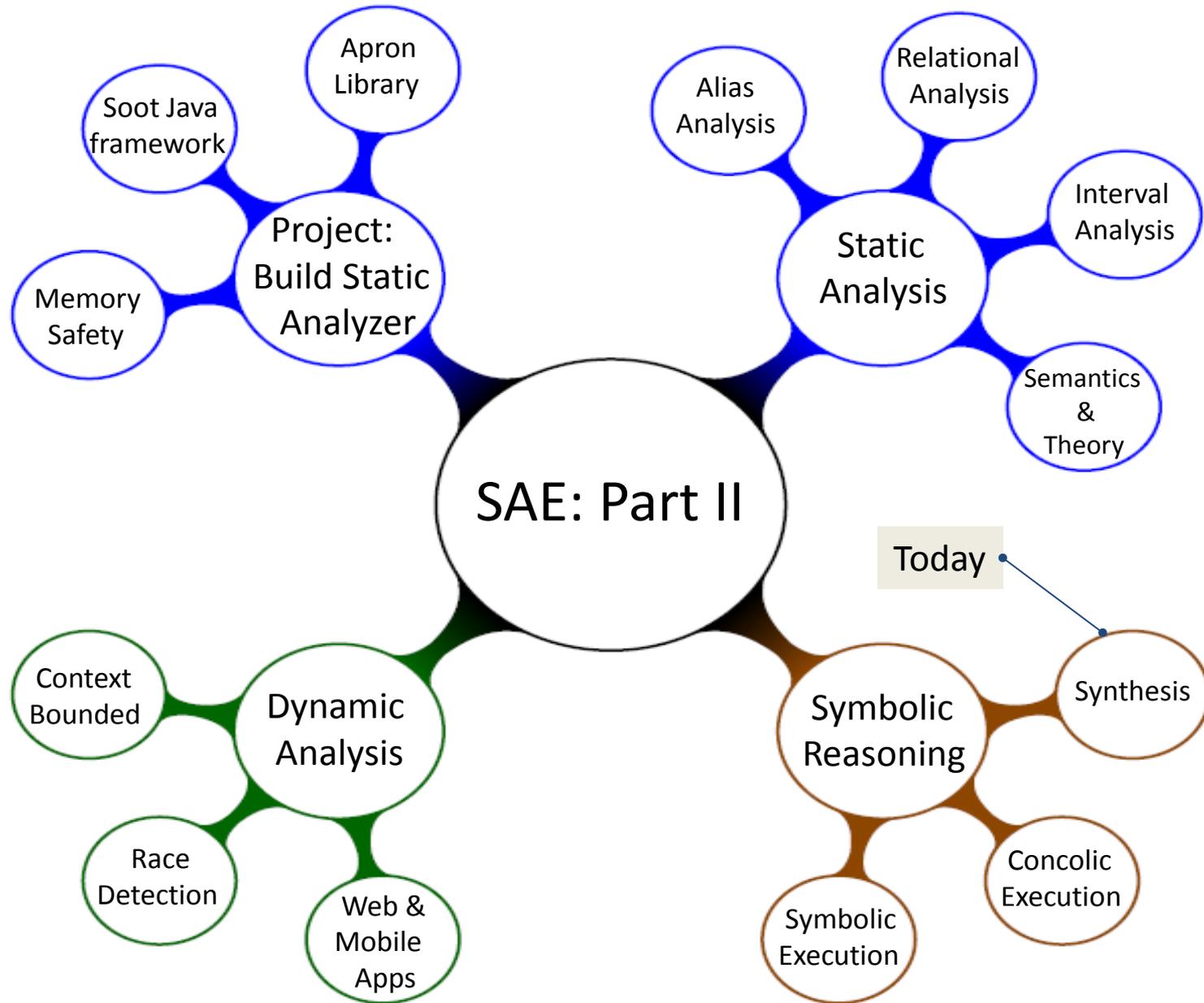


Software Architecture and Engineering: Part II

ETH Zurich, Spring 2014
Prof. Martin Vechev



Setting

The general case is as follows: given a program **P** and a specification **S**, does program **P** satisfy specification **S**?

P \models ? **S**

Setting

if program **P** is **infinite-state**, and we want to answer the question **automatically**, we need to over-approximate **P**

P $\stackrel{?}{\equiv}$ **S**

Setting

to over-approximate P , we need an abstraction α

$P \stackrel{?}{\sqsupseteq} S$

Setting

given a program P , a specification S , and an abstraction α ,
does program P satisfy specification S ?

$P_{\alpha} \models ? S$

Setting

a basic question in program analysis

$P_\alpha \Vdash ? S$

Setting

but what if **P** does not satisfy **S** under abstraction α ?

$$P_{\alpha} \neq S$$

Setting

refine abstraction α to a new abstraction α'

$$P_{\alpha'} \models S$$

Setting

modify program P to a new program P'
how?

$$P'_{\alpha} \models S$$

Setting

weaken specification S to S'
unclear to what, **true** is also a solution

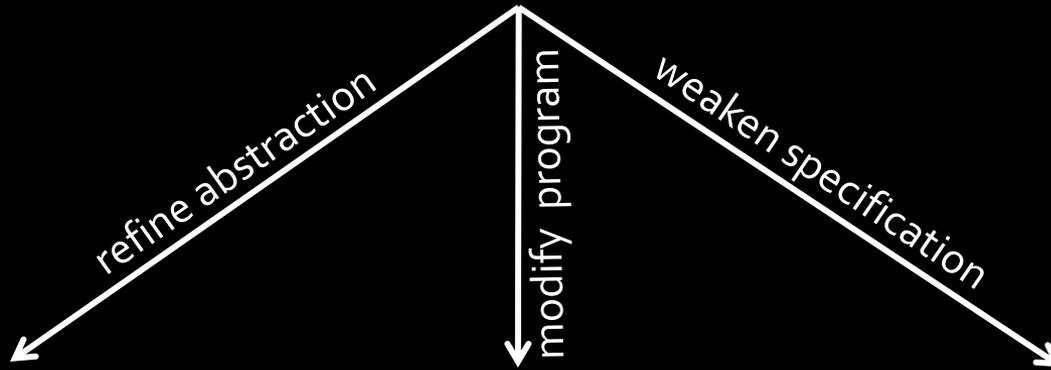
$$P_{\alpha} \models S'$$

Setting

$$P \stackrel{?}{\models} S$$

↓ add abstraction (for automation)

$$P_\alpha \not\models S$$



$$P_{\alpha'} \models S$$

$$P'_\alpha \models S$$

$$P_\alpha \models S'$$

can also combine steps

Refine Abstraction

$P_\alpha \not\models S$



$P_{\alpha'} \models S$

program

specification

abstraction

Verify

Abstract
counter
example

Abstraction
Refinement

a

Valid

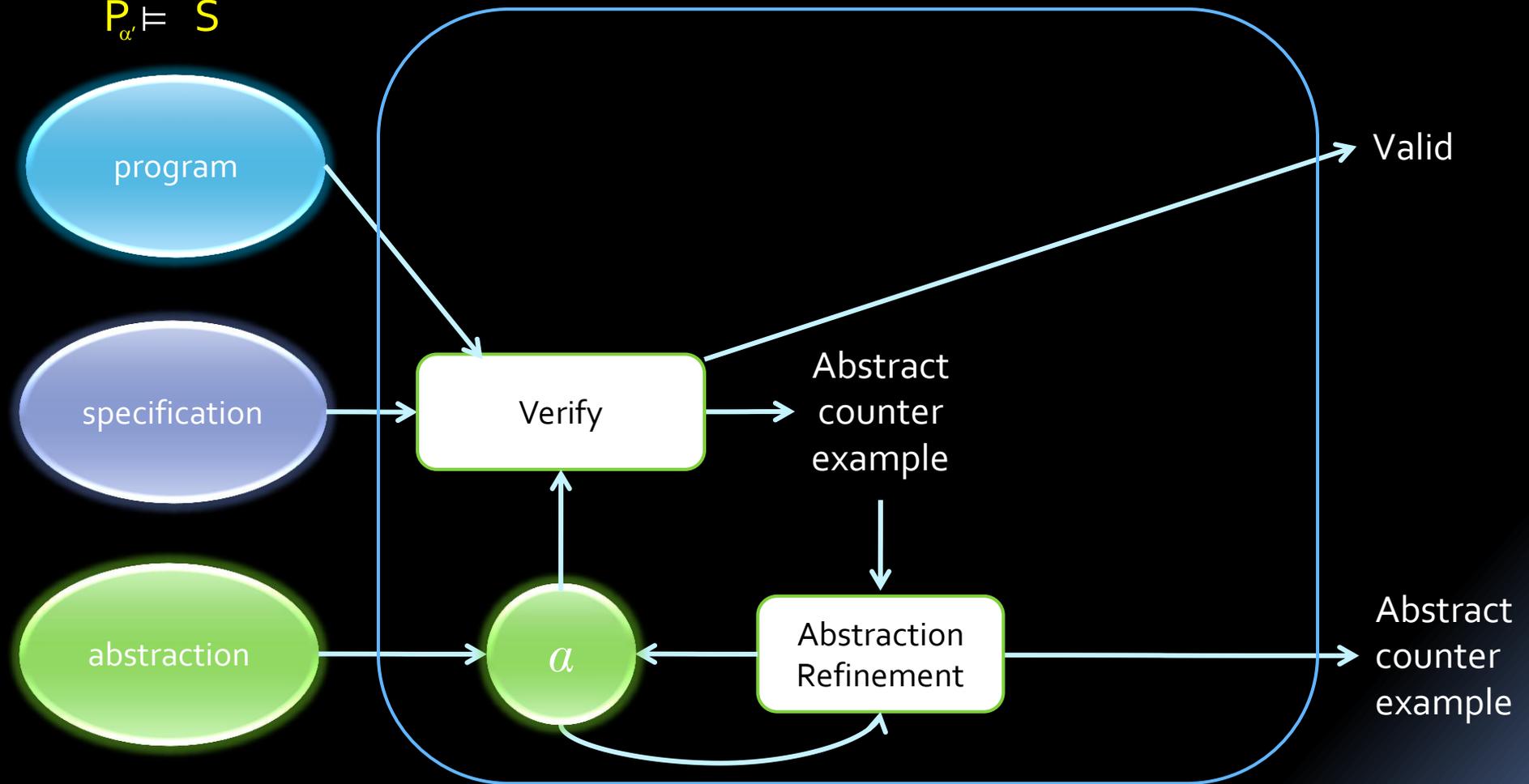
Abstract
counter
example

Refine Abstraction

$$P_\alpha \not\models S$$

↓

$$P_{\alpha'} \models S$$



Change the **abstraction** to match the **program**

Refine Abstraction or Repair Program

$P_\alpha \not\models S$

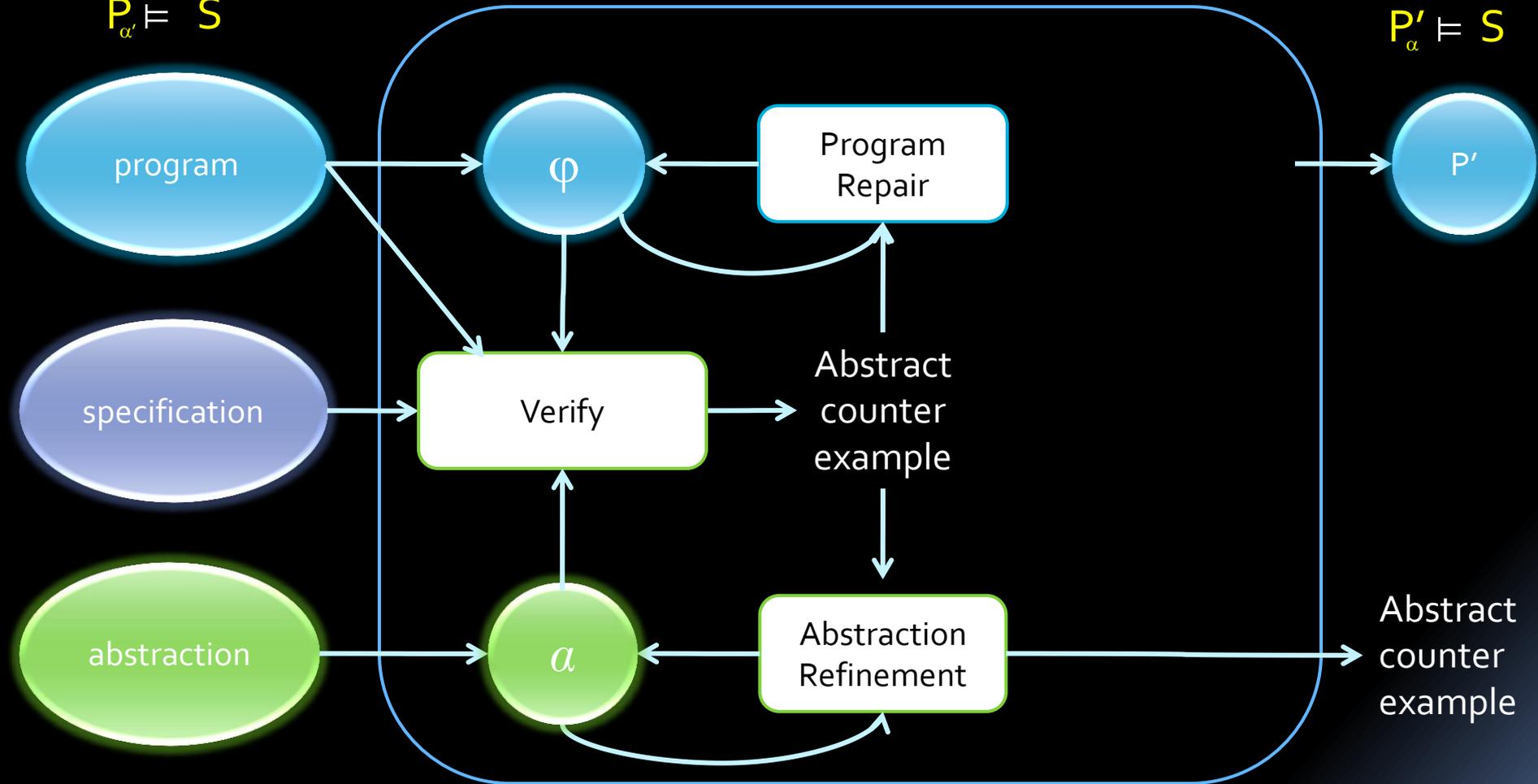


$P_{\alpha'} \models S$

$P_\alpha \not\models S$



$P'_\alpha \models S$



Refine Abstraction or Repair Program

$P_\alpha \not\models S$

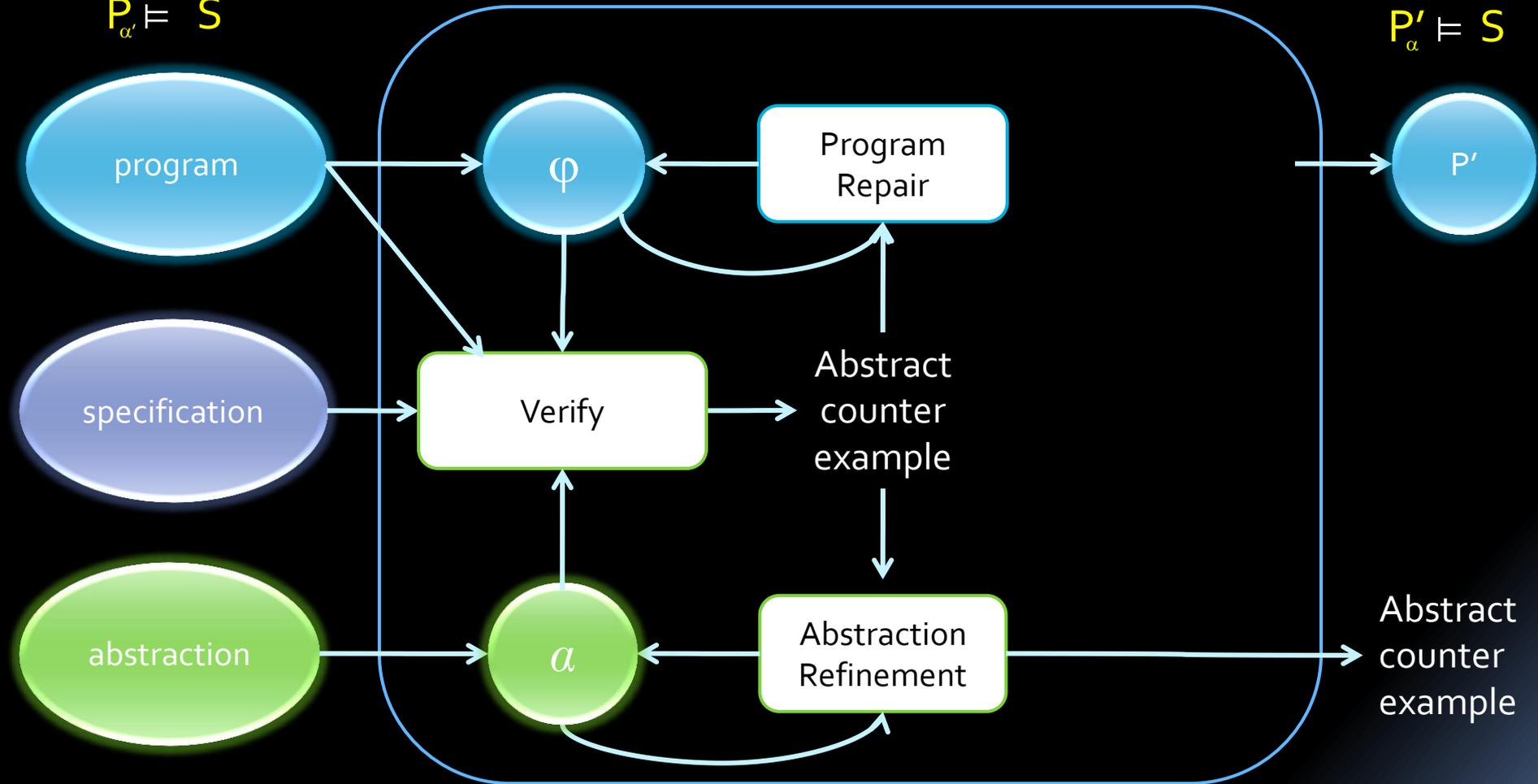


$P_{\alpha'} \models S$

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Change the **program** to match the **abstraction**

Refine Abstraction or Repair Program

$P_\alpha \neq S$

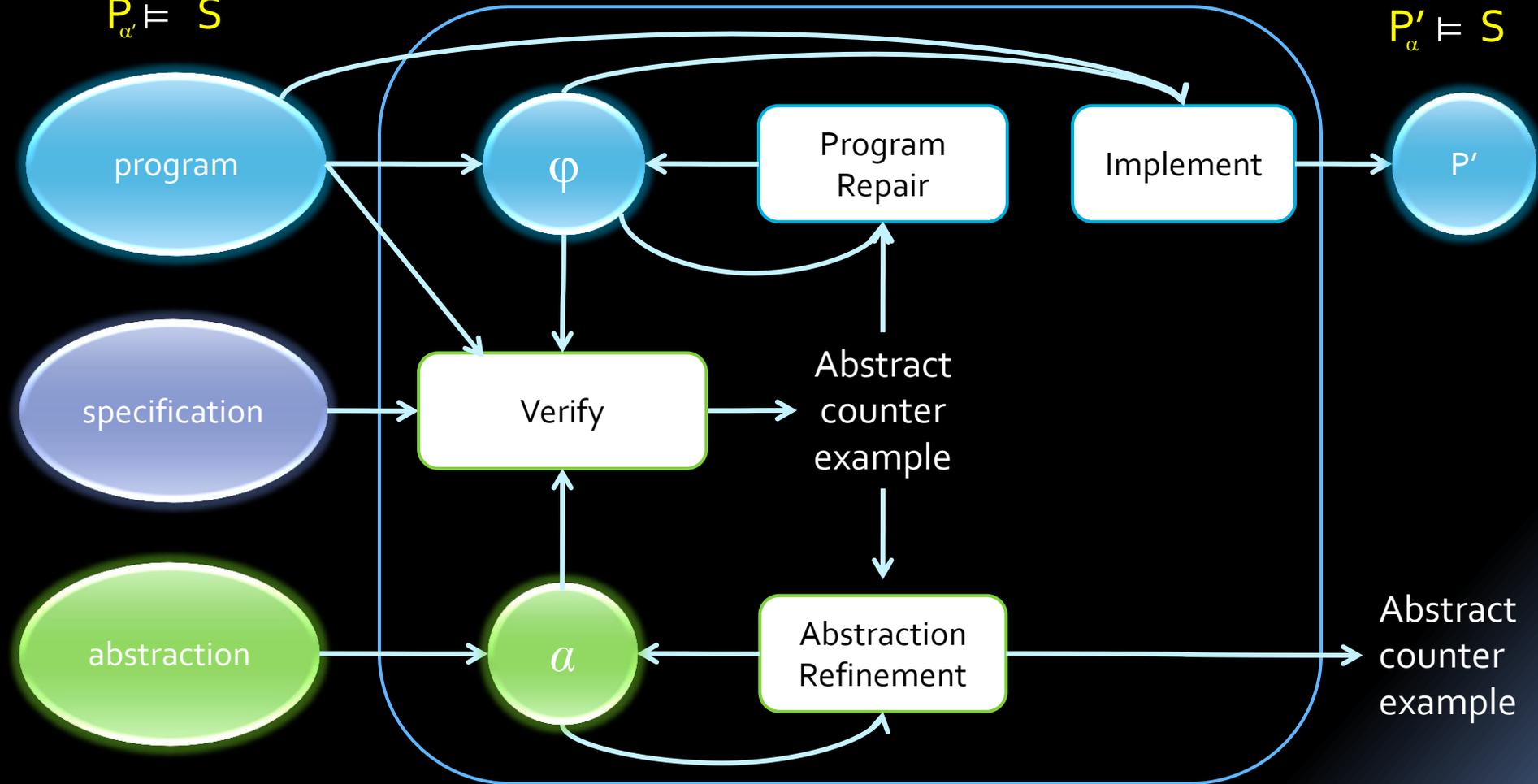


$P_{\alpha'} \models S$

$P_\alpha \neq S$



$P'_{\alpha} \models S$



Change the **program** to match the **abstraction**

Instantiate for Concurrency

$P_\alpha \not\models S$

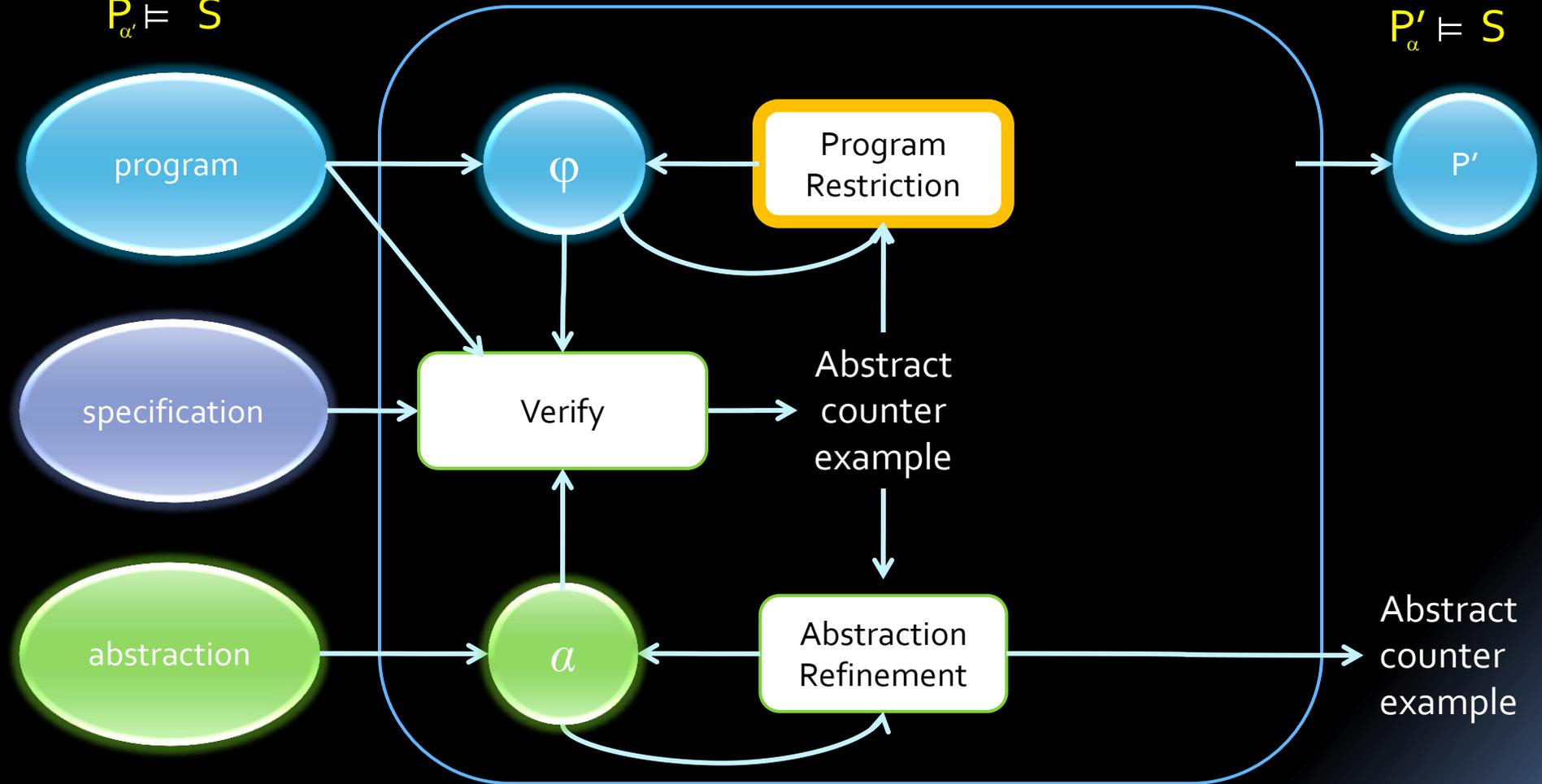


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$P_\alpha \not\models S$



$P'_{\alpha'} \models S$



Instantiate for Concurrency

$P_\alpha \neq S$

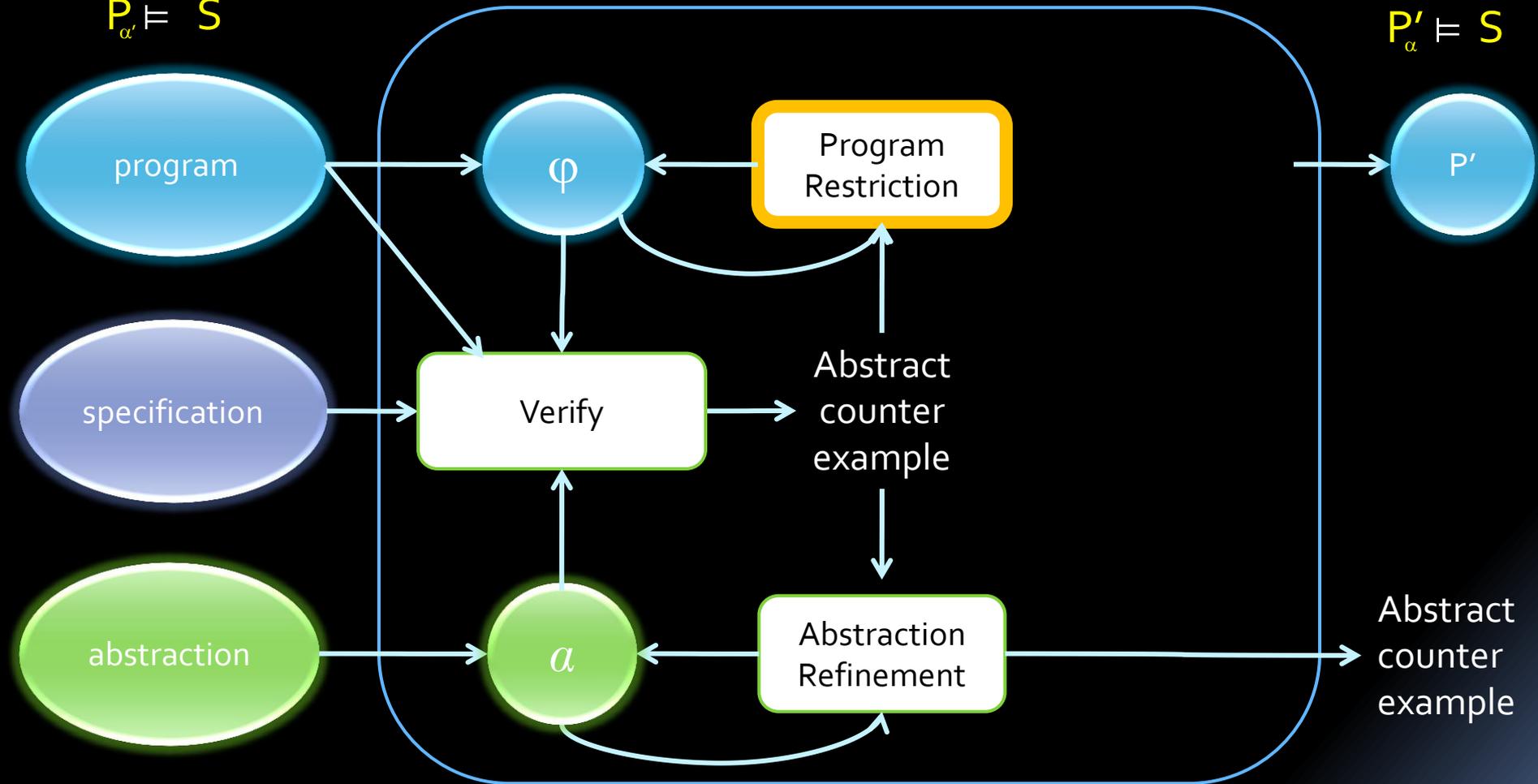


$P_{\alpha'} \models S$

$P_\alpha \neq S$



$P'_{\alpha} \models S$



Change the **program** to match the **abstraction**

Instantiate for Concurrency

$P_\alpha \neq S$

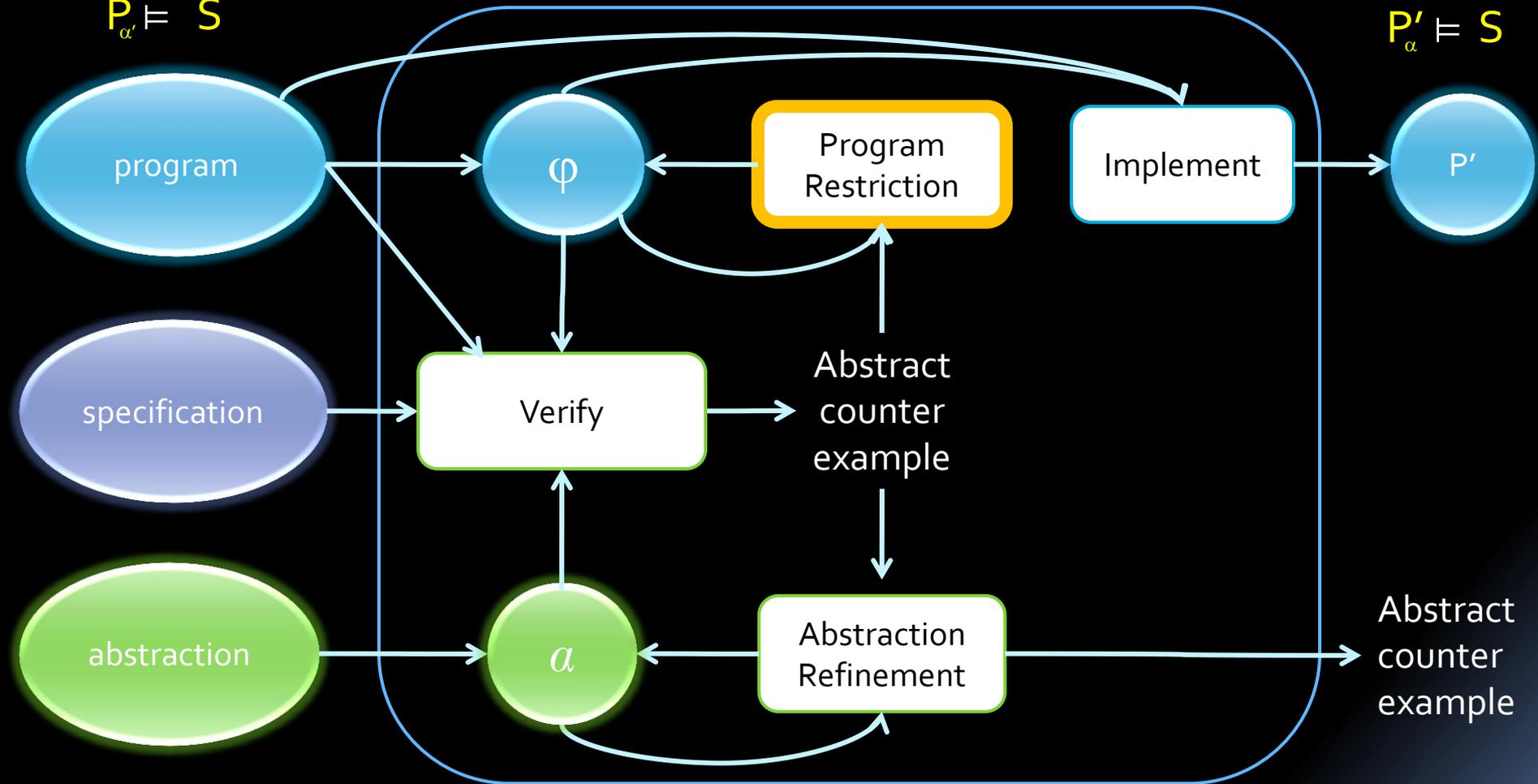


$P_{\alpha'} \models S$

$P_\alpha \neq S$



$P'_{\alpha'} \models S$



Change the **program** to match the **abstraction**

Instantiate for Concurrency

Restrict the program by introducing synchronization

How to synchronize processes to achieve
correctness and efficiency?

Synchronization Primitives

- Atomic sections
- Conditional critical region (CCR)
- Memory barriers (fences)
- CAS
- Semaphores
- Monitors
- Locks
-

Synchronization Primitives

- Atomic sections
- Conditional critical region (CCR)
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- CAS
- Semaphores
- Monitors
- Locks
-

Example: Correct and Efficient Synchronization with Atomic Sections

Example: Correct and Efficient Synchronization with Atomic Sections

P1()

```
{  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
}
```



P2()

```
{  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
}
```



P3()

```
{  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
}
```

Example: Correct and Efficient Synchronization with Atomic Sections

P1()

{

.....

.....

..... •

..... •

.....

}

P2()

{

.....

..... •

...

}

P3()

{

..... • •

.....

..... •

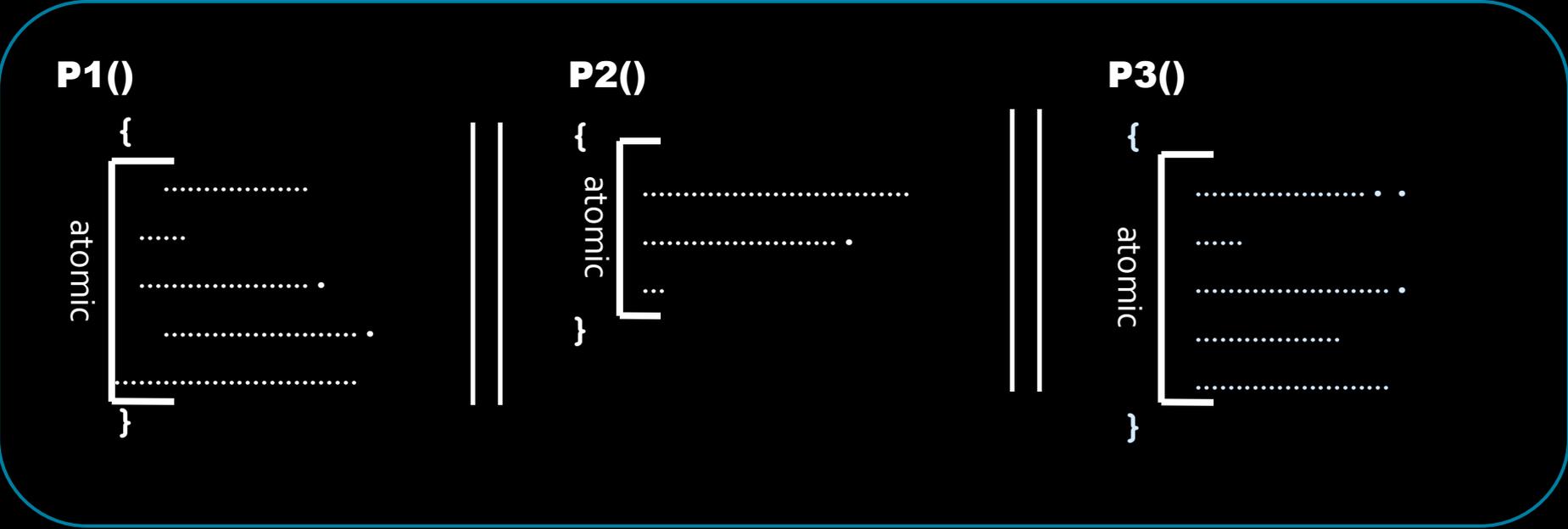
.....

.....

}

Safety Specification: S

Example: Correct and Efficient Synchronization with Atomic Sections



Safety Specification: S

Example: Correct and Efficient Synchronization with Atomic Sections

P1()

```
{  
  .....  
  .....  
  [ .....  
    .....  
  ] .....  
}
```

P2()

```
{  
  [ .....  
    .....  
  ]  
  ..  
}
```

P3()

```
{  
  [ .....  
    .....  
  ] .....  
  .....  
  .....  
}
```

Safety Specification: S

Example: Correct and Efficient Synchronization with Atomic Sections

P1()

```
{  
  [ .....  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
}
```

P2()

```
{  
  [ .....  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
}
```

P3()

```
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Safety Specification: S

Example: Correct and Efficient Synchronization with Atomic Sections

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```

P2()

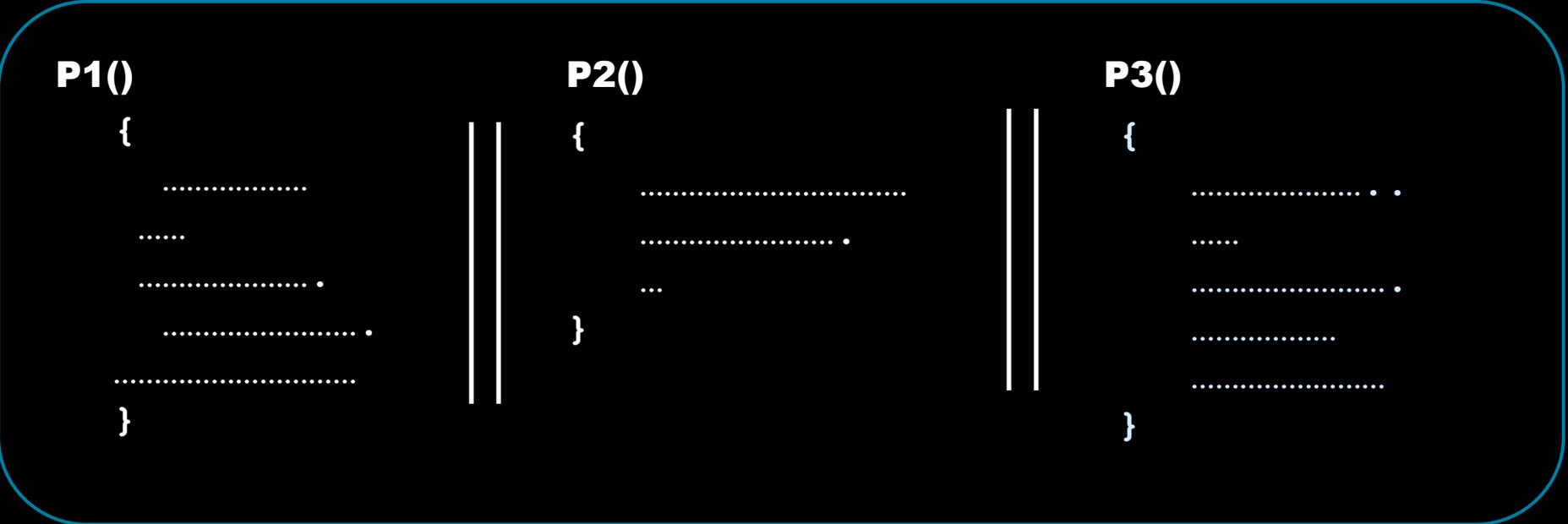
```
{  
  .....  
  [ .....  
  .....  
  .....  
}
```

P3()

```
{  
  .....  
  .....  
  [ .....  
  .....  
  .....  
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}
```

Safety Specification: S

Example: Correct and Efficient Synchronization with Atomic Sections



Safety Specification: S

Example: Correct and Efficient Synchronization with Atomic Sections

P1()

```
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  .....  
  .....  
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  .....  
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  .....  
  .....  
  .....  
  .....  
  .....  
  .....  
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```

P2()

```
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  .....  
  .....  
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  .....  
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```

P3()

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{  
  .....  
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  .....  
  .....  
  .....  
  .....  
  .....  
}
```

Safety Specification: S

Assist the programmer by automatically inferring
correct and efficient synchronization

Challenge

- Find **minimal synchronization** that makes the program satisfy the specification
 - Avoid all bad interleavings while permitting as many good interleavings as possible
- Assumption: we can prove that serial executions satisfy the specification
 - **Interested in bad behaviors due to concurrency**
- Handle infinite-state programs

Abstraction-Guided Synthesis of Synchronization

- Synthesis of synchronization via **abstract interpretation**
 - Compute **over-approximation** of all possible program executions
 - Add **minimal synchronization** to avoid (over-approximation of) bad interleavings
- **Interplay between abstraction and synchronization**
 - Finer abstraction may enable finer synchronization
 - Coarse synchronization may enable coarser abstraction

Instantiate for Concurrency

$P_\alpha \not\models S$

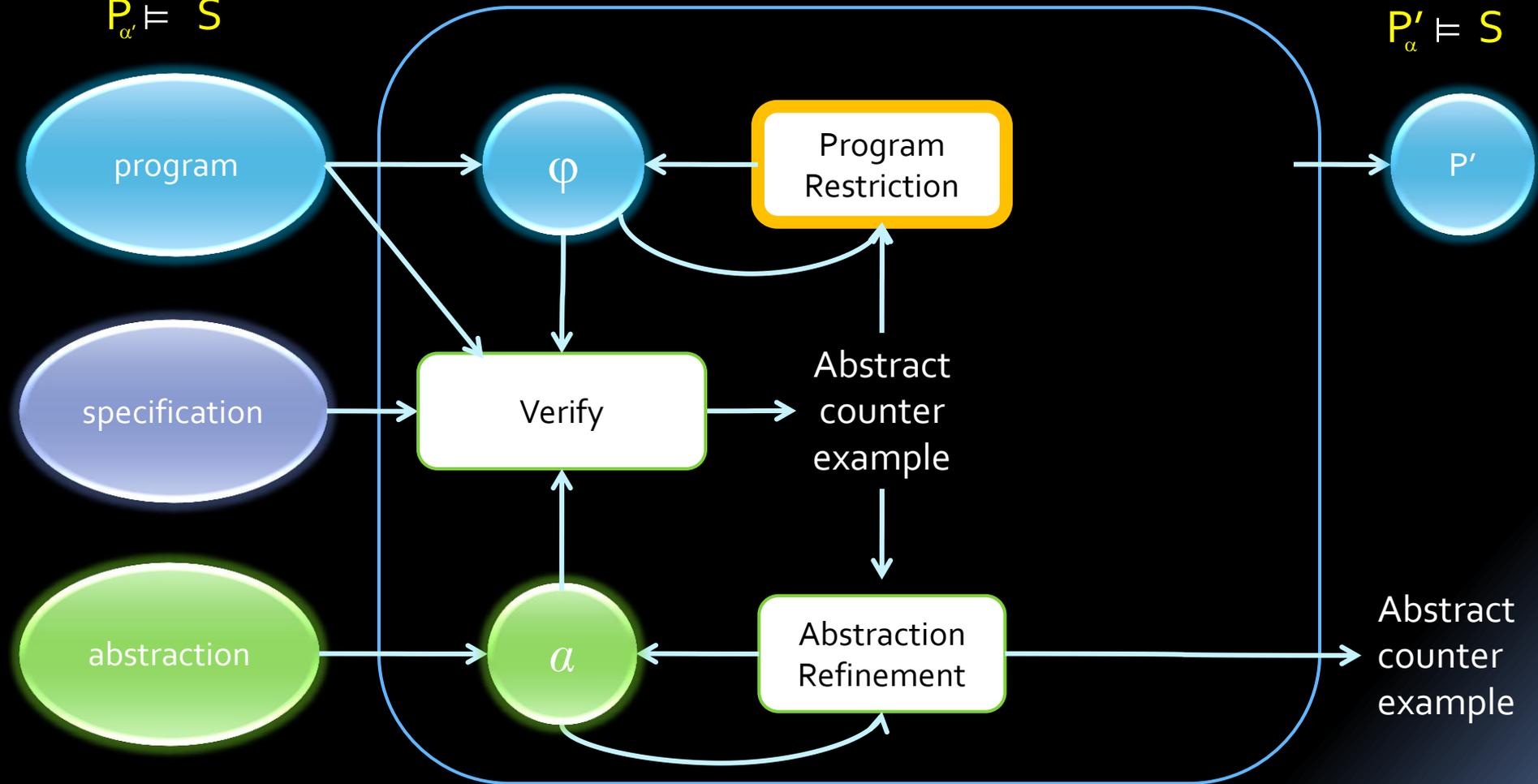


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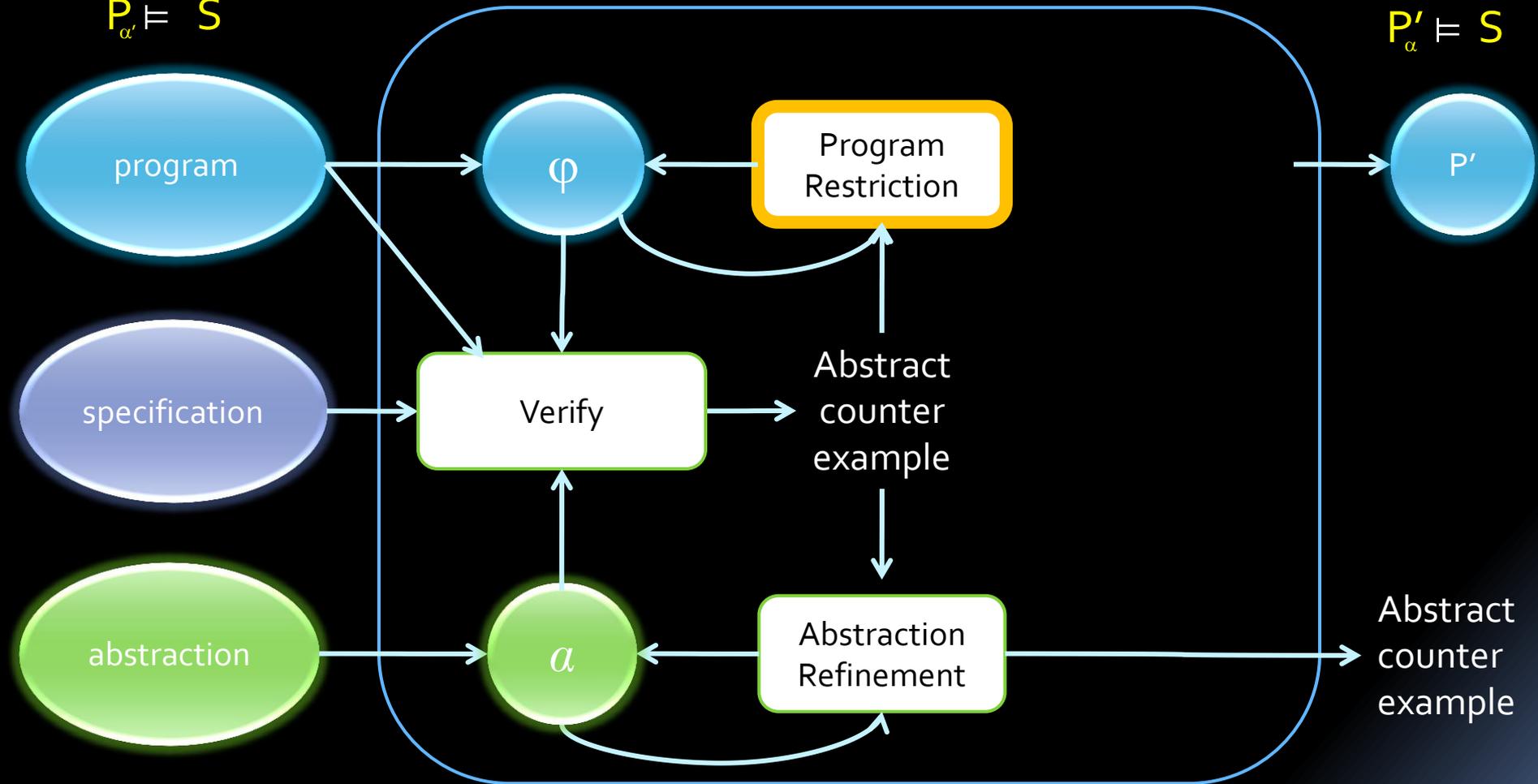


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Change the **program** to match the **abstraction**

Instantiate for Concurrency

$P_\alpha \neq S$

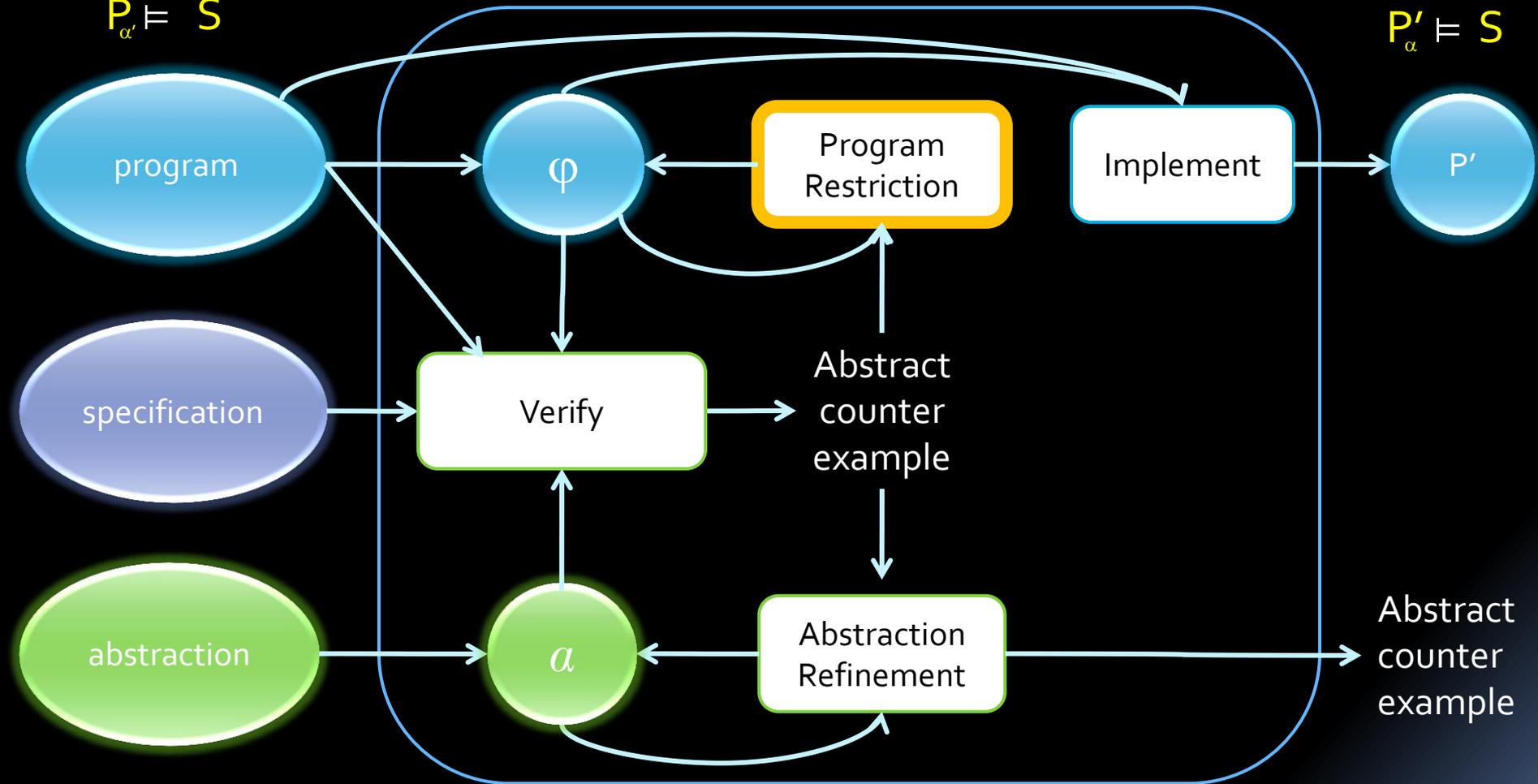


$P_{\alpha'} \models S$

$P_\alpha \neq S$



$P'_{\alpha'} \models S$



Change the **program** to match the **abstraction**

AGS Algorithm – High Level

Input: Program P , Specification S , Abstraction a

Output: Program P' satisfying S under a

```
φ = true
while(true) {
    BadTraces = {π | π ∈ ([[P]]a ∩ [[φ]]) and π ⊈ S }
    if (BadTraces is empty) return implement(P, φ)
    select π ∈ BadTraces
    if (?) {
        ψ = avoid(π)
        if (ψ ≠ false) φ = φ ∧ ψ
        else abort
    } else {
        a' = refine(a, π)
        if (a' ≠ a) a = a'
        else abort
    }
}
```

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AGS Algorithm – High Level

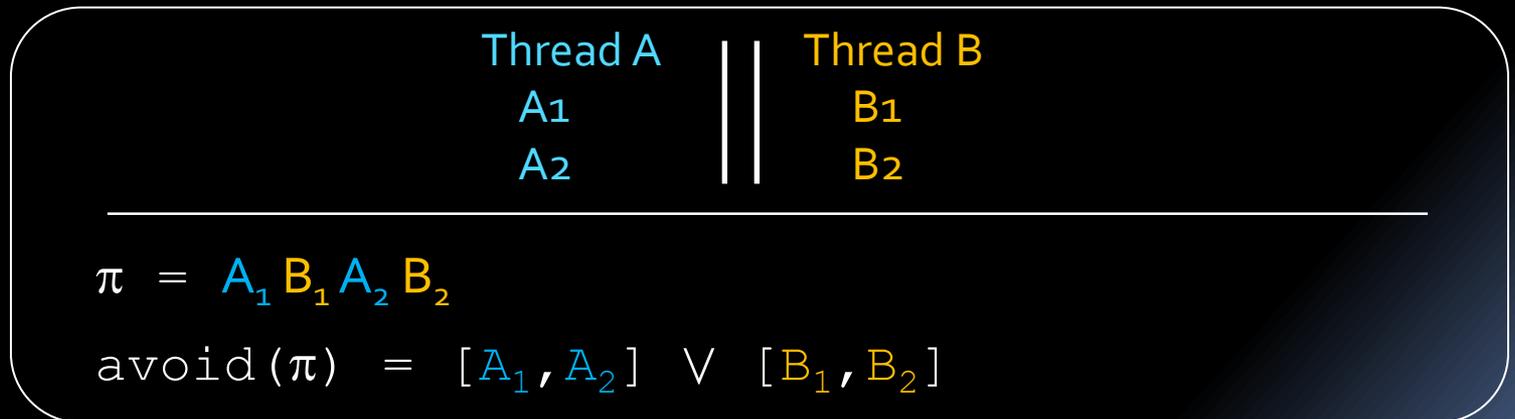
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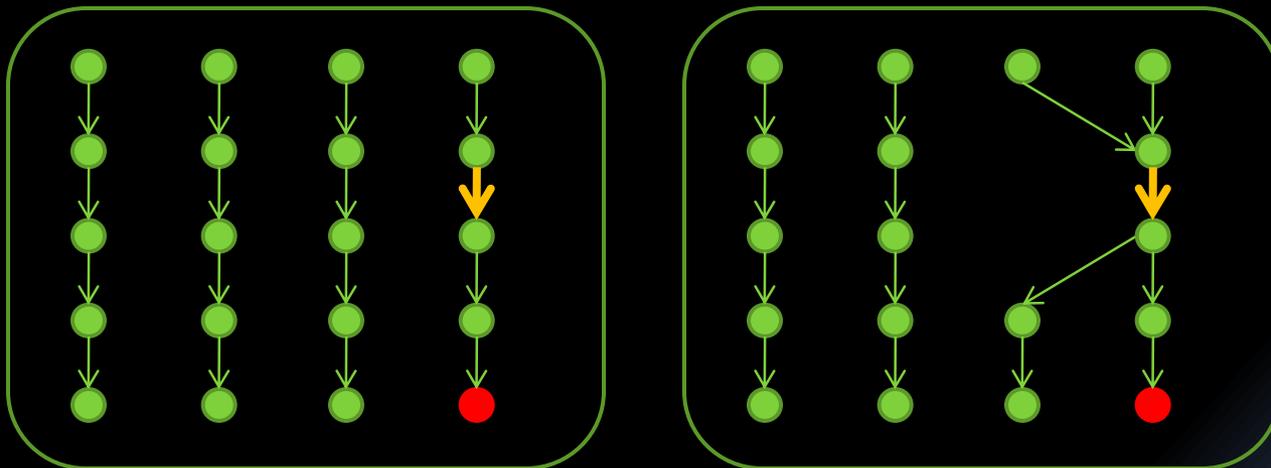
Avoid interleaving with atomics

- Adding atomicity constraints
 - Atomicity predicate $[l_1, l_2]$ – **no context switch allowed** between execution of statements at l_1 and l_2
- `avoid(π)`
 - A **disjunction** of all possible atomicity predicates that would prevent π

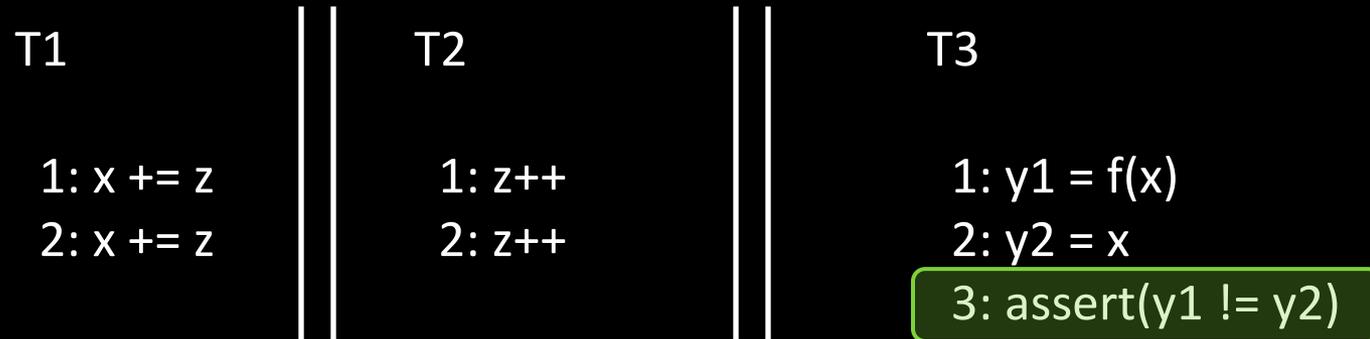


Avoid and abstraction

- $\psi = \text{avoid}(\pi)$
- Enforcing ψ avoids any abstract trace π' such that $\pi' \not\equiv \psi$
- Potentially avoiding “good traces”
- Abstraction may affect our ability to avoid a smaller set of traces

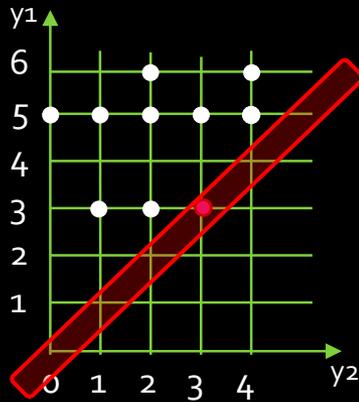


Example



```
f(x) {  
  if (x == 1) return 3  
  else if (x == 2) return 6  
  else return 5  
}
```

Example: Concrete Values



Concrete values

T1

1: $x += z$
2: $x += z$



T2

1: $z++$
2: $z++$



T3

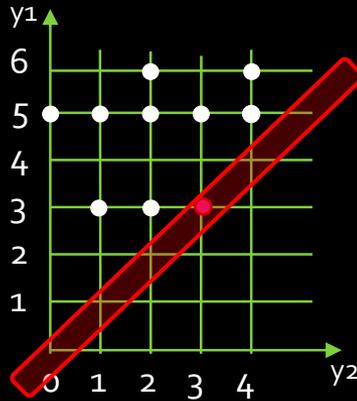
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3: $\text{assert}(y1 \neq y2)$

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else return 5

}

Example: Concrete Values



`x += z; x += z; z++;z++;y1=f(x);y2=x;assert` → $y_1=5,y_2=0$

Concrete values

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1: `x += z`
2: `x += z`



T2

1: `z++`
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T3

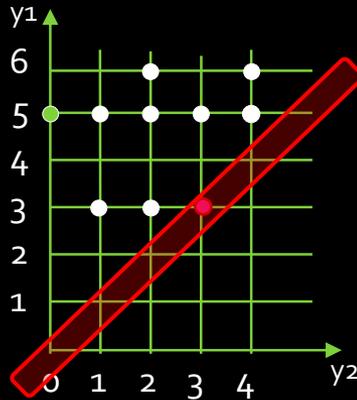
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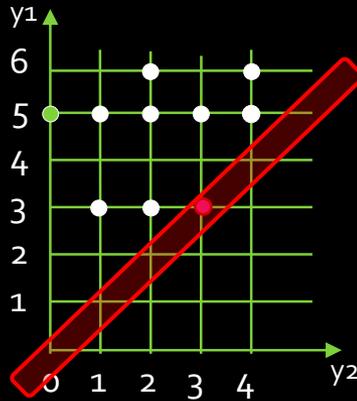
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Example: Concrete Values



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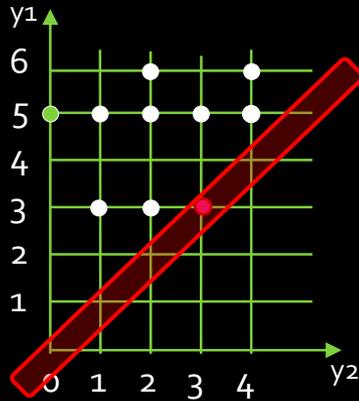
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Example: Concrete Values



Concrete values

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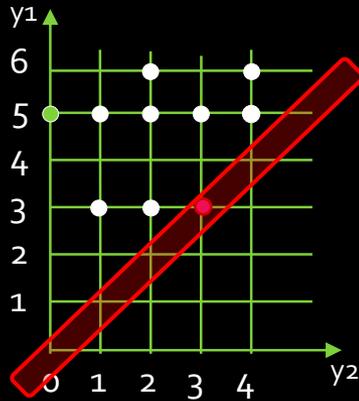
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Example: Concrete Values



Concrete values

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⋮

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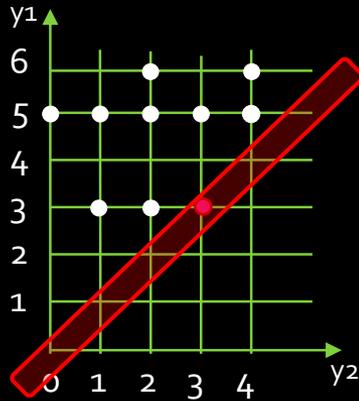
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`}`

Example: Parity Abstraction



Concrete values

T1

1: $x += z$
2: $x += z$



T2

1: $z++$
2: $z++$



T3

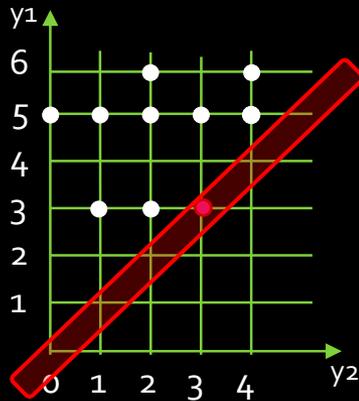
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$f(x)$ {

if ($x == 1$) return 3
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else return 5

}

Example: Parity Abstraction



Concrete values

$x += z; x += z; z++; z++; y1=f(x); y2=x; \text{assert} \rightarrow y1=\text{Odd}, y2=\text{Even}$

T1

1: $x += z$
2: $x += z$



T2

1: $z++$
2: $z++$



T3

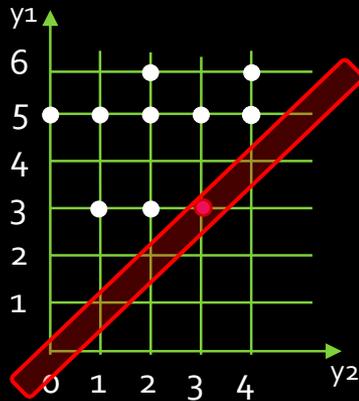
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$f(x) \{$

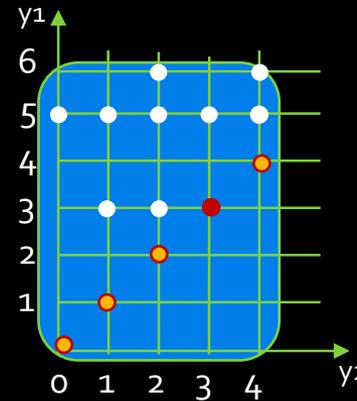
if ($x == 1$) return 3
else if ($x == 2$) return 6
else return 5

$\}$

Example: Parity Abstraction



Concrete values



Parity abstraction (even/odd)

`x += z; x += z; z++;z++;y1=f(x);y2=x;assert` \rightarrow `y1=Odd,y2=Even`

T1

1: `x += z`
2: `x += z`



T2

1: `z++`
2: `z++`



T3

1: `y1 = f(x)`
2: `y2 = x`
3: `assert(y1 != y2)`

`f(x) {`

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else return 5

`}`

Example: Avoiding Bad Interleavings

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                π ≠ S }
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    select π ∈ BadTraces
    if (?) {
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}
```

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Example: Avoiding Bad Interleavings

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  select π ∈ BadTraces
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  } else {
    a = refine(a, π)
  }
}
```

φ = true

Example: Avoiding Bad Interleavings

$\phi = \text{true}$

```
while(true) {
```

```
  BadTraces={ $\pi \mid \pi \in ([P]_a \cap [\phi])$  and  
              $\pi \neq S$  }
```

```
  if (BadTraces is empty)
```

```
    return implement(P,  $\phi$ )
```

```
  select  $\pi \in$  BadTraces
```

```
  if (?) {
```

```
     $\phi = \phi \wedge \text{avoid}(\pi)$ 
```

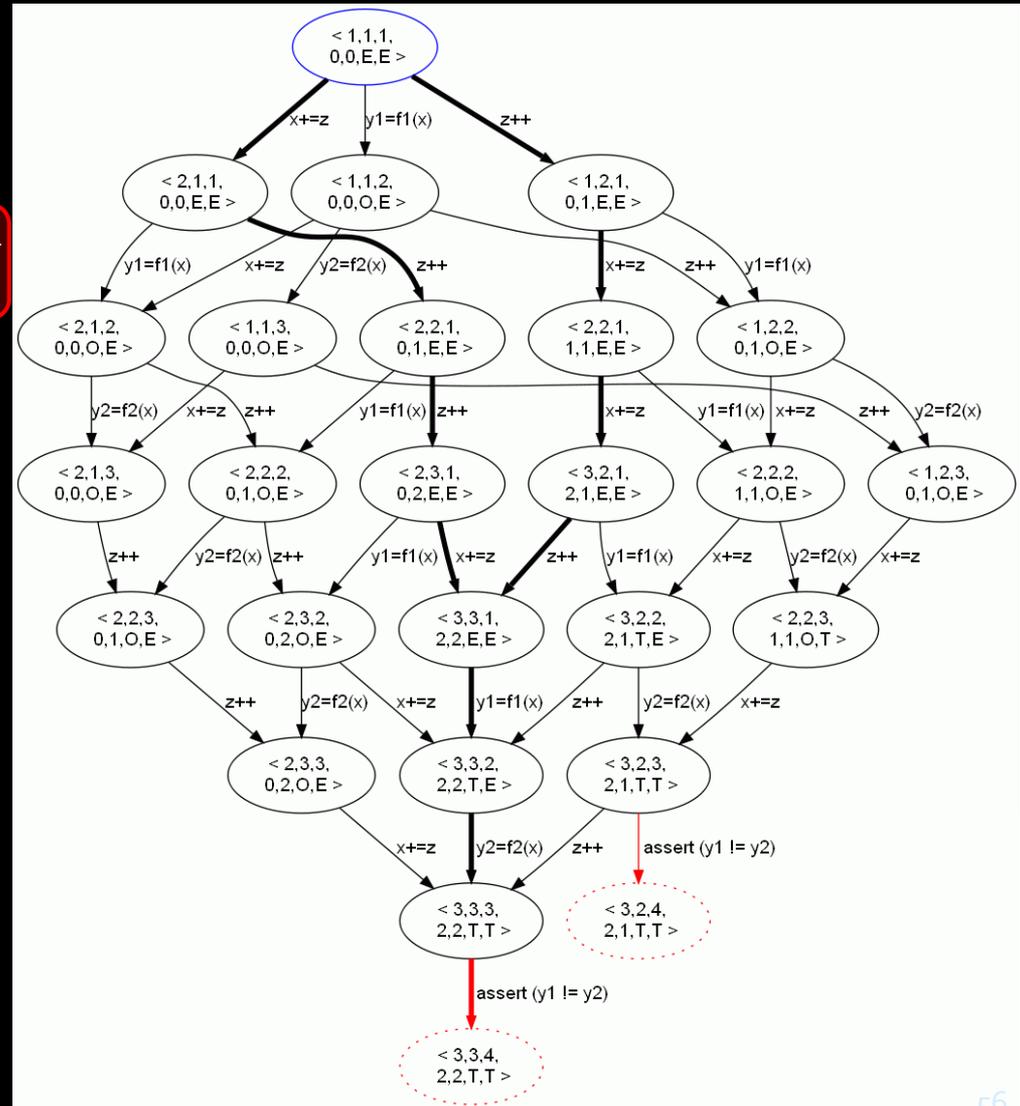
```
  } else {
```

```
     $a = \text{refine}(a, \pi)$ 
```

```
  }
```

```
}
```

$\phi = \text{true}$

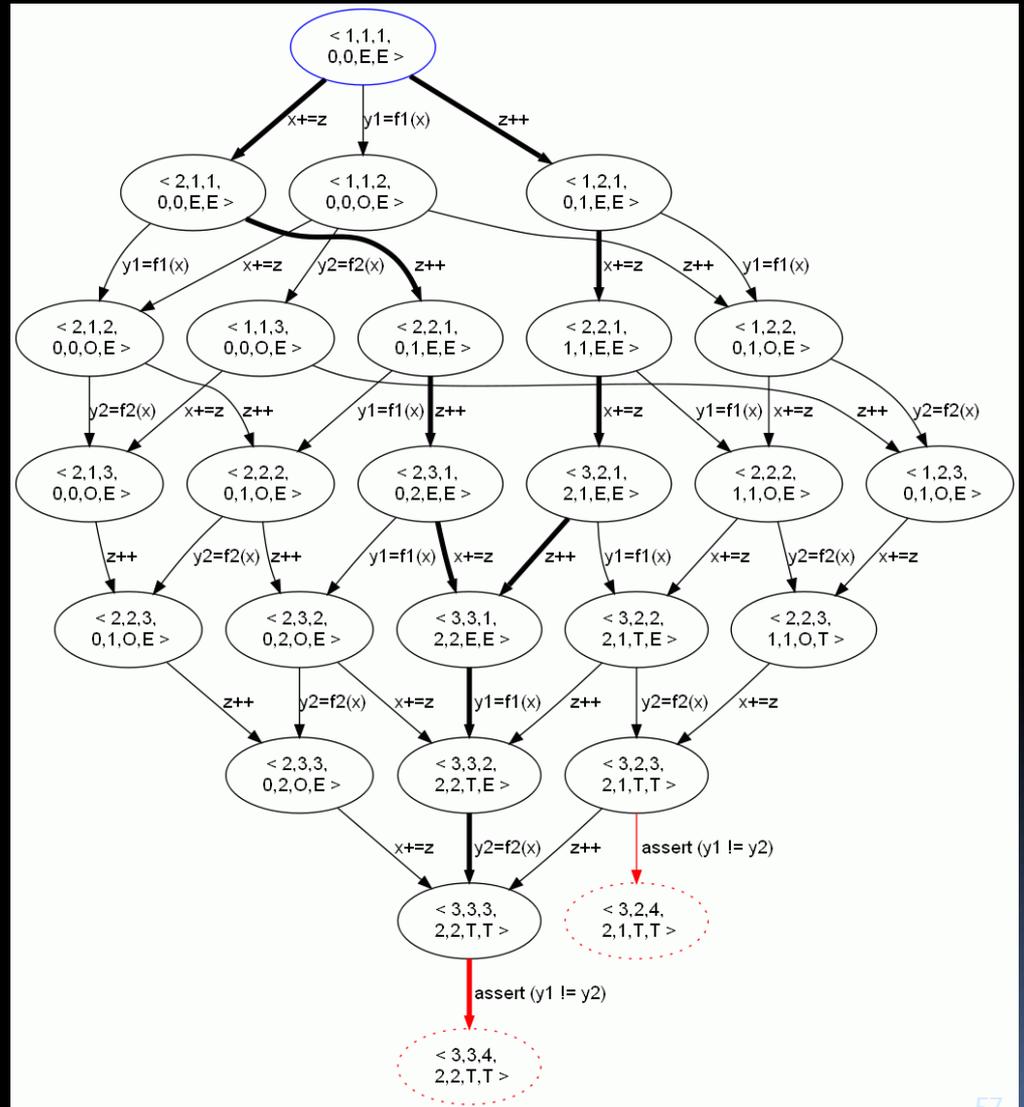


Example: Avoiding Bad Interleavings

```

 $\phi = \text{true}$ 
while(true) {
  BadTraces={ $\pi \mid \pi \in ([P]_a \cap [\phi])$  and
               $\pi \neq S$  }
  if (BadTraces is empty)
    return implement(P, $\phi$ )
  select  $\pi \in \text{BadTraces}$ 
  if (?) {
     $\phi = \phi \wedge \text{avoid}(\pi)$ 
  } else {
     $a = \text{refine}(a, \pi)$ 
  }
}

```

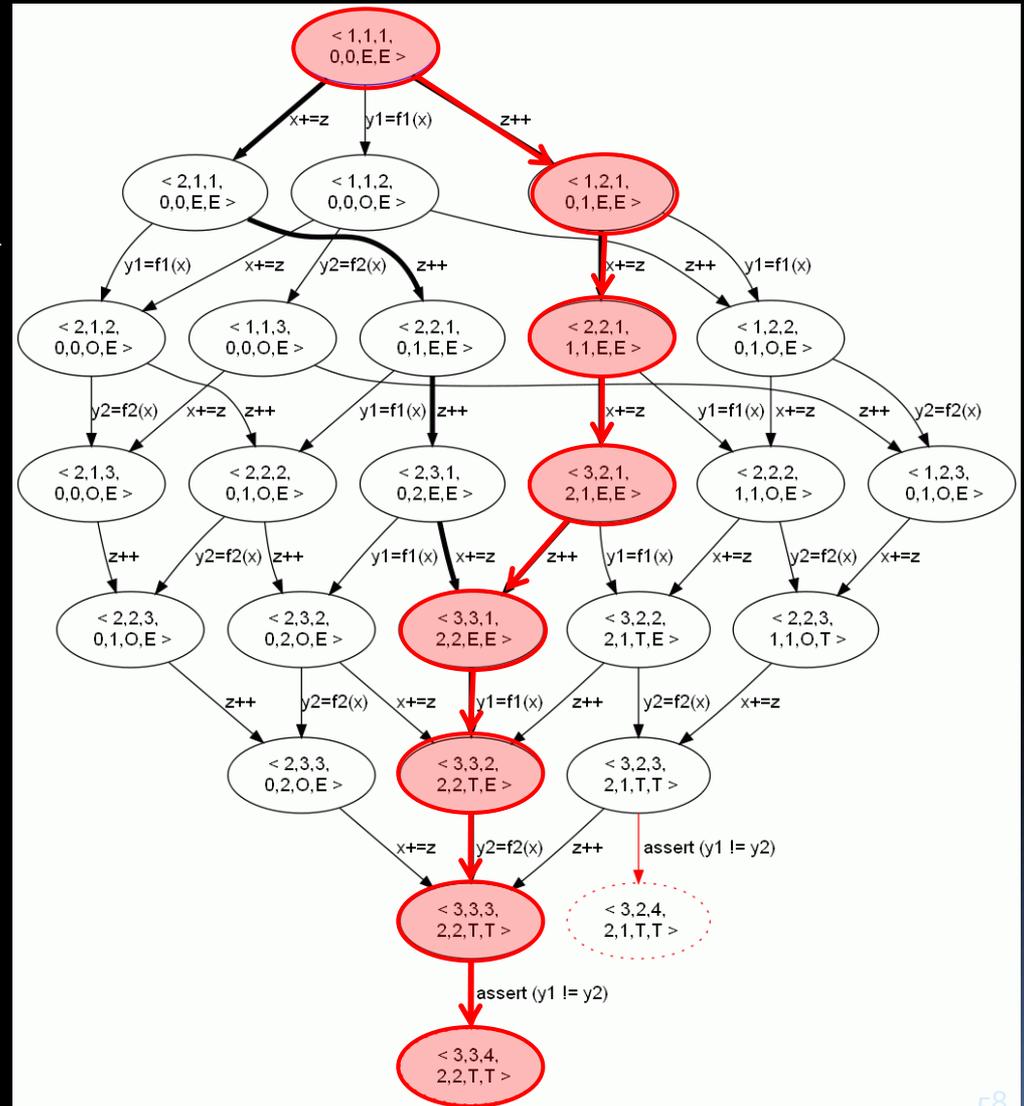


$\phi = \text{true}$

Example: Avoiding Bad Interleavings

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  if (?) {
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  } else {
     $a = \text{refine}(a, \pi)$ 
  }
}
  
```



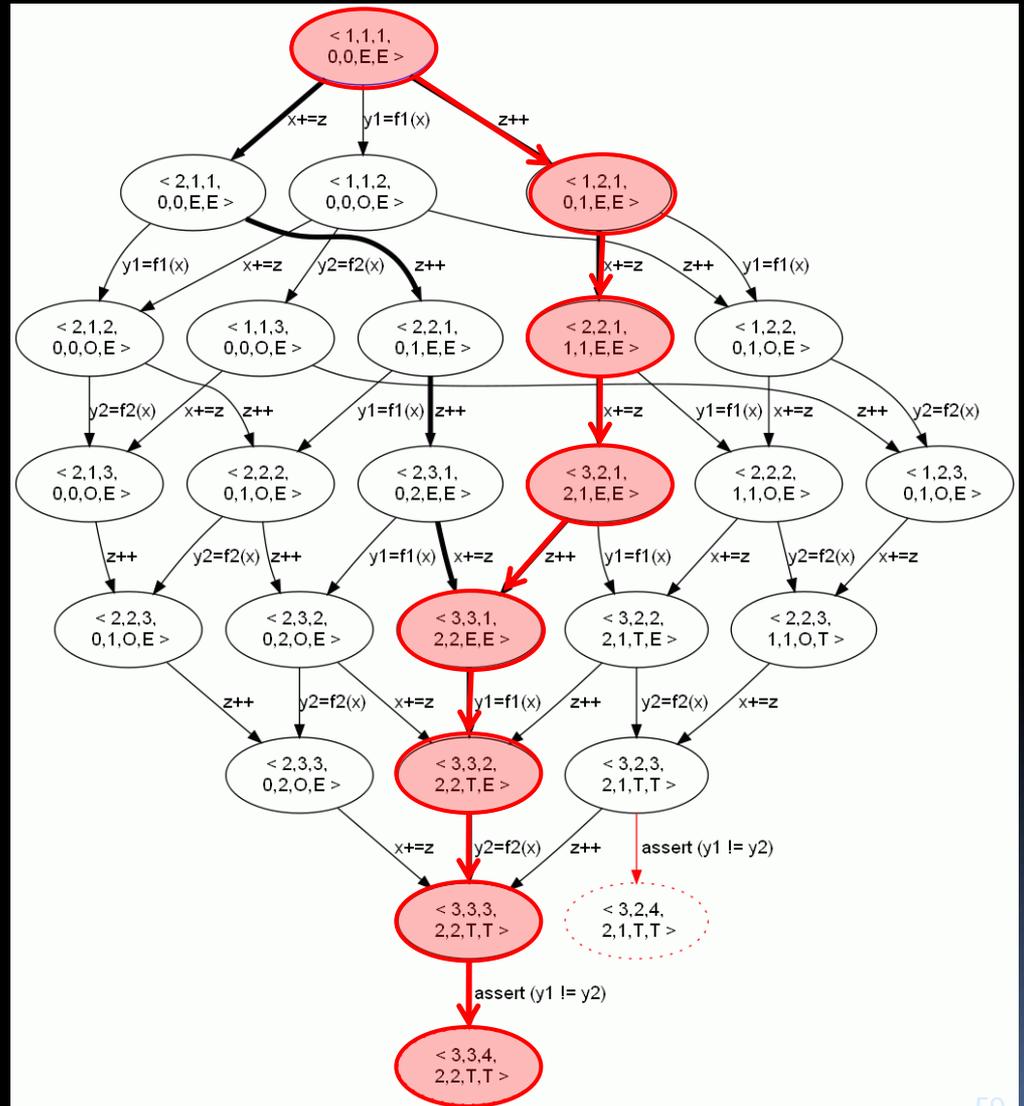
$\phi = \text{true}$

Example: Avoiding Bad Interleavings

```

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while(true) {
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  }
}

```



$\phi = \text{true}$

Example: Avoiding Bad Interleavings

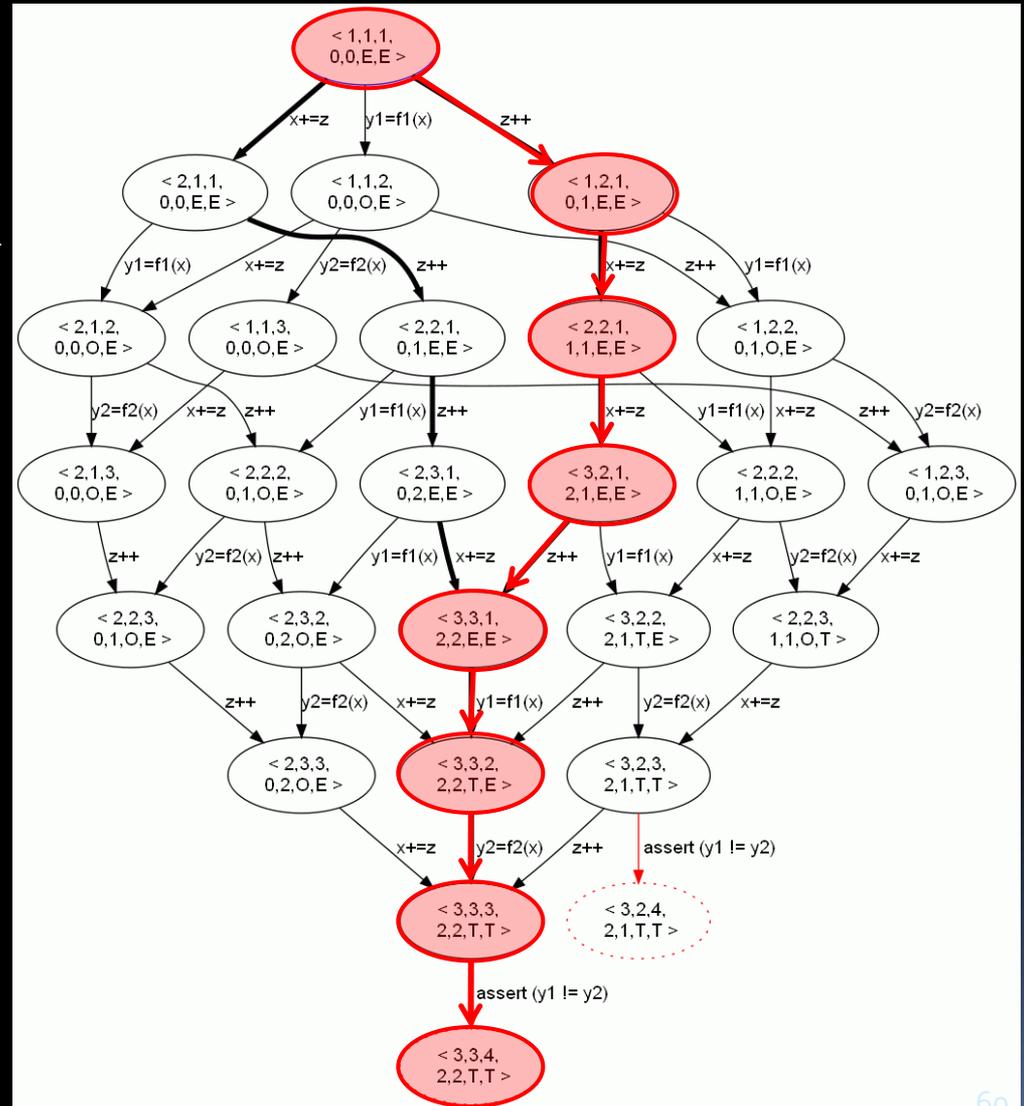
```

 $\varphi = \text{true}$ 
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  if (?) {
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  } else {
     $a = \text{refine}(a, \pi)$ 
  }
}

```

$$\text{avoid}(\pi_1) = [z++, z++]$$

$$\varphi = \text{true}$$



Example: Avoiding Bad Interleavings

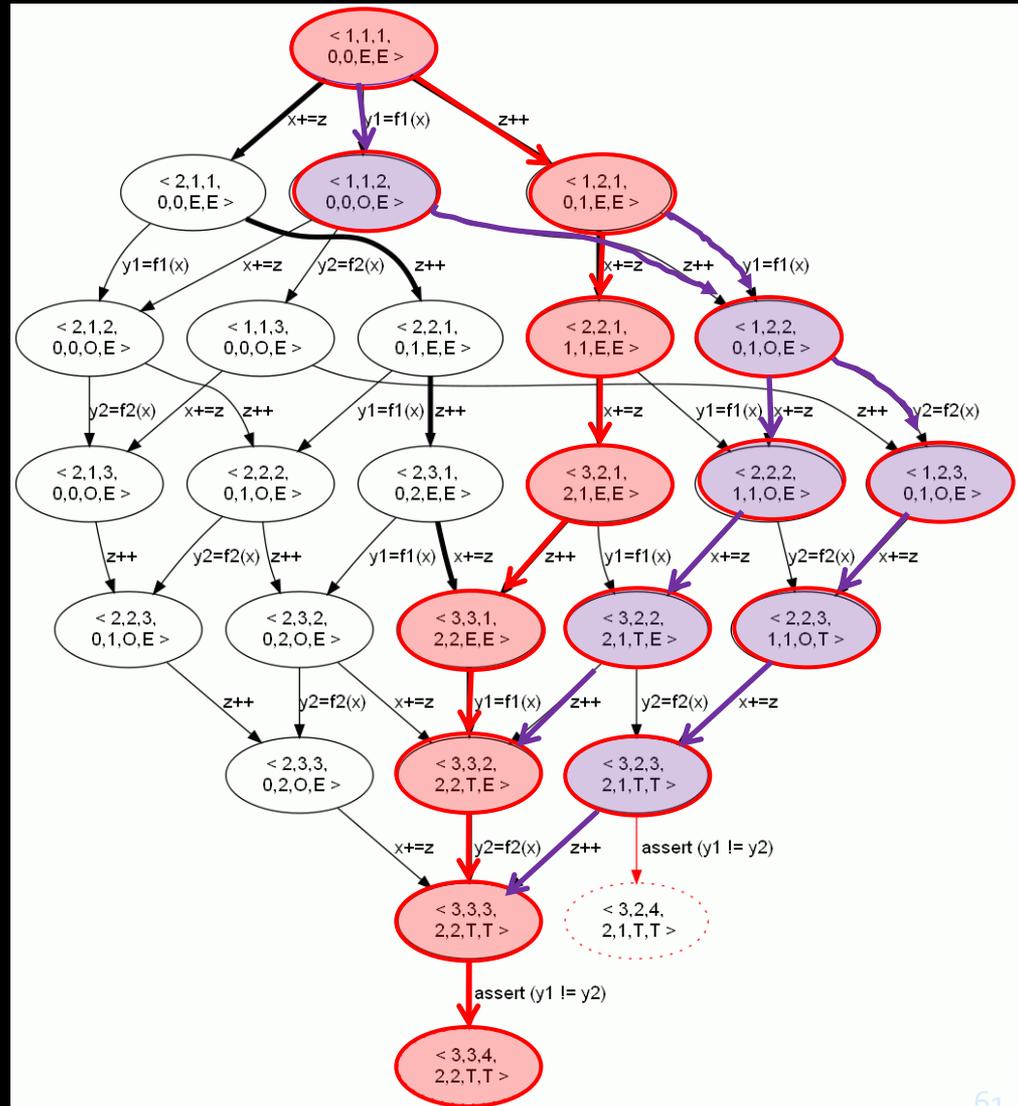
```

 $\varphi = \text{true}$ 
while(true) {
  BadTraces={ $\pi \mid \pi \in ([P]_a \cap [\varphi])$  and
               $\pi \neq S$  }
  if (BadTraces is empty)
    return implement(P, $\varphi$ )
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}

```

$$\text{avoid}(\pi_1) = [z++, z++]$$

$$\varphi = [z++, z++]$$

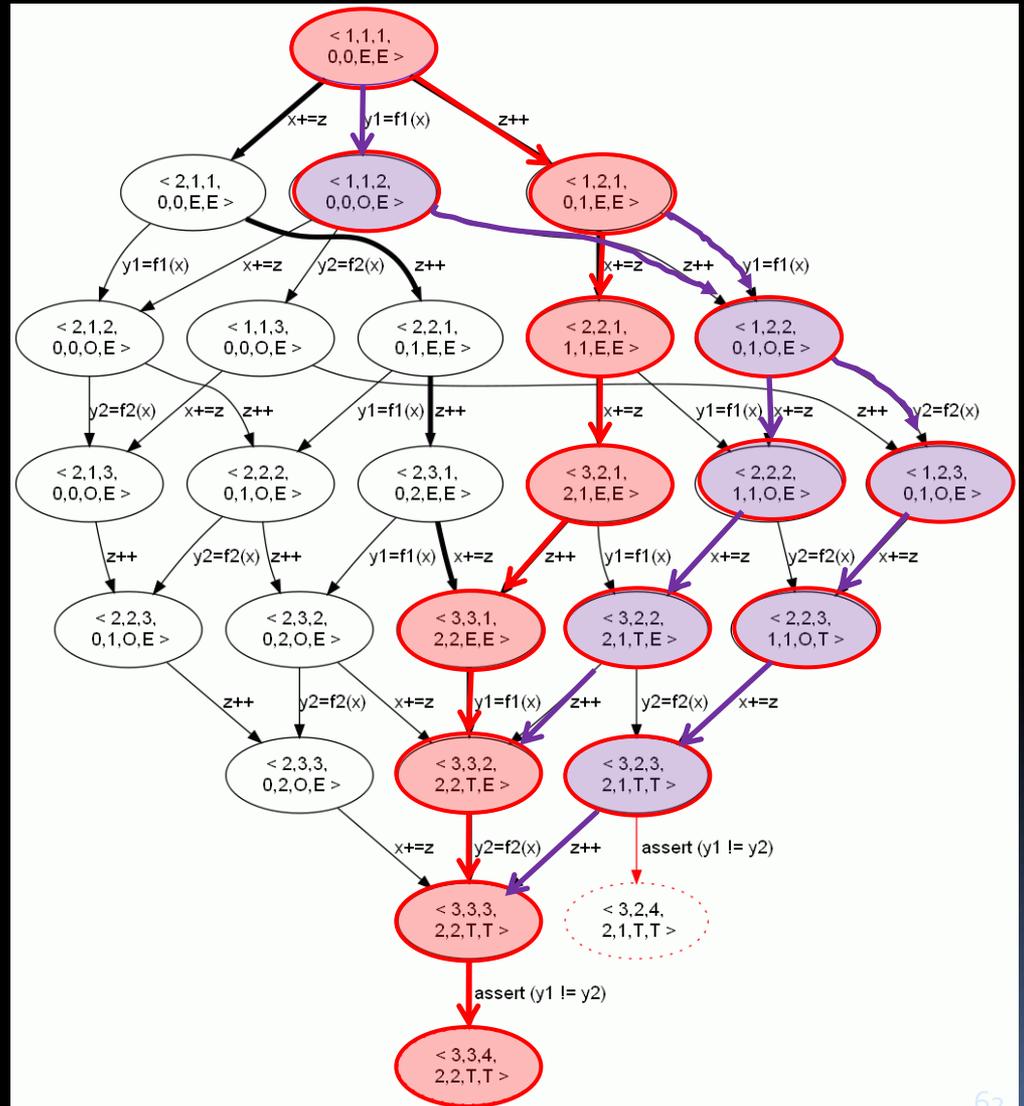


Example: Avoiding Bad Interleavings

```

 $\phi = \text{true}$ 
while(true) {
  BadTraces={ $\pi \mid \pi \in ([P]_a \cap [\phi])$  and
               $\pi \neq S$  }
  if (BadTraces is empty)
    return implement(P, $\phi$ )
  select  $\pi \in$  BadTraces
  if (?) {
     $\phi = \phi \wedge \text{avoid}(\pi)$ 
  } else {
     $a = \text{refine}(a, \pi)$ 
  }
}

```



$$\phi = [z++, z++]$$

Example: Avoiding Bad Interleavings

$\phi = \text{true}$

```
while(true) {
```

```
  BadTraces = {  $\pi \mid \pi \in ([P]_a \cap [\phi])$  and  $\pi \neq S$  }
```

```
  if (BadTraces is empty)
```

```
    return implement(P,  $\phi$ )
```

```
  select  $\pi \in$  BadTraces
```

```
  if (?) {
```

```
     $\phi = \phi \wedge \text{avoid}(\pi)$ 
```

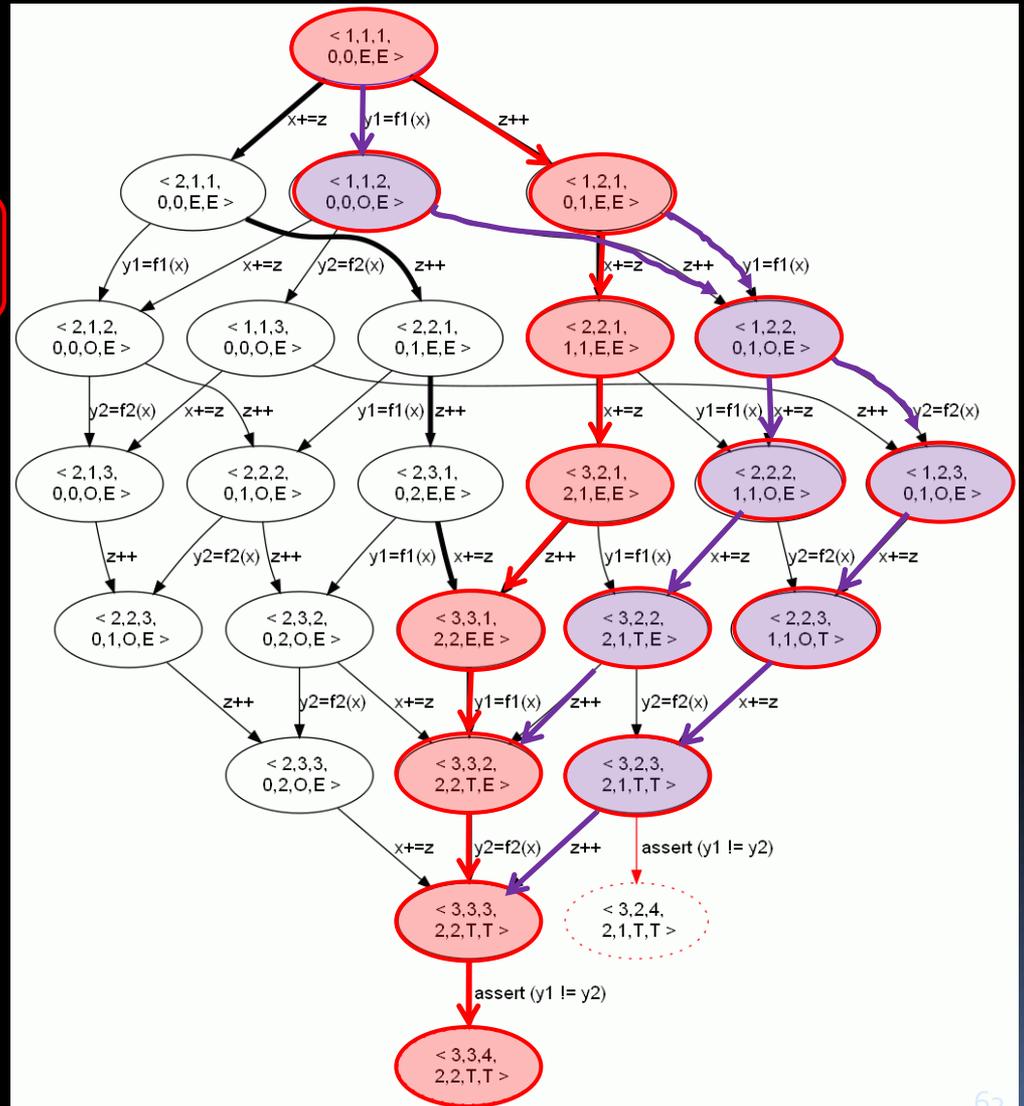
```
  } else {
```

```
     $a = \text{refine}(a, \pi)$ 
```

```
  }
```

```
}
```

$\phi = [z++, z++]$

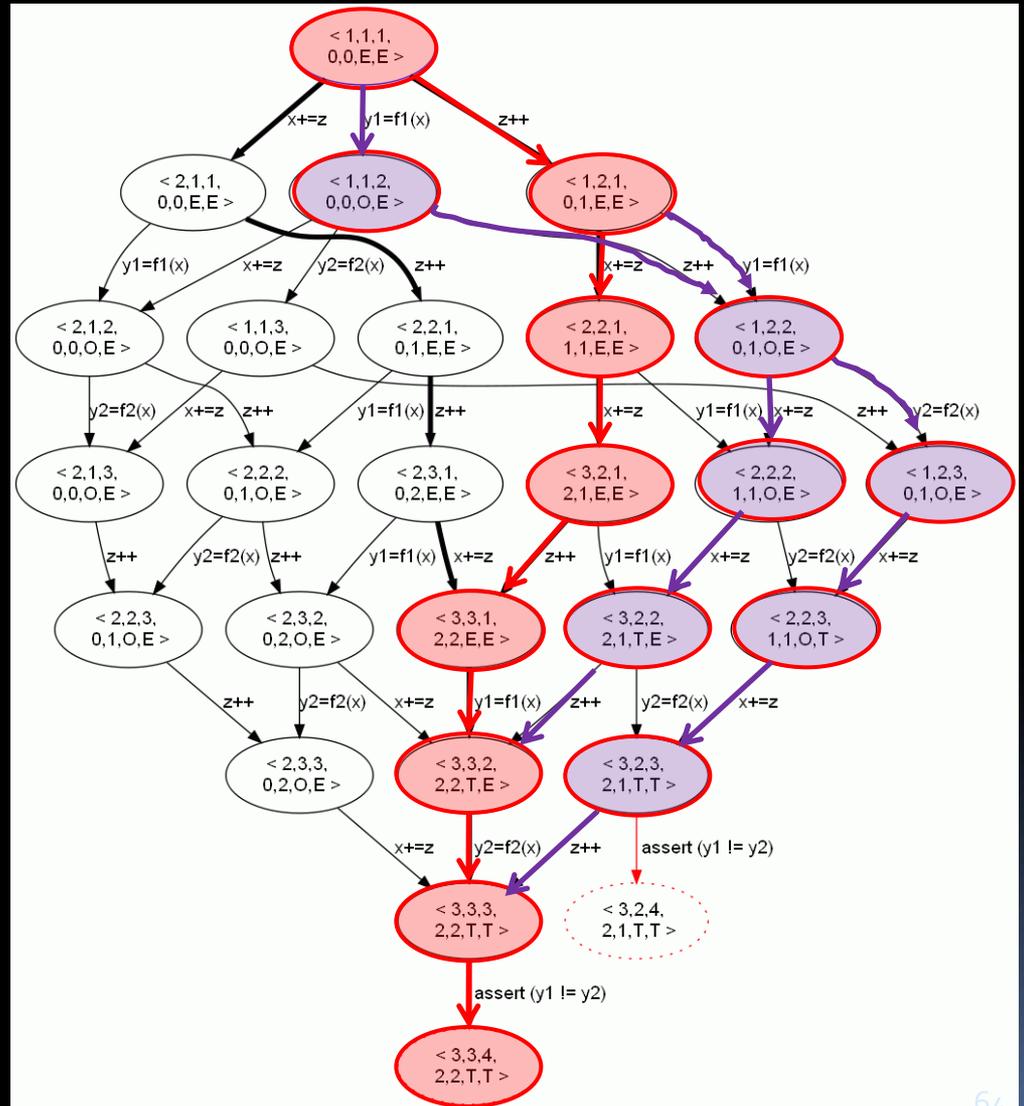


Example: Avoiding Bad Interleavings

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  if (?) {
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  } else {
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  }
}

```



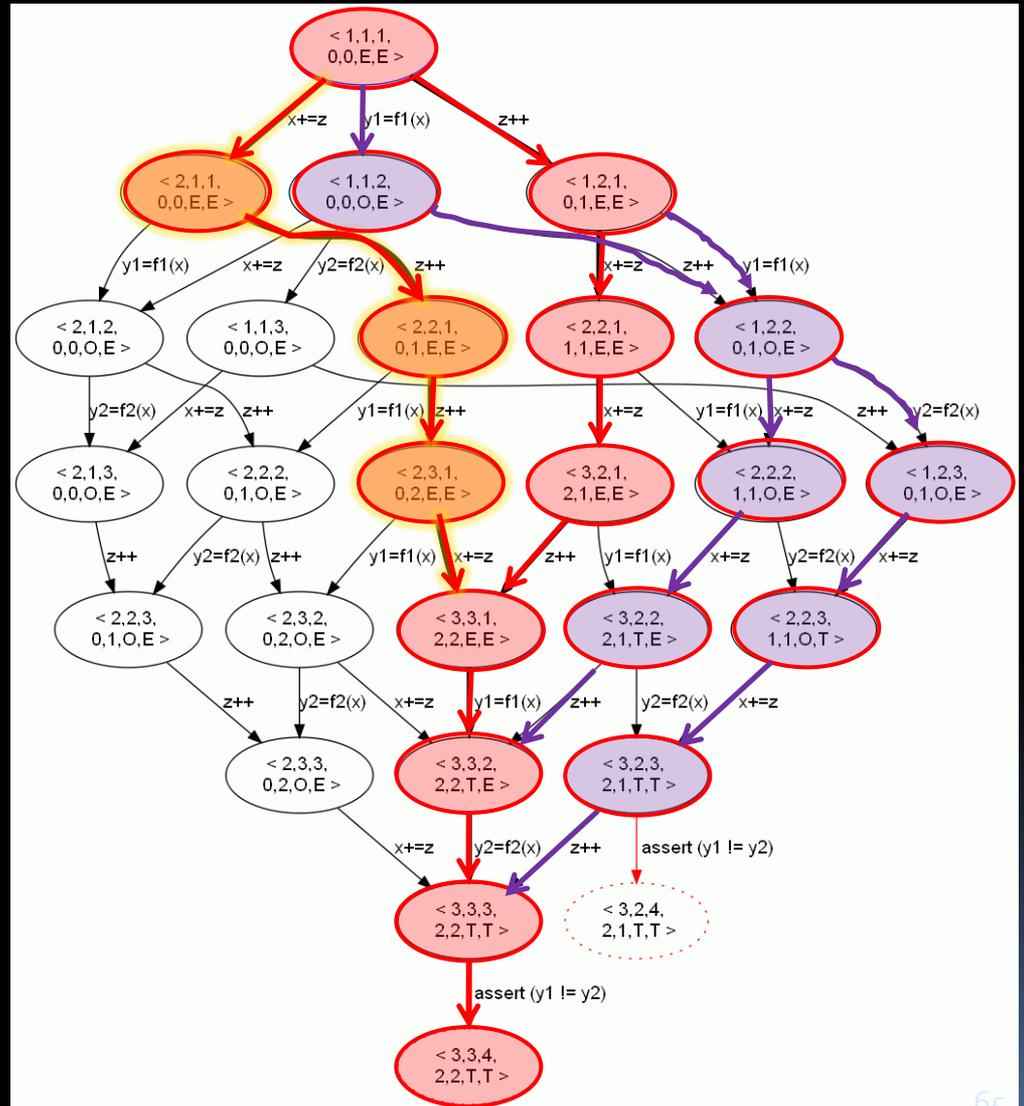
$\phi = [z++, z++]$

Example: Avoiding Bad Interleavings

```

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  } else {
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  }
}

```



$\phi = [z++, z++]$

Example: Avoiding Bad Interleavings

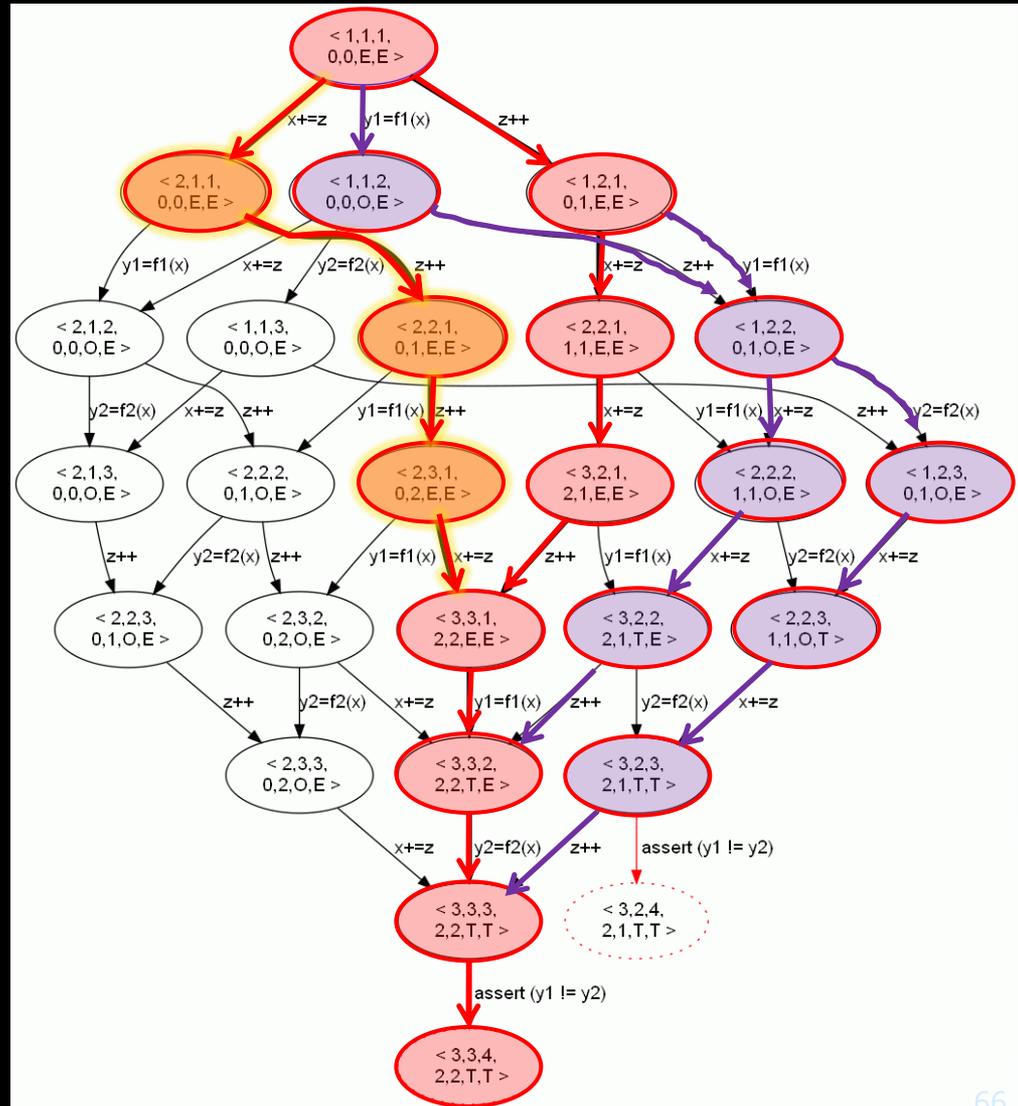
```

 $\varphi = \text{true}$ 
while(true) {
  BadTraces={ $\pi \mid \pi \in ([P]_a \cap [\varphi])$  and
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  if (?) {
     $\varphi = \varphi \wedge \text{avoid}(\pi)$ 
  } else {
     $a = \text{refine}(a, \pi)$ 
  }
}

```

$$\text{avoid}(\pi_2) = [x+=z, x+=z]$$

$$\varphi = [z++, z++]$$



Example: Avoiding Bad Interleavings

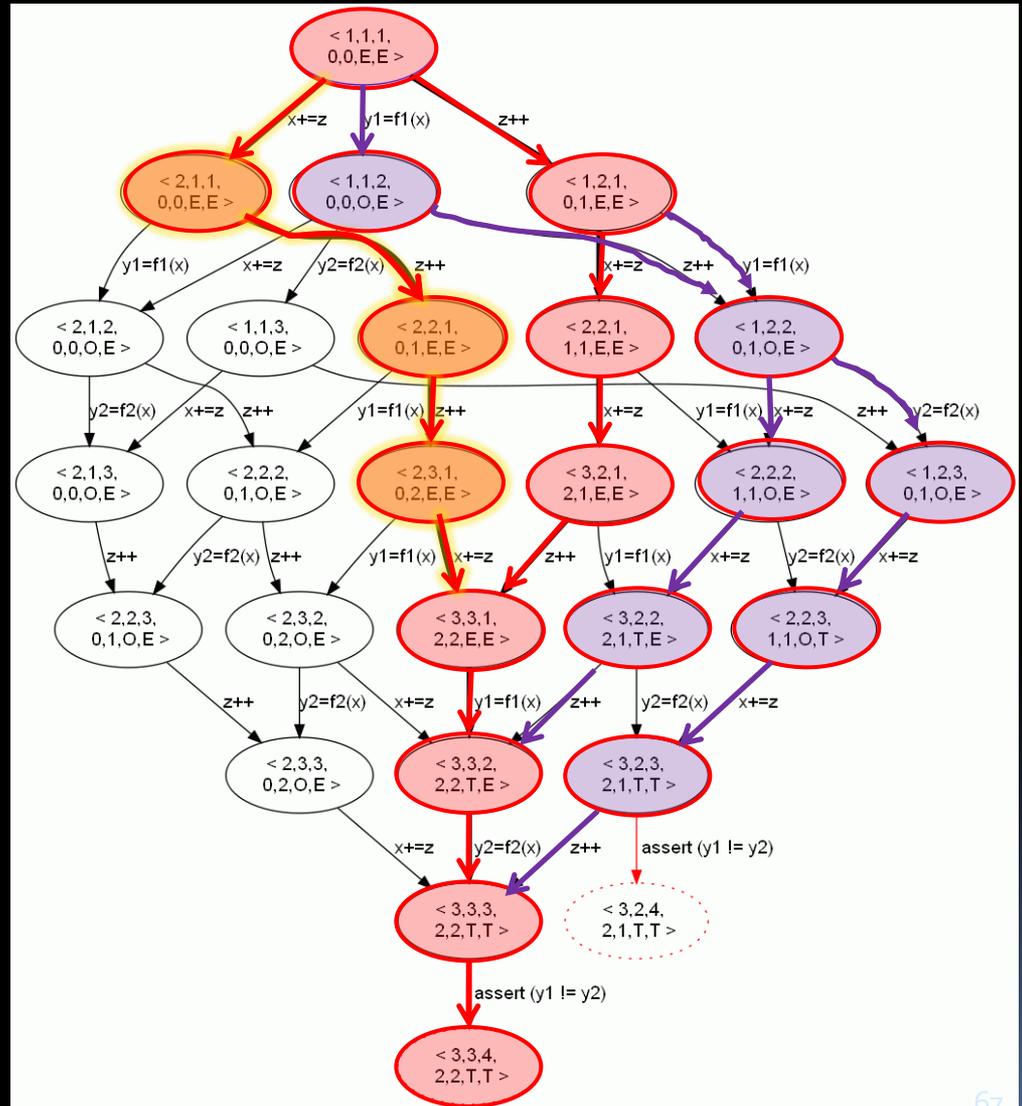
```

 $\varphi = \text{true}$ 
while(true) {
  BadTraces={ $\pi \mid \pi \in ([P]_a \cap [\varphi])$  and
               $\pi \not\# S$  }
  if (BadTraces is empty)
    return implement(P, $\varphi$ )
  select  $\pi \in \text{BadTraces}$ 
  if (?) {
     $\varphi = \varphi \wedge \text{avoid}(\pi)$ 
  } else {
     $a = \text{refine}(a, \pi)$ 
  }
}

```

$$\text{avoid}(\pi_2) = [x+=z, x+=z]$$

$$\varphi = [z++, z++] \wedge [x+=z, x+=z]$$



Example: Avoiding Bad Interleavings

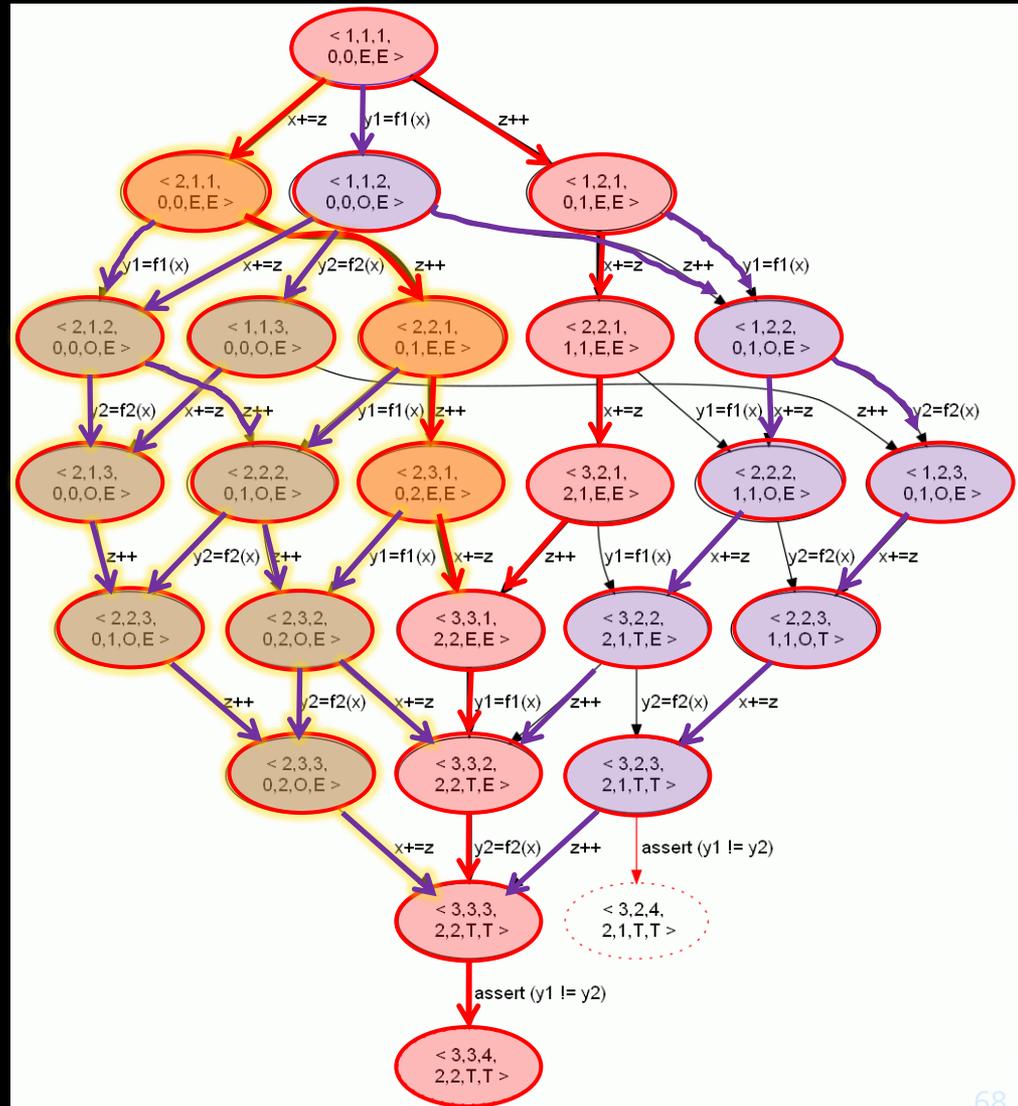
```

 $\varphi = \text{true}$ 
while(true) {
  BadTraces={ $\pi \mid \pi \in ([P]_a \cap [\varphi])$  and
              $\pi \neq S$  }
  if (BadTraces is empty)
    return implement(P, $\varphi$ )
  select  $\pi \in \text{BadTraces}$ 
  if (?) {
     $\varphi = \varphi \wedge \text{avoid}(\pi)$ 
  } else {
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  }
}

```

$$\text{avoid}(\pi_2) = [x+=z, x+=z]$$

$$\varphi = [z++, z++] \wedge [x+=z, x+=z]$$



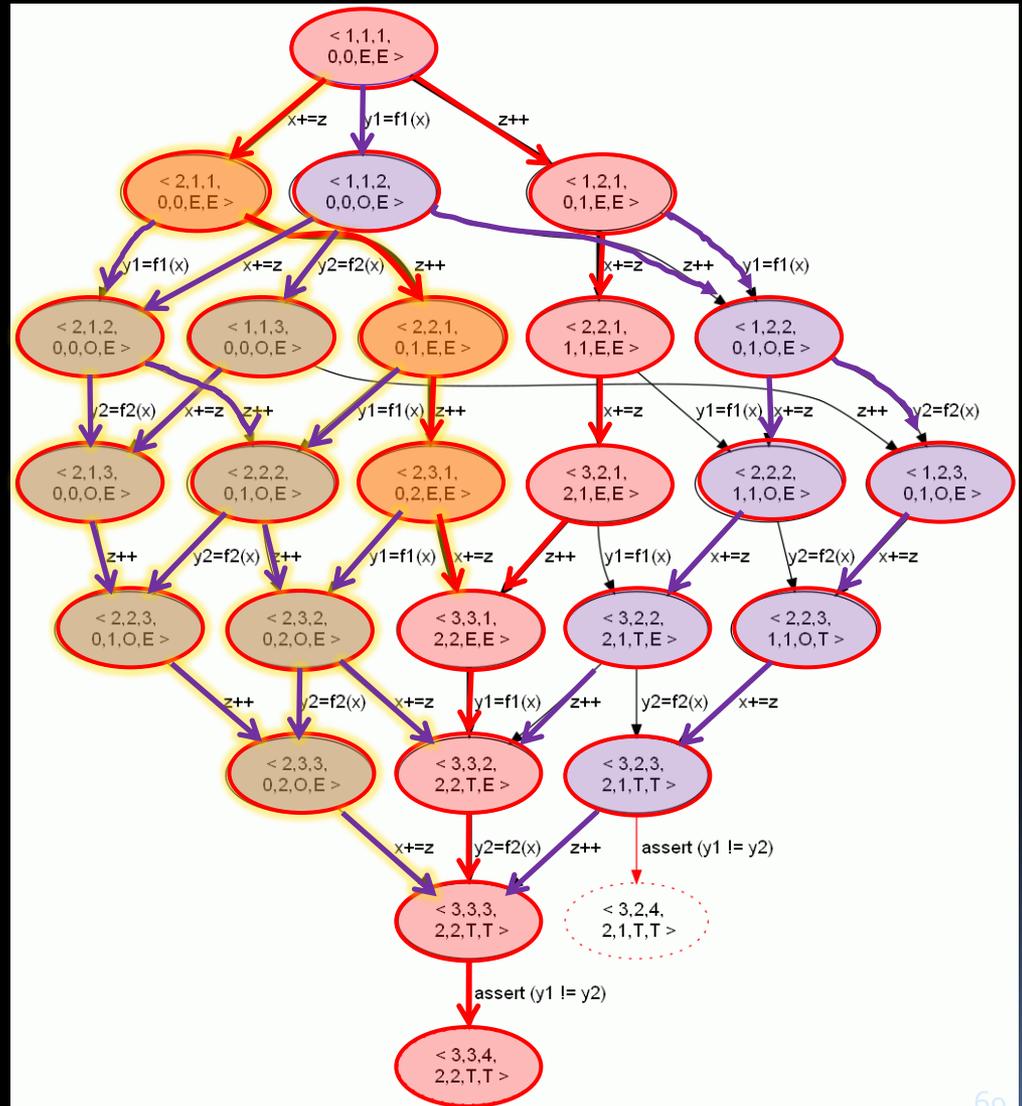
Example: Avoiding Bad Interleavings

```

 $\phi = \text{true}$ 
while(true) {
  BadTraces = { $\pi \mid \pi \in ([P]_a \cap [\phi])$  and
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  } else {
     $a = \text{refine}(a, \pi)$ 
  }
}

```

$$\phi = [z++, z++] \wedge [x+=z, x+=z]$$



Example: Avoiding Bad Interleavings

```
 $\varphi = \text{true}$   
while(true) {  
    BadTraces={ $\pi \mid \pi \in ([P]_a \cap [\varphi])$  and  
                 $\pi \neq S$  }  
    if (BadTraces is empty)  
        return implement(P,  $\varphi$ )  
    select  $\pi \in$  BadTraces  
    if (?) {  
         $\varphi = \varphi \wedge \text{avoid}(\pi)$   
    } else {  
         $a = \text{refine}(a, \pi)$   
    }  
}
```

```
 $\varphi = [z++, z++] \wedge [x+=z, x+=z]$ 
```

Example: Avoiding Bad Interleavings

```
φ = true
while(true) {
  BadTraces={π | π ∈ ([[P]]a ∩ [[φ]]) and
              π ≠ S }
  if (BadTraces is empty)
    return implement(P, φ)
  select π ∈ BadTraces
  if (?) {
    φ = φ ∧ avoid(π)
  } else {
    a = refine(a, π)
  }
}
```

T1

```
1: x += z
2: x += z
```

T2

```
1: z++
2: z++
```

T3

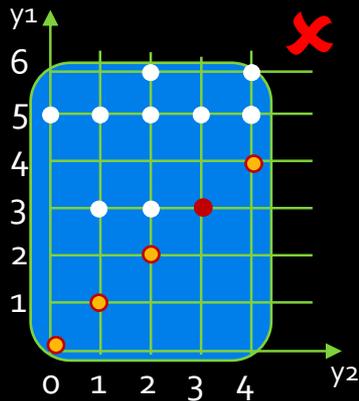
```
1: y1 = f(x)
2: y2 = x
3: assert(y1 != y2)
```

$\varphi = [z++, z++] \wedge [x+=z, x+=z]$

Example: Avoiding Bad Interleavings

parity

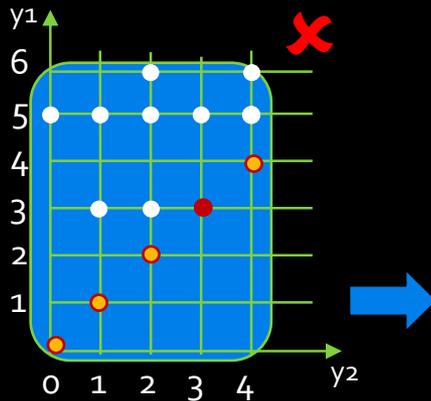
T1 `x+=z;`
`x+=z`
T2 `z++;`
`z++;`
T3 `y1=f(x)`
`y2=x`
`assert`
`y1!= y2`



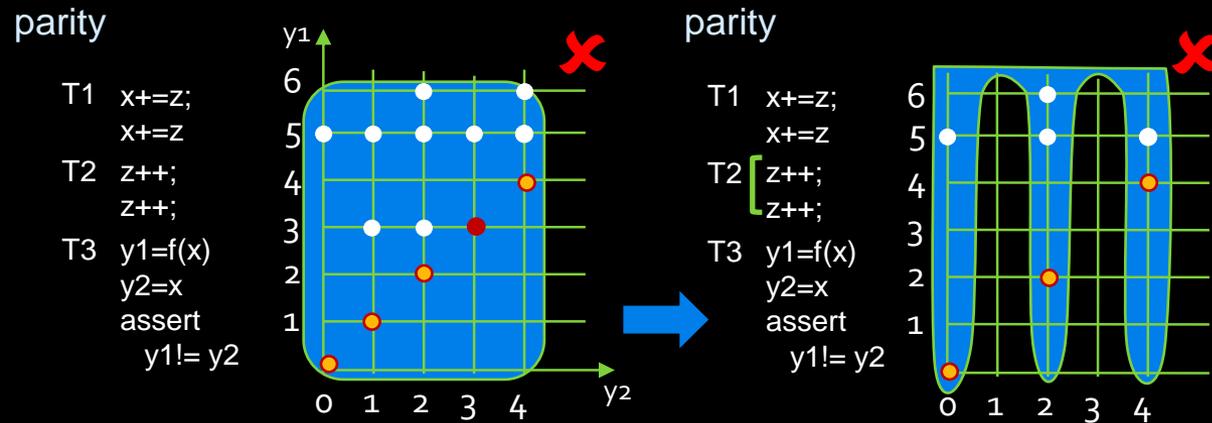
Example: Avoiding Bad Interleavings

parity

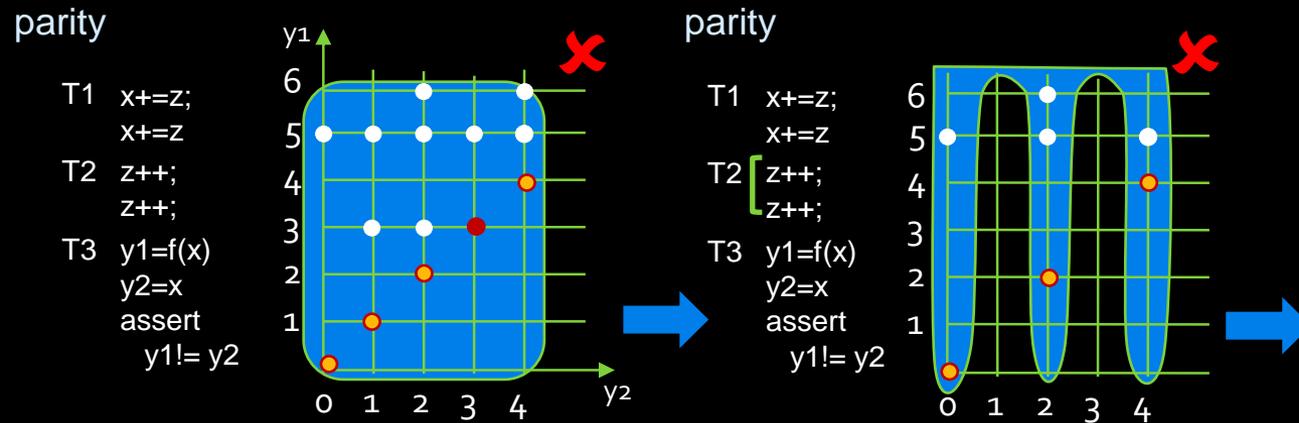
T1 $x+=z;$
 $x+=z$
T2 $z++;$
 $z++;$
T3 $y1=f(x)$
 $y2=x$
assert
 $y1!=y2$



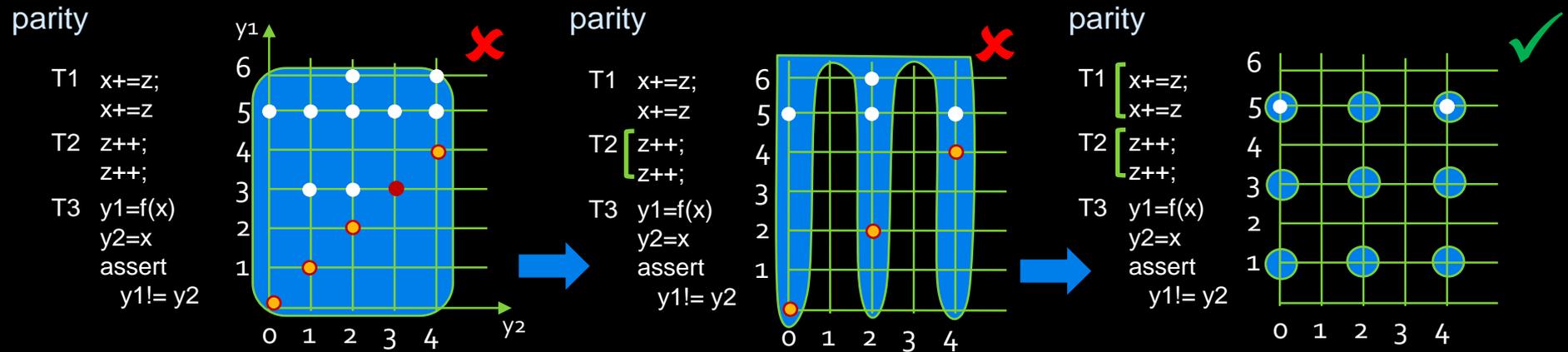
Example: Avoiding Bad Interleavings



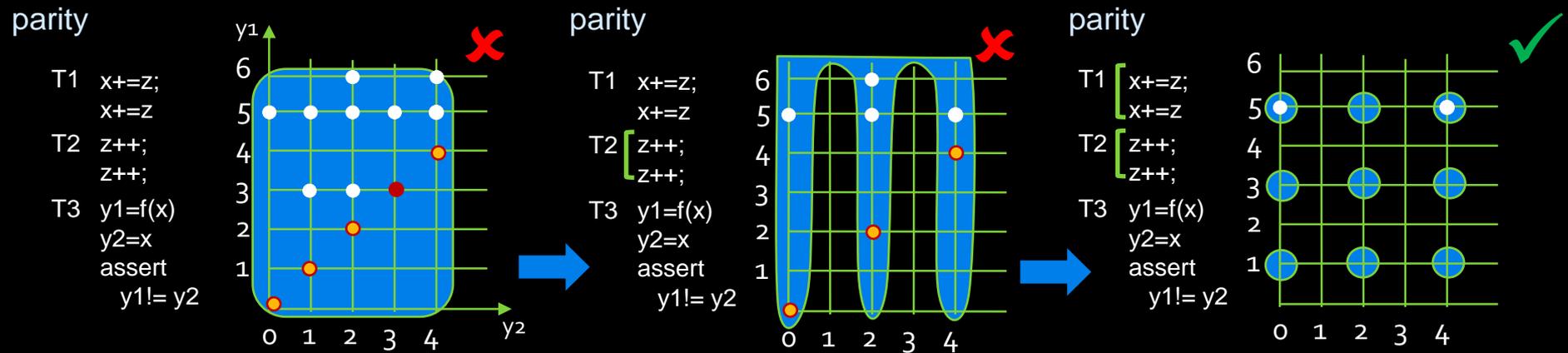
Example: Avoiding Bad Interleavings



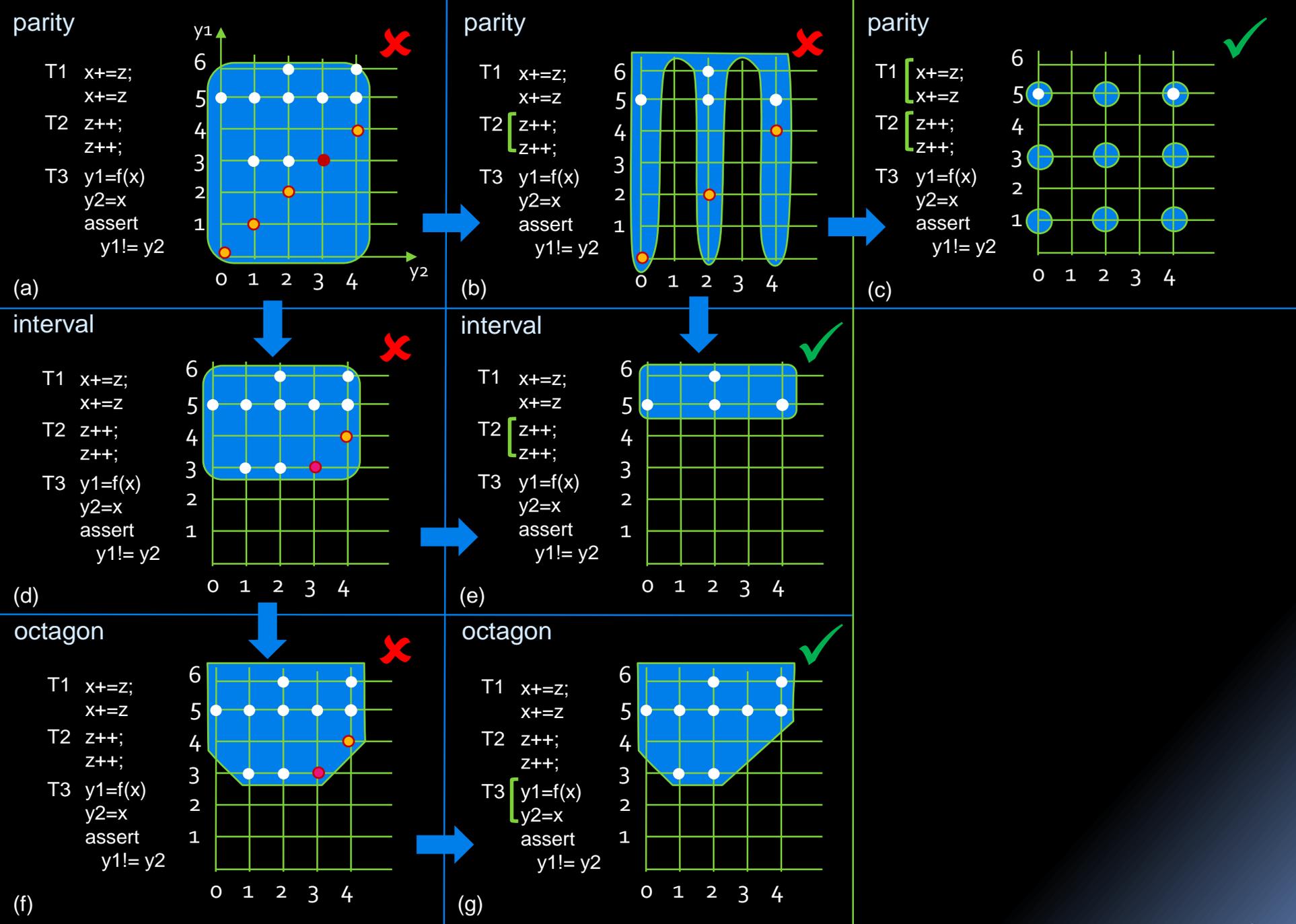
Example: Avoiding Bad Interleavings



Example: Avoiding Bad Interleavings



But we can also refine the abstraction...



Multiple Solutions

- Performance: smallest atomic sections
- Interval abstraction for our example produces the atomicity constraint:

$$([x+=z, x+=z] \vee [z++, z++]) \\ \wedge ([y_1=f(x), y_2=x] \vee [x+=z, x+=z] \vee [z++, z++])$$

- **Minimal satisfying assignments**
 - $\Gamma_1 = [z++, z++]$
 - $\Gamma_2 = [x+=z, x+=z]$

AGS Algorithm – More Details

Input: Program P , Specification S , Abstraction a

Output: Program P' satisfying S under a

```
φ = true
while(true) {
    BadTraces = {π | π ∈ ([[P]]a ∩ [[φ]) and π ≠ S }
    if (BadTraces is empty) return implement(P, φ)
    select π ∈ BadTraces
    if (?) {
        ψ = avoid(π)
        if (ψ ≠ false) φ = φ ∧ ψ
        else abort
    } else {
        a' = refine(a, π)
        if (a' ≠ a) a = a'
        else abort
    }
}
```

Order of
selection
matters

AGS Algorithm – More Details

Input: Program P , Specification S

Output: Program P' satisfying S

Forward Abstract Interpretation, taking φ into account for pruning infeasible interleavings

```
 $\varphi = \text{true}$ 
while(true) {
  BadTraces = { $\pi$  |  $\pi \in (\llbracket P \rrbracket_a \cap \llbracket \varphi \rrbracket)$  and  $\pi \not\models S$  }
  if (BadTraces is empty) return implement( $P, \varphi$ )
  select  $\pi \in$  BadTraces
  if (?) {
     $\psi = \text{avoid}(\pi)$ 
    if ( $\psi \neq \text{false}$ )  $\varphi = \varphi \wedge \psi$ 
    else abort
  } else {
     $a' = \text{refine}(a, \pi)$ 
    if ( $a' \neq a$ )  $a = a'$ 
    else abort
  }
}
```

AGS Algorithm – More Details

Input: Program P , Specification S , Abstraction a

Output: Program P' satisfying S under a

```
φ = true
while(true) {
    BadTraces = {π | π ∈ ([[P]]a ∩ [[φ]) and π ⊈ S }
    if (BadTraces is empty) return implement(P, φ)
    select π ∈ BadTraces
    if (?) {
        ψ = avoid(π)
        if (ψ ≠ false) φ = φ ∧ ψ
        else abort
    } else {
        a' = refine(a, π)
        if (a' ≠ a) a = a'
        else abort
    }
}
```

Backward exploration of invalid Interleavings using ϕ to prune infeasible interleavings.

AGS Algorithm – More Details

Input: Program P , Specification S , Abstraction a

Output: Program P' satisfying S under a

```
φ = true
while(true) {

    BadTraces = {π | π ∈ ([[P]]a ∩ [[φ]]) and π ≠ S }
    if (BadTraces is empty) return implement(P, φ)
    select π ∈ BadTraces
    if (??) {
        ψ = avoid(π)
        if (ψ ≠ false) φ = φ ∧ ψ
        else abort
    } else {
        a' = refine(a, π)
        if (a' ≠ a) a = a'
        else abort
    }
}
```

Choosing between abstraction refinement and program restriction
- not always possible to refine/avoid
- may try and backtrack

AGS Algorithm – More Details

Input: Program P , Specification S , Abstraction a

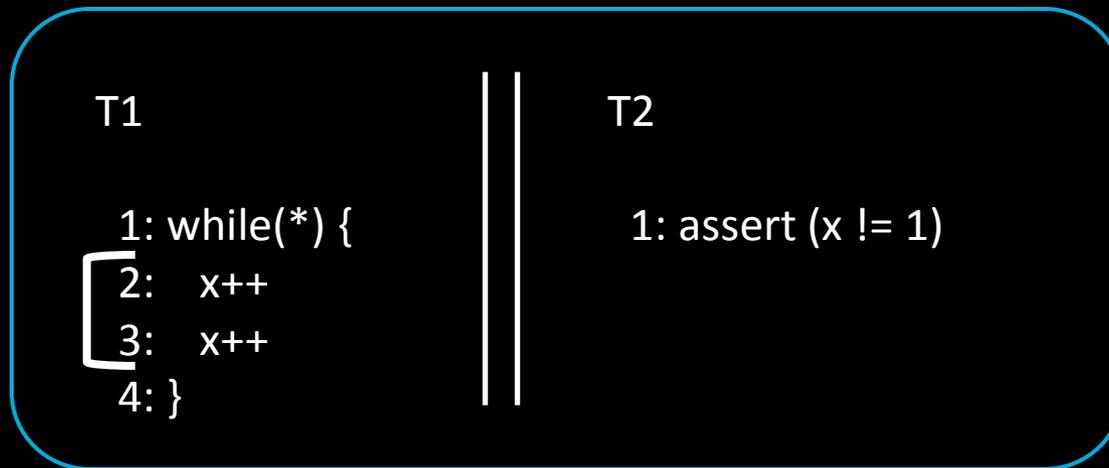
Output: Program P' satisfying S under a

```
φ = true
while(true) {
    BadTraces = {π | π ∈ ([[P]]a ∩ [[φ]]) and π ≠ S }
    if (BadTraces is empty) return implement(P, φ)
    select π ∈ BadTraces
    if (?) {
        ψ = avoid(π)
        if (ψ ≠ false) φ = φ ∧ ψ
        else abort
    } else {
        a' = refine(a, π)
        if (a' ≠ a) a = a'
        else abort
    }
}
```

Up to this point did not commit to a synchronization mechanism

Implementability

- Separation between schedule constraints and how they are realized
 - Can realize in program: atomic sections, locks,...
 - Can realize in scheduler: benevolent scheduler



- No program transformations (e.g., loop unrolling)