#### Logic, Complexity, and Symmetry

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## Logic and Computation

Connections between logic and algorithms have been important for the scientific work of both Erwin Engeler and Ernst Specker.

There are many facets of this relationship:

- Logic poses many algorithmic problems: Model checking, satisfiability testing, entailment, provability, ...,
- Logical representation of knowledge and data
- Definability versus complexity: Logic capturing complexity classes
- Logic as a technology!

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- Logic as a technology!

And then, logic has this imperialist claim on the foundations of everything ....

But this relationship is not without tensions. Many problems are surprisingly difficult, and some researchers even speak of a mismatch between logic and computation. Why?

### The tension between logic and computation

Classical computation devices (such as Turing machines) work on ordered representations of data, such as words, strings of numbers, etc. When solving a problem on, say, graphs, they are given ordered representations of them, e.g. via adjacency matrices. The implicit order on the vertices may be used, by the algorithm but the result must be invariant under the chosen ordering.

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Logic and logic based computation models work on abstract mathematical structures. Inherent symmetries, and indistinguishability between individual elements are respected not only for the final result, but at each step of the evaluation or computation.

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Question: Can we replace these classical algorithm by symmetric ones that avoid such choices, without paying a huge prize, in terms of computation time and/or other resources?

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This is possible for depth-first search, but open for, say, solving linear equation system over finite fields.

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Informal definition: A logic L captures PTIME if it defines precisely those properties of finite structures that are decidable in polynomial time:

- (1) For every sentence  $\psi \in L$ , the set of finite models of  $\psi$  is decidable in polynomial time.
- (2) For every PTIME-property *S* of finite  $\tau$ -structures, there is a sentence  $\psi \in L$  such that  $S = \{\mathfrak{A} \in \operatorname{Fin}(\tau) : \mathfrak{A} \models \psi\}.$

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The precise definition is more subtle. It includes effectiveness requirements to exclude pathological 'solutions'.

### First-Order Logic

First-order logic (FO) is far too weak to capture PTIME.

• FO can express only local properties of finite structures Theorems of Gaifman and Hanf

Global properties (e.g. planarity of graphs) are not expressible.

• FO has no mechanism for recursion or unbounded iteration.

Transitive closures, reachability or termination properties, winning regions in games, etc. are not FO-definable.

• FO can only express properties in AC<sup>0</sup>

 $AC^0$  is constant parallel time with polynomial hardware. In particular, FO  $\subseteq$  LOGSPACE.

### Second-Order Logic

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Corollary. SO captures the polynomial hierarchy.

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Monadic second-order logic (MSO) is orthogonal to PTIME:

On words, MSO captures the regular languages, and not all PTIME-languages are regular.

On graphs, MSO can express NP-complete properties, such as 3-colourability.

## Fixed-point logic with counting

(FP + C): Two-sorted fixed-point logic with counting terms.

Two sorts of variables:

- $x, y, z, \dots$  ranging over the domain of the given finite structure
- $\mu, \nu, \dots$  ranging over natural numbers

On natural numbers, operations +,  $\cdot$  and < are available, but variables must be explicitly restricted to take only polynomially bounded values.

Counting terms: For a formula  $\varphi(x)$ , the term  $\#_x \varphi(x)$  denotes the number of elements *a* of the structure that satisfy  $\varphi(a)$ .

Mechanism for polynomial-time relational recursion: Fixed points of update operators  $R \mapsto R \cup \{(\overline{a}, \overline{m}) : \mathfrak{A} \models \varphi(R, \overline{a}, \overline{m})\}$ 

## Fixed-point logic with counting is close to PTIME

Fixed-point logic with counting is powerful enough to express fundamental algorithmic techniques (such as the ellipsoid method) and captures PTIME on many interesting classes of finite structures, including

- linearly ordered structures (Immerman, Vardi)
- trees (Immerman, Lander) and structures of bounded tree-width (Grohe, Marino)
- planar graphs and graphs of bounded genus (Grohe)
- chordal line graphs (Grohe) and interval graphs (Laubner)
- all classes of graphs that exclude a minor (Grohe)

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(FP+C) is the logic of reference in this area! (see survey by A. Dawar, SIGLOG-News, 2015)

# The CFI-query

Given a connected graph G = (V, E), and a subset  $T \subseteq E$ , construct the CFI-graph  $X_T(G)$ :

- replace every node v by a gadget H(v), which has two exit points  $a_{vw}$  and  $b_{vw}$  for every neighbour  $w \in vE$
- replace every edge by two edges that connnect corresponding exit points:  $a_{vw}$  with  $a_{wv}$  and  $b_{vw}$  with  $b_{wv}$
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- Fact:  $X_S(G) \cong X_T(G) \iff |S| = |T| \pmod{2}$

Thus, for every *G*, there are up to isomorphism exactly two CFI-graphs:  $X(G) := X_{\emptyset}(G)$  and  $\tilde{X}(G) := X_{\{e\}}(G)$ 

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The CFI-query: Given a CFI-graph, determine whether it is X(G) or  $\tilde{X}(G)$ .

Fixed-point logic with counting versus polynomial time

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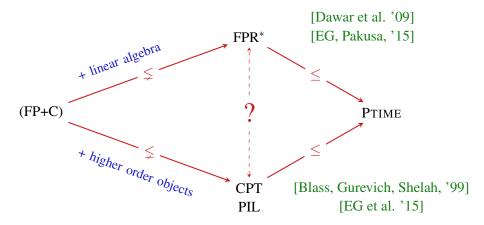
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Today, the CFI-query and its variants and generalizations still provide interesting benchmarks and challenges for any candidate for a logic for polynomial time.

#### Candidates for a logic for PTIME



**Rank logic FPR:** Extend fixed-point logic by rank operators  $rk_p \varphi$ , to denote the rank (over the prime field  $\mathbb{F}_p$ ) of the matrix defined by  $\varphi$ .

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(Actually, nobody believes that it really does!)

#### Choiceless Polynomial Time (Blass, Gurevich, Shelah 1999)

Idea. Model for computation on abstract structures that preserves symmetries. Disallow explicit choice, but permit essentially everything else, including fancy data structures and parallelism (explore all possible choices in parallel).

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Idea. Model for computation on abstract structures that preserves symmetries. Disallow explicit choice, but permit essentially everything else, including fancy data structures and parallelism (explore all possible choices in parallel).

States: sets in the hereditarily finite expansion  $HF(\mathfrak{A})$  of the input  $\mathfrak{A}$ 

- atoms: the elements of  $\mathfrak{A}$
- all finite sets of elements of  $HF(\mathfrak{A})$

Compute with set-theoretic operations such as  $\emptyset, \in, \bigcup, ||$ , and comprehension.

Choiceless Polynomial Time is the set of properties computable by such machines such that

- computations have polynomial length
- only a polynomial number of sets are activated.

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CPT can solve certain systems of linear equations that cannot be solved in (FP+C), with an appropriate pre-order on the variables

# A different view: computing by interpretations

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Instead of a sequence of hereditarily finite sets, a computation then is a sequence of finite structures obtained by repeated application of a fixed first-order interpretation.

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Interpretations: A FO[ $\tau$ ,  $\sigma$ ]-interpretation is a sequence

 $I = (\delta(\overline{x}), \varepsilon(\overline{x}, \overline{y}), (\varphi_R(\overline{x}_1, \dots, \overline{x}_{s(R)})_{R \in \sigma})$ 

of FO[ $\tau$ ]-formulae. It maps a  $\tau$ -structure  $\mathfrak{A}$  to a  $\sigma$ -structure

 $I(\mathfrak{A}) = (\delta^{\mathfrak{A}}, (\varphi^{\mathfrak{A}}_R)_{R \in \sigma}) / \varepsilon^{\mathfrak{A}}$ 

Notice that interpretations may change the size of the structures.

# Computing by interpretations

Polynomial Time Interpretation Logic PIL  $\Pi = (I_{init}, I_{step}, \varphi_{halt}, \varphi_{out})$ 

- $I_{\text{init}}$  is an interpretation defining from the input structure  $\mathfrak{A}$ an initial state  $\mathfrak{A}_0 := I_{\text{init}}(\mathfrak{A})$
- *I*<sub>step</sub> is an interpretation defining from a state A<sub>i</sub> the next state A<sub>i+1</sub> := *I*<sub>step</sub>(A<sub>i</sub>)
- the run  $\mathfrak{A}_0, \mathfrak{A}_1, \ldots$  of  $\Pi$  in  $\mathfrak{A}$  terminates at the first state  $\mathfrak{A}_n$  with  $\mathfrak{A}_n \models \varphi_{\text{halt}}$
- $\Pi$  accepts  $\mathfrak{A}$  if the run terminates at state  $\mathfrak{A}_n$  with  $\mathfrak{A}_n \models \varphi_{out}$

Explicit polynomial bounds on the length of the run and the size of all states.

To get the full power of CPT, interpretations have to be equipped with a counting construct, such as the Härtig quantifier.

**Theorem**  $CPT \equiv PIL$  (EG, Kaiser, Pakusa, Schalthöfer, 2015)

## The surprising power of CPT and (FP+C)

Many candidates have been proposed for separating PTIME from CPT. However, for most of them, it has turned out that they are CPT-computable, or even definable in (FP+C).

The summation problem for Abelian groups and semigroups: Given: A finite (semi)group (G, +, 0) and a subset  $X \subset G$ . Question: Determine  $\sum X$ .

"This is the most basic problem I can think of that appears difficult for CPT but is obviously polynomial time. I don't even know the answer when G is an Abelian group, or even a direct product of cyclic groups  $\mathbb{Z}_2$ ." (Ben Rossman, 2005)

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Theorem. (Abu Zaid, Dawar, EG, Pakusa, 2017) The summation problem for Abelian semigroups is even definable in (FP+C).

### Symmetric circuits

A circuit family  $(C_n)_{n \in \mathbb{N}}$  decides a property of finite  $\tau$ -structures if  $C_n$  takes as inputs the truth values of atomic  $\tau$ -formulae of structures with universe  $[n] = \{0, ..., n-1\}$ , and if it is invariant under isomorphisms.

Invariance: Any permutation of [n] induces a permutation of the input gates of  $C_n$ . The result of the computation of  $C_n$  must be invariant under this.

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Translate any formula from FO or LFP into a circuit family  $C = (C_n)_{n \in \mathbb{N}}$ . Then this sequence is

**p-uniform:** The circuit  $C_n$  is polynomial-time computable in *n* symmetric: Every permutation of [n] induces an automorphism of  $C_n$ 

Symmetric circuits are always invariant. The converse is not true.

## Symmetric threshold circuits

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Every formula in (FP+C) can be translated into a p-uniform sequence of symmetric threshold circuits.

Question. Can this also be done for Choiceless Polynomial Time?

# Is there a circuit model for CPT?

Theorem (Anderson, Dawar)

p-uniform symmetric threshold circuits are equivalent to (FP+C).

Thus, translations from Choiceless Polynomial Time into equivalent sequences of symmetric threshold circuits are not p-uniform.

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To put it differently, p-uniform translations form CPT into threshold circuits must break symmetry in some way. But how?

Challenge: Find a circuit model for CPT, based on a weaker notion of symmetry.

#### Challenges for future research

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Choiceless Polynomial-Time versus Rank Logic: Besides CPT, logics with operators from linear algebra, such as the rank logic FPR\*, seem to be the most prominent candidates for a logic for PTIME. The relationship between CPT and FPR\* is unclear but cyclic equation systems (CES) over rings might separate the two logics.

Conjecture. Solvability of CES over  $\mathbb{Z}_4$  is definable in CPT but not in FPR<sup>\*</sup>.

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Conjecture. Solvability of CES over  $\mathbb{Z}_4$  is definable in CPT but not in FPR<sup>\*</sup>.

Symmetric circuits for CPT: Find a circuit model for CPT. Understand better the symmetries inherent in CPT-computations.