

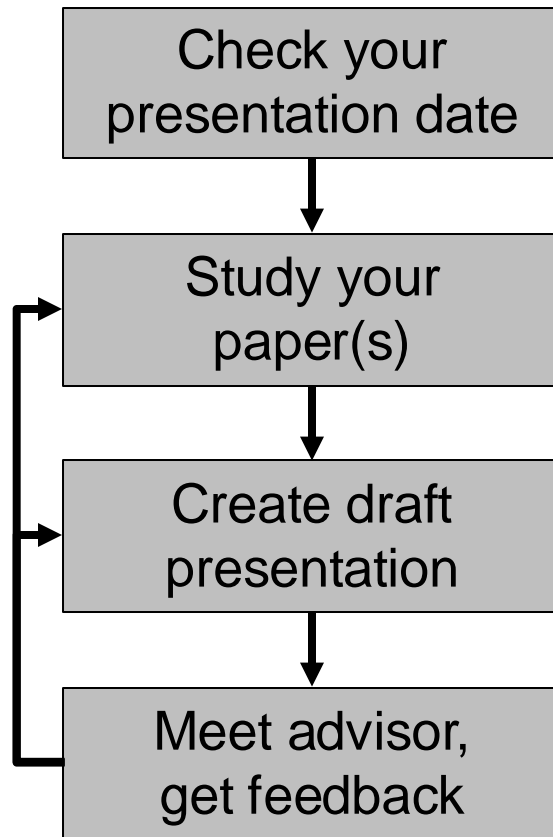
Michalis Kokologiannakis, Ralf Jung and Peter Müller

# RESEARCH TOPICS IN SOFTWARE ENGINEERING

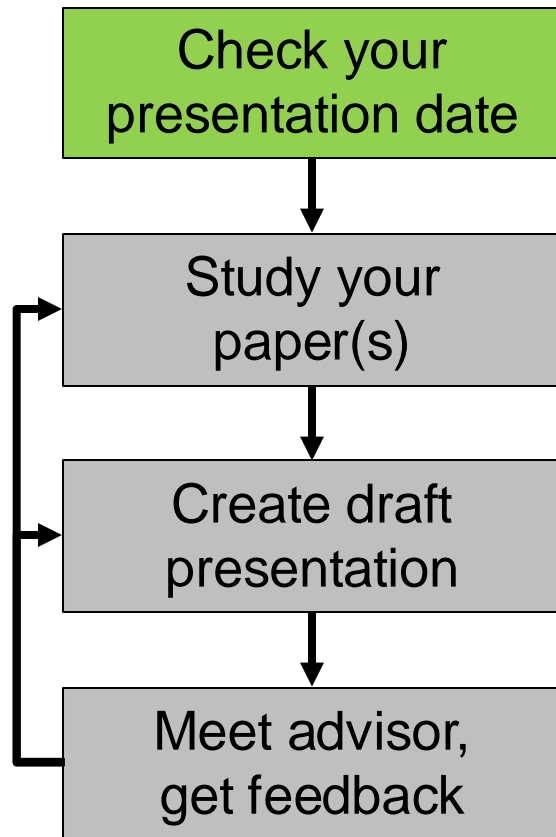
# Objectives

- Learn how to **present** technical work
- Learn how to **understand** and **evaluate** research papers
- Learn about key **research** directions in the area

# Preparing a Talk

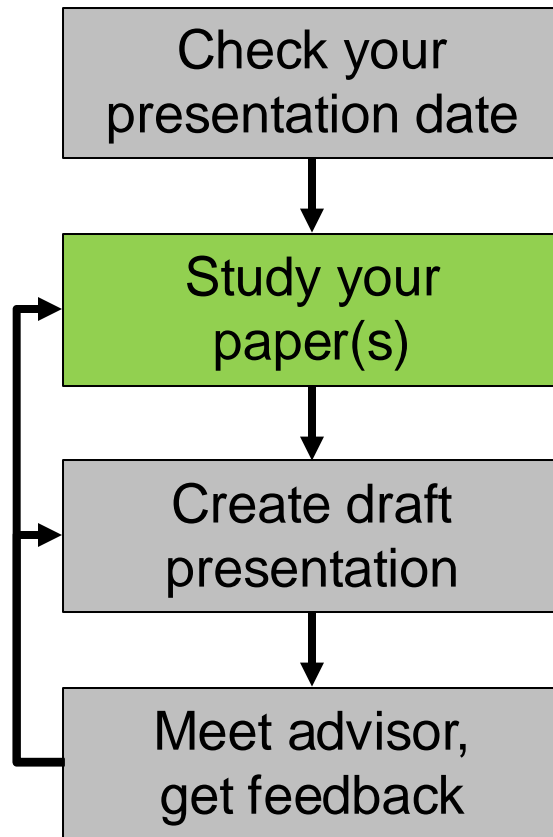


# Preparing a Talk: Start Early



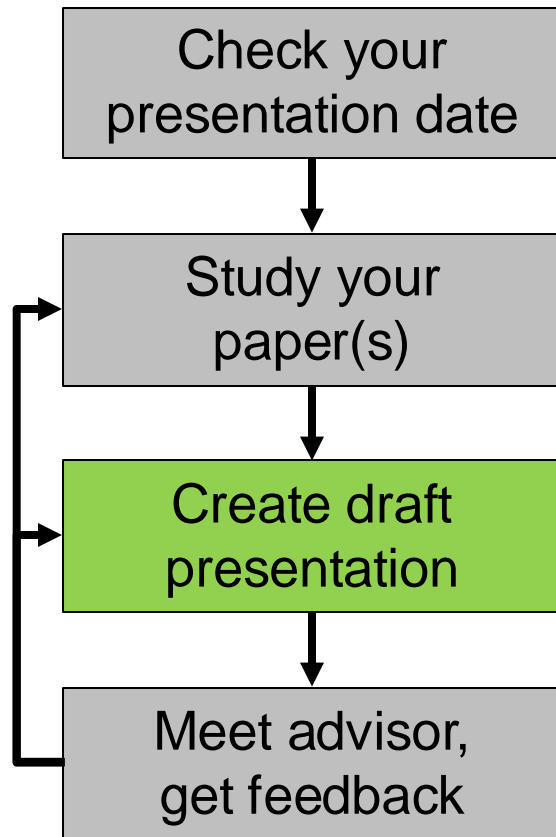
- Preparing a good presentation takes time
- Start early!

# Preparing a Talk: Study Paper



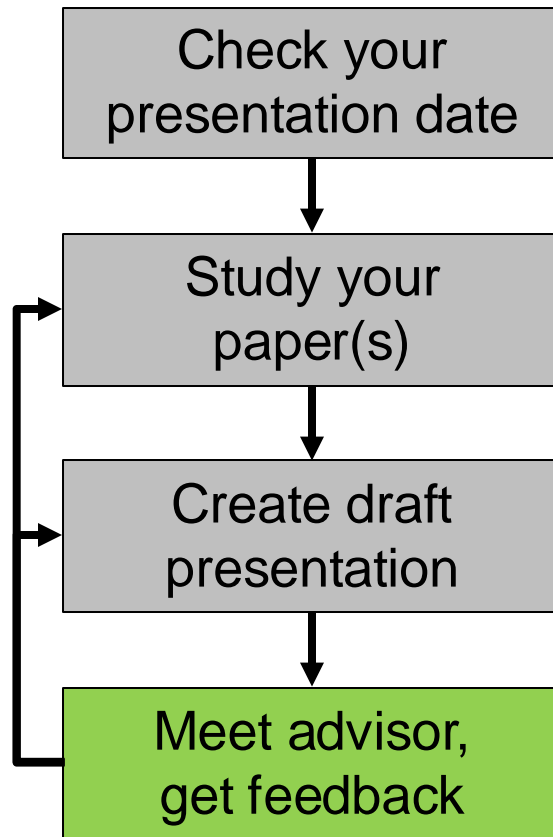
- 3 'C's of reading
  - **Carefully**: look up terms, possibly read cited papers
  - **Critically**: find limitations, flaws
  - **Creatively**: think of improvements
- **Try examples** by hand
- **Try tools** if available
- Consult with TA if questions

# Preparing a Talk: Create Draft



- Explain the **motivation** for the work
- Clearly present the **technical solution** and results
  - Use **your own example**, not the one in the paper
  - **Include a demo** if appropriate
- Outline limitations or improvements
- **Focus on the key concepts**
  - Do not present all of the details

# Preparing a Talk: Get Feedback



- **Prepare** for the meeting
  - Schedule early
  - Send slides in advance
  - Write down questions
- Make sure you **address feedback**
  - Take notes
- **Meeting is mandatory!**
  - At least one week before the talk

# Grading

- Presentation
  - Understanding of the paper and its context
  - Structure and content
  - Presentation style (speech, slides, visualization, own examples)
  - Discussion
- Participation
  - Did you ask good questions?
  - Did you attend all sessions?
- We will also take into account:
  - the difficulty of the paper
  - suggestions you received from your TA
  - time you had to prepare



# Feedback

- We will discuss strengths and weaknesses of your talk in class
  - Let us know upfront if you'd prefer not to
- Arrange a meeting with your TA to get detailed feedback

# Schedule

- We will meet once a week, with two presentations per session
  - Next meeting on October 8 (no session the next two weeks!)
  - 22 presentations in total
- Detailed schedule will be published online shortly
  - [https://pls.inf.ethz.ch/education/Research\\_Topics\\_in\\_Software\\_Engineering.html](https://pls.inf.ethz.ch/education/Research_Topics_in_Software_Engineering.html)
  - Including names of teaching assistants

# Your Talk: Timing

- Your talk should be 30 minutes plus discussion
- 1.5 – 2 minutes per slide
- The pace of your talk is important
  - If you are too fast, the audience cannot follow
  - If you are too slow, people get bored
- Practice your talk
  - Track a checkpoint after circa 10 minutes



# Your Talk: Structure

**Ownership Transfer in Universe Types**

Peter Müller  
Microsoft Research  
USA

Arsenii Rudich  
ETH Zurich  
Switzerland

Title slide



Splash

**Ownership**

- Establish ownership hierarchy
- Enforce restrictions

Motivation, background

**Merging List Representations**

Required: unique references

Remaining stack and heap references are ill-typed

Problem

**External Uniqueness**

- Partition context into clusters
  - Clusters can be unique or not
- At most one read-write reference into a unique cluster
  - Arbitrary aliasing within cluster
  - any references not restricted
- Unique clusters are transferred as a whole

Solution

**Merging List Representations: Solution**

```
class List {
  unique Node head;
  free Node getNode() {
    free Node res = release( this.head );
    this.head = new rep-heads Node();
    return res;
  }
  void merge( peer List l ) {
    free Node n = l.getNode();
    rep-heads Node lh;
    lh = capture( n, rep-heads Node );
    // connect node structures;
    ...
  }
}
```

Uniqueness is re-established

head becomes unusable

Illegal: head is unusable upon termination

n is transferred to "head" cluster of this and becomes unusable

Evaluation, experiments, demo

**Related Work**

- External Uniqueness** [Clarke and Wrigstad, ECOOP '03]
  - Type safe ownership transfer
  - Destructive reads and borrowing
- AliasJava** [Aldrich et al., OOPSLA '02]
  - Type safe ownership transfer
  - Lent variables break encapsulation
- Alias burying** [Boyland, SPAE '01]
  - Static analysis to track temporary aliases
  - High annotation overhead, limited by static analysis
- Object invariants** [Müller et al., SCP '06]
  - Similar to enforcement of uniqueness invariant

Related work

**Summary**

- External uniqueness enables transfer
  - Temporary aliases permitted
  - Call-backs: restrictions of Universes + static analysis
  - Capturing: external uniqueness + viewpoint adaptation
  - No destructive reads, no global analysis
  - Owner-as-modifier property enforced
- Implementation in JML
  - More expressive
  - Inference of transfer operations and annotations for locals
- Meet me at the Microsoft booth
  - Also to get a Spec# demo

Summary, conclusions

# Your Talk: Examples

- Examples are crucial for the understanding
  - Yours and the audience's
  - Prepare your own example!
- Try to find a running example
  - For motivation, problem, and solution
  - Explain in detail (takes time)
- Reduce code example to the absolute necessary
  - Most people hate reading code
  - Use visualizations

Ownership Modifiers 5

```
class List {  
  rep Node head;  
  ...  
}  
  
class Node {  
  any Object element;  
  peer Node prev, next;  
}
```

▪ Ownership modifiers describe ownership relative to current object

Peter Müller – OOPSLA 2007

# Your Talk: Design

Use a descriptive title

Use a large font (at least 18pt)

Do not overload slide

## Uniqueness Invariant

In each **pre- or post-state** of a method, there is at most one **usable read-write** reference into each unique cluster of an object inside the **current context**

Peter Müller – OOPSLA 2007

Include slide numbers

Use visualizations

# Ownership Transfer in Universe Types

Peter Müller  
Microsoft Research  
USA

Arsenii Rudich  
ETH Zurich  
Switzerland



## Ownership

- Establish ownership hierarchy
- Enforce restrictions

## Owner-as-Modifier Discipline

- References crossing context boundaries are read-only
  - No field updates
  - Only calls of pure methods
- Owner controls modifications

## Ownership Modifiers

```
class List {
  rep Node head;
  ...
}

class Node {
  any Object element;
  peer Node prev, next;
}
```

- Ownership modifiers describe ownership relative to current object

## Viewpoint Adaptation

```
class List {
  rep Node head;
  void add( any Object o ) {
    head = new rep Node( o, null, head );
  }
}

class Node {
  any Object element;
  peer Node prev, next;
  Node( any Object o, peer Node p, peer Node n )
  { ... }
}
```

Type of field access  $x.f$  or call  $x.f()$  is determined by:  $T_x \triangleright T_f$

## Merging List Representations

Required: unique references

Remaining stack and heap references are ill-typed

## External Uniqueness

- Partition context into clusters
  - Clusters can be unique or not
- At most one read-write reference into a unique cluster
  - Arbitrary aliasing within cluster
  - any references not restricted
- Unique clusters are transferred as a whole

## Extended Type System

- One unique cluster per unique field
- Refined ownership modifiers
  - $rep<this>$  for references into non-unique cluster
  - $rep<f>$  for references into unique cluster for field  $f$

```
class List {
  unique Node head;
  void add( any Object o ) {
    rep-head n = head;
    n.append( o );
  }
}
```

## Maintaining Uniqueness

- Destructive reads
  - Set head to null
  - n.append( o );
  - Use (multiple) result values
  - Set n to null
- Alias burying
  - Track aliasing statically
  - Borrowed receiver
  - Declare which fields are accessed

## Maintaining Uniqueness

```
class List {
  unique Node head;
  peer List backup;
  void add( any Object o ) {
    rep-head n = head;
    backup.add( o );
    n = head;
    n.append( o );
  }
}
```

- Re-establish uniqueness before peer call
- Mark all locals of type  $rep<f>$  unusable for all  $f$
- n becomes usable again

## Uniqueness Invariant

In each pre- or post-state of a method, there is at most one usable read-write reference into each unique cluster of an object inside the current context

## Ownership Transfer

- New ownership modifier **free**
  - Invariant: free variables are the only read-write reference to a unique cluster
  - Reading a free variable makes it unusable
  - $u \triangleright free = free$
  - $free \triangleright u = any$
- release( o )** makes unique object o free
  - o has type  $rep<g>$
  - Marks g and all variables of type  $rep<g>$  unusable
- capture( o, T )** transfers free object o to owner described by type T

## Static Analysis: Summary

- Set of unusable variables for each program point
- Manipulation of unusable-set
  - peer call marks all locals of type  $rep<f>$  unusable (for each f)
  - release( o ), where o's ownership modifier is  $rep<g>$ , marks all locals of type  $rep<g>$  and field g unusable
  - Reading a free variable v marks v unusable
  - Assigning to a variable v removes v from the unusable-set
- Checks
  - No reading of unusable variables
  - No unusable fields upon calls or method termination

## Merging List Representations: Solution

```
class List {
  unique Node head;
  free Node getNodes() {
    free Node res = release( this.head );
    this.head = new rep-head Node();
    return res;
  }

  void merge( peer List l ) {
    free Node n = l.getNodes();
    rep-head n = l.getNodes();
    lh = capture( n, rep-head Node );
    // connect node structures;
  }
}
```

Uniqueness is re-established

head becomes unusable

Illegal: head is unusable upon termination

n is transferred to "head" cluster of this and becomes unusable

## Solution in our Implementation

```
class List {
  unique Node head;
  free Node getNodes() {
    Node res = this.head;
    this.head = new Node();
    return res;
  }

  void merge( peer List l ) {
    Node lh = l.getNodes();
    // connect node structures;
    ...
  }
}
```

release happens when free reference is returned

capture happens when free reference is assigned to field

## Related Work

- External Uniqueness [Clarke and Wingstad, ECOOP '03]
  - Type safe ownership transfer
  - Destructive reads and borrowing
- AliasJava [Aldrich et al., OOPSLA '02]
  - Type safe ownership transfer
  - Lent variables break encapsulation
- Alias burying [Boyland, SP&E '01]
  - Static analysis to track temporary aliases
  - High annotation overhead, limited by static analysis
- Object invariants [Müller et al., SCP '06]
  - Similar to enforcement of uniqueness invariant

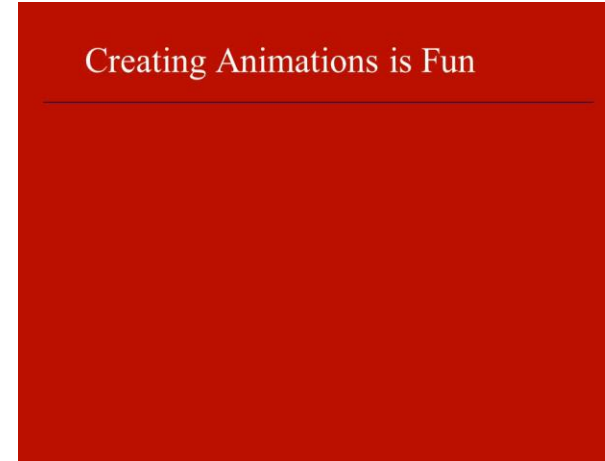
## Summary

- External uniqueness enables transfer
  - Temporary aliases permitted
  - Call-backs: restrictions of Universes + static analysis
  - Capturing: external uniqueness + viewpoint adaptation
  - No destructive reads, no global analysis
  - Owner-as-modifier property enforced
- Implementation in JML
  - More expressive
  - Inference of transfer operations and annotations for locals
- Meet me at the Microsoft booth
  - Also to get a Spec# demo

# Powerpoint vs. Latex

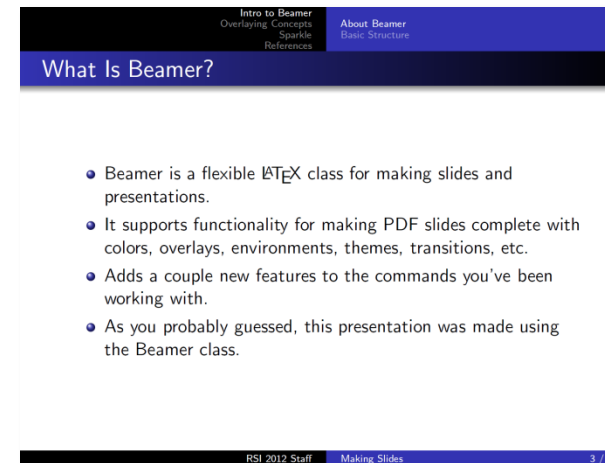
## Powerpoint

- Visualizations and animations are easy
- Don't over-do it!



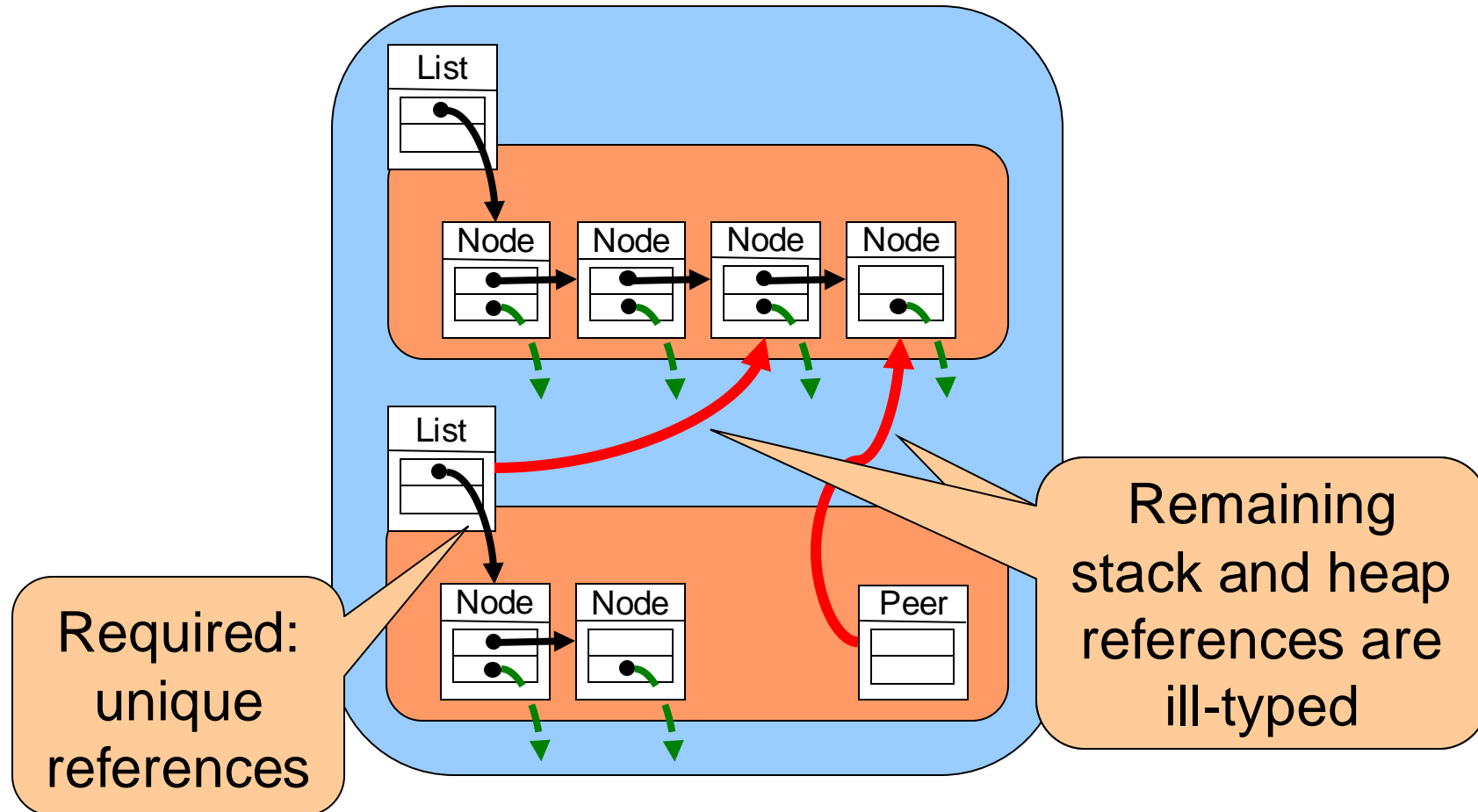
## Latex

- Visualizations and animations are painful
- Don't under-do it!





# Merging List Representations



# Your Talk: Avoid Frequent Mistakes

- Don't try to present all details
  - Focus on a few key messages:  
Motivation, problem, main idea, main result
- Don't stare at the screen or your laptop
  - Look at the audience
- Come prepared
  - Study paper in depth
  - Rehearse your talk (but not too much)

# References

- We strongly recommend studying Markus Püschel's small guide to giving presentations:  
<http://www.inf.ethz.ch/personal/markusp/teaching/guides/guide-presentations.pdf>
- We also recommend this presentation by Derek Dreyer on "How to give talks that people can follow":  
<https://www.youtube.com/watch?v=TCytsY8pdsc>

# What should I do next?

- Look at the [list of available papers](#).
- Send us your top 5 choices via [the selection form](#).
- If you do not submit your selection by Sept. 18 (tomorrow), we will assume that you are no longer interested in taking the seminar!