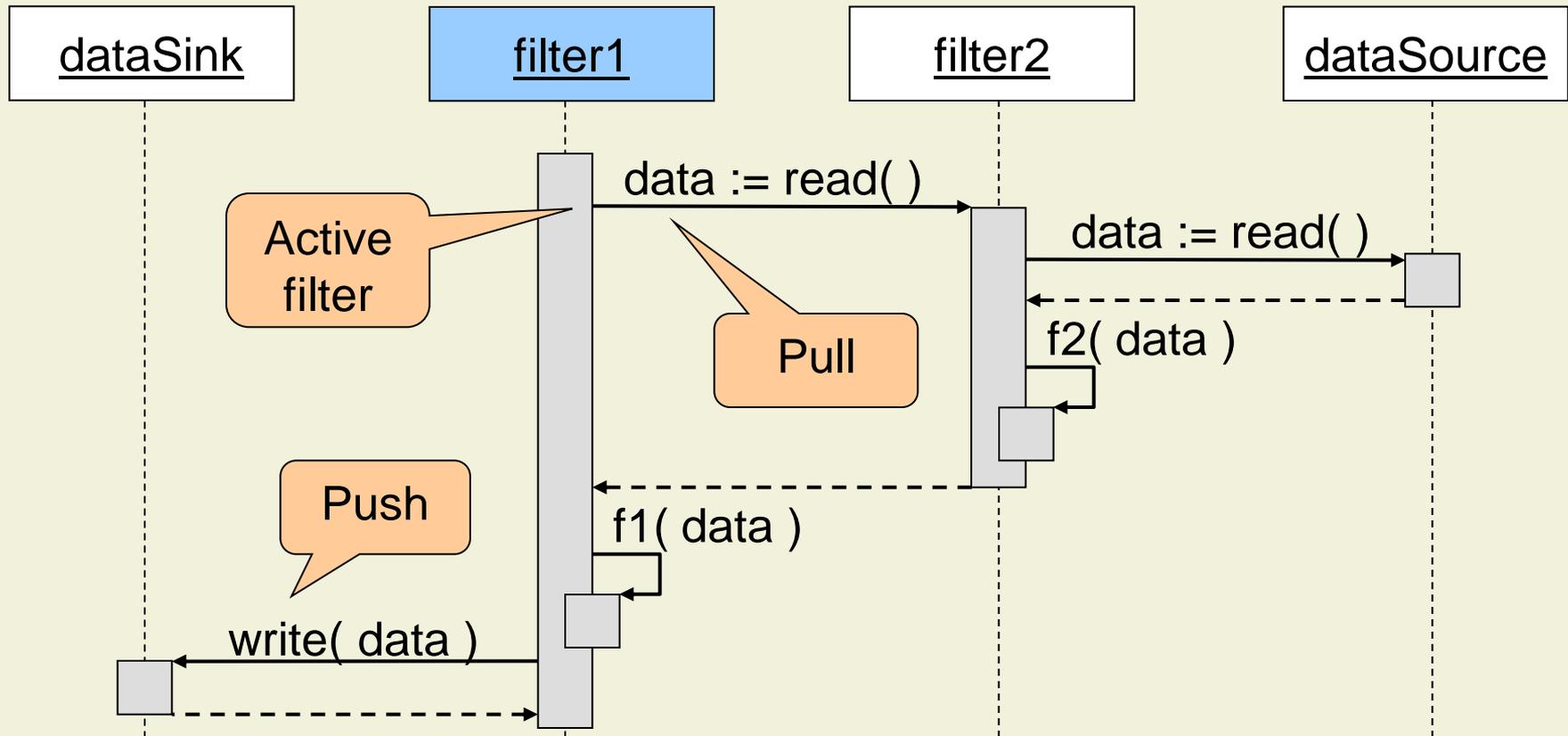
- Source of each pipe pushes data downstream
- Example: Unix pipes: `grep pattern * | wc`

# Pull Pipeline with Active Sink



- Sink of each pipe pulls data upstream
- Example: Compiler: `lexer.getNextToken( )`

# Mixed Pipeline With Passive Source and Sink



- If more than one filter is pushing / pulling, synchronization is needed

# Pipe-and-Filter Style: Discussion

## Strengths

- Reuse: any two filters can be connected if they agree on that data format that is transmitted
- Ease of maintenance: filters can be added or replaced
- Potential for parallelism: filters implemented as separate tasks, consuming and producing data incrementally

## Weaknesses

- Sharing global data is expensive or limiting
- Can be difficult to design incremental filters
- Not appropriate for interactive applications
- Error handling is Achilles heel, e.g., some intermediate filter crashes
- Often smallest common denominator on data transmission, e.g., ASCII in Unix pipes

# 4. System Design

## 4.1 Overview

## 4.2 Subsystem Decomposition

## 4.3 Architectural Styles

### 4.3.1 Data flow systems

### 4.3.2 Call-and-return system

### 4.3.3 Independent components

### 4.3.4 Data-centered systems

### 4.3.5 Hierarchical systems

### 4.3.6 Client-server systems

### 4.3.7 Peer-to-peer systems

## 4.4 Specific System Design Issues

# Call-and-Return Style (Explicit Invocation)

- Components: Objects
- Connections: Messages (method invocations)
- Key aspects
  - Object preserves integrity of representation (encapsulation)
  - Representation is hidden from client objects
- Variations
  - Objects as concurrent tasks

# Call-and-Return Style: Discussion

## Strengths

- Change implementation without affecting clients
- Can break problems into interacting agents (distributed across multiple machines / networks)

## Weaknesses

- Objects must know their interaction partners (in contrast to Pipe-and-Filter)
- When partner changes, objects that explicitly invoke it must change
- Side effects: if A uses B and C uses B, then C's effects on B can be unexpected to A

# 4. System Design

## 4.1 Overview

## 4.2 Subsystem Decomposition

## 4.3 Architectural Styles

### 4.3.1 Data flow systems

### 4.3.2 Call-and-return system

### 4.3.3 Independent components

### 4.3.4 Data-centered systems

### 4.3.5 Hierarchical systems

### 4.3.6 Client-server systems

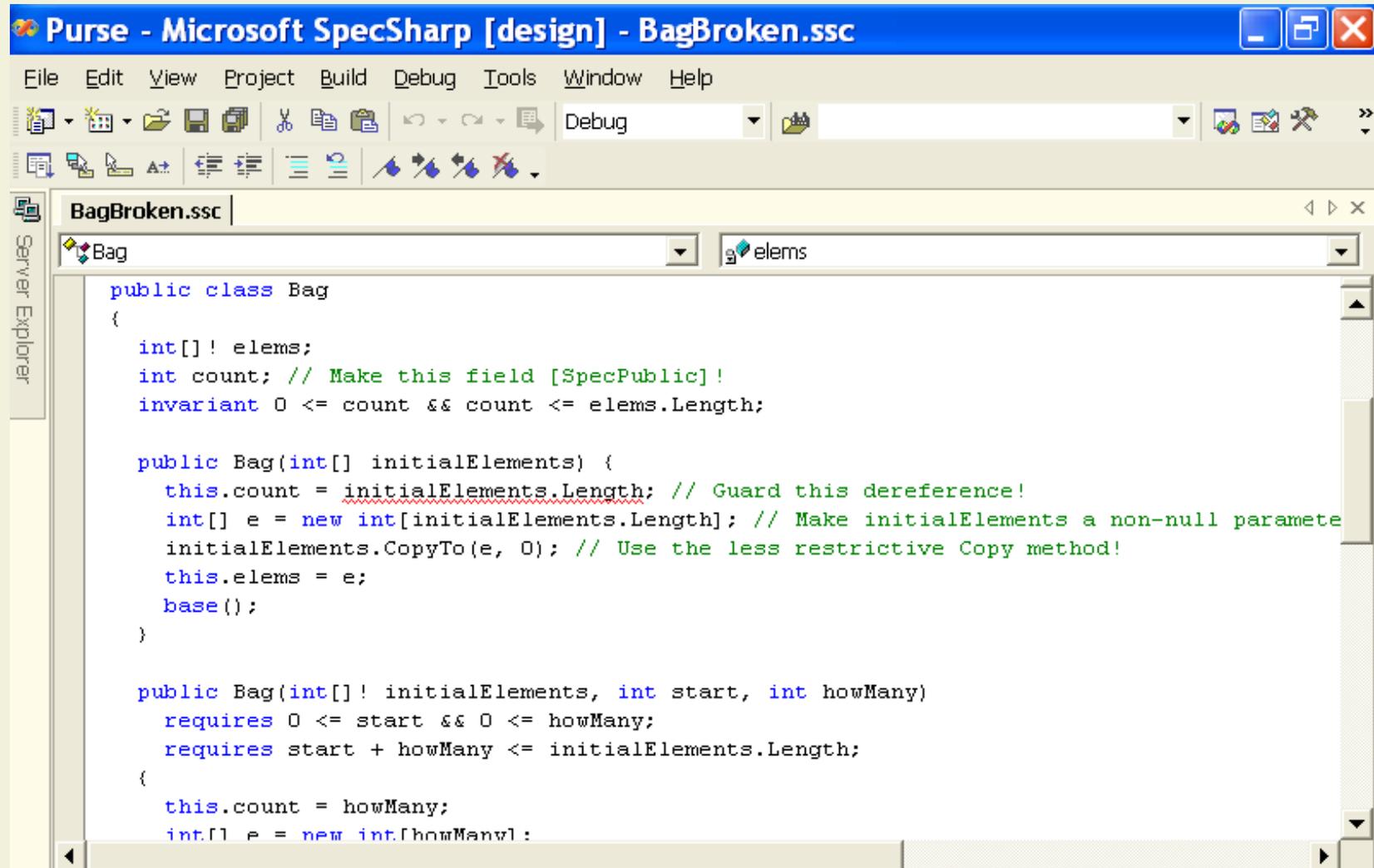
### 4.3.7 Peer-to-peer systems

## 4.4 Specific System Design Issues

# Event-Based Style (Implicit Invocation)

- Characterized by the style of communication between components
  - Component announces (broadcasts) one or more events
- Generalized Observer Design Pattern
- Components
  - May announce events
  - May register for events of other components with a callback
- Connectors
  - Bindings between event announcements and method calls (callbacks)

# Event-Based Style: Example



```
public class Bag
{
    int[]! elems;
    int count; // Make this field [SpecPublic]!
    invariant 0 <= count && count <= elems.Length;

    public Bag(int[] initialElements) {
        this.count = initialElements.Length; // Guard this dereference!
        int[] e = new int[initialElements.Length]; // Make initialElements a non-null parameter
        initialElements.CopyTo(e, 0); // Use the less restrictive Copy method!
        this.elems = e;
        base();
    }

    public Bag(int[]! initialElements, int start, int howMany)
        requires 0 <= start && 0 <= howMany;
        requires start + howMany <= initialElements.Length;
    {
        this.count = howMany;
        int[] e = new int[howMany];
```

# Event-Based Style: Properties

- **Announcers** of events **do not know** which **components** will be affected by those events
- Components **cannot make assumptions about ordering of processing**, or what processing will occur as a result of their events
- **Examples**
  - Programming environment tool integration
  - User interfaces (Model-View-Controller)
  - Syntax-directed editors to support incremental semantic checking

# Event-Based Style: Example

- Integrating tools in a shared environment
  
- Editor announces it has finished editing a module
  - Compiler registers for such announcements and automatically re-compiles module
  - Editor shows syntax errors reported by compiler
  
- Debugger announces it has reached a breakpoint
  - Editor registers for such announcements and automatically scrolls to relevant source line

# Event-Based Style: Discussion

## Strengths

- Strong support for reuse: plug in new components by registering it for events
- Maintenance: add and replace components with minimum effect on other components in the system

## Weaknesses

- Loss of control
  - What components will respond to an event?
  - In which order will components be invoked?
  - Are invoked components finished?
- Ensuring correctness is difficult because it depends on context in which invoked

- In practice, call-and-return style and event-based style are combined

# Explicit versus Implicit Invocation: Example

- Software development environment
  
- Components
  - Debugger: Reusable library component
  - Editor: Newly developed or reused
  
- Collaboration
  - When the debugger reaches a breakpoint, the editor shows the corresponding part of the source code

# Solution with Explicit Invocation

- Debugger **“knows its” editor** (i.e., has a reference to editor)
- Editors have to **implement** a certain **interface**
- Debugger invokes **appropriate method** of editor

```
interface Editor {  
    void showContext( ... );  
}
```

```
class Debugger {  
    Editor editor;  
    ...  
    void processBreakPoint( ... ) {  
        ...  
        editor.showContext( ... );  
    }  
}
```

```
class Emacs implements Editor {  
    void showContext( ... ) { ... }  
}
```

# Adaptation: Add StackViewer

- New requirement:  
Stack trace should be displayed when breakpoint is reached
- Debugger can be adapted by **subclassing** and **overriding** method `processBreakPoint`

```
class StackViewer {  
    ...  
    void showStackTrace( ... )  
        { ... }  
}
```

```
class MyDebugger  
    extends Debugger {  
    StackViewer sv;  
    ...  
    void processBreakPoint( ... ) {  
        super.processBreakPoint( ... );  
        sv.showStackTrace( ... );  
    }  
}
```

# Solution with Implicit Invocation

- Debugger has a **generic list of observers**
- Debugger **triggers event** when breakpoint is reached
- Observers decide how to handle this event (**no control by debugger**)

```
class Debugger extends Subject {  
    ...  
    void processBreakPoint( ... ) {  
        ...  
        notify( ... );  
    }  
}
```

```
class Emacs  
    implements Observer {  
    void showContext( ... ) { ... }  
    void update ( ... ) {  
        showContext( ... );  
    }  
}
```

# Adaptation: Add StackViewer

- New requirement:  
Stack trace should be displayed when breakpoint is reached
- StackViewer is just another observer
- **Debugger** does **not** have to be **adapted**

```
class StackViewer
    implements Observer {
    ...
    void showStackTrace( ... )
        { ... }

    void update ( ... ) {
        showStackTrace( ... );
    }
}
```

# Explicit versus Implicit Invocation: Summary

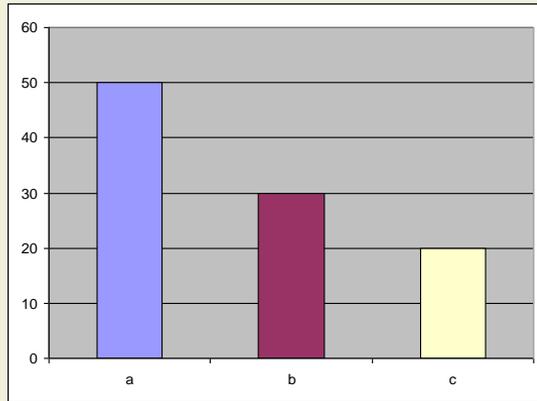
## Explicit Invocation

- Caller has **full control over computation**
- Caller **knows order** of invocations
- Reasoning about **correctness** is easier (contracts)

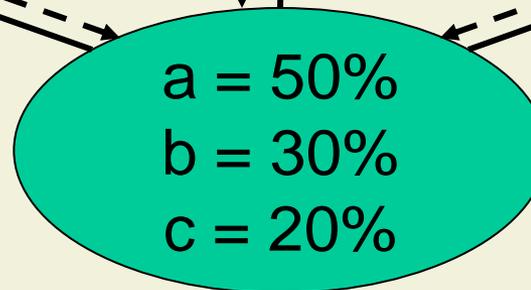
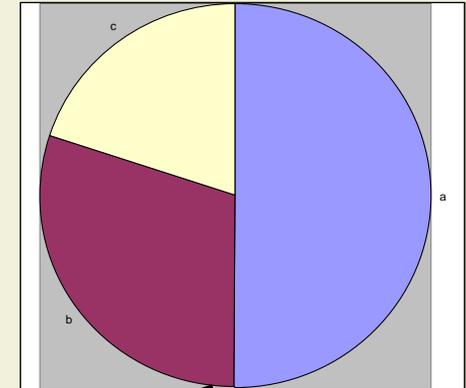
## Implicit Invocation

- Strong support for **reuse in the large: Components can be introduced** by simple registration
- Support for **evolution: Component can be replaced by other components** without affecting interfaces of other components

# Model-View-Controller Example

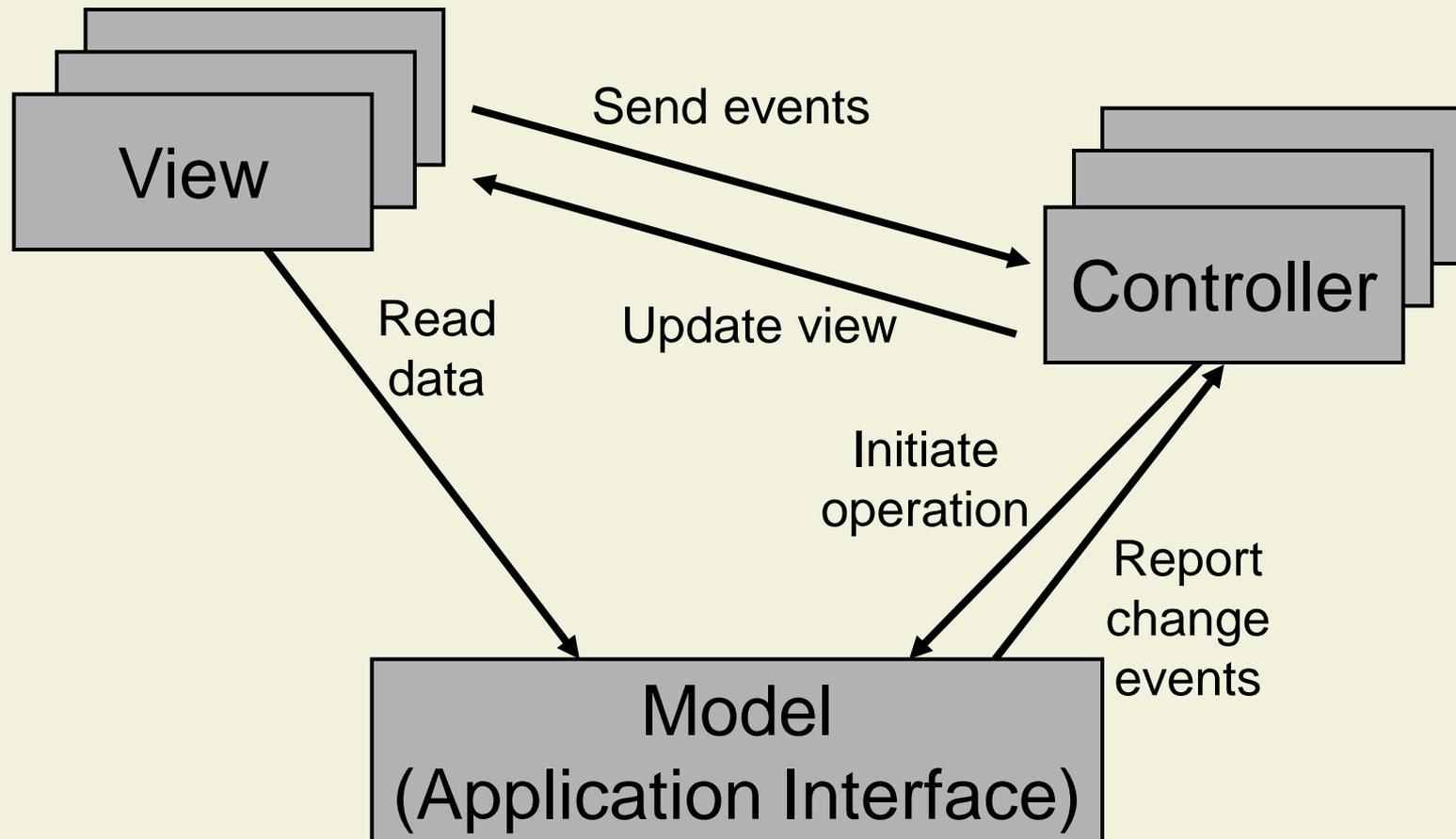


	a	b	c
X	60	30	10
Y	50	30	20
Z	80	10	10



- Change notification  
 - - - - -→ Requests, modifications

# Model-View-Controller Architecture

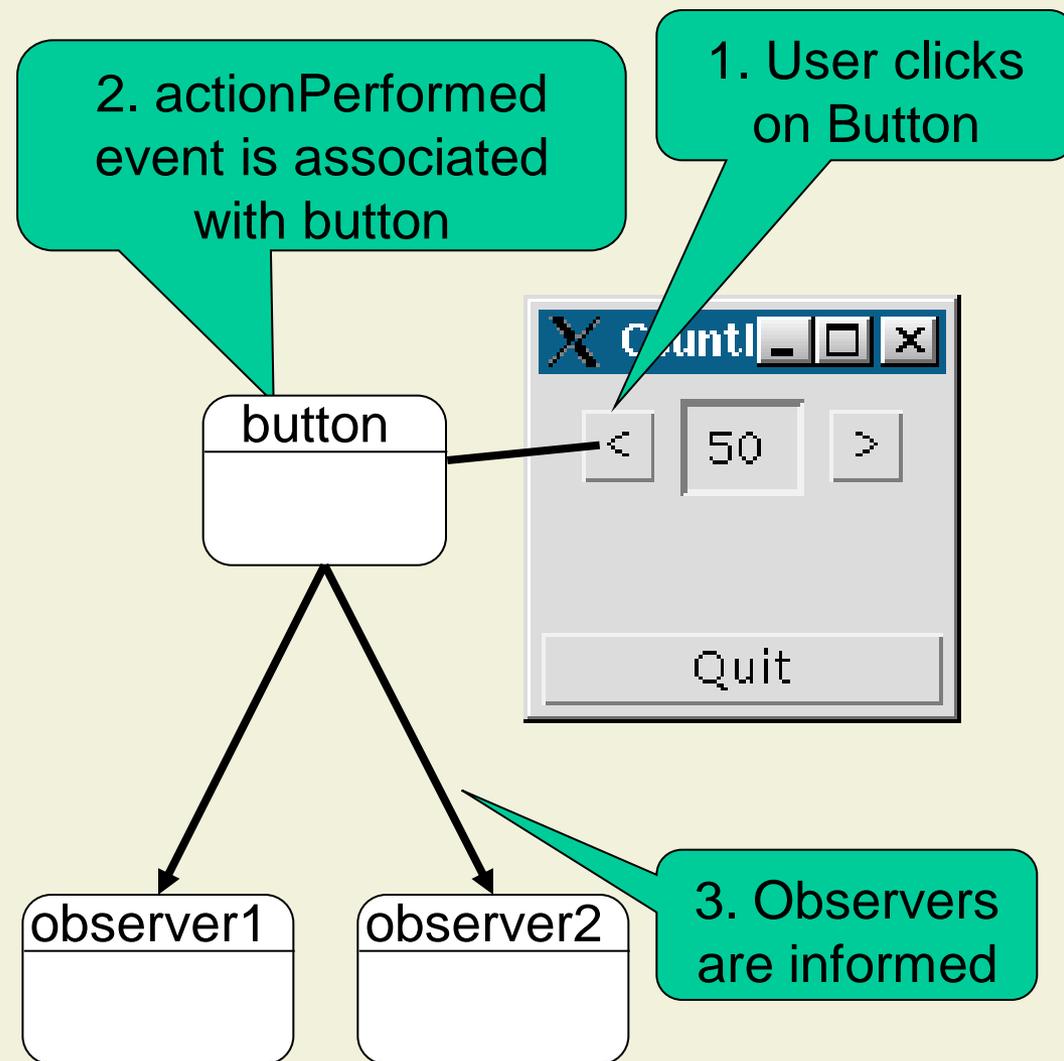


# Model-View-Controller Architecture

- Components
  - Model contains the core functionality and data
  - One or more views display information to the user
  - One or more controllers handle user input
  
- Communication
  - Change-propagation mechanism via events ensures consistency between user interface and model
  - If the user changes the model through the controller of one view, the other views will be updated automatically

# Model-View-Controller in Java

- Objects can register with a GUI component as observer for one or several event types
- Upon occurrence of an event, the event source informs all registered objects by invoking a method



# 4. System Design

## 4.1 Overview

## 4.2 Subsystem Decomposition

## 4.3 Architectural Styles

### 4.3.1 Data flow systems

### 4.3.2 Call-and-return system

### 4.3.3 Independent components

### 4.3.4 Data-centered systems

### 4.3.5 Hierarchical systems

### 4.3.6 Client-server systems

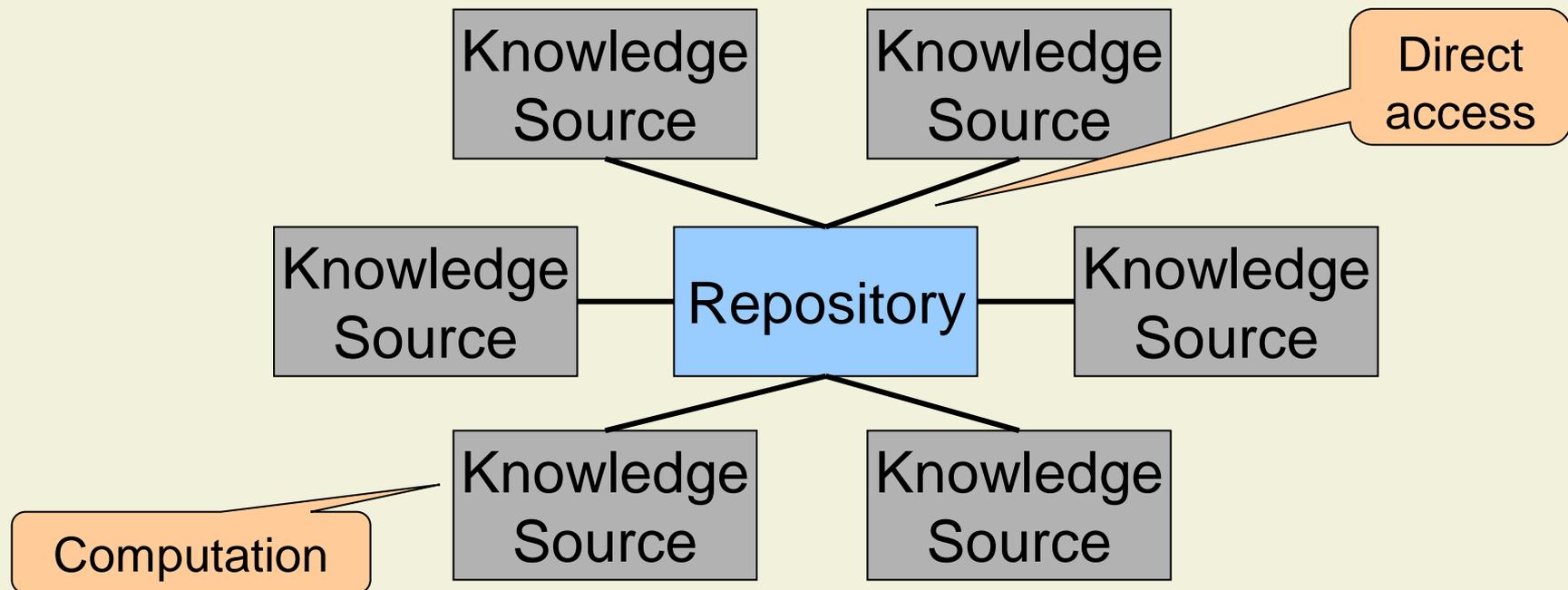
### 4.3.7 Peer-to-peer systems

## 4.4 Specific System Design Issues

# Data-Centered Style (Repository Style)

## ■ Components

- Central data store component represents system state
- Independent components operate on the data store



# Special Case: Blackboard Architectures

- **Interactions** among knowledge sources **solely through repository**
- Knowledge sources make changes to the shared data that lead incrementally to solution
- Control is driven entirely by the state of the blackboard
- Example
  - Repository: modern compilers act on shared data: symbol table, abstract syntax tree
  - Blackboard: signal and speech processing

# Data-Centered Style: Discussion

## Strengths

- Efficient way to share large amounts of data
- Data integrity localized to repository module

## Weaknesses

- Subsystems must agree (i.e., compromise) on a repository data model
- Schema evolution is difficult and expensive
- Distribution can be a problem

# 4. System Design

## 4.1 Overview

## 4.2 Subsystem Decomposition

## 4.3 Architectural Styles

### 4.3.1 Data flow systems

### 4.3.2 Call-and-return system

### 4.3.3 Independent components

### 4.3.4 Data-centered systems

### 4.3.5 Hierarchical systems

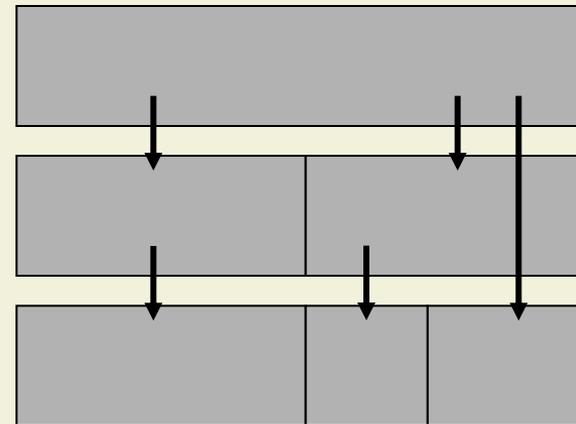
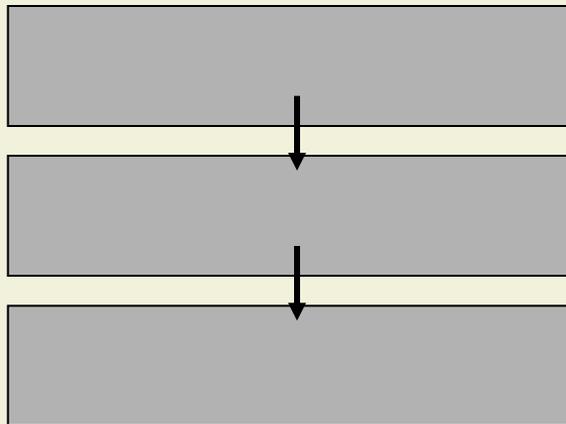
### 4.3.6 Client-server systems

### 4.3.7 Peer-to-peer systems

## 4.4 Specific System Design Issues

# Hierarchical Style (Layered Style)

- Components
  - Group of subtasks which implement an abstraction at some layer in the hierarchy
- Connectors
  - Protocols that define how the layers interact



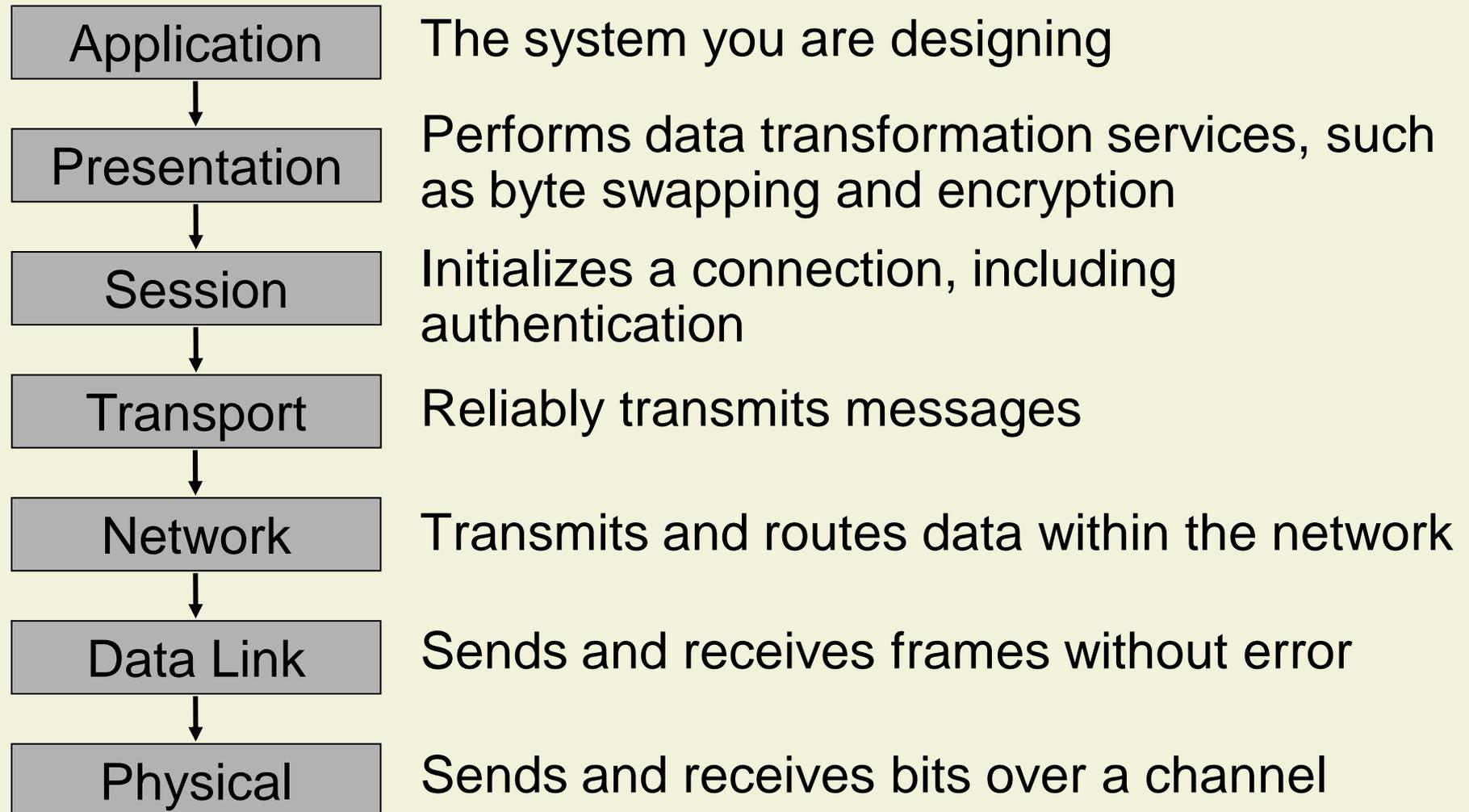
# Hierarchical Style: Properties

- Each layer provides **service to the layer above** it and acts as a client of the layer below
- Each layer collects services at a particular level of **abstraction**
- A layer depends only on lower layers
  - Has no knowledge of higher layers
- Example
  - Communication protocols
  - Operating systems

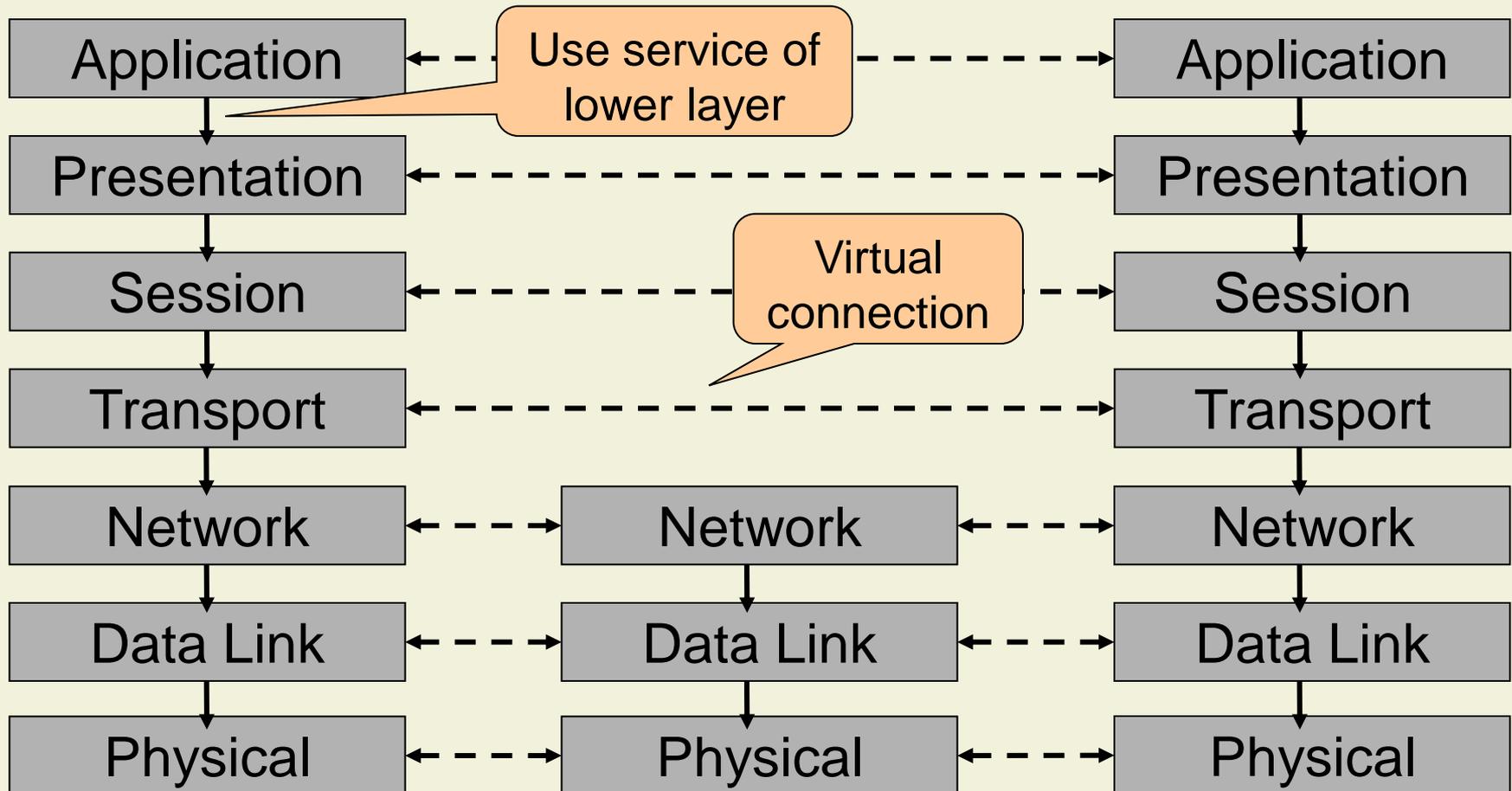
# Hierarchical Style: Example

- The OSI Networking Model
  - Each level supports communication at a level of abstraction
  - Protocol specifies behavior at each level of abstraction
  - Each layer deals with specific level of communication and uses services of the next lower level
  
- Layers can be exchanged
  - Example: Token Ring for Ethernet on Data Link Layer

# OSI Model Layers and Their Responsibilities



# Hierarchical Style: Example (cont'd)



# Hierarchical Style: Discussion

## Strengths

- Increasing levels of abstraction as we move up through layers: partitions complex problems
- Maintenance: in theory, a layer only interacts with layer below (low coupling)
- Reuse: different implementations of the same level can be interchanged

## Weaknesses

- Performance: communicating down through layers and back up, hence bypassing may occur for efficiency reasons

# Interpreters

- Architecture is based on a **virtual machine** produced in software
- Special kind of a **layered architecture** where a layer is implemented as a true language interpreter
- Components
  - “Program” being executed and its data
  - Interpretation engine and its state
- Example: Java Virtual Machine
  - Java code translated to platform independent bytecode
  - JVM is platform specific and interprets the bytecode

# 4. System Design

## 4.1 Overview

## 4.2 Subsystem Decomposition

## 4.3 Architectural Styles

### 4.3.1 Data flow systems

### 4.3.2 Call-and-return system

### 4.3.3 Independent components

### 4.3.4 Data-centered systems

### 4.3.5 Hierarchical systems

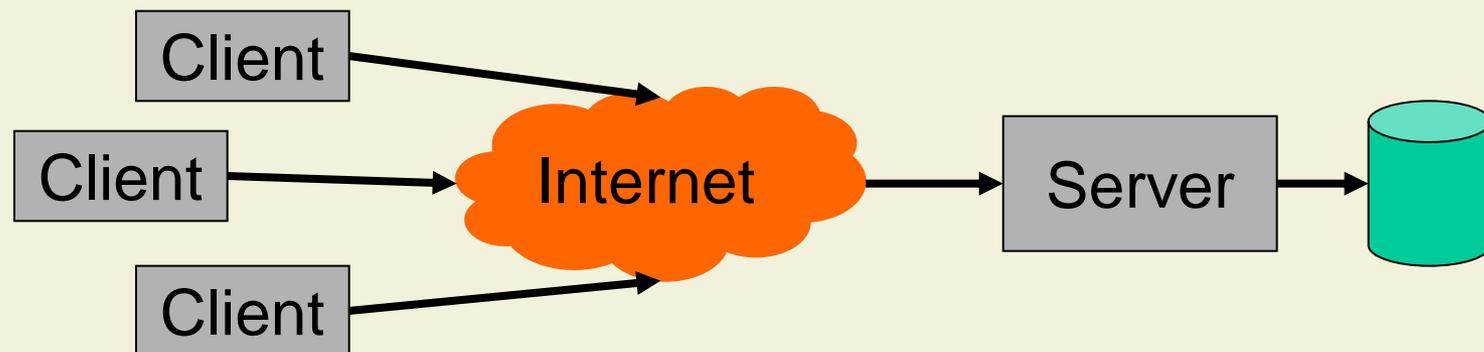
### 4.3.6 Client-server systems

### 4.3.7 Peer-to-peer systems

## 4.4 Specific System Design Issues

# Client Server Style

- Components
  - Subsystems are independent processes
  - Servers provide specific services such as printing, etc.
  - Clients use these services
- Connectors
  - Data streams, typically over a communication network



# Client Server Style Example: Databases

- Front-end: User application (client)
  - Customized user interface
  - Front-end processing of data
  - Initiation of server remote procedure calls
  - Access to database server across the network
- Back-end: Database access and manipulation (server)
  - Centralized data management
  - Data integrity and database consistency
  - Database security
  - Concurrent operations (multiple user access)
  - Centralized processing (for example archiving)

# Client Server Style: Variants

- Thick / fat client
  - Does as much processing as possible
  - Passes only data required for communications and archival storage to the server
  - Advantages: less network bandwidth, fewer server requirements
- Thin client
  - Has little or no application logic
  - Depends primarily on the server for processing activities
  - Advantages: lower IT admin costs, easier to secure, lower hardware costs.

# Client Server Style: Discussion

## Strengths

- Makes effective use of networked systems
- May allow for cheaper hardware
- Easy to add new servers or upgrade existing servers
- Availability (redundancy) may be straightforward

## Weaknesses

- Data interchange can be hampered by different data layouts
- Communication may be expensive
- Single point of failure

# 4. System Design

## 4.1 Overview

## 4.2 Subsystem Decomposition

## 4.3 Architectural Styles

### 4.3.1 Data flow systems

### 4.3.2 Call-and-return system

### 4.3.3 Independent components

### 4.3.4 Data-centered systems

### 4.3.5 Hierarchical systems

### 4.3.6 Client-server systems

### 4.3.7 Peer-to-peer systems

## 4.4 Specific System Design Issues

# Peer-to-Peer Style

- Similar to client-server style, but **each component is both client and server**
- Pure peer-to-peer style
  - No central server, no central router
- Hybrid peer-to-peer style
  - Central server keeps information on peers and responds to requests for that information
- Examples
  - File sharing applications, e.g., Napster, Gnutella, Kazaa
  - Communication and collaboration, e.g., Skype

# Peer-to-Peer Style: Discussion

## Strengths

- Efficiency
  - All clients provide resources
- Scalability
  - System capacity grows with number of clients
- Robustness
  - Data is replicated over peers
  - No single point of failure in the system (in pure peer-to-peer style)

## Weaknesses

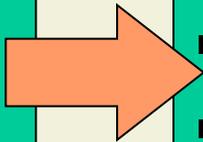
- Architectural complexity
- Resources are distributed and not always available
- More demanding of peers (compared to client-server)
- New technology not fully understood

# Architectural Style Case Study

- The KWIC index system accepts an ordered set of lines, each line is an ordered set of words, and each word is an ordered set of characters. Any line may be "circularly shifted" by repeatedly removing the first word and appending it at the end of the line. The KWIC index system outputs a listing of all circular shifts of all lines in alphabetical order.
- We discuss and evaluate different system designs

# KWIC Example

## Input

- Star Wars
  - The Empire Strikes Back
  - The Return of the Jedi
- 

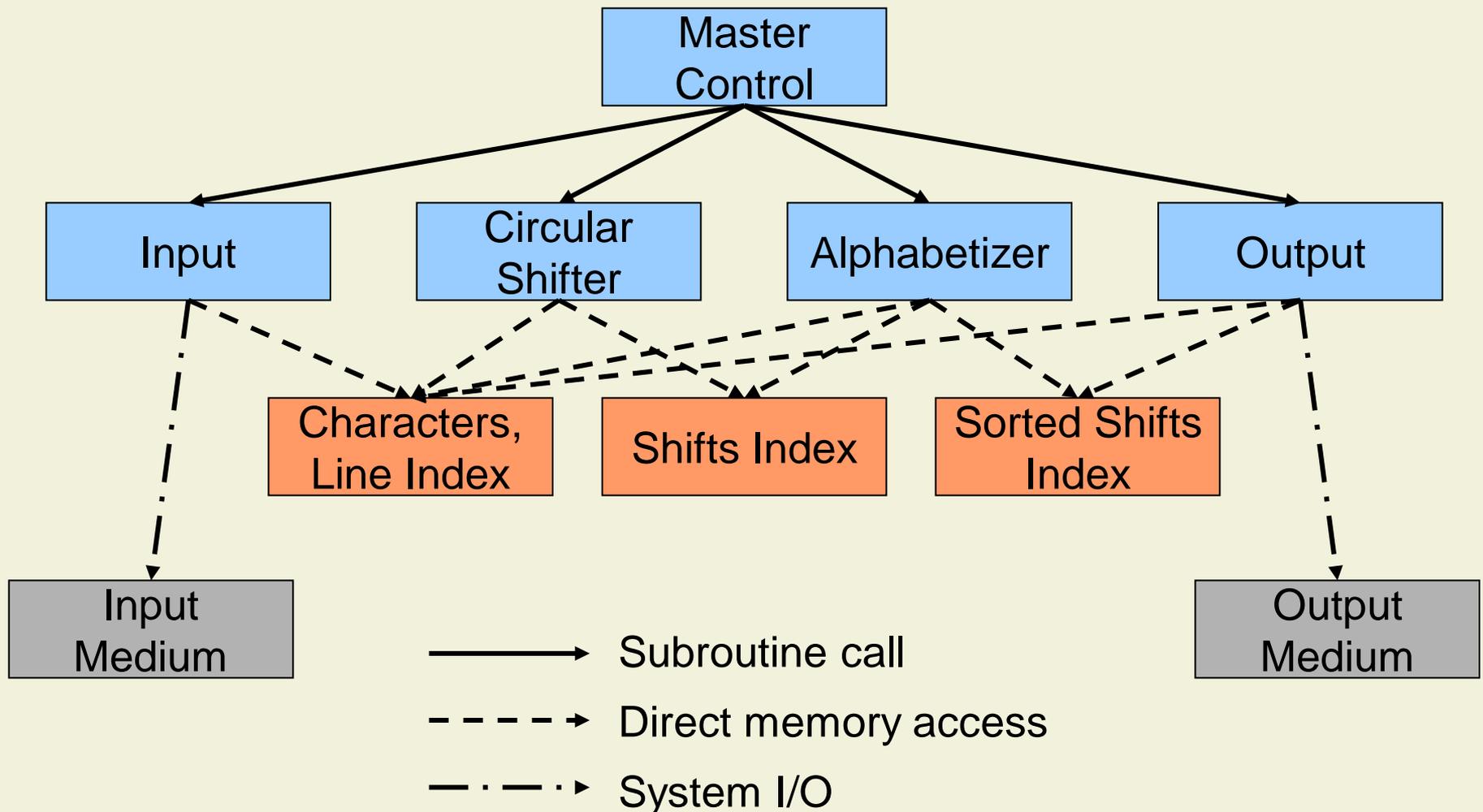
## Output

- Back The Empire Strikes
- Empire Strikes Back The
- Jedi The Return of the
- Return of the Jedi The
- Star Wars
- Strikes Back The Empire
- The Empire Strikes Back
- The Return of the Jedi
- Wars Star
- of the Jedi The Return
- the Jedi The Return of

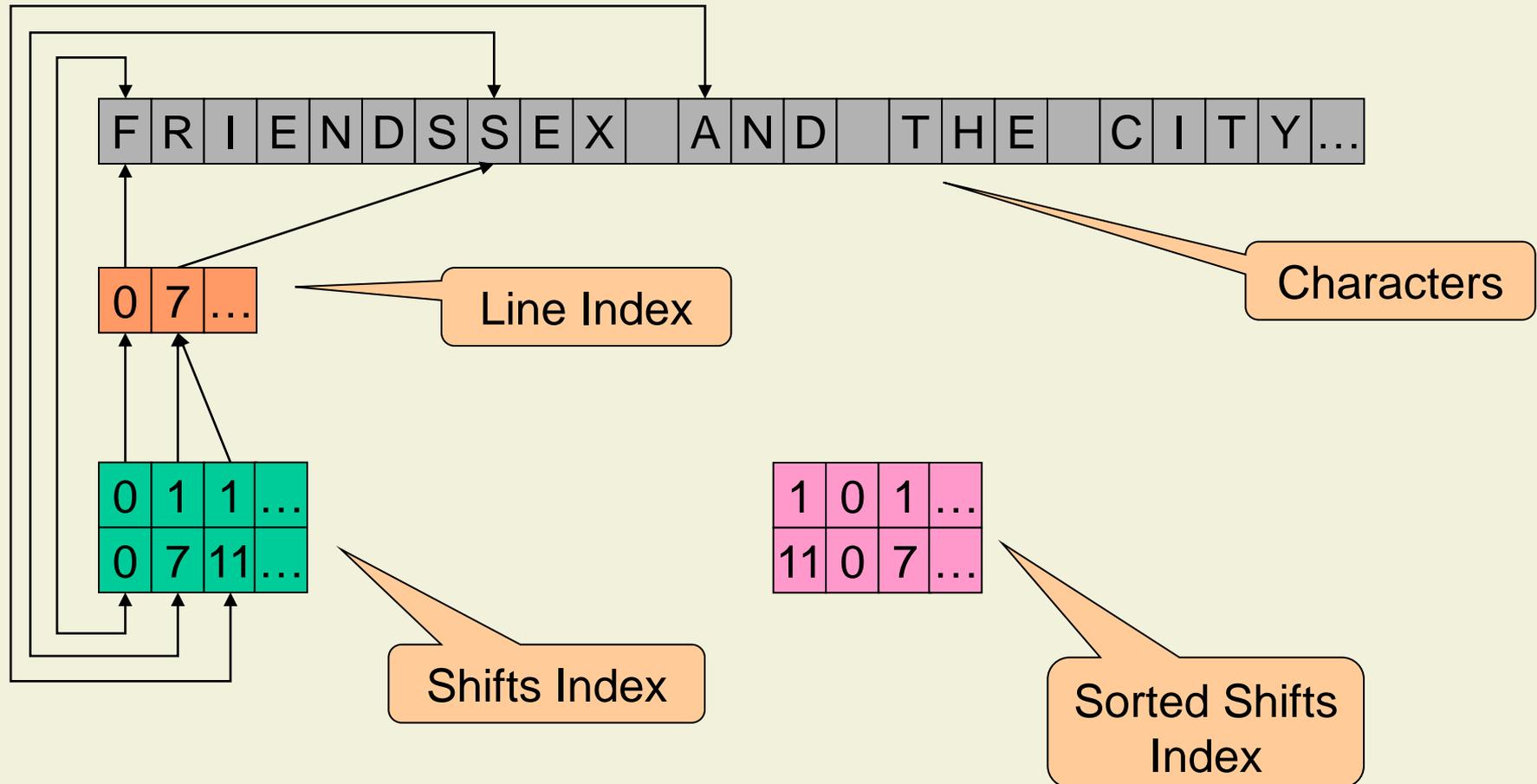
# Evaluation Criteria

- Changes in algorithm
  - Line shifting on each line as it is read, on all the lines after they are read, or on demand when the alphabetization requires a new set of shifted lines
- Changes in data representation
  - Lines and circular shifts can be stored in various ways
- Enhancement to system function
  - Elimination of certain noise words ("a", "an", "and", etc.)
  - Interaction
- Performance: space and time
- Reuse

# Solution 1: Subroutines with Shared Data



# Solution 1: Data Representation



# Solution 1: Discussion

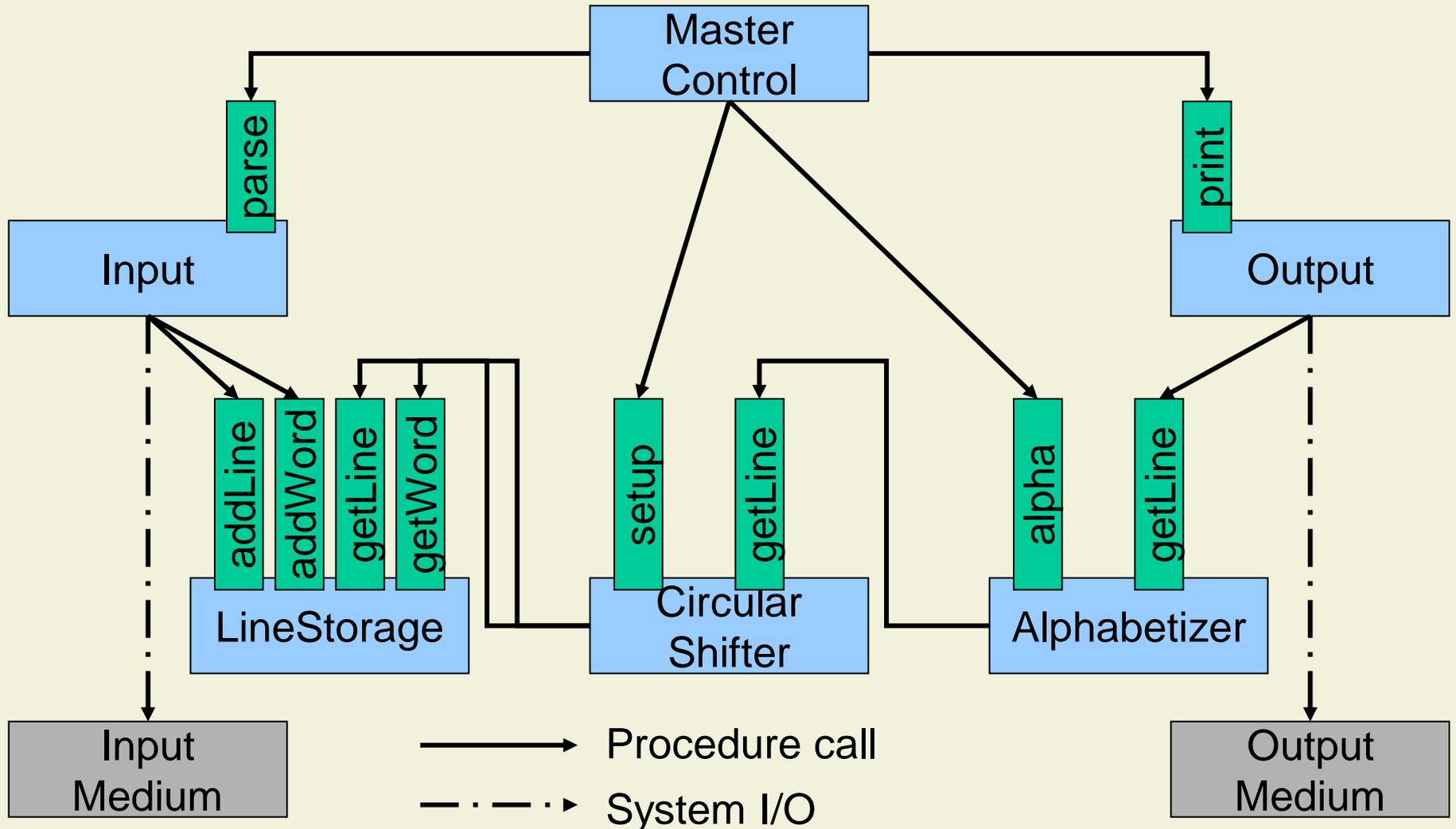
## ■ Pros

- **Efficient data representation** (data stored only once)
- Distinct computational aspects are isolated in different modules

## ■ Cons

- **Change** in data storage format **affects all modules**
- Similarly: changes in algorithm and enhancements to system function
- **Reuse is not well-supported** (each module is tightly tied to this particular implementation)

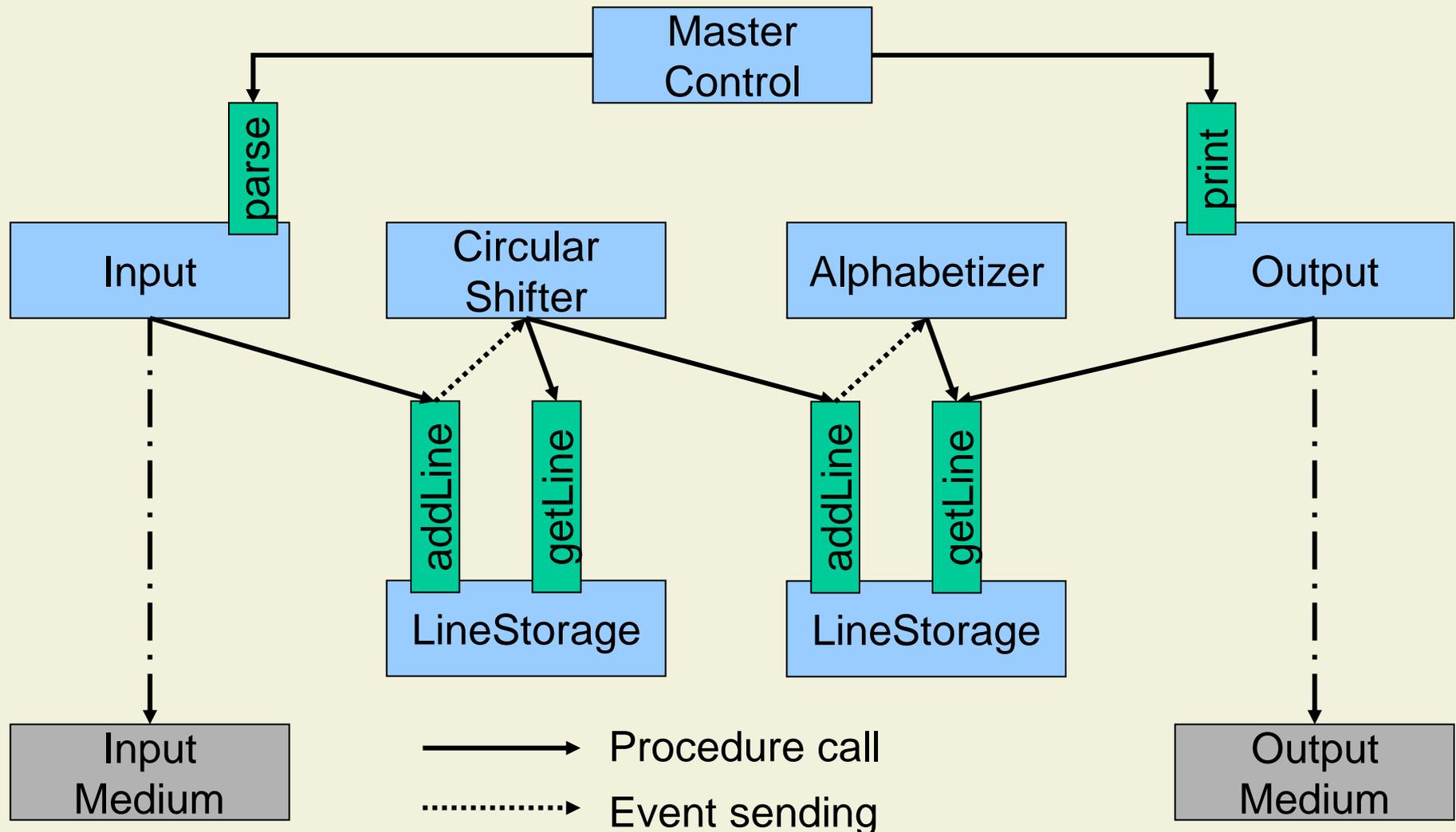
# Solution 2: Abstract Data Types



## Solution 2: Discussion

- Same processing modules as first solution, but better amenable to change
- Data not directly shared by components
- Pros
  - **Algorithms and data representations can be changed** in individual modules without affecting others
  - Reuse is better supported because modules make **fewer assumptions** about the others with which they interact
- Cons
  - Not particularly well-suited to enhancements

# Solution 3: Implicit Invocation (Event-Based)



## Solution 3: Discussion

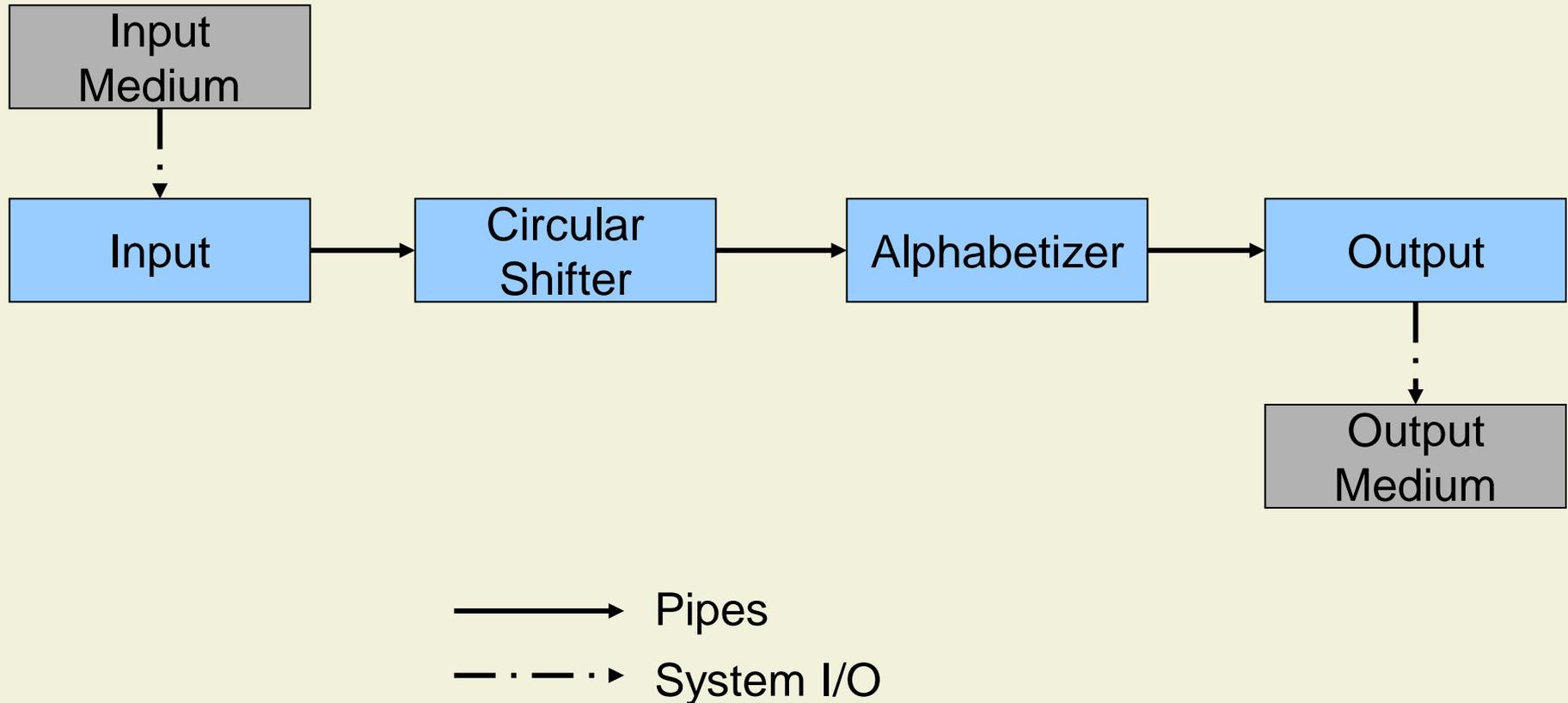
### ■ Pros

- Supports **functional enhancements**: additional modules can be attached to the system by registering them to be invoked on certain events
- **Data representations can be changed**
- **Reuse**: implicitly invoked modules only rely on the existence of certain externally triggered events

### ■ Cons

- Difficult to change the **order of processing**
- Uses **more space** than solutions 1 and 2

# Solution 4: Pipe-and-Filter



# Solution 4: Discussion

## ■ Pros

- **Intuitive** flow of processing
- **Reuse**: each filter can function in isolation
- **New functions** are easily added to the system by inserting filters at the appropriate point in the processing sequence

## ■ Cons

- Difficult (impossible) to support an **interactive system**
- **Inefficient in terms of space**, since each filter must copy all of the data to its output ports
- Alphabetizer is not incremental

# KWIC Case Study: Summary

	Subroutines	Abstract Data Types	Implicit Invocation	Pipe-and-Filter
Change in Algorithm	-	-	+	+
Change in Data Rep.	-	+	+	-
Change in Function	+	-	+	+
Performance	+	0	-	-
Reuse	-	+	+	+

# 4. System Design

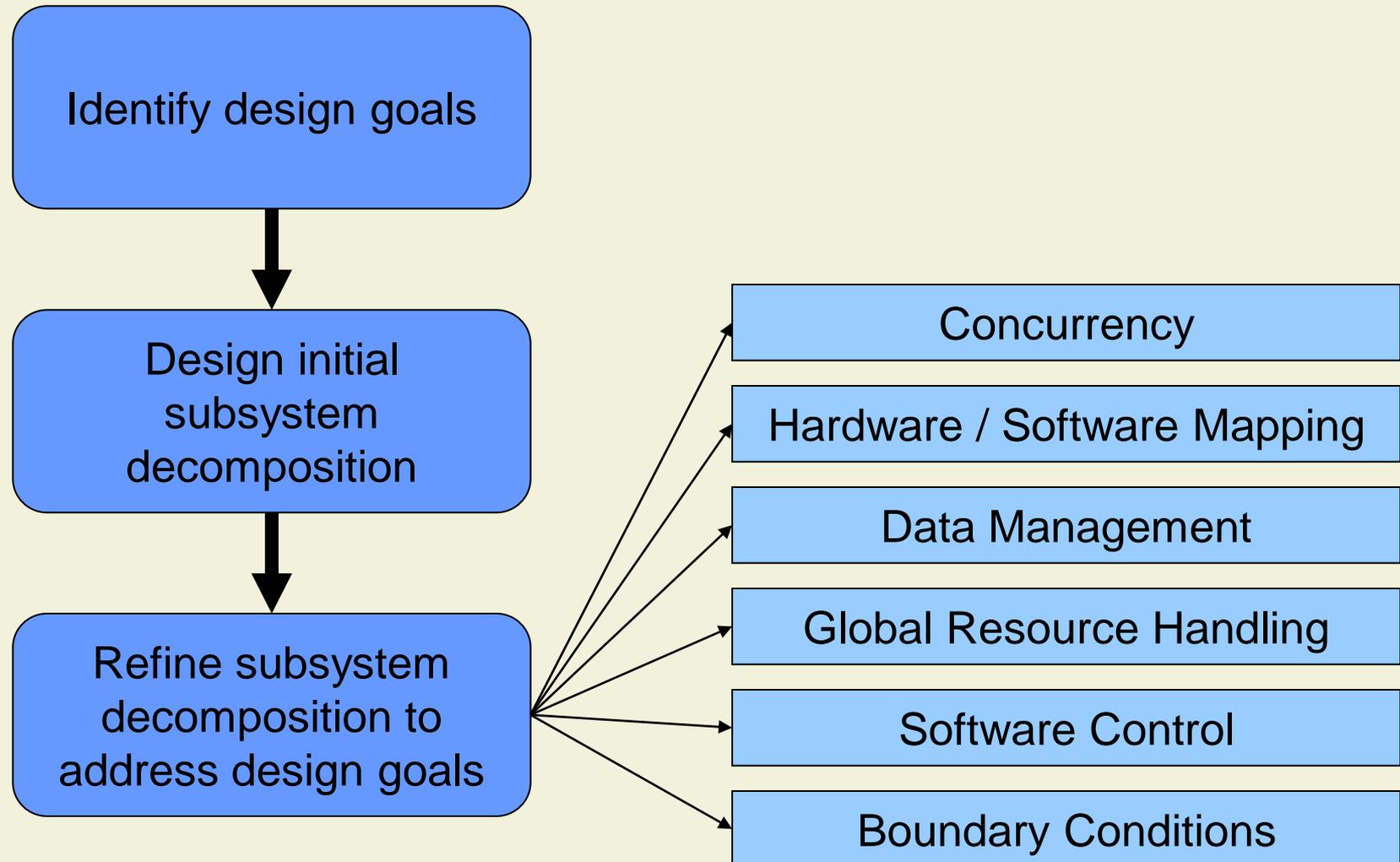
4.1 Overview

4.2 Subsystem Decomposition

4.3 Architectural Styles

**4.4 Specific System Design Issues**

# Areas of System Design: Specific Issues



# Concurrency Questions

- Which objects of the object model are **independent**?
  - Candidates for separate threads
- Does the system support **multiple users**?
  - Example: Client-server architecture with several clients
- Can a single request to the system be **decomposed** into multiple requests? Can these requests be **handled** in **parallel**?
  - Search in a distributed database
  - Image recognition by decomposing the image into stripes

# Hardware / Software Mapping

- This activity addresses two questions:
  - How shall we realize the subsystems: **with hardware or with software?**
  - How do we **map the object model** on the chosen hardware and software?
  
- Much of the difficulty of designing a system comes from meeting externally-imposed hardware and software constraints

# Mapping the Objects

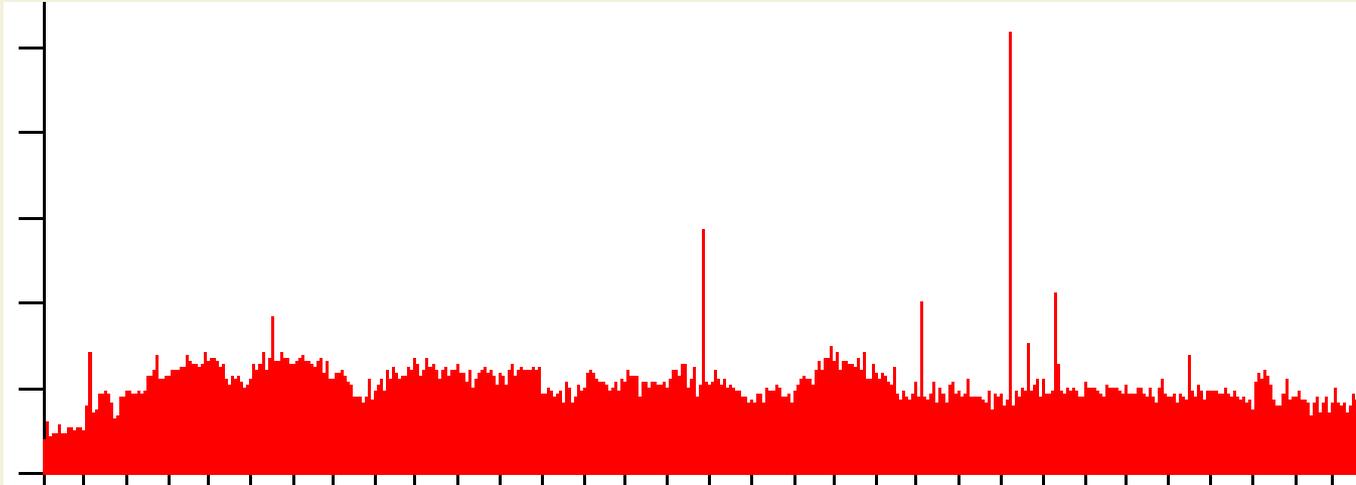
## ■ Processor issues

- Is the computation rate too **demanding** for a single processor?
- Can we get a **speedup** by distributing tasks across several processors?
- How many processors are required to **maintain steady state load**?

## ■ Memory issues

- Is there enough memory to buffer **bursts of requests**?

# Mapping the Objects (cont'd)



- Example: stock trading
  - Usually steady rate of stock orders per day
  - Extreme peaks for important IPOs
- Bank is liable for loss of orders
  - System must be able to handle peak load

# Mapping the Associations

- Which of the **client-supplier relationships** in the analysis / design model correspond to **physical connections**?
- Describe the **logical connectivity** (subsystem associations)
- Identify associations that do not directly map into physical connections
  - How should these associations be implemented?

# Hardware / Software Mapping Questions

- What is the **connectivity** among physical units?
  - Tree, star, matrix, ring
- What is the appropriate **communication protocol** between the subsystems?
  - Function of required bandwidth, latency and desired reliability, desired quality of service (QoS)
- Is certain **functionality** already **available in hardware**?
- General system **performance** question
  - What is the desired response time?

# Example: ATM Machine and Host System

Connected via leased line (low latency)



Connected via backbone

Server software runs on workstations; one per region

Client software runs on common PC; one PC per ATM

Backend software runs on mainframe; one for the whole country



# Data Management

- Some objects in the models need to be **persistent**
- Persistency is achieved by **files** and **databases**
- Files
  - Cheap, simple, permanent storage
  - Low level (read, write)
  - Applications must add code to provide suitable level of abstraction
- Database
  - Powerful, easy to port
  - Supports multiple writers and readers

# File or Database?

- When should you choose a file?
  - Is the data **voluminous** (bit maps)?
  - Do you have lots of **raw data** (core dump, event trace)?
  - Do you need to keep the data only for a **short time**?
- When should you choose a database?
  - Does the data require access by **multiple users**?
  - Must the data be ported across multiple platforms (**heterogeneous systems**)?
  - Do **multiple application programs** access the data?
  - Does the data **management** require a lot of **infrastructure** (e.g., indexing, transactions)?

# Database Management System

- Contains mechanisms for **describing** data, **managing** persistent storage and for providing a **backup** mechanism
- Provides **concurrent access** to the stored data
- Contains information about the data (“meta-data”)
  - Also called data schema

# Object-Oriented Databases

- An object-oriented database **supports** all the fundamental **object modeling** concepts
  - Classes, Attributes, Methods, Associations, Inheritance
- Mapping an object model to an OO-database
  - Determine which objects are persistent
  - Perform normal requirement analysis and detailed design
  - Do the mapping specific to commercially available product
- Suitable for **medium-sized** data set, **irregular associations** among objects

# Relational Databases

- Data is presented as **two-dimensional tables**
- Tables have a specific number of columns and arbitrary numbers of rows
  - **Primary key**: Combination of attributes that uniquely identify a row in a table
  - **Foreign key**: Reference to a primary key in another table
- SQL is the standard language for defining and manipulating tables
- Suitable for **large** data set, **complex queries** over attributes

# Mapping an Object Model to a Relational DB

- UML object models can be mapped to relational databases
- UML mappings
  - Each class is mapped to a table
  - Each attribute is mapped onto a column in the table
  - An instance of a class represents a row in the table
  - A one-to-many association is implemented as foreign key
  - A many-to-many association is mapped into its own table
- Methods are not mapped

# Mapping 1:n and n:1 Associations

- Buried Foreign Keys



**TransactionTable**

transactionID	portfolioID

Foreign  
Key

Primary  
Key

**PortfolioTable**

portfolioID	...

# Mapping Many-to-Many Associations

- Separate table for association



Primary  
Key

Separate  
Table

**CityTable**

cityName
Houston
Albany
Munich
Hamburg

**AirportTable**

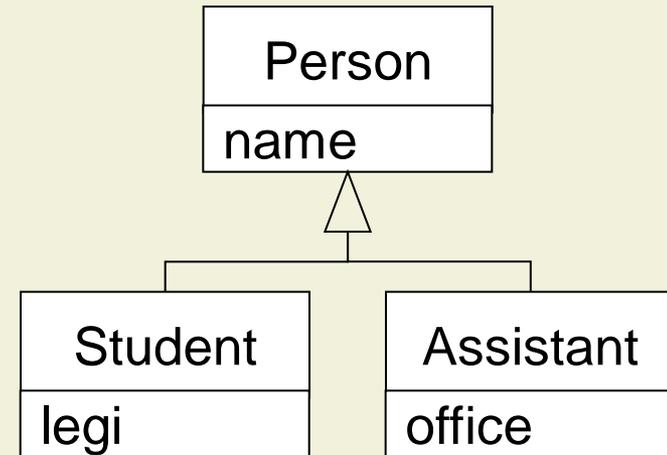
airportCode	airportName
IAH	Intercontinental
HOU	Hobby
ALB	Albany County
MUC	Munich Airport
HAM	Hamburg Airport

**ServesTable**

cityName	airportCode
Houston	IAH
Houston	HOU
Albany	ALB
Munich	MUC
Hamburg	HAM

# Mapping Inheritance

- Option 1: separate table

**StudentTable**

id	legi
56	123456

**AssistantTable**

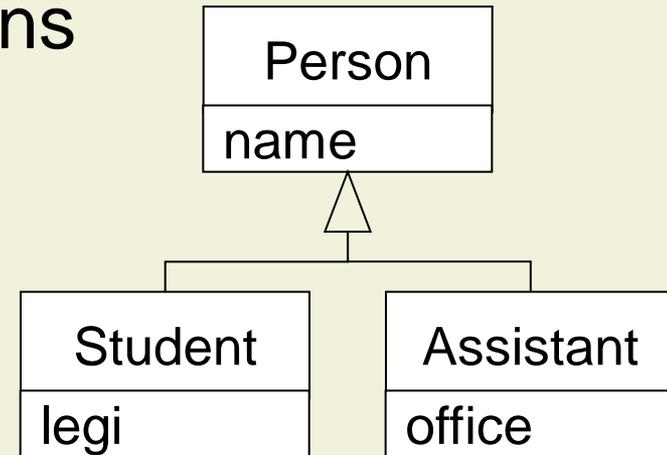
id	office
79	RZ F02

**PersonTable**

id	name
56	Urs
79	Sile

# Mapping Inheritance (cont'd)

- Option 2: duplicating columns



**StudentTable**

id	legi	name
56	123456	Urs

**AssistantTable**

id	office	name
79	RZ F02	Sile

# Separate Tables vs. Duplicated Columns

- Trade-off between modifiability and response time
  - How likely is a change of the superclass?
  - What are the performance requirements for queries?
- Separate table mapping
  - Pro: Adding attributes to the superclass is easy (adding a column to the superclass table)
  - Con: Searching for the attributes of an object requires a join operation
- Duplicated columns
  - Con: Modifying the database schema is more complex and error-prone
  - Pro: Individual objects are not fragmented across a number of tables (faster queries)

# Data Management Questions

- Should the data be **distributed**?
- Should the database be **extensible**?
- **How often** is the database **accessed**?
- What is the expected **request rate**? In the worst case?
- What is the **size of** typical and worst case **requests**?
- Does the data need to be **archived**?
- Does the system design try to hide the location of the databases (**location transparency**)?
- Is there a need for a **single interface** to access the data?
- What is the **query format**?
- Should the database be **relational** or **object-oriented**?

# Boundary Conditions

- Most of the system design effort is concerned with the steady-state behavior described in the analysis phase
- Additional **administration use cases** describe:
  - **Initialization** ("startup use cases")
  - **Termination** ("termination use cases")
    - What resources are cleaned up and which systems are notified upon termination
  - **Failure** ("failure use cases")
    - Many possible causes: Bugs, errors, external problems
    - Good system design foresees fatal failures

# Boundary Condition Questions

- Initialization
  - How does the system start up?
  - What data needs to be accessed at startup time?
  - What services have to be registered?
  - What does the user interface do at start up time?
  - How does it present itself to the user?
- Termination
  - Are single subsystems allowed to terminate?
  - Are other subsystems notified if a single subsystem terminates?
  - How are local updates communicated to the database?

# Boundary Condition Questions (cont'd)

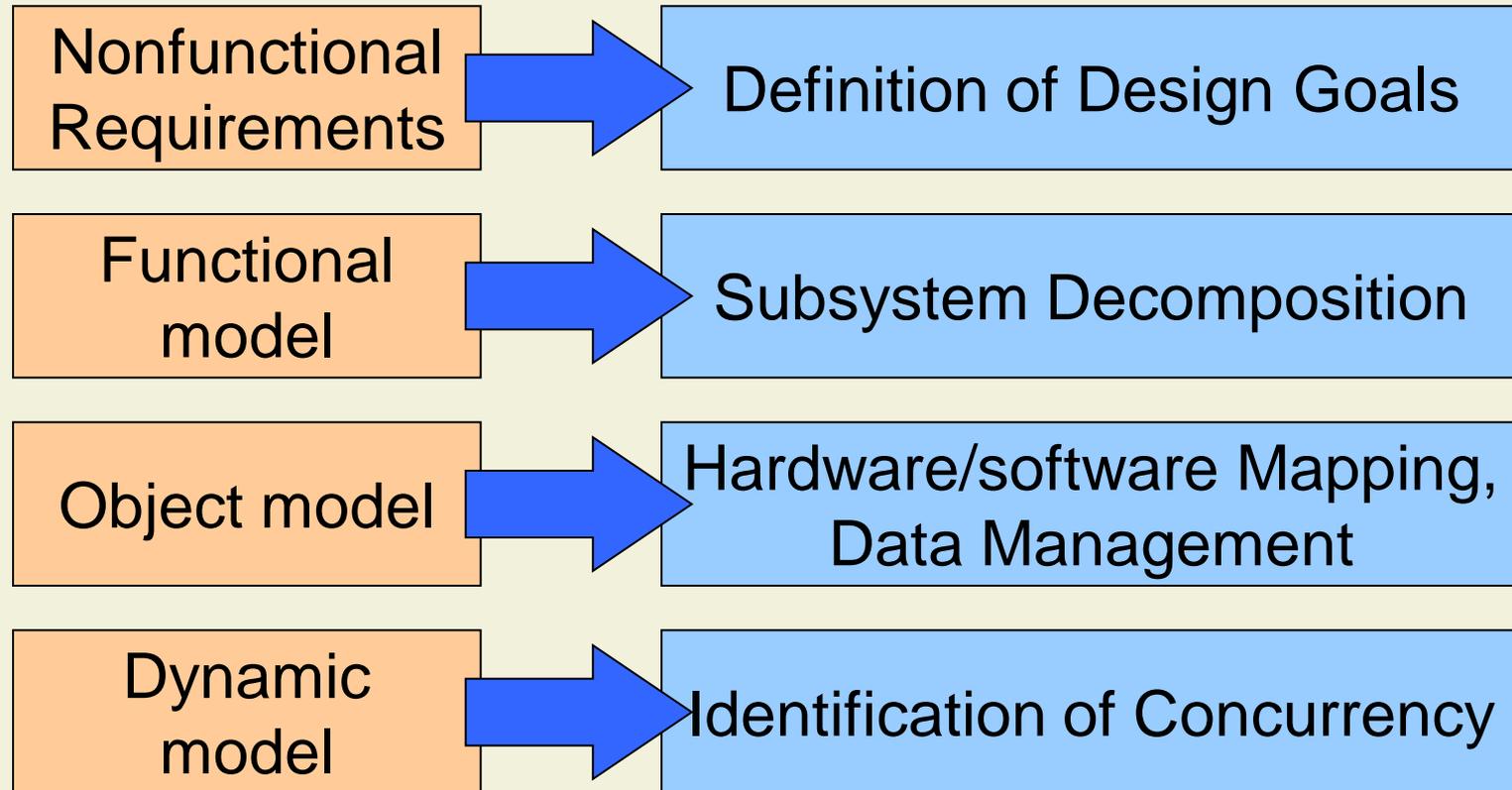
## ■ Failure

- How does the system behave when a node or communication link fails? Are there backup communication links?
- How does the system recover from failure? Is this different from initialization?

# Modeling Boundary Conditions

- **Boundary conditions** are best **modeled as use cases** with actors and objects
- Actor: often the system administrator
- Interesting use cases:
  - Start up of a subsystem
  - Start up of the full system
  - Termination of a subsystem
  - Error in a subsystem or component, failure of a subsystem or component

# Influences from Requirements Analysis



- Finally: The subsystem decomposition influences boundary conditions

# Summary: System Design

- **Definition of design goals**

- Describes and prioritizes the qualities that are important for the system

- **Subsystem decomposition**

- Decomposes the overall system into manageable parts by using the principles of cohesion and coherence

- **Architectural style**

- A pattern of a typical subsystem decomposition

- **Software architecture**

- An instance of an architectural style