

# **Konzepte objektorientierter Programmierung**

## **– Lecture 7 –**

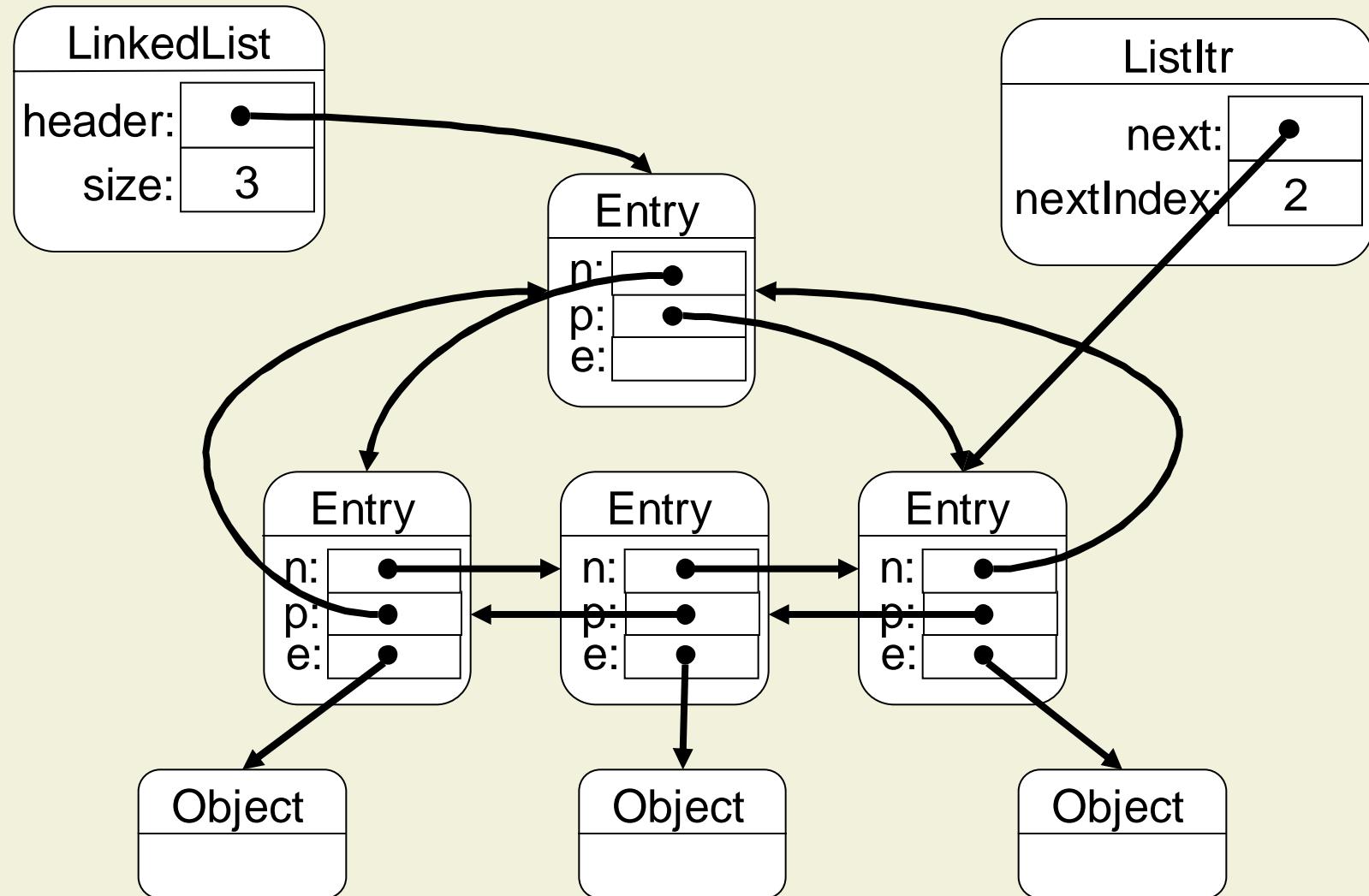
**Prof. Dr. Peter Müller**  
Software Component Technology

Wintersemester 06/07

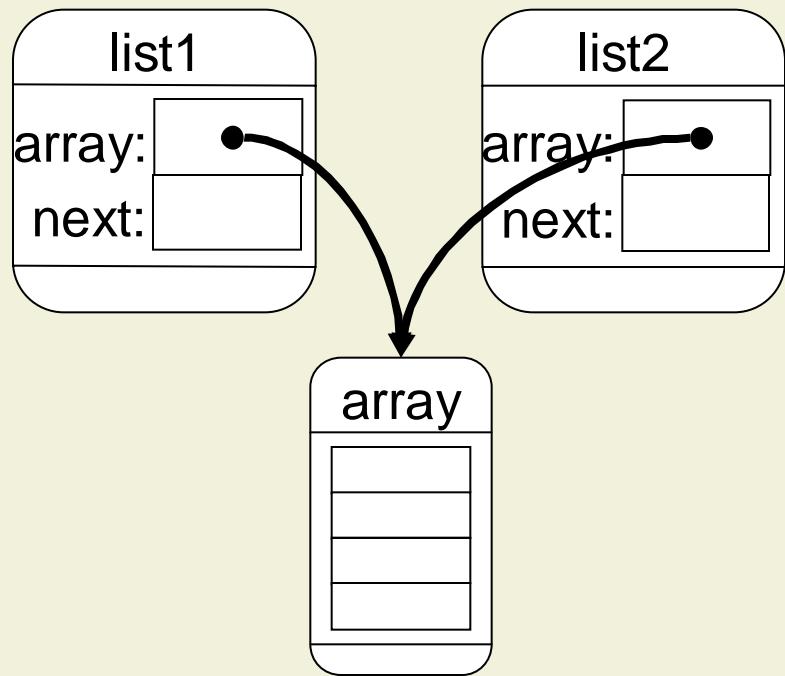


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# Example 2: Doubly-Linked Lists



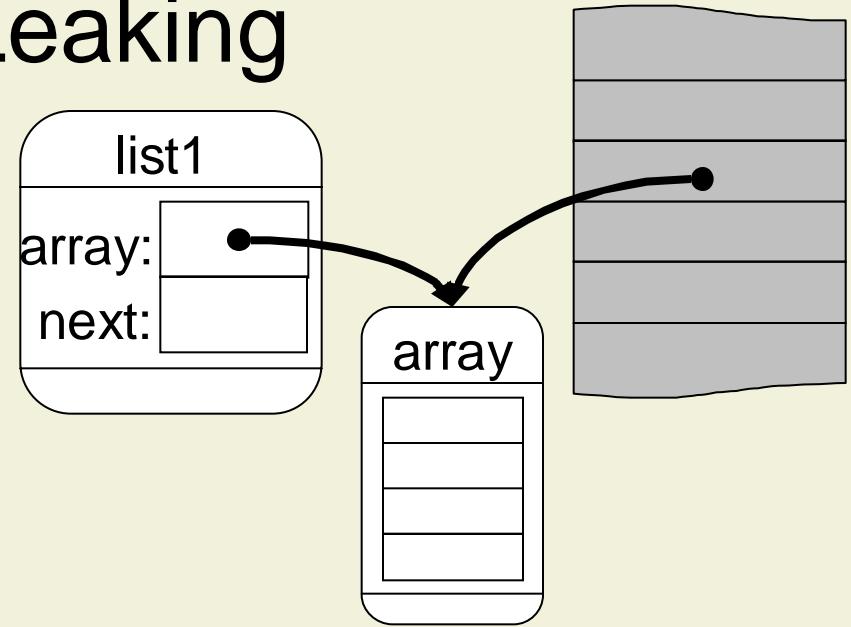
# Aliasing



```
list1.array[ 0 ] = 1;  
list2.array[ 0 ] = -1;  
System.out.println( list1.array[ 0 ] );
```

# Unintended Aliasing: Leaking

- Leaking occurs when data structure **pass a reference** to an object, which is **supposed to be internal** to the outside
- Leaking **often** happens **by mistake**
- Problem: Alias can be used to **by-pass interface** of data structure



```
class ArrayList {  
    private int[ ] array;  
    private int next;  
    public int[ ] getElems( )  
    { return array; }  
    ...  
}
```

# Agenda for Today

## 7. Static Safety and Extended Typing

7.1 Type Systems

7.2 Readonly Types

7.3 Ownership Types

## Objectives

- Type safety
- Static checking by type systems

# 7. Static Safety and Extended Typing

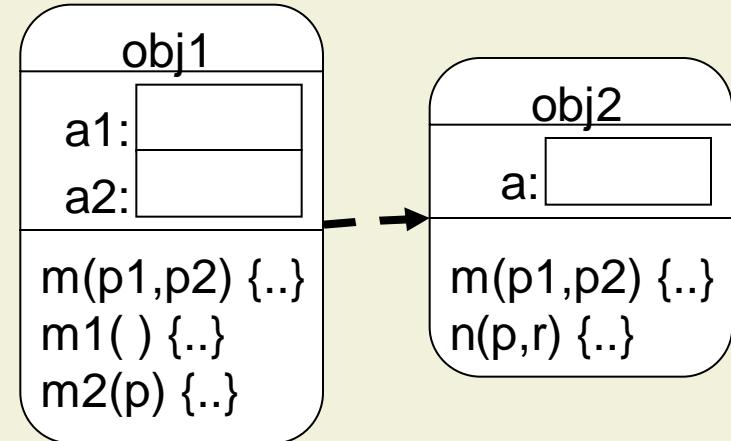
## 7.1 Type Systems

7.2 Readonly Types

7.3 Ownership Types

# Static Safety

- Objects access attributes and methods of other objects
- If the receiver object does not have the requested attribute or method, a **runtime error** occurs
- **Type systems** can be used to **detect such errors statically**



```
...
r = obj2.m( 0, 1 );
s = obj2.a;
```

```
r = obj2.m( );
r = obj2.anotherMethod( 0, 1 );
s = obj2.anotherField;
```

# Type Systems

- Definition:

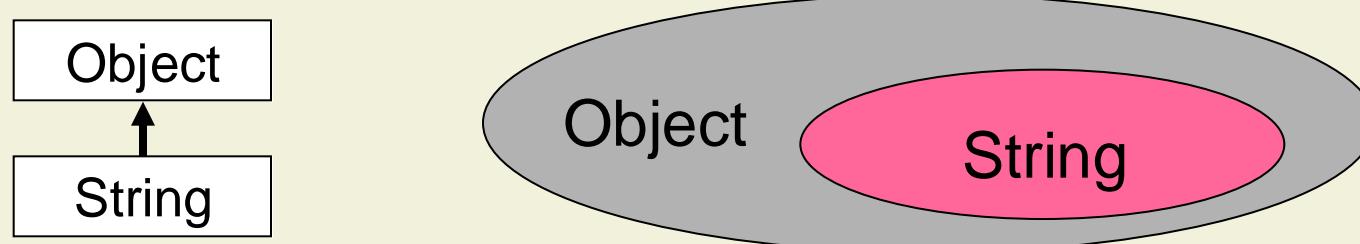
*A type system is a tractable syntactic method for proving absence of certain program behaviors by classifying phrases according to the kinds of values they compute.*

[B.C. Pierce, 2002]

- *Syntactic*: Rules can be checked by a compiler
- *Phrases*: Expressions, methods, etc. of a program
- *Kinds of values*: Types

# Types

- Definition:  
*A type is a set of values sharing some properties. A value  $v$  has type  $T$  if  $v$  is an element of  $T$ .*
- Properties: Available methods, attributes, etc.
- The **subtype relation** corresponds to the **subset relation**



- Usually, each class or interface of a program defines a type

# Type Checking

- **Each expression** of a program **has a type**
- **Types** of variables and methods are **declared explicitly**
- Types of expressions can be derived from the types of their constituents
- **Type rules** are used to check whether a program is **correctly typed**

“A String”

5+7

**int a;**

**boolean equals( Object o )**

a + 7

“A Number: “ + 7

“A String”.equals( null )

# Type Rules: Assignment

- The assignment

```
v = exp;
```

is correctly typed if

- exp is correctly typed and
- The type of exp is a subtype of the declared type of v

```
Object v;  
v = new String();  
return v.equals("Hello");
```

```
String v;  
v = new Object();  
v = v.concat("Hello");
```

# Type Rules: Result Types

- If S is a subtype of T and S has a method m that overrides a method in T then the result type of m in S must be a subtype of the result type of m in T

```
class T { Object m() { ... } }
```

```
class T { String m() { ... } }
```

```
class S extends T {  
    String m() { ... }  
}
```

```
class S extends T {  
    Object m() { ... }  
}
```

```
Object v;  
T t = new S();  
v = t.m();  
return v.equals("Hello");
```

```
String v;  
T t = new S();  
v = t.m();  
v = v.concat("Hello");
```

# Static Type Safety

- Definition:  
*A programming language is called type-safe if its design prevents type errors.*
- Type-safe object-oriented languages guarantee the following type invariant:  
*In every execution state, the type of the value held by variable v is a subtype of the declared type of v*
- Type safety guarantees the absence of certain runtime errors

# Dynamic Type Checking

```
Object[ ] oa = new Object[ 10 ];  
String s = "A String";  
  
oa[ 0 ] = s;  
  
...  
  
s = oa[ 0 ];  
  
s = s.concat( "Another String" );
```

# Dynamic Type Checking

- Some OO-languages support **type conversions by casts**
- Casts **cannot be type-checked statically**
- **Runtime checks** throw an exception in case of a type error

```
Object[ ] oa = new Object[ 10 ];  
String s = "A String";  
  
oa[ 0 ] = s;  
  
...  
  
s = (String) oa[ 0 ];  
  
s = s.concat( "Another String" );
```

# Dynamic Type Checking

- Some OO-languages support **type conversions by casts**
- Casts **cannot be type-checked statically**
- **Runtime checks** throw an exception in case of a type error
- **instanceof** can be used to avoid runtime errors

```
Object[ ] oa = new Object[ 10 ];  
String s = "A String";  
  
oa[ 0 ] = s;  
  
...  
if ( oa[ 0 ] instanceof String )  
    s = (String) oa[ 0 ];  
  
s = s.concat( "Another String" );
```

# Discussion

- Advantages of static type checking
  - Robustness: **Elimination of type errors**
  - Readability: Types are **excellent documentation**
  - Efficiency: Type information allows **optimizations**
  
- Limitations of **static** type checking
  - Does only work if all clients of a class are **recompiled after changes** are made
  - Does not work if code can be obtained at runtime in **executable format** (dynamic class loading, mobile code)
  - Strong static typing is sometimes **not flexible** enough

# 7. Static Safety and Extended Typing

## 7.1 Type Systems

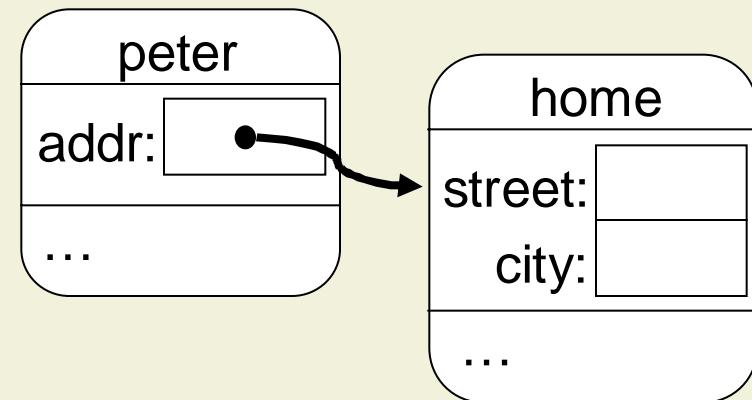
## 7.2 Readonly Types

## 7.3 Ownership Types

# Object Structures Revisited

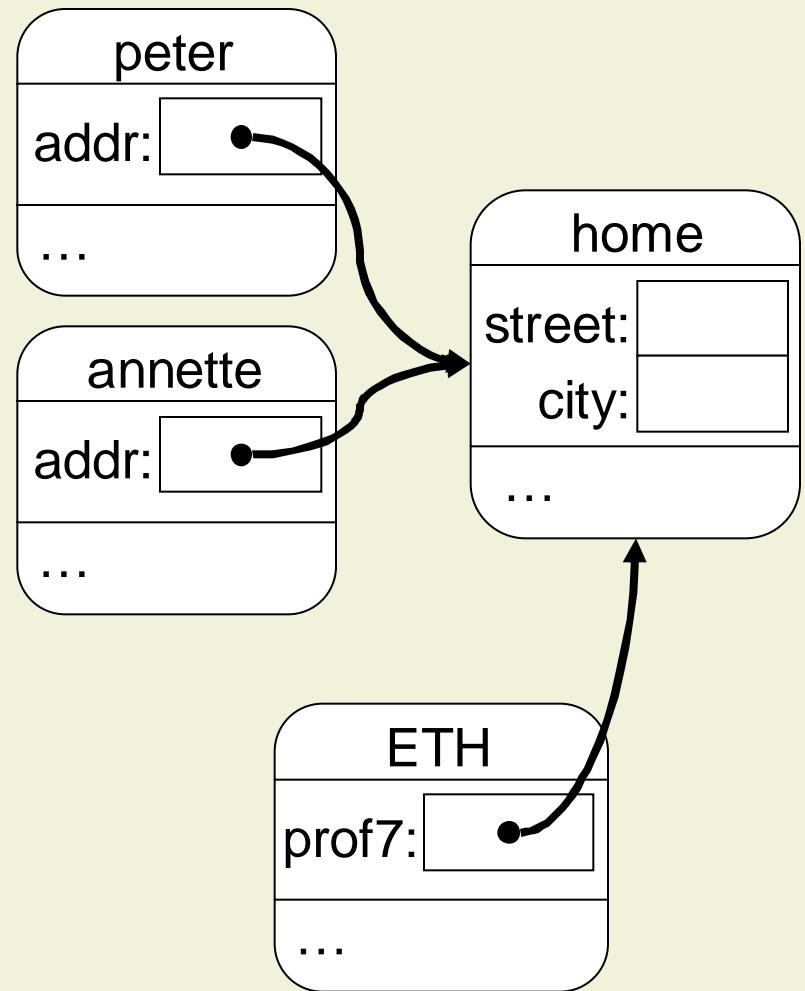
```
class Address {  
    private String street;  
    private String city;  
  
    public String getStreet( ){ ... }  
    public void setStreet( String s )  
    { ... }  
  
    public String getCity( ){ ... }  
    public void setCity( String s )  
    { ... }  
    ...  
}
```

```
class Person {  
    private Address addr;  
    public Address getAddr( )  
    { return addr.clone( ); }  
    public void setAddr( Address a )  
    { addr = a.clone( ); }  
    ...  
}
```



# Drawbacks of Alias Control

- Aliases are helpful to **share side-effects**
- Cloning objects is not efficient
- In many cases, it would suffice to **restrict access** to shared objects



# Readonly Access in Java

```
interface ReadonlyAddress {  
    public String getStreet( );  
    public String getCity( );  
}
```

```
class Address  
    implements ReadonlyAddress {  
    ... // as before  
}
```

```
class Person {  
    private Address addr;  
    public ReadonlyAddress  
        getAddr( )  
    { return addr; }  
    public void setAddr( Address a )  
    { addr = a.clone( ); }  
    ...  
}
```

- Address objects are returned as ReadonlyAddress
- Clients use only the methods in this interface

# Problems of Java Solution

- Solution does not work for
  - Reused library classes that do not implement a readonly interface
  - Arrays, fields, non-public methods
- Solution is not safe
  - Readwrite aliases can occur, e.g., by capturing
  - Clients can use casts to get full access

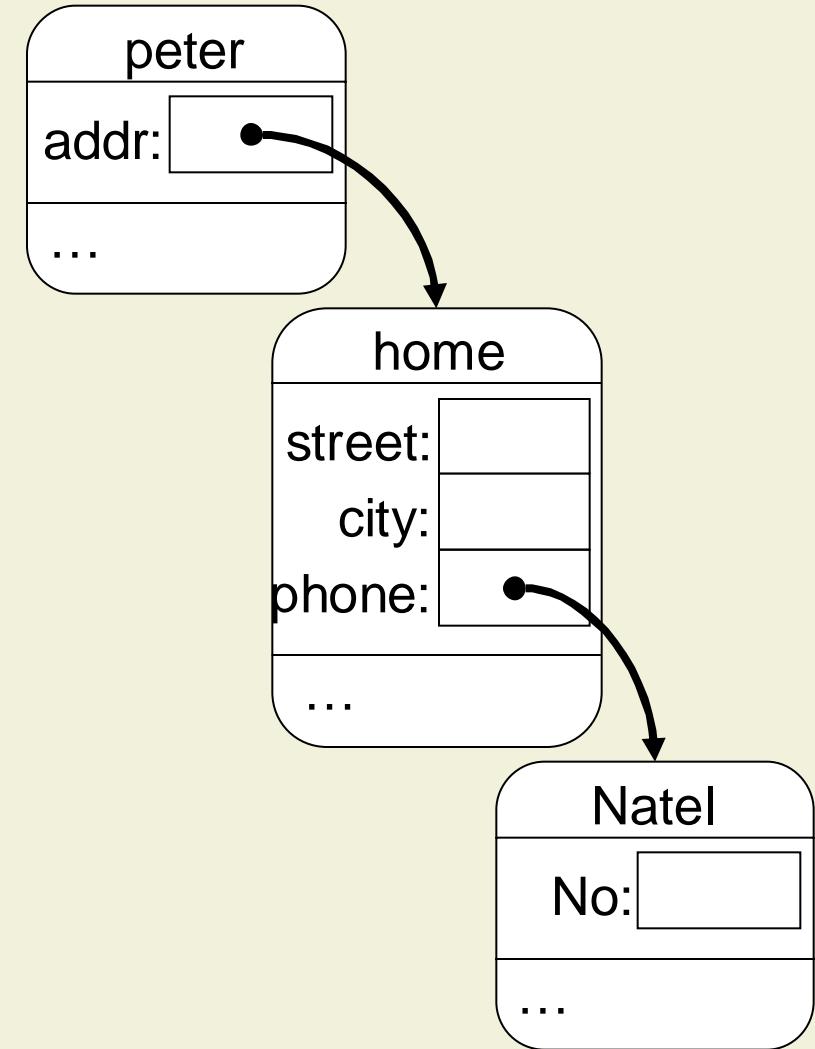
```
class Person {  
    private Address addr;  
    public ReadonlyAddress  
        getAddr( ) { return addr; }  
    public void setAddr( Address a )  
    { addr = a.clone( ); }  
    ... }
```

```
void m( Person p ) {  
    ReadonlyAddress ra =  
        p.getAddr( );  
    Address a = (Address) ra;  
    a.setCity( "Hagen" );  
}
```

# Transitive Readonly Access

```
class Address {  
    ...  
    private PhoneNo phone;  
    public PhoneNo getPhone( ) { ... }  
}
```

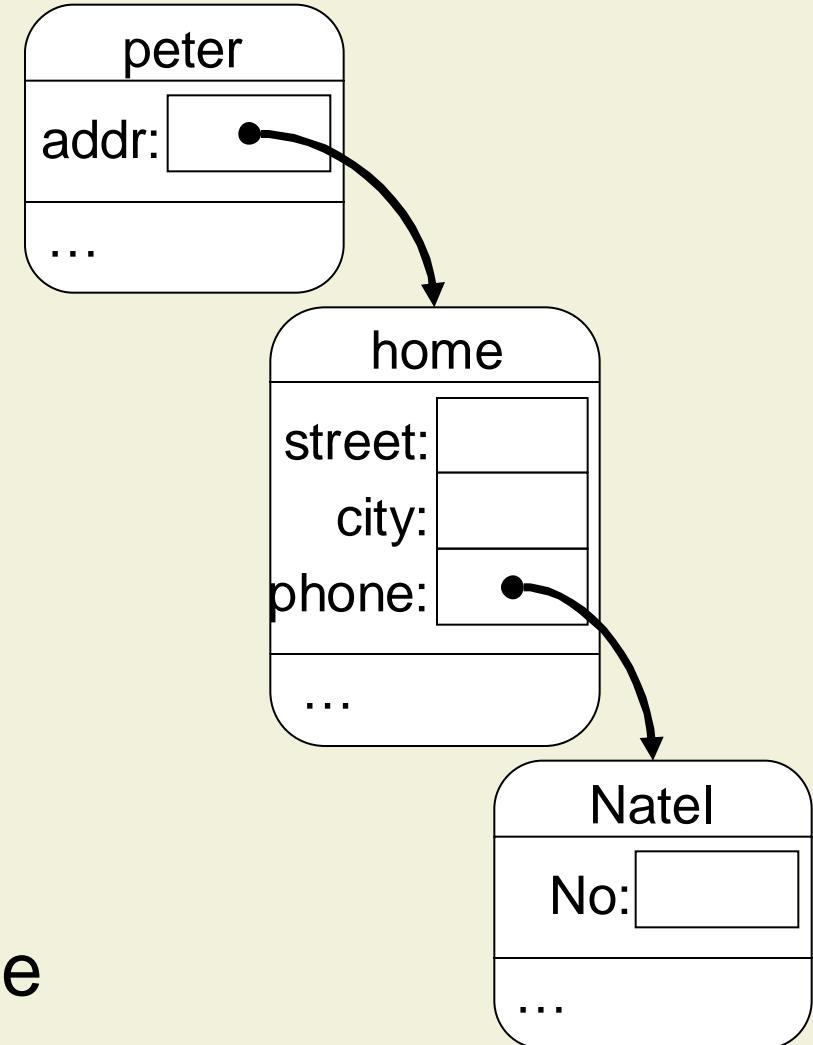
```
interface ReadonlyAddress {  
    ...  
    public PhoneNo getPhone( );  
}
```



# Transitive Readonly Access

```
class Address {  
    ...  
    private PhoneNo phone;  
    public PhoneNo getPhone( ) { ... }  
}
```

```
interface ReadonlyAddress {  
    ...  
    public ReadonlyPhoneNo getPhone();  
}
```



- Problem: objects structures
- **const** in C++ is not transitive

# Pure Methods

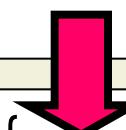
- Tag side-effect free methods as **pure**
- Pure methods
  - Must not contain writing attribute access
  - Must not invoke non-pure methods
  - Must not create objects
  - Can only be overridden by pure methods

```
class Address {  
    private String street;  
    private String city;  
    public pure String  
        getStreet( ) { ... }  
    public void setStreet( String s )  
    { ... }  
    public pure String  
        getCity( ) { ... }  
    public void setCity( String s )  
    { ... }  
    ...  
}
```

# Types

- Each class or interface  $T$  **introduces two types**
- **Peer type**  $\text{peer}(T)$ 
  - Denoted by  $T$  in programs
- **Readonly type**  $\text{ro}(T)$ 
  - Denoted by **readonly**  $T$  in programs

```
class Person {  
    private Address addr;  
    public ReadonlyAddress  
        getAddr( ) { return addr; }  
    public void setAddr( Address a )  
    { addr = a.clone( ); }  
    ... }
```



```
class Person {  
    private Address addr;  
    public readonly Address  
        getAddr( ) { ... }  
    ...  
}
```

# Subtype Relation

- **Subtyping** among peer and readonly types is **defined as in Java**
  - S extends or implements T  $\Rightarrow$   $peer(S) < peer(T)$
  - S extends or implements T  $\Rightarrow$   $ro(S) < ro(T)$
- **peer types** are **subtypes of** corresponding **readonly types**
  - $peer(T) < ro(T)$

```
class T { ... }
```

```
class S extends T { ... }
```

S peerS = ...

T peerT = ...

**readonly** S roS = ...

**readonly** T roT = ...

peerT = peerS;

roT = roS ;

roT = peerT;

peerT = roT ;

# Type Rules: Transitive Readonly

```
class Address {  
    ...  
    private int[ ] phone;  
    public int[ ] getPhone( ) { ... }  
}
```

```
class Person {  
    private Address addr;  
    public readonly Address  
        getAddr( ) { return addr; }  
    ...  
}
```

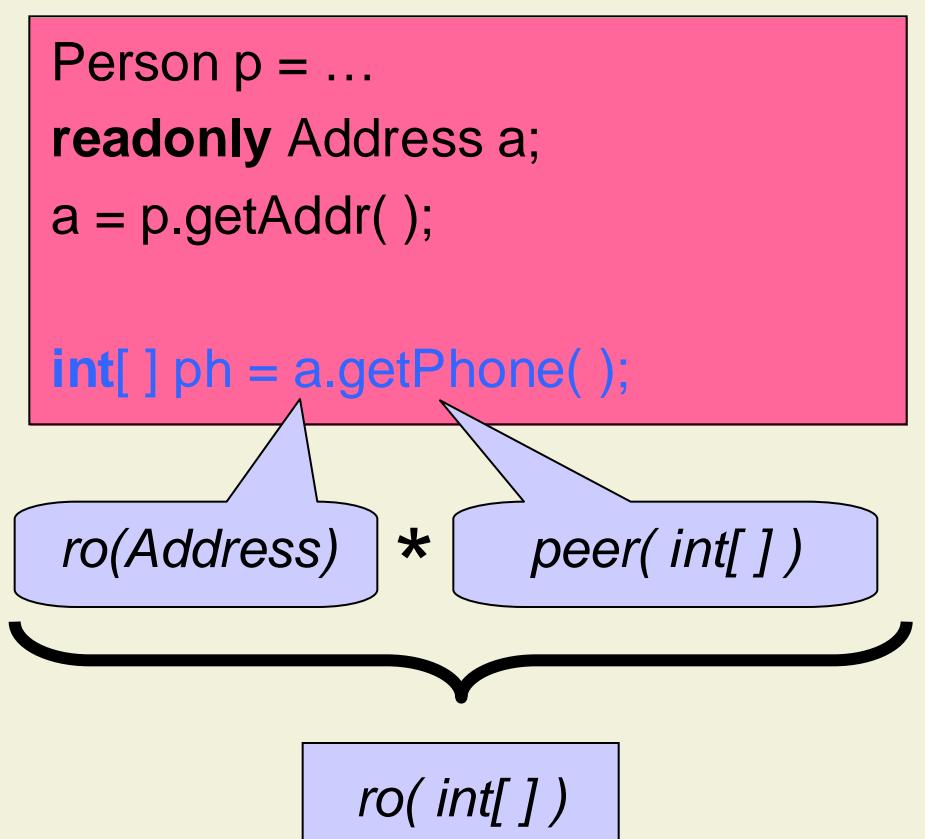
- Accessing a value **of a readonly type** or **through a readonly type** should yield a readonly value

```
Person p = ...  
readonly Address a;  
a = p.getAddr( );  
  
int[ ] ph = a.getPhone( );
```

# Type Rules: Transitive Readonly (cont'd)

- The type of
    - An attribute access
    - An array access
    - A method invocation
- expression is determined by the type combinator function \***

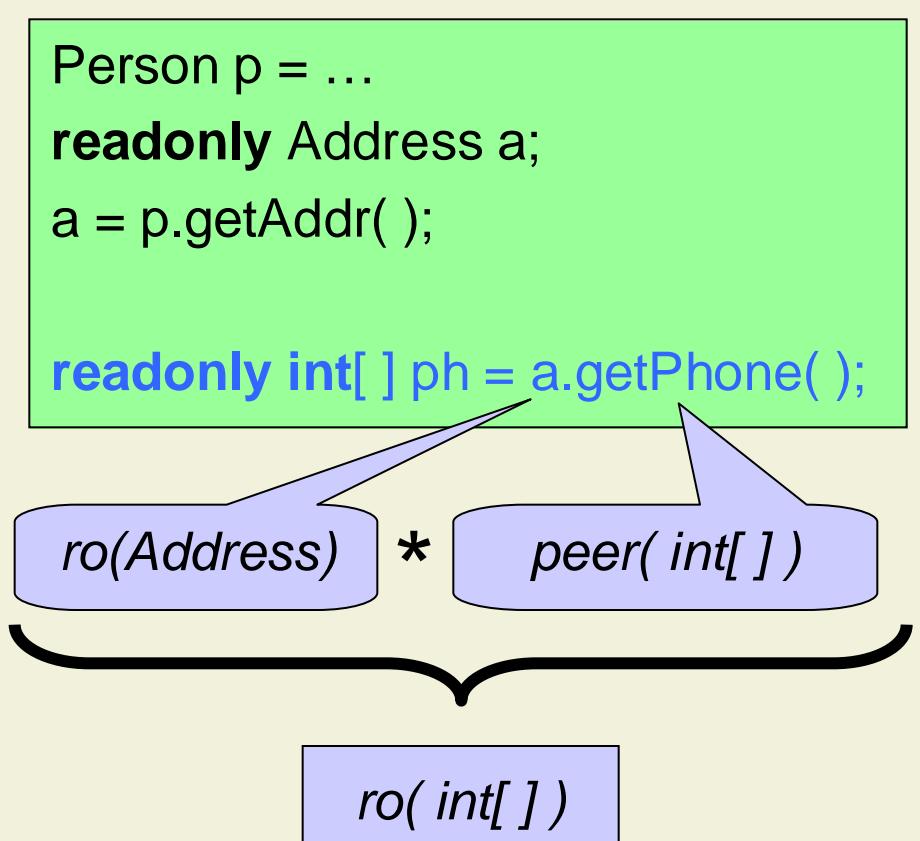
*	<i>peer(T)</i>	<i>ro(T)</i>
<i>peer(S)</i>	<i>peer(T)</i>	<i>ro(T)</i>
<i>ro(S)</i>	<i>ro(T)</i>	<i>ro(T)</i>



# Type Rules: Transitive Readonly (cont'd)

- The type of
    - An attribute access
    - An array access
    - A method invocation
- expression is determined by the type combinator function \***

*	<i>peer(T)</i>	<i>ro(T)</i>
<i>peer(S)</i>	<i>peer(T)</i>	<i>ro(T)</i>
<i>ro(S)</i>	<i>ro(T)</i>	<i>ro(T)</i>



# Type Rules: Readonly Access

- Expressions of readonly types must not occur
  - As target of an **writing attribute access**
  - As target of a **writing array access**
  - As target of an **invocation** of a **non-pure method**
- Readonly types must not be **cast to peer types**

```
readonly Address roa;  
roa.street = "Rämistrasse";  
roa.phone[ 0 ] = 41;  
roa.setCity( "Hagen" );
```

```
readonly Address roa;  
Address a = ( Address ) roa;
```

# Discussion

- Readonly types enable **safe sharing of objects**
- All rules for pure methods and readonly types can be **checked statically by a compiler**
- Readonly types solve problems of interface solution
  - Reused library classes
  - Arrays, attributes, and non-public methods
  - Casts
- Readwrite aliases can still occur, e.g., by capturing

# 7. Static Safety and Extended Typing

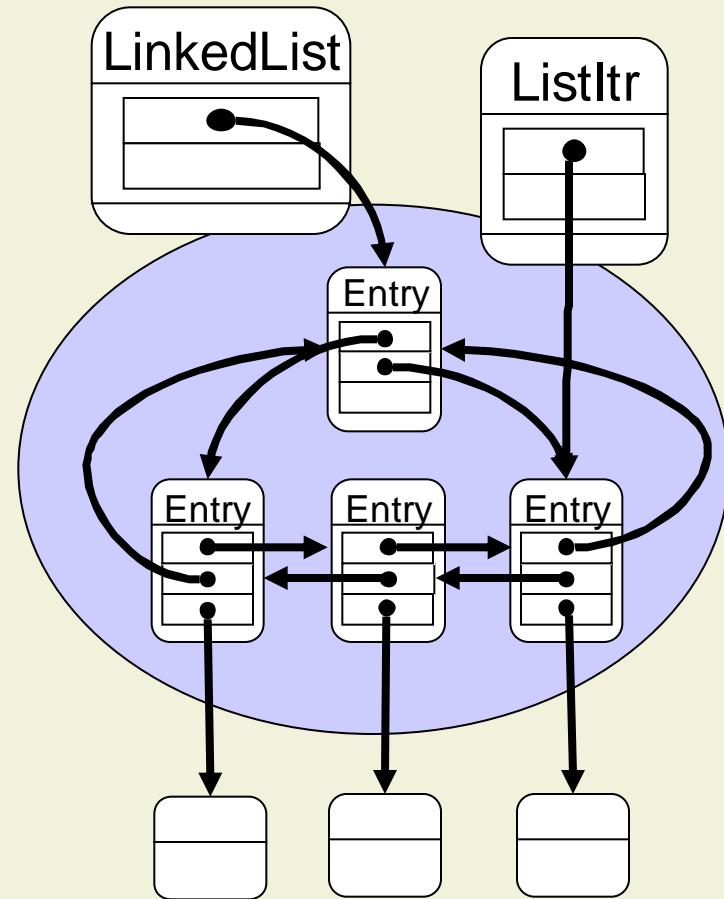
7.1 Type Systems

7.2 Readonly Types

**7.3 Ownership Types**

# Roles in Object Structures

- **Interface objects** that are used to access the structure
- **Internal representation** of the object structure
- **Arguments** of the object structure



# (Simplified) Programming Discipline

## ■ Rule 1: No Role Confusion

- Expression with one alias mode must not be assigned to variables with another mode

## ■ Rule 2: No Representation Exposure

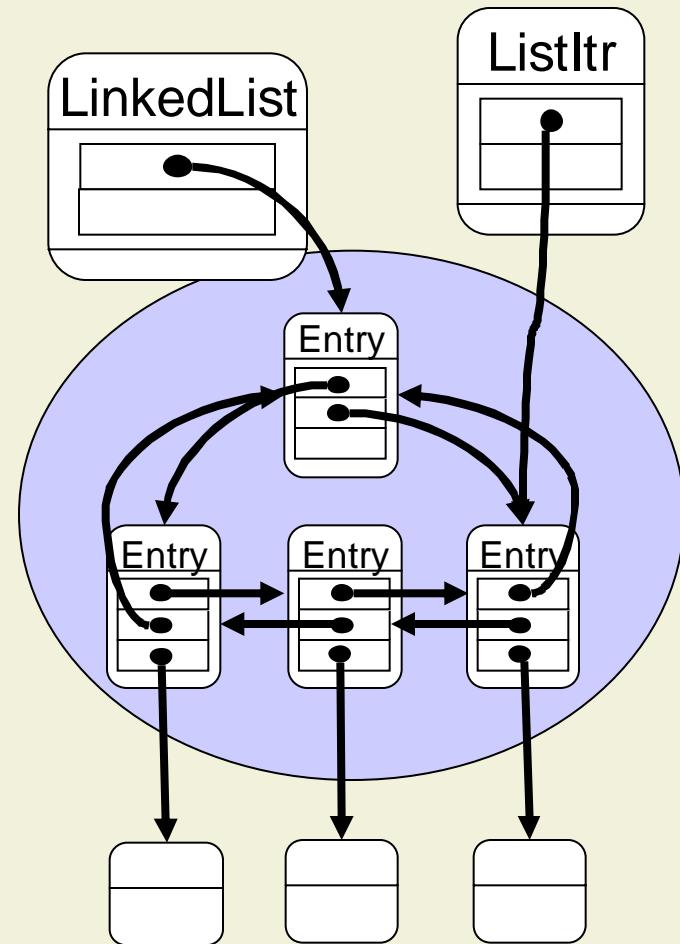
- rep-mode must not occur in an object's interface
- Methods must not take or return rep-objects
- Fields with rep-mode may only be accessed on **this**

## ■ Rule 3: No Argument Dependence

- Implementations must not depend on the state of argument objects

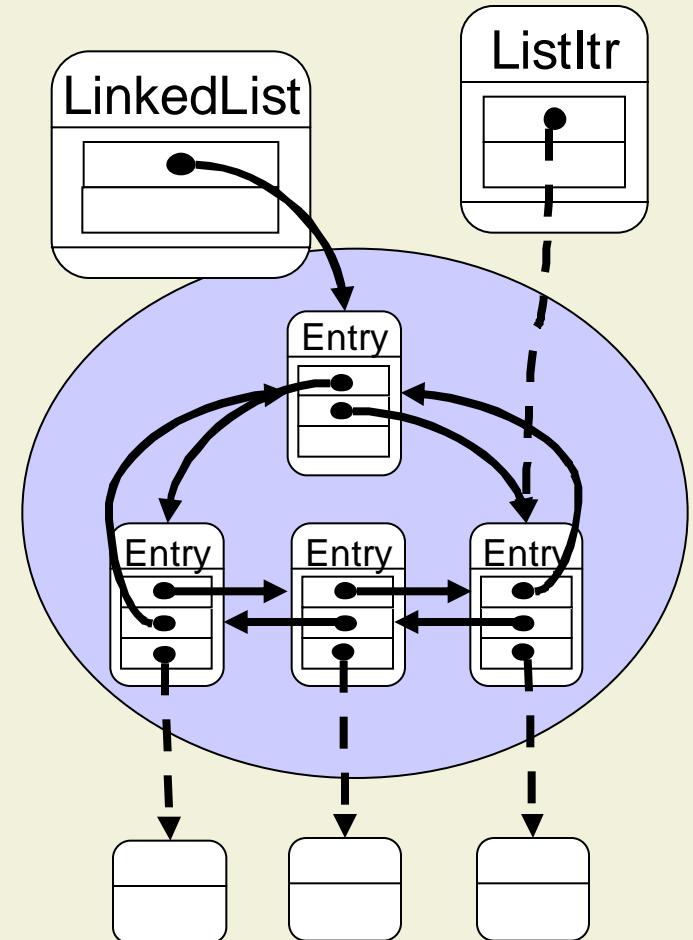
# Ownership Model

- The **object store** is partitioned into **contexts**
- Each object **belongs to exactly one context**
- Each context has at most one **owner object**
  - The owner does not belong to the context it owns
- Contexts are **hierarchical**



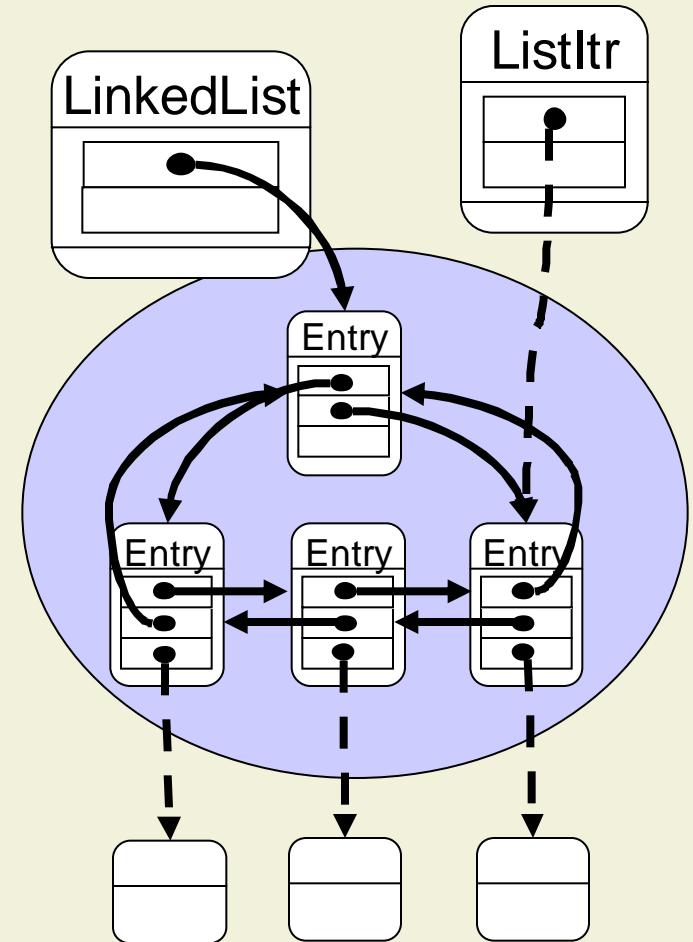
# Ownership Invariant

- The owner is the only object outside a context that can have a readwrite reference to objects inside
- Objects inside a context cannot have readwrite references to objects outside



# Alias Control by Extended Typing

- We introduce different types for the different roles of objects
  - peer types for objects in the **same context as this** (interface objects)
  - Rep types for representation objects in the **context owned by this**
  - Readonly types for argument objects **in any context**
- Type rules replace the programming discipline



# Types

- Each class or interface  $T$  introduces **three types**
- Peer and readonly types
- **Rep type**  
 $\text{rep}(T)$ 
  - Denoted by **rep**  $T$  in programs

```
class LinkedList {  
    private rep Entry header;  
    public void add( readonly Object o ) {  
        rep Entry newE =  
            new rep Entry( o, header, header.previous );  
        ... }  
    }  
}
```

```
class Entry {  
    private readonly Object element;  
    private Entry previous, next;  
    public Entry( readonly Object o,  
                 Entry p, Entry n ) { ... }  
}
```

# Subtype Relation

- **Subtyping** among rep types is **defined as in Java**
  - S extends or implements T  $\Rightarrow$   $rep(S) < rep(T)$
- **Rep types** are **subtypes of** corresponding **readonly types**
  - $rep(T) < ro(T)$
- **No subtype** relation between **peer** and **rep types**

```
class T { ... }
```

```
class S extends T { ... }
```

```
T peerT = ...
```

```
readonly T roT = ...
```

```
rep S repS = ...
```

```
rep T repT = ...
```

```
repT      = repS;
```

```
roT      = repT ;
```

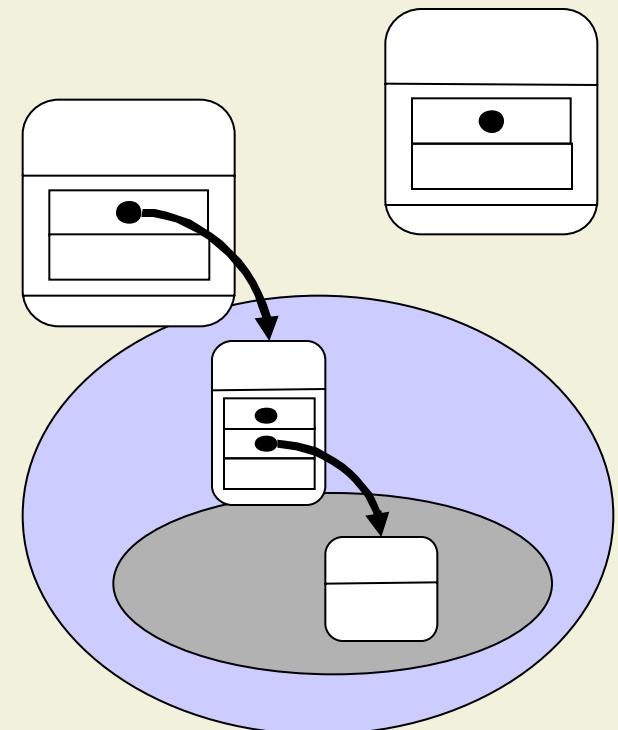
```
repT      = peerT;
```

```
peerT     = repT ;
```

```
repT      = roT;
```

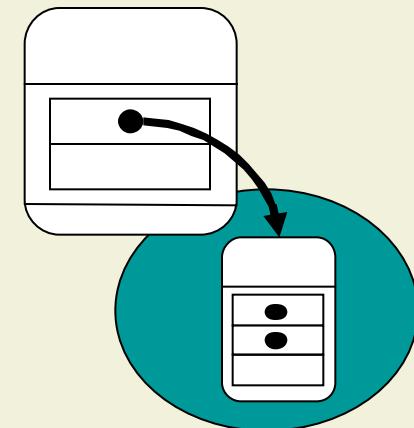
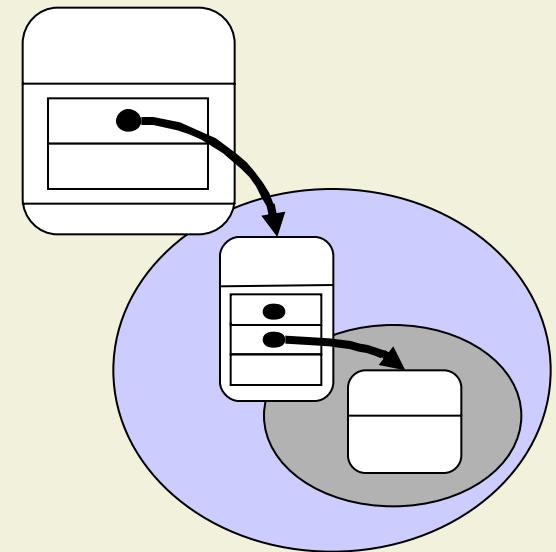
# Type Rules: The Type Combinator

*	$peer(T)$	$rep(T)$	$ro(T)$
$peer(S)$	$peer(T)$	$ro(T)$	$ro(T)$
$rep(S)$	$rep(T)$	$ro(T)$	$ro(T)$
$ro(S)$	$ro(T)$	$ro(T)$	$ro(T)$



# Types Rules: Access to Contexts

- Objects in different **contexts** **must not be confused**
- A rep type indicates that an object is owned by **this**
- Attributes of **rep types** and methods that have rep types as parameter or result types can **safely be accessed**
  - On **readonly targets**
  - On target **this**



# Type Rules: Attribute Access

- The attribute access

$$v = \text{exp}.f;$$

is correctly typed if

- $\text{exp}$  is correctly typed
- $\tau(\text{exp}) * \tau(f) \leq \tau(v)$

- The attribute access

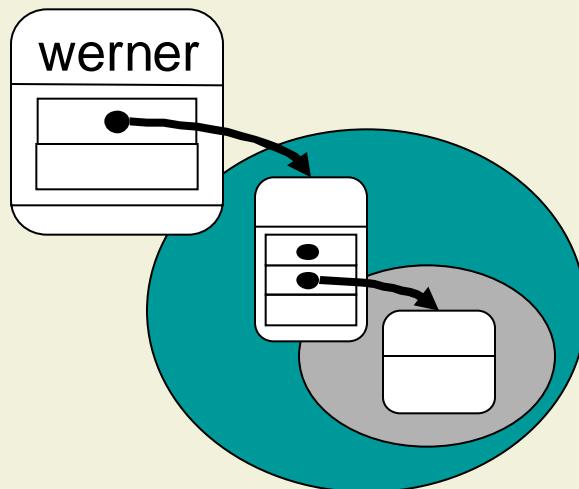
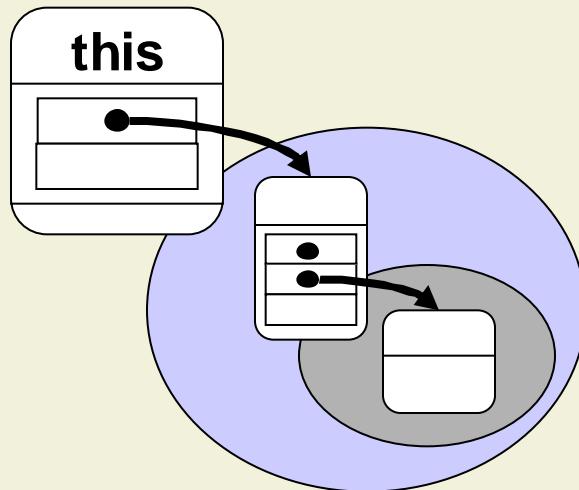
$$v = \mathbf{this}.f;$$

is correctly typed if

- $\tau(\mathbf{this}) * \tau(f) \leq \tau(v)$

- Analogous rules are used for method invocations

# Examples: Attribute Access



```
class Person {  
    public rep Address addr;  
    ... }
```

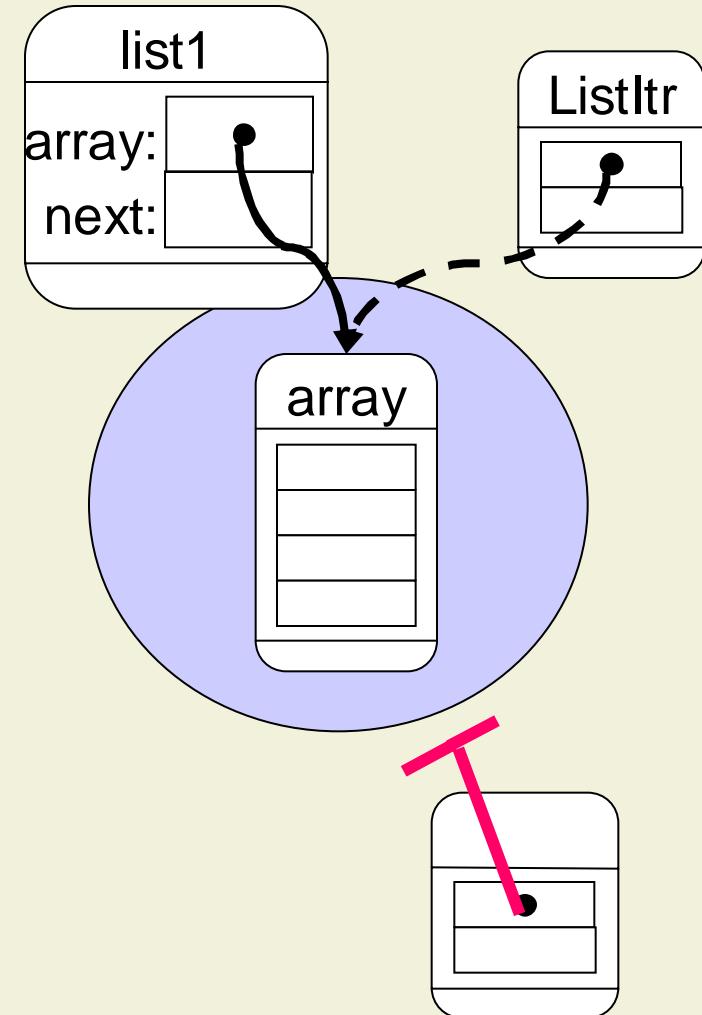
```
class Address {  
    public rep int[ ] phone;  
    ... }
```

```
rep Address a = this.addr;  
readonly Person row = werner;  
readonly Address roa = row.addr;
```

```
rep int[ ] no = this.addr.phone;  
rep Address a = werner.addr;
```

# No Representation Exposure

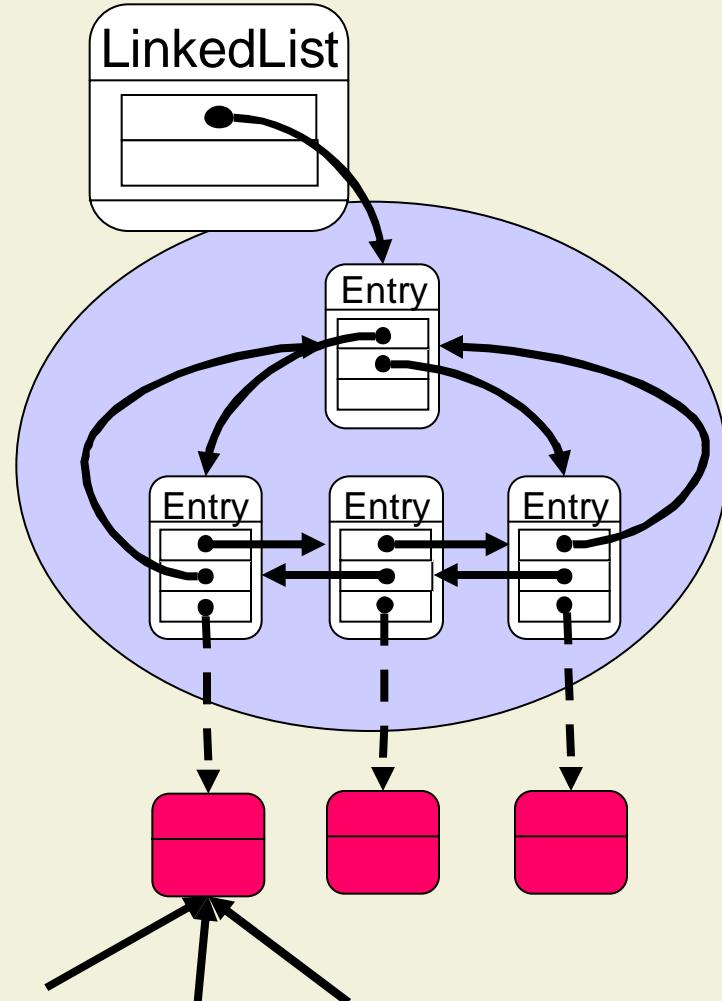
- Capturing or leaking **cannot lead to rep exposure**
  - Interface objects and representation objects have different types
- **Representations** of different interface objects **cannot be confused**
  - Rep fields and methods can only be accessed on **this** and readonly targets



# No Argument Dependence

- Argument objects have **readonly types**
- Argument objects may be **freely aliased**
- Invariants **must not depend** on fields of objects referenced through readonly types

```
private readonly T v, w;  
// invariant v != w      -- legal  
// invariant v.f != w.f  -- illegal
```



# Dynamic Types

- At compile time, each class or interface  $T$  introduces three types
  - $\text{peer}(T)$ ,  $\text{rep}(T)$ ,  $\text{ro}(T)$
- At runtime, the dynamic type of an object consists of its class and its context
  - rep and readonly are only used to classify references
- Information about dynamic types can be used to cast readonly types with dynamic checks

```
readonly Address roa = ...;  
Address a = ( Address ) roa; /* dynamic check whether this and roa  
belong to the same context */
```

# Achievements

- Rep and readonly types enable **encapsulation of whole object structures**
- Encapsulation **cannot be violated** by subclasses, via casts, etc.
- The technique **fully supports subclassing**
  - In contrast to solutions with final, private inner classes, etc.

```
class ArrayList {  
    protected rep int[ ] array;  
    private int next;  
    ...  
}
```

```
class MyList extends ArrayList {  
    public int[ ] leak( ) {  
        return array;  
    }  
}
```

# Open Problems

- Ownership types are an area of current research activities
- Open issues
  - **Several owners** sharing a common representation, e.g., a list header and iterators
  - **Transfer** of objects from one context to another, e.g., for capturing
  - **Application** of ownership types to all areas where aliasing leads to problems, e.g., thread synchronization

# Master and Semester Projects

- The Software Component Technology Group has developed the **Universe Type System** to control aliasing and dependencies
- **Areas for student projects** include
  - Performing case studies
  - Extending the type system
  - Working on a type safety proof
- If interested, please contact **Werner Dietl** or **Peter Müller**