

# Software Architecture and Engineering: Part II

---

ETH Zurich, Spring 2017

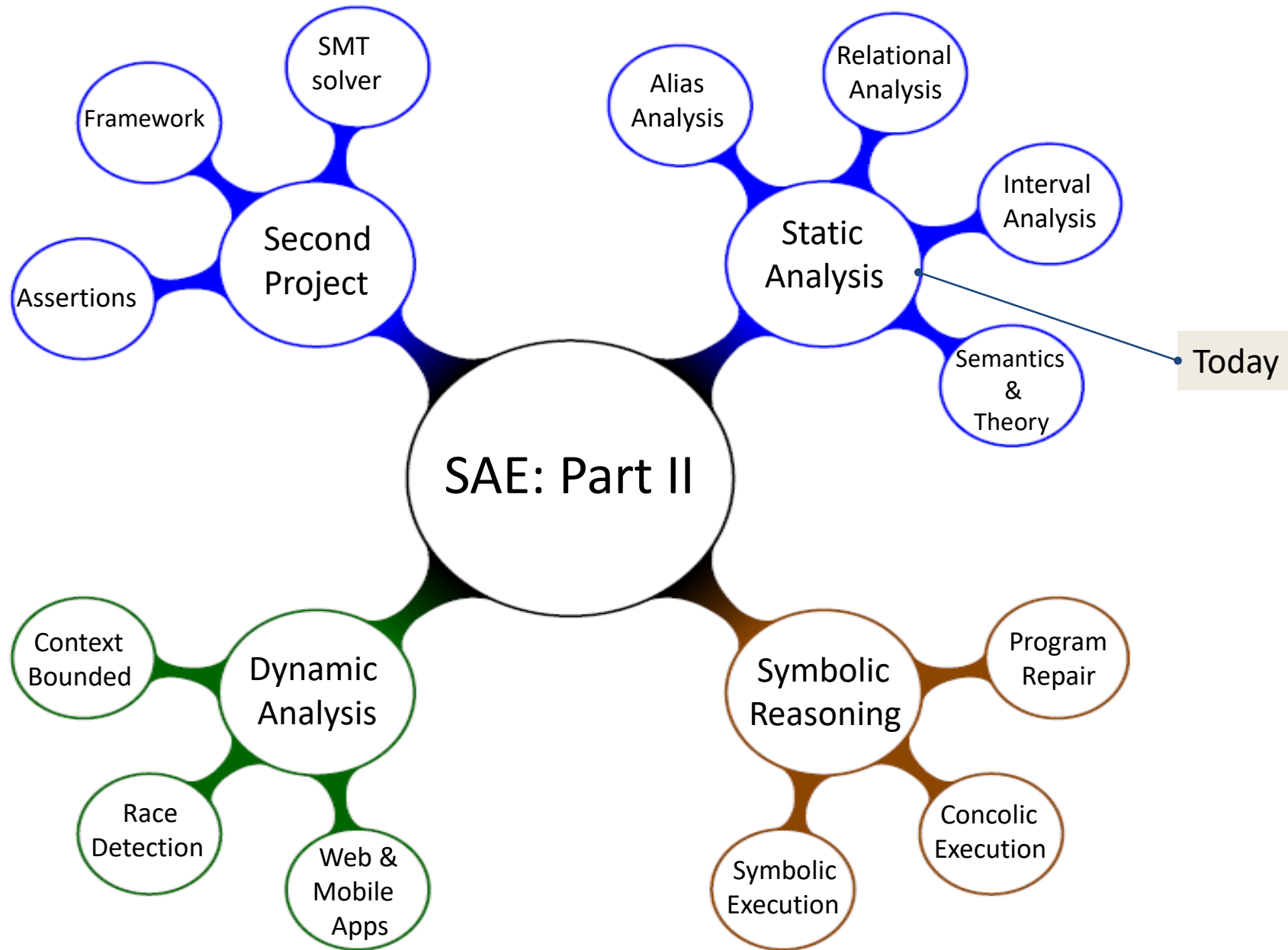
Prof. Martin Vechev

<http://www.srl.inf.ethz.ch/>

# Motivation for Material

- Programs are everywhere
  - e.g., cars, smart phones, mobile apps, software-defined networks, spreadsheets, probabilistic programs, etc.
- Hard to manually reason about programs and get right
  - e.g.,: see Heartbleed bug, drivers, concurrency, etc.
- Defects have a massive economic effect
  - ~60 billion USD annually and growing

**Wanted:** Automated techniques that find bugs, ensure correctness and performance, and even repair code



# Question

Can you build an **automatic** analyzer which takes as input an **arbitrary** program and an **arbitrary** property such that if the analyzer answers:

- “**Yes**” , then it is certain that the property holds
- “**No**” , then it is certain that the property does not hold

?

# Question

Can you build an **automatic** analyzer which takes as input an **arbitrary** program and an **arbitrary** property such that if the analyzer answers:

- “**Yes**” , then it is certain that the property holds
- “**No**” , then it is certain that the property does not hold



Alan Turing

Answer:

No. The problem is undecidable

# What now?

Change the question

# New Question

Can you build an **automatic** analyzer which takes as input an **arbitrary** program and an **arbitrary** property such that if the analyzer answers:

- “**Yes**” , then it is certain that the property holds
- “**No**” , then it is ~~certain that the property does not hold~~  
unknown if the property holds or not

?

# Trivial Solution

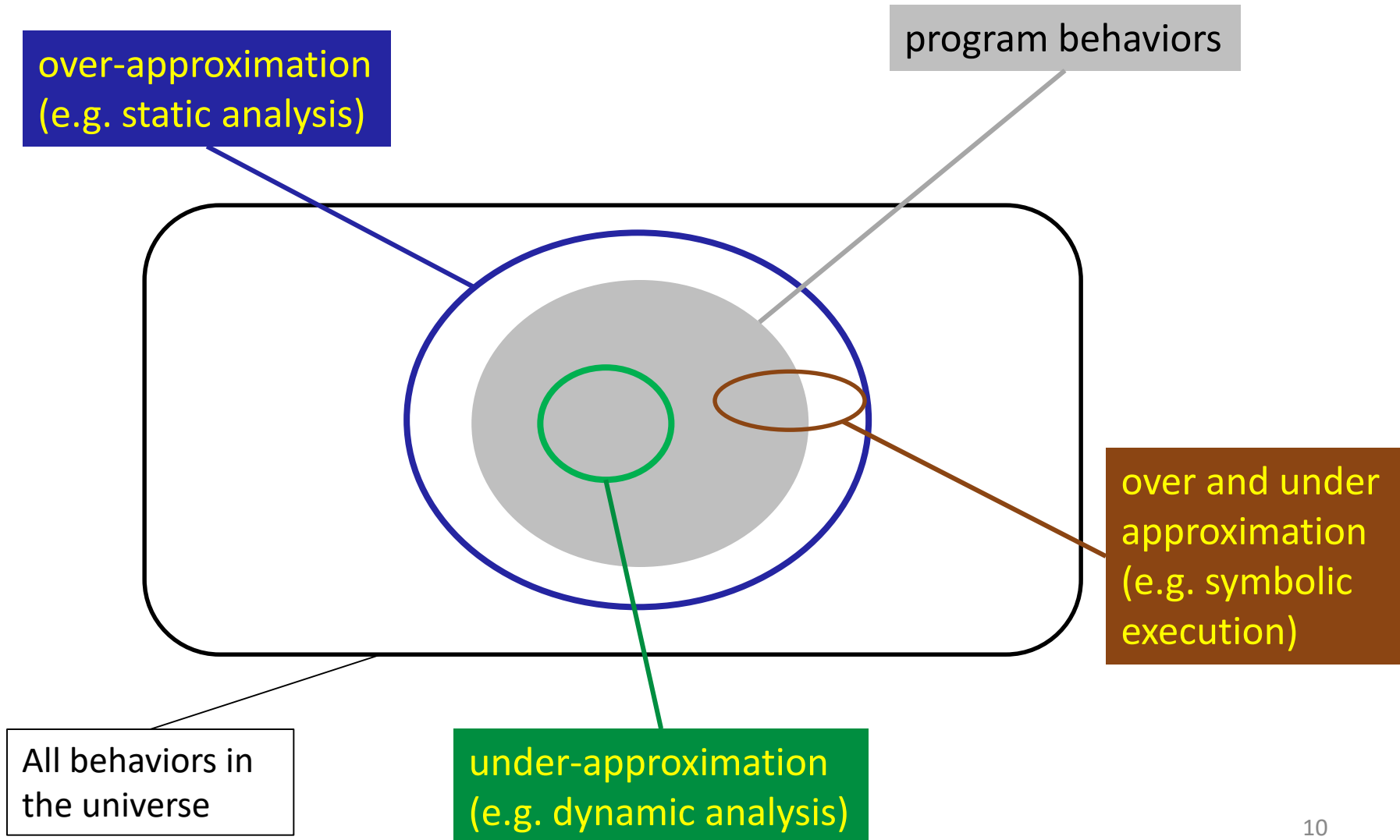
```
StaticAnalyzer(Program, Property)
{
    return “No”;
}
```



# Static Program Analysis: Challenge

The challenge is to build a static analyzer that is able to answer “Yes” for as many programs which satisfy the property.

# Approaches to Program Analysis



# Plan for Today

- Informal introduction to static program analysis
- Goal: get an intuition
- Understand **why** we need the math later

# Static Program Analysis: cool facts

- Can automatically **prove** interesting properties such as
  - absence of null pointer dereferences, assertions at a program point, termination, absence of data races, information flow,...
- Can automatically find bugs in large scale programs, or detect bad patterns
  - for instance: the program fails to call the API “close” on a File object
  - or detect stylistic patterns..
  - **what else?**
- Nice combination of math and system building
  - combines program semantics, data structures, discrete math, logic, algorithms, decision procedures, ...

# Static Program Analysis: cool facts

- Can run the program **without** giving a concrete input
  - abstractly execute a piece of code from any point
- **No need for manual annotations** such as loop invariants
  - they are automatically inferred
  - what is a loop invariant ?

Lets look at a couple of examples what static analysis can do for us...

# What is the result of this program ?

```
proc MC(n:int) returns (r:int)
var t1:int, t2:int;
begin
  if (n>100) then
    r = n-10;
  else
    t1 = n + 11;
    t2 = MC(t1);
    r = MC(t2);
  endif;
end

var a:int, b:int;
begin
  b = MC(a);
end
```

# The McCarthy 91 function:

**if ( $n \geq 101$ ) then  $n - 10$  else 91**

```
proc MC(n:int) returns (r:int)
var t1:int, t2:int;
begin
  if (n>100) then
    r = n-10;
  else
    t1 = n + 11;
    t2 = MC(t1);
    r = MC(t2);
  endif;
end

var a:int, b:int;
begin
  b = MC(a);
end
```

Invariants per program point  
(automatically computed):

top

$n - 101 \geq 0$

$-n + r + 10 = 0$ ;  $n - 101 \geq 0$

$-n + 100 \geq 0$

$-n + t1 - 11 = 0$ ;  $-n + 100 \geq 0$

$-n + t1 - 11 = 0$ ;  $-n + 100 \geq 0$ ;  
 $-n + t2 - 1 \geq 0$ ;  $t2 - 91 \geq 0$

$-n + t1 - 11 = 0$ ;  $-n + 100 \geq 0$ ;  $-n + t2 - 1 \geq 0$ ;  
 $t2 - 91 \geq 0$ ;  $r - t2 + 10 \geq 0$ ;  $r - 91 \geq 0$

$-n + r + 10 \geq 0$ ;  $r - 91 \geq 0$

top

$-a + b + 10 \geq 0$ ;  $b - 91 \geq 0$

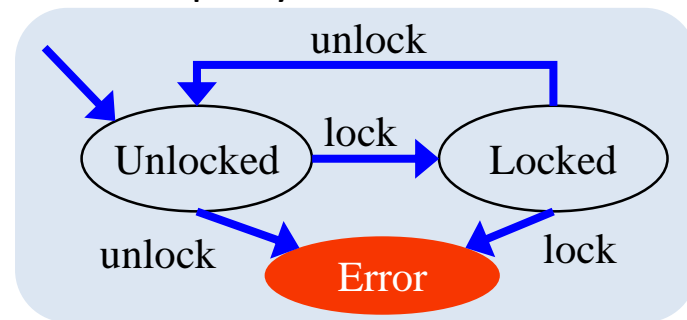
**Automatically** inferred using **numerical abstract domains**

# Network driver: does it do what it is supposed to?

```
driver(int req) {  
  
1: initlock();  
2: lock();  
3: old = packets;  
  
4: if (req) {  
5:   req = req->next;  
6:   unlock();  
7:   packets++;  
   }  
  
8: if (packets != old)  
   goto 2;  
  
9: unlock();  
}
```

```
enum {Locked,Unlocked}  
initlock() {s = Unlocked;}  
lock() {  
    if (s == Locked) abort;  
    else s = Locked; }  
unlock() {  
    if (s == Unlocked) abort;  
    else s = Unlocked; }
```

Property we want to check:



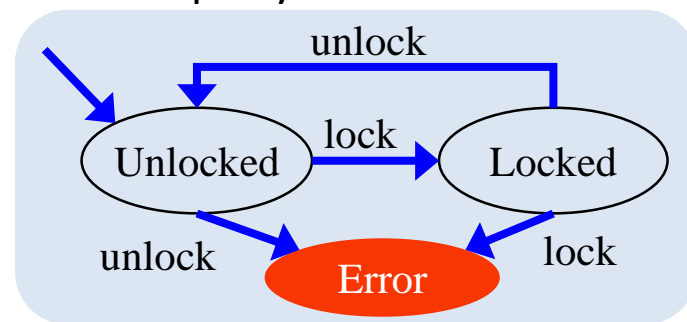


# Network driver: does it do what it is supposed to?

```
driver(int req) {  
  
1: initlock();  
2: lock();  
3: old = packets;  
  
4: if (req) {  
5:   req = req->next;  
6:   unlock();  
7:   packets++;  
   }  
  
8: if (packets != old)  
   goto 2;  
  
9: unlock();  
}
```

```
enum {Locked,Unlocked}  
initlock() {s = Unlocked;}  
lock() {  
    if (s == Locked) abort;  
    else s = Locked; }  
unlock() {  
    if (s == Unlocked) abort;  
    else s = Unlocked; }
```

Property we want to check:



**Automatically** verified using SLAM based on **predicate abstraction**

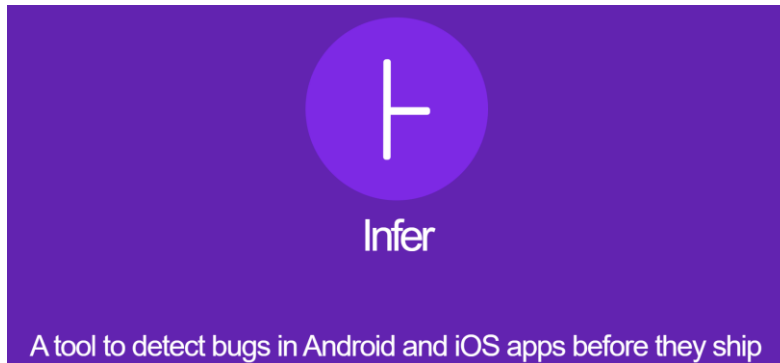
# Real-World Program Analyzers (small sample)

A significantly increased interest in the last few years



# Facebook: last 2 years...

INFER: <http://fbinfer.com/>



**Infer** is an automated code review tool based on deep program analysis.

It is fully integrated and is a key part of FB's software development process. **Fast** and **precise**, it fits FB's culture on move fast and break things 😊

Flow: <http://flowtype.org/>



**Flow** is a static type checker and can automatically infer types of JavaScript programs, both user code and libraries.

Both tools are open sourced and are used by companies beyond Facebook.

# Questions

- What are the core principles behind these tools? Is there a general theory?
- What kind of properties/defects/issues can these tools detect?
- How do you go about building one these tools?
- What concerns should be addressed to have such tools be practically adopted by software engineers?

Lets begin...

# Static Analysis via Abstract Interpretation

- We will learn a style called **abstract interpretation**
  - a general theory of how to do approximation **systematically**
- Abstract interpretation is a very useful **thinking framework**
  - relate the concrete with the abstract, the infinite with the finite
- Many existing analyses can be seen as abstract interpreters
  - type systems, data-flow analysis, model checking, etc...

# Abstract Interpretation: step-by-step

1. select/define an abstract domain
  - selected based on the type of **properties** you want to prove
2. define abstract semantics **for the language** w.r.t. to the domain
  - prove **sound** w.r.t **concrete semantics**
  - involves defining abstract transformers
    - that is, effect of statement / expression on the abstract domain
3. iterate abstract transformers over the abstract domain
  - until we reach a **fixed point**

The **fixed point** is the **over-approximation** of the program

# Lets prove an assertion...

```
foo (int i) {  
  
1:  int x :=5;  
2:  int y :=7;  
  
3:  if (i ≥ 0) {  
4:      y := y + 1;  
5:      i := i - 1;  
6:      goto 3;  
    }  
  
7:  assert 0 ≤ x + y  
}
```

There are infinitely many executions here.  
We cannot just enumerate them all.

And even if they were finite, it would still  
take us a long time to enumerate them  
all...

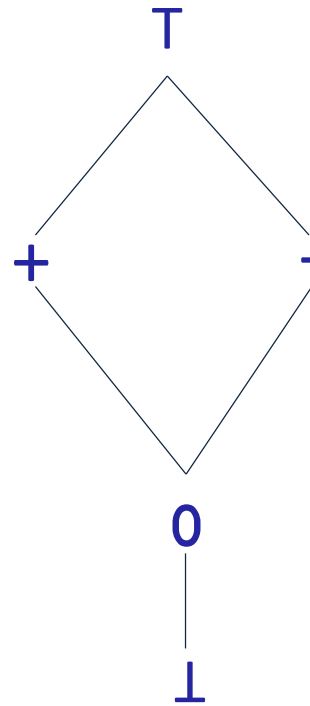
Instead, let us do some over-  
approximation, so that we can reduce the  
space of what we need to enumerate...



# Step 1: Select abstraction

Lets pick the **sign** abstraction

```
foo (int i) {  
  
  1: int x :=5;  
  2: int y :=7;  
  
  3: if (i ≥ 0) {  
    4:   y := y + 1;  
    5:   i := i - 1;  
    6:   goto 3;  
  }  
  
  7: assert 0 ≤ x + y  
}
```



Why this abstract domain ?

Question: what does + represent in the sign abstraction?

Question: what does + represent in the sign abstraction?

Answer: + represents all positive numbers and 0.

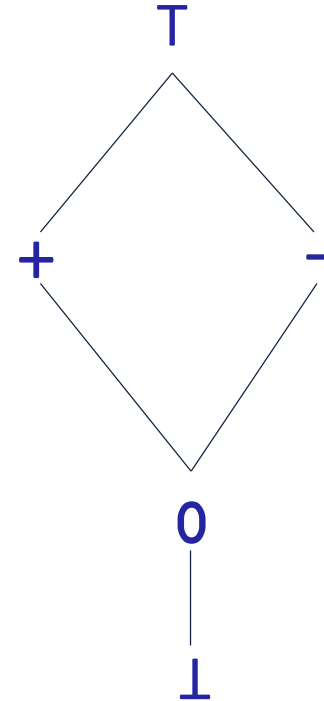
What about -, what does it mean ?

# Step 1: Select abstraction

```
foo (int i) {  
  
  1: int x :=5;  
  2: int y :=7;  
  
  3: if (i ≥ 0) {  
    4:   y := y + 1;  
    5:   i := i - 1;  
    6:   goto 3;  
  }  
  
  7: assert 0 ≤ x + y  
}
```

pc	x	y	i
2	+	⊥	T

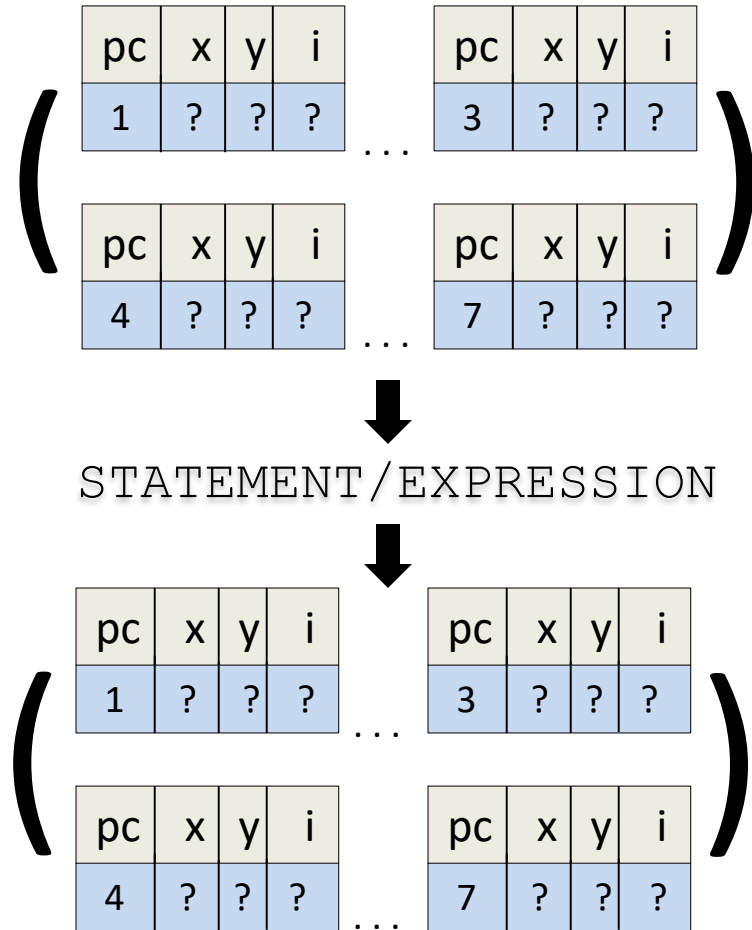
Example Abstract State



An **abstract** program state is a map from variables to **elements in the domain**

# Step 2: Define Transformers

```
foo (int i) {  
  
  1: int x :=5;  
  2: int y :=7;  
  
  3: if (i ≥ 0) {  
    4:   y := y + 1;  
    5:   i := i - 1;  
    6:   goto 3;  
  }  
  
  7: assert 0 ≤ x + y  
}
```



An **abstract transformer** describes the effect of statement and expression evaluation on an **abstract state**

# Important Point

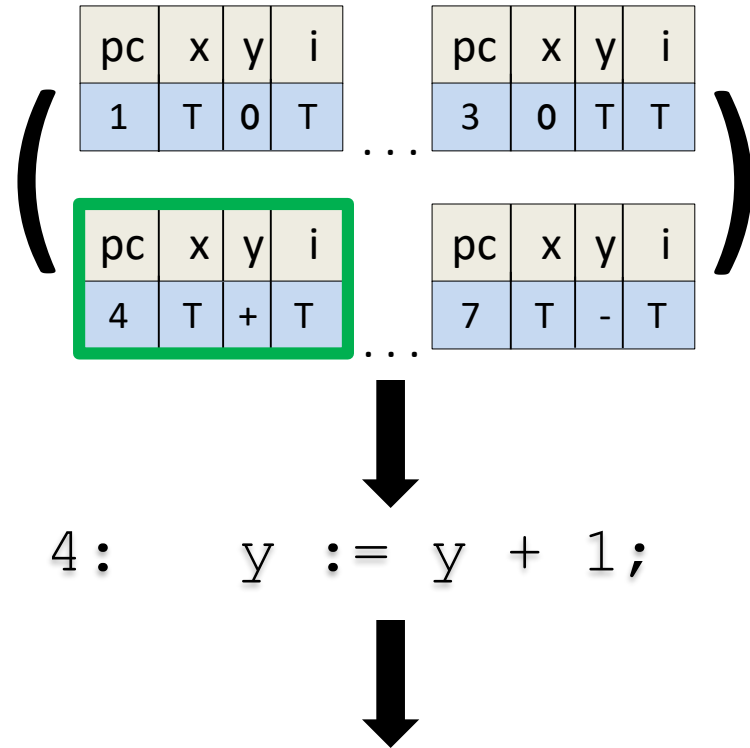
It is important to remember that abstract transformers are defined per **programming language** once and for all, and not per-program !

That is, they essentially define the new (abstract) semantics of the language (we will see them formally defined later)

This means that **any program** in the programming language can use the **same transformers**.

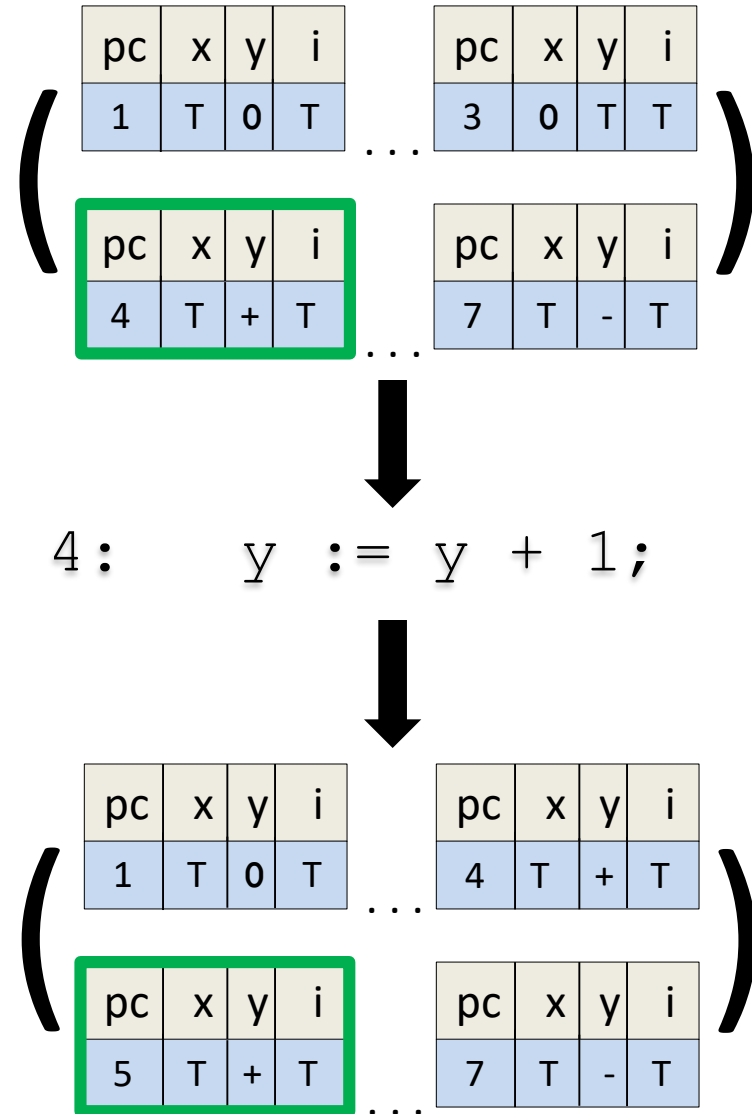
# Step 2: Define Transformers

```
foo (int i) {  
  
  1: int x :=5;  
  2: int y :=7;  
  
  3: if (i ≥ 0) {  
    4:   y := y + 1;  
    5:   i := i - 1;  
    6:   goto 3;  
  }  
  
  7: assert 0 ≤ x + y  
}
```



# Step 2: Define Transformers

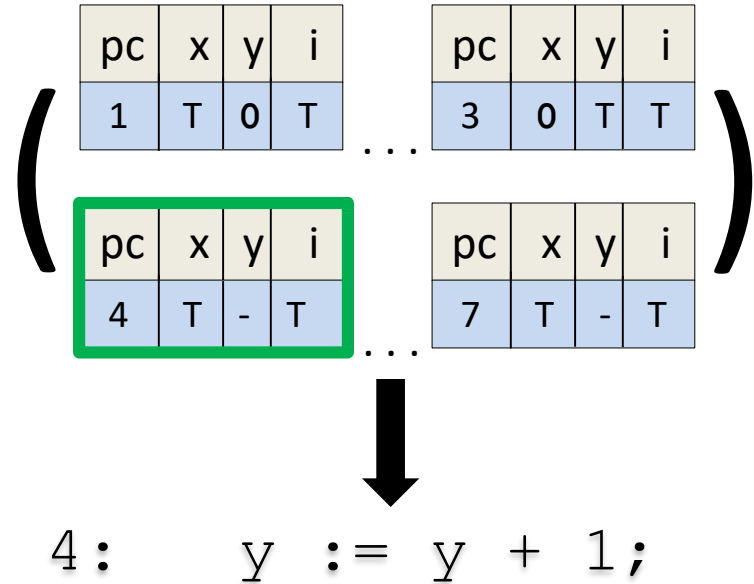
```
foo (int i) {  
  
  1: int x :=5;  
  2: int y :=7;  
  
  3: if (i ≥ 0) {  
    4:   y := y + 1;  
    5:   i := i - 1;  
    6:   goto 3;  
  }  
  
  7: assert 0 ≤ x + y  
}
```





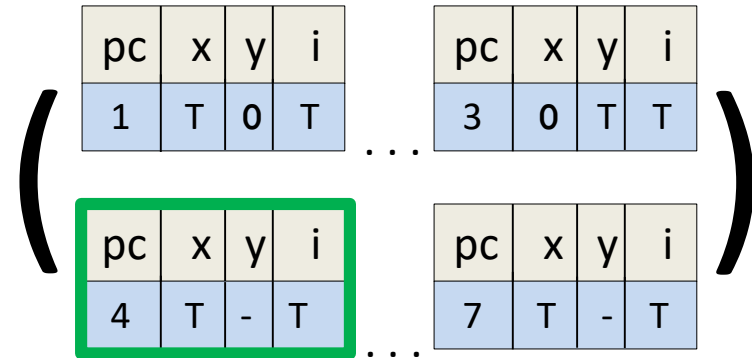
# Step 2: Define Transformers

```
foo (int i) {  
  
  1: int x :=5;  
  2: int y :=7;  
  
  3: if (i ≥ 0) {  
    4:   y := y + 1;  
    5:   i := i - 1;  
    6:   goto 3;  
  }  
  
  7: assert 0 ≤ x + y  
}
```



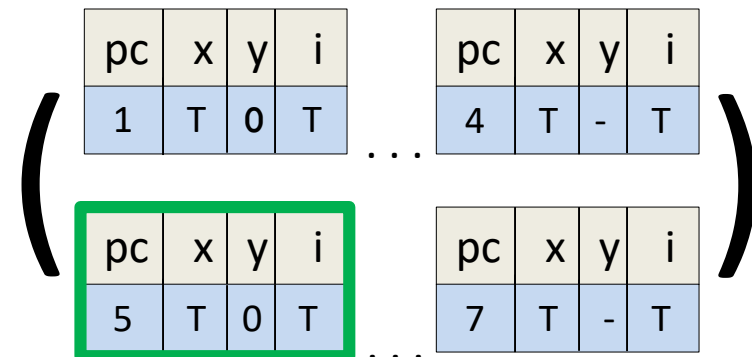
# Step 2: Define Transformers

```
foo (int i) {  
  
  1: int x :=5;  
  2: int y :=7;  
  
  3: if (i ≥ 0) {  
    4:   y := y + 1;  
    5:   i := i - 1;  
    6:   goto 3;  
  }  
  
  7: assert 0 ≤ x + y  
}
```



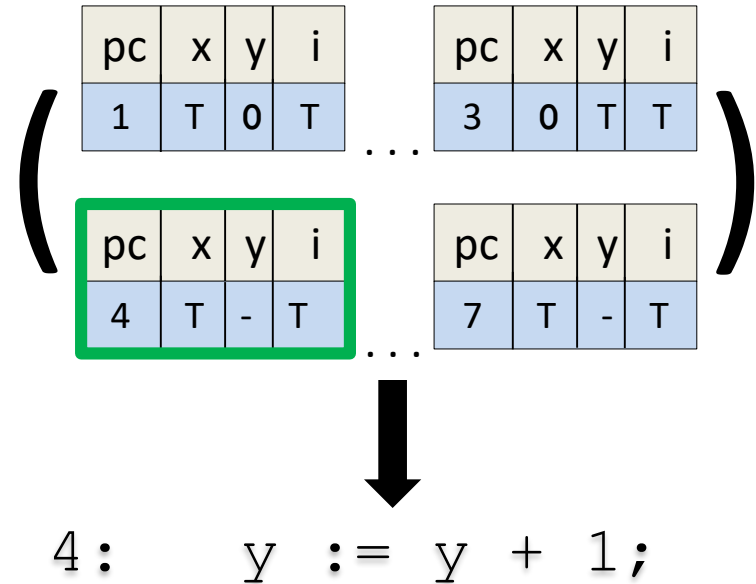
4: y := y + 1;

is this correct ?



# Step 2: Define Transformers

```
foo (int i) {  
  
  1: int x :=5;  
  2: int y :=7;  
  
  3: if (i ≥ 0) {  
    4:   y := y + 1;  
    5:   i := i - 1;  
    6:   goto 3;  
  }  
  
  7: assert 0 ≤ x + y  
}
```



What does correct mean ?

# Transformer Correctness

```
foo (int i) {  
  
1:  int x :=5;  
2:  int y :=7;  
  
3:  if (i ≥ 0) {  
4:      y := y + 1;  
5:      i := i - 1;  
6:      goto 3;  
    }  
  
7:  assert 0 ≤ x + y  
}
```

A **correct abstract transformer** should always produce results that are a **superset** of what a **concrete transformer** would produce

# Unsound Transformer

```
foo (int i) {  
  
1: int x :=5;  
2: int y :=7;  
  
3: if (i ≥ 0) {  
4:   y := y + 1;  
5:   i := i - 1;  
6:   goto 3;  
  }  
  
7: assert 0 ≤ x + y  
}
```

This abstract state:

pc	x	y	i
4	T	-	T

represents **infinitely many**  
concrete states including:

pc	x	y	i
4	1	-3	2

If we perform  $y := y + 1$   
on this concrete state, we get:

pc	x	y	i
5	1	-2	2

However, the **abstract**  
transformer produced  
an abstract state:

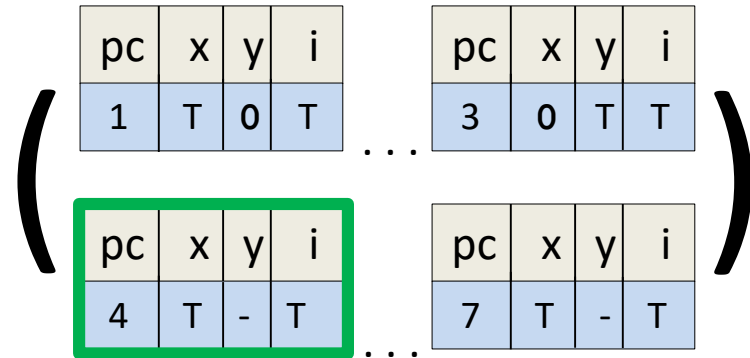
pc	x	y	i
5	T	0	T

This abstract state **does not**  
represent any state where  $y = -2$

The abstract transformer is **unsound** ! ☹️

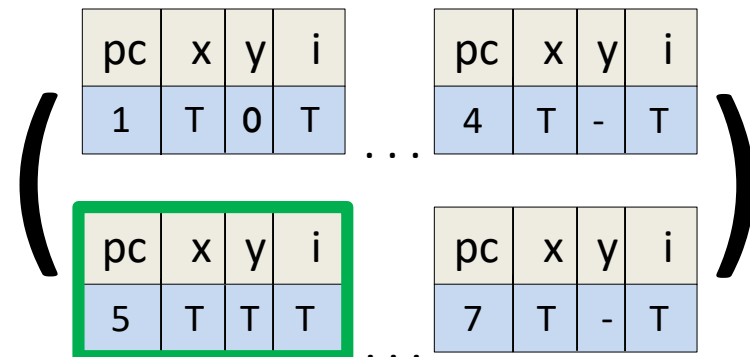
# How about this ?

```
foo (int i) {  
  1: int x :=5;  
  2: int y :=7;  
  
  3: if (i ≥ 0) {  
    4:   y := y + 1;  
    5:   i := i - 1;  
    6:   goto 3;  
  }  
  
  7: assert 0 ≤ x + y  
}
```



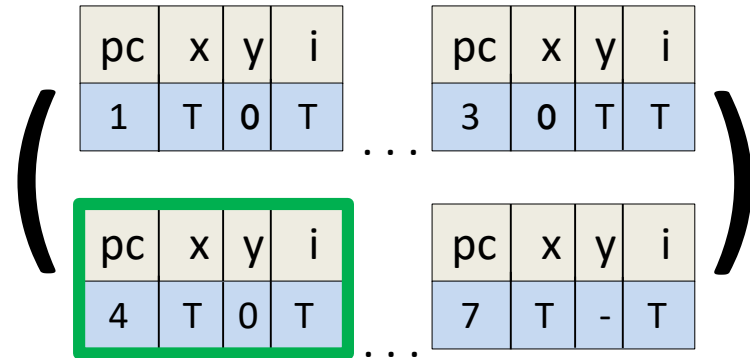
4: y := y + 1;

This is correct, why?



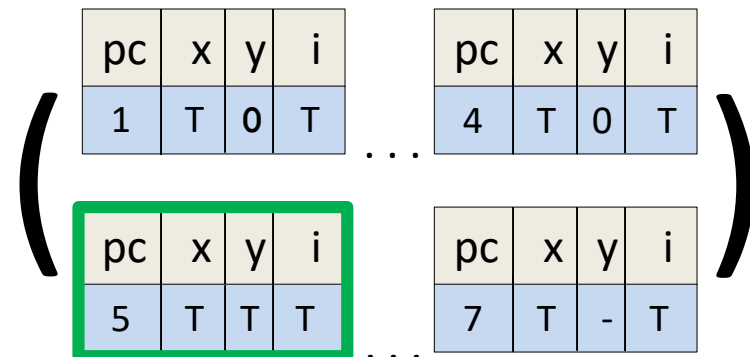
# How about this ?

```
foo (int i) {  
  
1:  int x :=5;  
2:  int y :=7;  
  
3:  if (i ≥ 0) {  
4:    y := y + 1;  
5:    i := i - 1;  
6:    goto 3;  
  }  
  
7:  assert 0 ≤ x + y  
}
```



4:     y := y + 1;

Is this sound ? Yes  
Is it precise ? No



# Imprecise Transformer

```
foo (int i) {  
  1: int x :=5;  
  2: int y :=7;  
  
  3: if (i ≥ 0) {  
    4:   y := y + 1;  
    5:   i := i - 1;  
    6:   goto 3;  
  }  
  
  7: assert 0 ≤ x + y  
}
```

This abstract state:

pc	x	y	i
4	T	0	T

represents **infinitely** many concrete states where  $y$  is always 0, including:

pc	x	y	i
4	1	0	2

If we perform  $y := y + 1$  on **any** of these concrete states, we will always get states where  $y$  **is always positive**, such as:

pc	x	y	i
5	1	1	2

However, the **abstract** transformer produces an abstract state where  $y$  **can be any value**, such as:

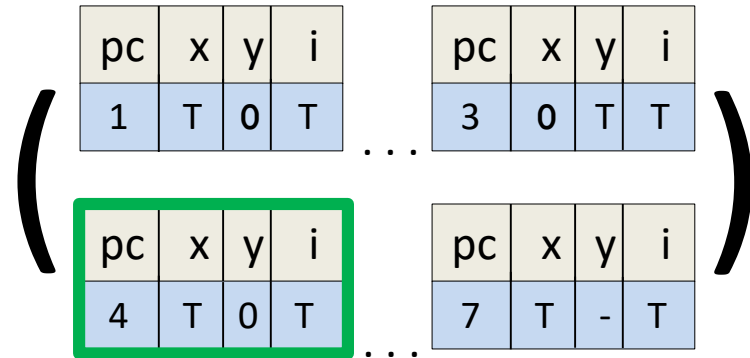
pc	x	y	i
5	T	T	T

The abstract transformer is **imprecise** ! ☹️



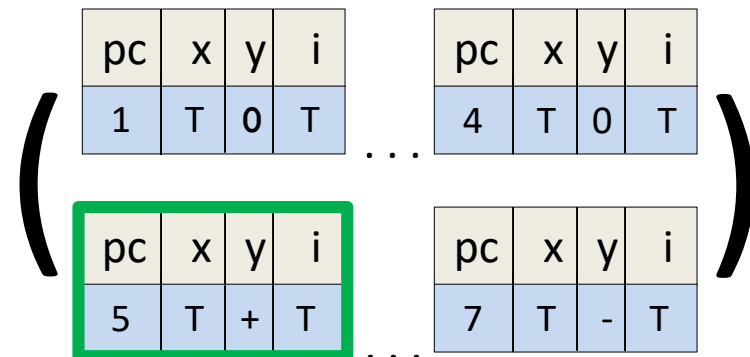
# How about this ?

```
foo (int i) {  
  
  1: int x :=5;  
  2: int y :=7;  
  
  3: if (i ≥ 0) {  
    4:   y := y + 1;  
    5:   i := i - 1;  
    6:   goto 3;  
  }  
  
  7: assert 0 ≤ x + y  
}
```



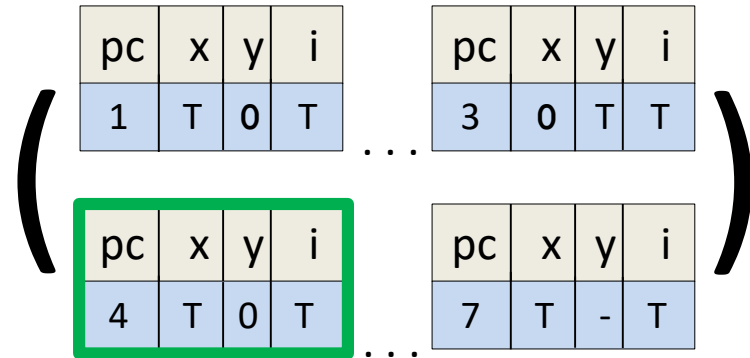
4: y := y + 1;

Is this sound ?  
Is it precise ?



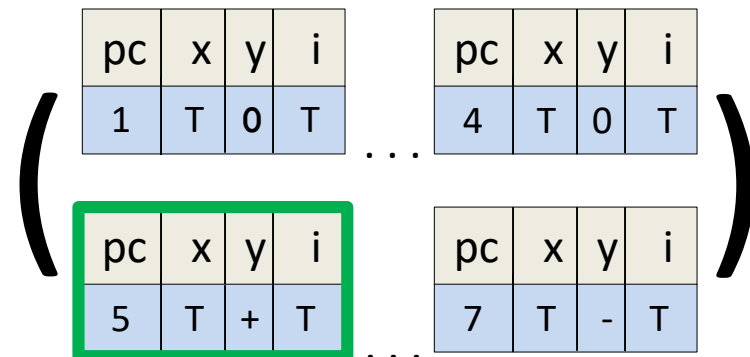
# How about this ?

```
foo (int i) {  
  
1:  int x :=5;  
2:  int y :=7;  
  
3:  if (i ≥ 0) {  
4:    y := y + 1;  
5:    i := i - 1;  
6:    goto 3;  
  }  
  
7:  assert 0 ≤ x + y  
}
```



4:     y := y + 1;

Is this sound ? Yes  
Is it precise ? Yes



# Abstract Transformers

It is easy to be **sound** and **imprecise**: simply output **T**

It is desirable to be both **sound** and **precise**. If we lose precision, it needs to be clear why and where:

- sometimes, computing the most precise transformer (also called the **best transformer**) is **impossible**
- for efficiency reasons, we may sacrifice some precision

# Step 3: Iterate to a fixed point

```
foo (int i) {  
  
  1: int x :=5;  
  2: int y :=7;  
  
  3: if (i ≥ 0) {  
    4:   y := y + 1;  
    5:   i := i - 1;  
    6:   goto 3;  
  }  
  
  7: assert 0 ≤ x + y  
}
```

Start with the **least** abstract element

pc	x	y	i
1	⊥	⊥	⊥

pc	x	y	i
2	⊥	⊥	⊥

pc	x	y	i
3	⊥	⊥	⊥

pc	x	y	i
4	⊥	⊥	⊥

pc	x	y	i
5	⊥	⊥	⊥

pc	x	y	i
6	⊥	⊥	⊥

pc	x	y	i
7	⊥	⊥	⊥

Lets do some iterations...

# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ x + y  
}
```

pc	x	y	i
1	⊥	⊥	⊥

pc	x	y	i
2	⊥	⊥	⊥

pc	x	y	i
3	⊥	⊥	⊥

pc	x	y	i
4	⊥	⊥	⊥

pc	x	y	i
5	⊥	⊥	⊥

pc	x	y	i
6	⊥	⊥	⊥

pc	x	y	i
7	⊥	⊥	⊥

int i

# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ x + y  
}
```

pc	x	y	i
1	⊥	⊥	⊥

pc	x	y	i
2	⊥	⊥	⊥

pc	x	y	i
3	⊥	⊥	⊥

pc	x	y	i
4	⊥	⊥	⊥

pc	x	y	i
5	⊥	⊥	⊥

pc	x	y	i
6	⊥	⊥	⊥

pc	x	y	i
7	⊥	⊥	⊥



int i



pc	x	y	i
1	⊥	⊥	⊤

pc	x	y	i
2	⊥	⊥	⊥

pc	x	y	i
3	⊥	⊥	⊥

pc	x	y	i
4	⊥	⊥	⊥

pc	x	y	i
5	⊥	⊥	⊥

pc	x	y	i
6	⊥	⊥	⊥

pc	x	y	i
7	⊥	⊥	⊥

# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ x + y  
}
```

pc	x	y	i
1	⊥	⊥	⊤

pc	x	y	i
2	⊥	⊥	⊥

pc	x	y	i
3	⊥	⊥	⊥

pc	x	y	i
4	⊥	⊥	⊥

pc	x	y	i
5	⊥	⊥	⊥

pc	x	y	i
6	⊥	⊥	⊥

pc	x	y	i
7	⊥	⊥	⊥



```
1: int x :=5;
```



# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ x + y  
}
```

pc	x	y	i
1	⊥	⊥	⊤

pc	x	y	i
2	⊥	⊥	⊥

pc	x	y	i
3	⊥	⊥	⊥

pc	x	y	i
4	⊥	⊥	⊥

pc	x	y	i
5	⊥	⊥	⊥

pc	x	y	i
6	⊥	⊥	⊥

pc	x	y	i
7	⊥	⊥	⊥



```
1: int x :=5;
```



pc	x	y	i
1	⊥	⊥	⊤

pc	x	y	i
2	+	⊥	⊤

pc	x	y	i
3	⊥	⊥	⊥

pc	x	y	i
4	⊥	⊥	⊥

pc	x	y	i
5	⊥	⊥	⊥

pc	x	y	i
6	⊥	⊥	⊥

pc	x	y	i
7	⊥	⊥	⊥



# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ x + y  
}
```

pc	x	y	i
1	⊥	⊥	⊤

pc	x	y	i
2	+	⊥	⊤

pc	x	y	i
3	⊥	⊥	⊥

pc	x	y	i
4	⊥	⊥	⊥

pc	x	y	i
5	⊥	⊥	⊥

pc	x	y	i
6	⊥	⊥	⊥

pc	x	y	i
7	⊥	⊥	⊥



```
2:   int y :=7;
```



# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ x + y  
}
```

pc	x	y	i
1	⊥	⊥	⊤

pc	x	y	i
2	+	⊥	⊤

pc	x	y	i
3	⊥	⊥	⊥

pc	x	y	i
4	⊥	⊥	⊥

pc	x	y	i
5	⊥	⊥	⊥

pc	x	y	i
6	⊥	⊥	⊥

pc	x	y	i
7	⊥	⊥	⊥



```
2: int y :=7;
```



pc	x	y	i
1	⊥	⊥	⊤

pc	x	y	i
2	+	⊥	⊤

pc	x	y	i
3	+	+	⊤

pc	x	y	i
4	⊥	⊥	⊥

pc	x	y	i
5	⊥	⊥	⊥

pc	x	y	i
6	⊥	⊥	⊥

pc	x	y	i
7	⊥	⊥	⊥

# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ x + y
```

```
}
```

pc	x	y	i
1	⊥	⊥	⊤

pc	x	y	i
2	+	⊥	⊤

pc	x	y	i
3	+	+	⊤

pc	x	y	i
4	⊥	⊥	⊥

pc	x	y	i
5	⊥	⊥	⊥

pc	x	y	i
6	⊥	⊥	⊥

pc	x	y	i
7	⊥	⊥	⊥



```
3: if (i ≥ 0)
```

# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ x + y  
}
```

pc	x	y	i
1	⊥	⊥	T

pc	x	y	i
2	+	⊥	T

pc	x	y	i
3	+	+	T

pc	x	y	i
4	⊥	⊥	⊥

pc	x	y	i
5	⊥	⊥	⊥

pc	x	y	i
6	⊥	⊥	⊥

pc	x	y	i
7	⊥	⊥	⊥

3: if (i ≥ 0)

pc	x	y	i
1	⊥	⊥	T

pc	x	y	i
2	+	⊥	T

pc	x	y	i
3	+	+	T

pc	x	y	i
4	+	+	+

pc	x	y	i
5	⊥	⊥	⊥

pc	x	y	i
6	⊥	⊥	⊥

pc	x	y	i
7	+	+	-

# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ x + y
```

```
}
```

pc	x	y	i
1	⊥	⊥	⊤

pc	x	y	i
2	+	⊥	⊤

pc	x	y	i
3	+	+	⊤

pc	x	y	i
4	+	+	+

pc	x	y	i
5	⊥	⊥	⊥

pc	x	y	i
6	⊥	⊥	⊥

pc	x	y	i
7	+	+	-



```
4: y := y + 1;
```



# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ x + y  
}
```

pc	x	y	i
1	⊥	⊥	⊤

pc	x	y	i
2	+	⊥	⊤

pc	x	y	i
3	+	+	⊤

pc	x	y	i
4	+	+	+

pc	x	y	i
5	⊥	⊥	⊥

pc	x	y	i
6	⊥	⊥	⊥

pc	x	y	i
7	+	+	-



```
4: y := y + 1;
```



pc	x	y	i
1	⊥	⊥	⊤

pc	x	y	i
2	+	⊥	⊤

pc	x	y	i
3	+	+	⊤

pc	x	y	i
4	+	+	+

pc	x	y	i
5	+	+	+

pc	x	y	i
6	⊥	⊥	⊥

pc	x	y	i
7	+	+	-

# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ x + y  
}
```

pc	x	y	i
1	⊥	⊥	⊤

pc	x	y	i
2	+	⊥	⊤

pc	x	y	i
3	+	+	⊤

pc	x	y	i
4	+	+	+

pc	x	y	i
5	+	+	+

pc	x	y	i
6	⊥	⊥	⊥

pc	x	y	i
7	+	+	-



```
5: i := i - 1;
```



# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

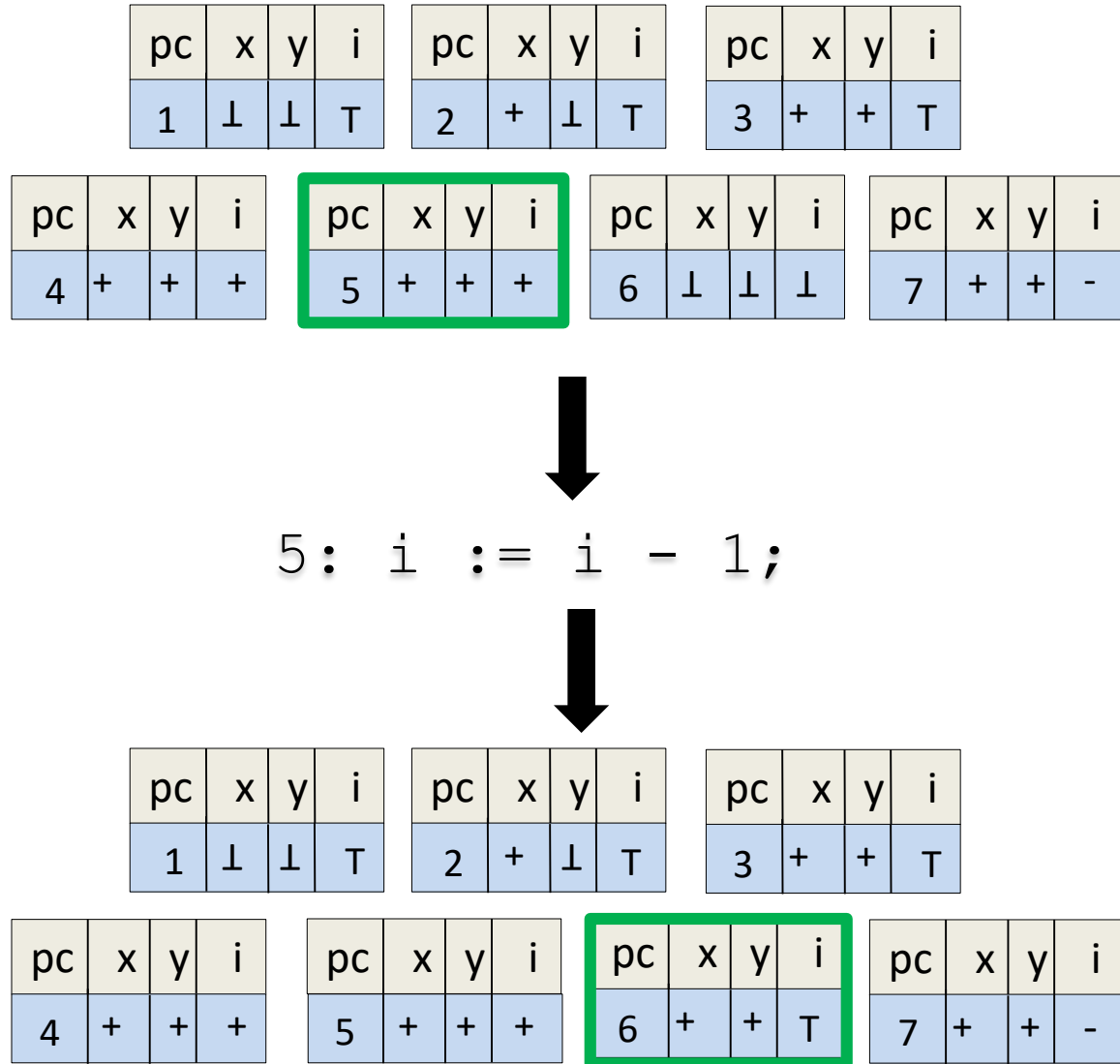
```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ x + y  
}
```





# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ x + y  
}
```

pc	x	y	i
1	⊥	⊥	⊤

pc	x	y	i
2	+	⊥	⊤

pc	x	y	i
3	+	+	⊤

pc	x	y	i
4	+	+	+

pc	x	y	i
5	+	+	+

pc	x	y	i
6	+	+	⊤

pc	x	y	i
7	+	+	-



6: goto 3;



# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

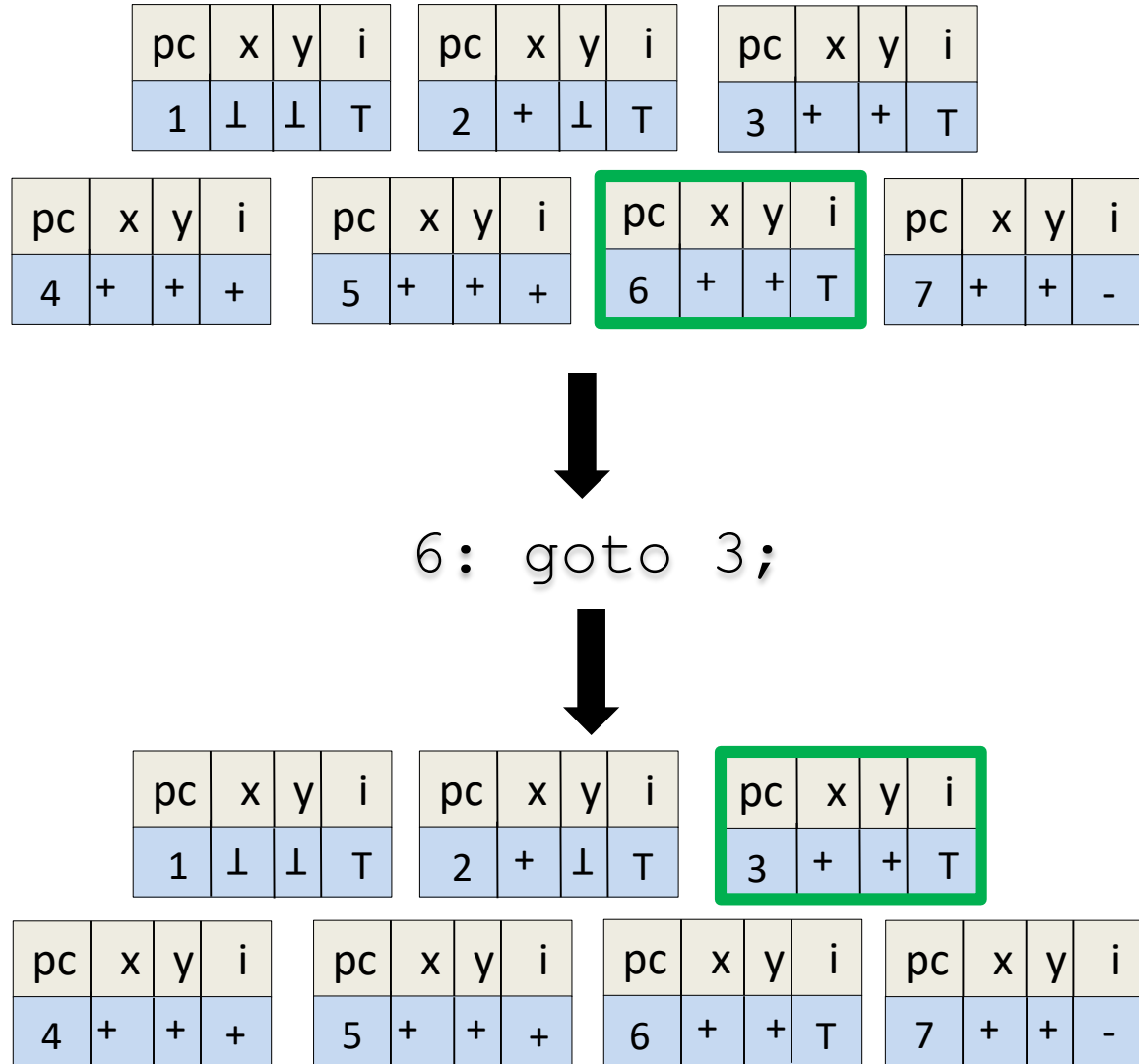
```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ x + y  
}
```



# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ x + y  
}
```

pc	x	y	i
1	⊥	⊥	⊤

pc	x	y	i
2	+	⊥	⊤

pc	x	y	i
3	+	+	⊤

pc	x	y	i
4	+	+	+

pc	x	y	i
5	+	+	+

pc	x	y	i
6	+	+	⊤

pc	x	y	i
7	+	+	-



ANY STATEMENT

No matter what statement we execute from this state, we reach that same state

What is the loop invariant ?

# Step 4: Check property

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ x + y  
}
```

pc	x	y	i
1	⊥	⊥	T

pc	x	y	i
2	+	⊥	T

pc	x	y	i
3	+	+	T

pc	x	y	i
4	+	+	+

pc	x	y	i
5	+	+	+

pc	x	y	i
6	+	+	T

pc	x	y	i
7	+	+	-

$P \models (0 \leq x + y)$



$P_{\text{sign}} \models (0 \leq x + y)$



sign domain precise enough  
to prove property

# Lets change the property

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ x - y  
}
```

pc	x	y	i
1	⊥	⊥	T

pc	x	y	i
2	+	⊥	T


pc	x	y	i
3	+	+	T


pc	x	y	i
4	+	+	+

pc	x	y	i
5	+	+	+

pc	x	y	i
6	+	+	T

pc	x	y	i
7	+	+	-

$P \not\models (0 \leq x - y)$  

$P_{\text{sign}} \not\models (0 \leq x - y)$  

sign domain is sound: property does not hold and it confirms it

# Lets change the property again

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ y - x  
}
```

pc	x	y	i
1	⊥	⊥	T

pc	x	y	i
2	+	⊥	T

pc	x	y	i
3	+	+	T

pc	x	y	i
4	+	+	+

pc	x	y	i
5	+	+	+

pc	x	y	i
6	+	+	T

pc	x	y	i
7	+	+	-

$P \models (0 \leq y - x)$



$P_{\text{sign}} \not\models (0 \leq y - x)$

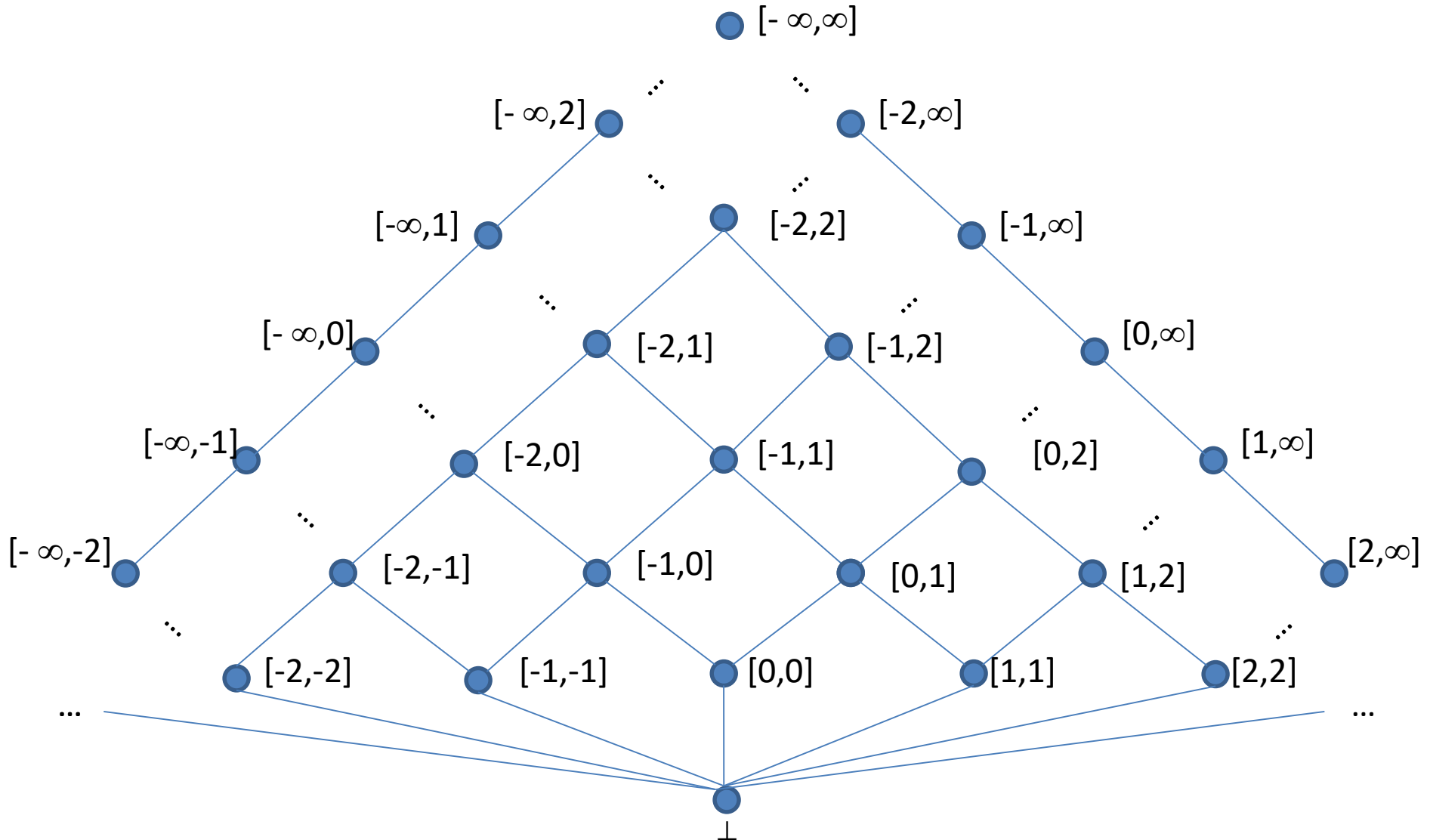


sign domain **too imprecise** to prove property

# Lets try another abstraction

This time, instead of abstracting variable values using the **sign** of the variable, we will abstract the values using **an interval**

# Step 1: Select interval domain





# Step 1: Select abstract domain

```
foo (int i) {  
  
1: int x :=5;  
2: int y :=7;  
  
3: if (i ≥ 0) {  
4:   y := y + 1;  
5:   i := i - 1;  
6:   goto 3;  
   }  
  
7: assert 0 ≤ y - x  
}
```

An abstract program state now looks like:

pc	x	y	i
2	$[-2, \infty]$	$[1, 7]$	$[1, 2]$

# Step 2: Define Transformers

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ y - x
```

```
}
```

( ...

pc	x	y	i
4	$[-2, \infty]$	$[1, 7]$	$[1, 2]$

... )

4:     y := y + 1;

?

# Step 2: Define Transformers

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ y - x
```

```
}
```

( ...

pc	x	y	i
4	$[-2, \infty]$	$[1, 7]$	$[1, 2]$

... )



4:

y := y + 1;



why is this correct?

( ...

pc	x	y	i
5	$[-2, \infty]$	$[2, 8]$	$[1, 2]$

... )

# Step 2: Define Transformers

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ y - x
```

```
}
```

( ...

pc	x	y	i
5	$[-2, \infty]$	$[1, 7]$	$[1, 2]$

... )

5: i := i - 1;

?

# Step 2: Define Transformers

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ y - x
```

```
}
```

( ...

pc	x	y	i
5	$[-2, \infty]$	$[1, 7]$	$[1, 2]$

... )



5:    i := i - 1;



( ...

pc	x	y	i
6	$[-2, \infty]$	$[1, 7]$	$[0, 1]$

... )

# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ y - x
```

```
}
```

Again, we start with  
the **least** abstract element

pc	x	y	i
1	$\perp$	$\perp$	$\perp$

pc	x	y	i
2	$\perp$	$\perp$	$\perp$

pc	x	y	i
3	$\perp$	$\perp$	$\perp$

pc	x	y	i
4	$\perp$	$\perp$	$\perp$

pc	x	y	i
5	$\perp$	$\perp$	$\perp$

pc	x	y	i
6	$\perp$	$\perp$	$\perp$

pc	x	y	i
7	$\perp$	$\perp$	$\perp$

Lets the iterations begin !

**Note:**

we only show the change of 1 component

# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ y - x  
}
```

( ...

pc	x	y	i
1	⊥	⊥	⊥

... )



int i



# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ y - x  
}
```

( ...

pc	x	y	i
1	$\perp$	$\perp$	$\perp$

... )



int i



( ...

pc	x	y	i
1	$\perp$	$\perp$	$[-\infty, \infty]$

... )



# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ y - x  
}
```

( ...

pc	x	y	i
1	$\perp$	$\perp$	$[-\infty, \infty]$

... )



```
1: int x :=5;
```



# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ y - x  
}
```

( ...

pc	x	y	i
1	$\perp$	$\perp$	$[-\infty, \infty]$

... )



```
1: int x :=5;
```



( ...

pc	x	y	i
2	$[5, 5]$	$\perp$	$[-\infty, \infty]$

... )

# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ y - x
```

```
}
```

( ...

pc	x	y	i
2	[5, 5]	⊥	$[-\infty, \infty]$

... )



2: int y :=7;



# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ y - x  
}
```

( ...

pc	x	y	i
2	[5, 5]	⊥	$[-\infty, \infty]$

... )



2: int y :=7;



( ...

pc	x	y	i
3	[5, 5]	[7, 7]	$[-\infty, \infty]$

... )

# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ y - x  
}
```

( ...

pc	x	y	i
3	[5, 5]	[7, 7]	$[-\infty, \infty]$

... )



```
3: if (i ≥ 0)
```

# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ y - x  
}
```

( ...

pc	x	y	i
3	[5, 5]	[7, 7]	$[-\infty, \infty]$

... )



3: if (i ≥ 0)



( ...

pc	x	y	i
4	[5, 5]	[7, 7]	$[0, \infty]$

... )

pc	x	y	i
7	[5, 5]	[7, 7]	$[-\infty, -1]$

# Step 3: Iterate to a fixed point

```
foo (int i) {  
  
  1: int x :=5;  
  2: int y :=7;  
  
  3: if (i ≥ 0) {  
    4:   y := y + 1;  
    5:   i := i - 1;  
    6:   goto 3;  
  }  
  
  7: assert 0 ≤ y - x  
}
```

( ...

pc	x	y	i
4	[5, 5]	[7, 7]	[0, ∞]

... )

↓

4: y := y + 1;

↓

# Step 3: Iterate to a fixed point

```
foo (int i) {  
  
  1: int x :=5;  
  2: int y :=7;  
  
  3: if (i ≥ 0) {  
    4:   y := y + 1;  
    5:   i := i - 1;  
    6:   goto 3;  
  }  
  
  7: assert 0 ≤ y - x  
}
```

( ...

pc	x	y	i
4	[5, 5]	[7, 7]	[0, ∞]

... )

4: y := y + 1;

( ...

pc	x	y	i
5	[5, 5]	[8, 8]	[0, ∞]

... )



# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ y - x
```

```
}
```

( ...

pc	x	y	i
5	[5, 5]	[8, 8]	[0, ∞]

... )

5: i := i - 1;

# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ y - x  
}
```

( ...

pc	x	y	i
5	[5, 5]	[8, 8]	[0, ∞]

... )



5: i := i - 1;



( ...

pc	x	y	i
6	[5, 5]	[8, 8]	[-1, ∞]

... )

# Step 3: Iterate to a fixed point

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ y - x  
}
```

( ...

pc	x	y	i
6	[5, 5]	[8, 8]	[-1, ∞]

... )



6: goto 3;



( ...

pc	x	y	i
3	[5, 5]	[8, 8]	[-1, ∞]

... )

# Step 3: Iterate to a fixed point

```
foo (int i) {  
  1: int x :=5;  
  2: int y :=7;  
  
  3: if (i ≥ 0) {  
    4:   y := y + 1;  
    5:   i := i - 1;  
    6:   goto 3;  
  }  
  
  7: assert 0 ≤ y - x  
}
```

pc	x	y	i
3	[5, 5]	[8, 8]	[-1, ∞]

pc	x	y	i
3	[5, 5]	[7, 7]	[-∞, ∞]

join

What is going on here ?

pc	x	y	i
3	[5, 5]	[7, 8]	[-∞, ∞]

# Joins

When we have two abstract elements A and B, we can **join** them to produce their (least) **upper bound**

denoted by:  $A \sqcup B$

we have that  $A \sqsubseteq A \sqcup B$  and  $B \sqsubseteq A \sqcup B$

$D \sqsubseteq E$  means that E is **more abstract** than D

In our example, we join the abstract states that occur at the same program label

# Step 3: Iterate to a fixed point

```
foo (int i) {  
  
  1: int x :=5;  
  2: int y :=7;  
  
  3: if (i ≥ 0) {  
    4:   y := y + 1;  
    5:   i := i - 1;  
    6:   goto 3;  
  }  
  
  7: assert 0 ≤ y - x  
}
```

( ...

pc	x	y	i
3	[5, 5]	[7, 8]	$[-\infty, \infty]$

... )

3: if (i ≥ 0)

( ...

pc	x	y	i
4	[5, 5]	[7, 8]	$[0, \infty]$

...

pc	x	y	i
7	[5, 5]	[7, 8]	$[-\infty, -1]$

... )

# Step 3: Iterate to a fixed point

```
foo (int i) {  
  
1:  int x :=5;  
2:  int y :=7;  
  
3:  if (i ≥ 0) {  
4:    y := y + 1;  
5:    i := i - 1;  
6:    goto 3;  
    }  
  
7:  assert 0 ≤ y - x  
}
```

( ...

pc	x	y	i
4	[5, 5]	[7, 8]	[0, ∞]

... )



4: y := y + 1

This will **never terminate** !

y will keep **increasing forever** !

But states reaching label 7 will always satisfy the assertion !

# Cannot reach a Fixed point

```
foo (int i) {  
  
1:  int x :=5;  
2:  int y :=7;  
  
3:  if (i ≥ 0) {  
4:      y := y + 1;  
5:      i := i - 1;  
6:      goto 3;  
    }  
  
7:  assert 0 ≤ y - x  
}
```

With the interval abstraction we could not reach a fixed point.

The domain has infinite height.

What should we do ?

Introduce a special operator called the widening operator !

It ensures termination at the expense of precision



# Widening instead of Join

```
foo (int i) {  
  1: int x :=5;  
  2: int y :=7;  
  
  3: if (i ≥ 0) {  
    4:   y := y + 1;  
    5:   i := i - 1;  
    6:   goto 3;  
  }  
  
  7: assert 0 ≤ y - x  
}
```

pc	x	y	i
3	[5, 5]	[7, 7]	$[-\infty, \infty]$

pc	x	y	i
3	[5, 5]	[8, 8]	$[0, \infty]$

widen

y is increasing,  
go directly to  $\infty$

pc	x	y	i
3	[5, 5]	$[7, \infty]$	$[-\infty, \infty]$

( ... )

# Fixed Point after Widening

With **widening**, our iteration now reaches a fixed point, where at label 7 we have:

```
foo (int i) {
```

```
1: int x :=5;
```

```
2: int y :=7;
```

```
3: if (i ≥ 0) {
```

```
4:   y := y + 1;
```

```
5:   i := i - 1;
```

```
6:   goto 3;
```

```
}
```

```
7: assert 0 ≤ y - x  
}
```

( ...

pc	x	y	i
7	[5, 5]	[7, ∞]	$[-\infty, -1]$

... )

$P \models (0 \leq y - x)$



$P_{\text{Interval}} \models (0 \leq y - x)$



interval domain **precise enough**  
to prove property !

# Questions that should bother you

- What are we abstracting **exactly**?
- What are abstract domains **mathematically**?
- How do we discover **best/sound** abstract transformers?  
What does **best mean**?
- **What is** the function that we iterate to a fixed point?
- How do we ensure **termination** of the analysis?