

# Finitely presented simple groups and products of trees

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**Abstract.** We construct lattices in  $\text{Aut } T_n \times \text{Aut } T_m$  which are finitely presented, torsion free, simple groups.

## *Groupes simples de présentation finie et produits d'arbres*

**Résumé.** Nous construisons des réseaux de  $\text{Aut } T_n \times \text{Aut } T_m$  qui sont de présentation finie, simples et sans torsion.

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## *Version française abrégée*

Nous construisons une famille infinie de groupes simples, sans torsion et de présentation finie. Pour chaque couple  $(n, m)$  d'entiers pairs suffisamment grands, nous construisons un complexe carré  $\mathcal{Y}_{n,m}$  dont le revêtement universel est un produit  $T_n \times T_m$  d'arbres réguliers, et dont le groupe fondamental  $\Gamma_{n,m}$  jouit des propriétés suivantes :

### THÉORÈME

- (1) Le groupe  $\Gamma_{n,m}$  est simple, de présentation finie, isomorphe au produit libre amalgamé  $F *_G F$  où  $F, G$  sont des groupes libres de type fini.
- (2) Si  $\Gamma_{n,m}$  est isomorphe à  $\Gamma_{k,l}$ , les complexes associés  $\mathcal{Y}_{n,m}$  et  $\mathcal{Y}_{k,l}$  sont isomorphes et  $\{n, m\} = \{k, l\}$ .

### REMARQUES

- (1) L'énoncé (1) répond à une question de P.M. Neumann ([10] p. 5 et [7] problème 4.45).
- (2) Dans la décomposition  $\Gamma_{n,m} = F *_G F$ , les deux injections de  $G$  dans  $F$  sont conjuguées par un automorphisme de  $G$ , d'ordre fini dans  $\text{Out}(G)$ .
- (3) Toute décomposition non triviale de  $\Gamma_{n,m}$  en produit amalgamé  $L *_K H$  provient de l'action de  $\Gamma_{n,m}$  sur  $T_n$  et  $T_m$ , et l'on a  $[L : K] = [H : K] = n$  et  $m$  respectivement.
- (4) Le groupe  $\Gamma_{n,m}$  est automatique (voir [6]).

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Note présentée par Jacques Tits.

0. Introduction

We construct an infinite family of torsion free finitely presented simple groups. For every pair  $(n, m)$  of sufficiently large even integers, we construct a finite square complex  $\mathcal{Y}_{n,m}$  whose universal covering is the product  $T_n \times T_m$  of regular trees of respective degrees  $n$  and  $m$  and whose fundamental group  $\Gamma_{n,m}$  enjoys the following properties:

THEOREM

- (1) The group  $\Gamma_{n,m}$  is simple, finitely presented and isomorphic to a free amalgam  $F *_G F$  where  $F, G$  are finitely generated free groups.
- (2) If  $\Gamma_{n,m}$  is isomorphic to  $\Gamma_{k,l}$ , then the corresponding complexes  $\mathcal{Y}_{n,m}$  and  $\mathcal{Y}_{k,l}$  are isomorphic, and  $\{n, m\} = \{k, l\}$ .

REMARKS.

- (1) Part (1) of the theorem answers a question of P.M. Neumann (see [10]) p. 5, see also [7] problem 4.45).
- (2) The two embeddings of  $G$  into  $F$ , in the decomposition  $\Gamma_{n,m} = F *_G F$ , are conjugate by an automorphism of  $G$ , of finite order in  $\text{Out}(G)$ .
- (3) Any nontrivial decomposition of  $\Gamma_{n,m}$  as a free amalgam  $L *_K H$  comes from the action of  $\Gamma_{n,m}$  on either  $T_n$  or  $T_m$ , and then  $[L : K] = [H : K]$  is  $n$  or  $m$  respectively. The groups involved are finitely generated free groups.
- (4) It follows from [8] that  $\Gamma_{n,m}$  is automatic.

These examples grew out of an ongoing study of the structure and classification of lattices in the group of automorphisms of a product of trees (see [3] and [4]). In particular one has, for a certain class of lattices, a geometric rigidity theorem from which Theorem (2) and Remark (3) follow.

M. Bhattacharjee, motivated by P.M. Neumann's question, constructed in [1] a free amalgam  $L *_K H$  of finitely generated free groups having no finite index subgroup. From a more geometric viewpoint D. Wise, [13], constructed a finite square complex covered by a product of two regular trees which does not admit any nontrivial finite covering.

1. CONSTRUCTION. - We first construct a square complex  $\mathcal{X}_{n,m}$  with one vertex. The complex  $\mathcal{Y}_{n,m}$  will be a finite covering of  $\mathcal{X}_{n,m}$ . A square complex  $\mathcal{Y}$  on one vertex whose universal covering is  $T_n \times T_m$  is given by two sets  $A, B$  ( $n = |A|$ ,  $m = |B|$ ) each endowed with a fixed point free involution (denoted  $c \rightarrow c^{-1}$ ) and a subset  $\mathcal{R} \subset A \times B \times B \times A$  such that:

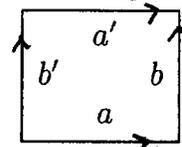
(i) When  $(a, b, b', a') \in \mathcal{R}$ , then  $(a^{-1}, b', b, a'^{-1})$ ,  $(a'^{-1}, b'^{-1}, b^{-1}, a^{-1})$ ,  $(a', b^{-1}, b'^{-1}, a)$  belong to  $\mathcal{R}$ , and all four 4-tuples are distinct.

(ii) Each of the four projections of  $\mathcal{R}$  to a subproduct of the form  $A \times B$  or  $B \times A$  is bijective.

We shall write  $ab \square b'a'$  when  $(a, b, b', a') \in \mathcal{R}$ .

The square complex  $\mathcal{Y}$  is constructed by taking a bouquet of  $|A|/2 + |B|/2$  loops whose set of

oriented loops is  $A \sqcup B$  and by constructing one "geometric square"



for every four

4-tuples as in (i). Note that the link of the vertex is a complete bipartite graph; hence its universal covering is  $T_n \times T_m$ .

This description gives a finite presentation  $\langle A \cup B \mid ab = b'a' \text{ whenever } ab \square b'a' \rangle$  for  $\Gamma = \pi_1(\mathcal{Y}) < \text{Aut}T_1 \times \text{Aut}T_2$ . The subgroup generated by  $A$  (resp.  $B$ ) is isomorphic to the stabilizer of a vertex  $x$  in  $T_m$  (resp.  $T_n$ ). Labelling the edges  $E(x)$  of  $T_m$  (resp.  $T_n$ ), originating at

$x$ , by the elements of  $B$  (resp.  $A$ ), an element  $a \in A$  (resp.  $b' \in B$ ) induces on  $E(x)$  the permutation  $\sigma_a \in S_B$  (resp.  $\tau_{b'} \in S_A$ ) defined by  $a\sigma_a(b') \square b'a'$  (resp.  $ab' \square b'\tau_{b'}(a)$ ).

We will use the following square complexes on one vertex:

(i) For every pair of primes  $p, q \equiv 1 \pmod{4}$ , one constructs, using the Hamilton quaternions, an irreducible torsion free lattice  $\Lambda_{p,q} < PGL(2, \mathbf{Q}_p) \times PGL(2, \mathbf{Q}_q)$  acting freely transitively on the vertices of the associated Bruhat-Tits building  $T_{p+1} \times T_{q+1}$  (see [8]). Let  $\mathcal{A}(p, q) = \Lambda_{p,q} \backslash T_{p+1} \times T_{q+1}$  be the corresponding square complex.

(ii) Given a one vertex square complex  $\mathcal{Y}$  defined by sets  $A, B$  and  $\mathcal{R}$  as above, one constructs a new one vertex square complex  $\mathcal{Y}^{\otimes 2}$  defined by  $A^{\otimes 2} = A \times A$ ,  $B^{\otimes 2} = B \times B$  and  $(b', d')(a', c') \square (a, c)(b, d)$  whenever  $b'a' \square ab$  and  $d'c' \square cd$ .

(iii) For every even  $k, l \geq 4$ , let  $\mathcal{C}(k, l)$  be the one vertex square complex defined by  $A = \{a_i^\epsilon : \epsilon \in \{\pm 1\}, 1 \leq i \leq k/2\}$ ,  $B = \{b_j^\delta : \delta \in \{\pm 1\}, 1 \leq j \leq l/2\}$  and  $a_i^\epsilon b_{j+\epsilon}^\delta \square b_j^\delta a_{i+\delta}^\epsilon$ ,  $\epsilon, \delta \in \{\pm 1\}$ ,  $1 \leq i \leq k/2$ ,  $1 \leq j \leq l/2$ .

Given even  $n \geq 218$  and  $m \geq 350$  let  ${}^1\mathcal{Y} = \mathcal{A}(13, 17)$ ,  ${}^2\mathcal{Y} = {}^1\mathcal{Y}^{\otimes 2}$ ,  ${}^3\mathcal{Y} = \mathcal{C}(k_3, l_3)$  and  ${}^4\mathcal{Y} = \mathcal{C}(k_4, l_4)$ , where  $14 + 196 + k_3 + k_4 = n$  and  $18 + 324 + l_3 + l_4 = m$ . Denote by  ${}^sA = \{a_i^\epsilon\}$ ,  ${}^sB = \{b_j^\delta\}$ ,  $1 \leq s \leq 4$ , the corresponding sets of oriented loops. We construct a complex  $\mathcal{X}_{n,m}$  by mating the complexes  ${}^s\mathcal{Y}$ ,  $1 \leq s \leq 4$ . We let  $A = \sqcup {}^sA$ ,  $B = \sqcup {}^sB$  and add the following square to the existing ones:

- (1) for  $(i, j)$  not  $(1, 1)$  or  $(2, 2)$  and every  $\epsilon, \delta \in \{\pm 1\}$ ,  $1 \leq r \neq s \leq 4$ , add the square  ${}^r a_i^\epsilon {}^s b_j^\delta \square {}^s b_j^{\delta r} {}^r a_i^\epsilon$ ;
- (2) for every  $\epsilon, \delta \in \{\pm 1\}$ ,  $1 \leq r \neq s \leq 4$ , we add a square  ${}^r a_1^\epsilon {}^s b_1^\delta \square \rho_r^{(s)} b_1^\delta {}^r a_1^\epsilon$ , where  $\rho_r : \{1, 2, 3, 4\} \setminus \{r\} \rightarrow \{1, 2, 3, 4\} \setminus \{r\}$  is a fixed cyclic permutation;
- (3) for every  $\epsilon, \delta \in \{\pm 1\}$ ,  $1 \leq r \neq s \leq 4$ , we add a square  ${}^r a_2^\epsilon {}^s b_2^\delta \square \rho_s^{(r)} b_2^\delta {}^r a_2^\epsilon$ , where  $\rho_s : \{1, 2, 3, 4\} \setminus \{s\} \rightarrow \{1, 2, 3, 4\} \setminus \{s\}$  is a fixed cyclic permutation.

*The geometric description of  $\mathcal{X}_{n,m}$ .* – First join the four complexes  ${}^s\mathcal{Y}$ , identifying their vertices. Then, for each pair  $({}^r a_i, {}^s b_j)$ ,  $r \neq s$ , consisting of a "horizontal" and a "vertical" geometric loop belonging to different complexes, construct a torus by gluing. This corresponds to applying rule (1) above to *all* pairs  $(i, j)$ . The resulting complex is covered by  $T_n \times T_m$ . Now consider the three torii involving, say, the loop  ${}^1 a_1$  and the loops  ${}^2 b_1, {}^3 b_1, {}^4 b_1$ . Perform a "surgery" by cutting the torii along the loops  ${}^2 b_1, {}^3 b_1, {}^4 b_1$  and gluing them back according to a cyclic permutation. This operation preserves the link of the vertex. Applying this procedure to each loop  ${}^s a_1$  versus the loops  ${}^t b_1$ ,  $t \neq s$ , and similarly to each loop  ${}^t b_2$  versus the loops  ${}^s a_2$ ,  $s \neq t$ , we obtain  $\mathcal{X}_{n,m}$ .

One verifies that the permutation group  $\mathcal{P}(A) = \langle \sigma_a : a \in A \rangle$  (resp.  $\mathcal{P}(B) = \langle \tau_b : b \in B \rangle$ ) act 2-transitively on  $B$  (resp.  $A$ ). This uses: (i) For  ${}^1\mathcal{Y}$  the corresponding groups  $\mathcal{P}({}^1A)$  and  $\mathcal{P}({}^1B)$  are 2-transitive. (ii) For  ${}^2\mathcal{Y}$  the corresponding groups  $\mathcal{P}({}^2A)$  and  $\mathcal{P}({}^2B)$  are transitive. (iii) For  ${}^3\mathcal{Y}$ ,  ${}^4\mathcal{Y}$  the corresponding groups have exactly two orbits corresponding to the two orientations. (iv) For  ${}^1\mathcal{Y}$ , by choosing  $a_1, a_2, b_1$  and  $b_2$  so that  $a_1 b_1 \square b_1 a_1$  and  $a_2 b_2 \square b_2 a_2$ , we guarantee that this complex contains a square  $a_k^\alpha b_j^\beta \square b_1^\gamma a_i^\delta$  with  $i, j, k \neq 1$  and a square  $a_2^\alpha b_j^\beta \square b_k^\gamma a_i^\delta$  with  $i', j', k' \neq 2$  (we omitted the left superscript 1 to simplify notation).

The above choices (in particular of  $p = 13$ ,  $q = 17$ ), imply that  $\mathcal{P}(A) \subset A_m$  and  $\mathcal{P}(B) \subset A_n$ . The classification of finite 2-transitive group actions (see [5]) implies that  $\mathcal{P}(A) = A_m$  and  $\mathcal{P}(B) = A_n$ , except for a set  $(n, m) \in \mathbf{N}^2$  of density zero. Result of A. Bochert [2], cf. [12], asserts that a 2-transitive group of permutation of a set of  $d$  elements containing an element whose set of fixed point is of size  $> \frac{2}{3}d + \frac{2}{3}\sqrt{d}$  must be either  $A_d$  or  $S_d$ . We may apply this result to deduce that  $\mathcal{P}(A) = A_m$ , by observing for example that the permutation  $\sigma_{1,a_3}$  fixes at least  $m - 18 (> \frac{2}{3}m + \frac{2}{3}\sqrt{m})$  as  $m \geq 350$ , and similarly verify that  $\mathcal{P}(B) = A_n$ .

Let  $\Gamma = \pi_1(\mathcal{X}_{n,m})$  and  $H_1 = \overline{\text{pr}_{\text{Aut } T_n}(\Gamma)}$ ,  $H_2 = \overline{\text{pr}_{\text{Aut } T_m}(\Gamma)}$ . Since  $\pi_1({}^1\mathcal{Y})$  is irreducible,  $H_1, H_2$  are non-discrete. Thus (by Lemma 3)  $H_1 = U(A_n)$  and  $H_2 = U(A_m)$ . The group  $\pi_1({}^2\mathcal{Y})$  is not residually finite (Corollary 2). Thus, by Theorem 4 and Remark 5, the intersection  $\overline{\Gamma_{n,m}}$  of all subgroups of finite index in  $\Gamma$  is of finite index in  $\Gamma$  and satisfies  $\overline{\text{pr}_{\text{Aut } T_n}(\Gamma_{n,m})} = U(A_n)^+$ ,  $\overline{\text{pr}_{\text{Aut } T_m}(\Gamma_{n,m})} = U(A_m)^+$ . It follows that  $\overline{\Gamma_{n,m}}$  is simple and that its actions on the trees  $T_n$  and  $T_m$  give two decompositions of  $\overline{\Gamma_{n,m}}$  as in Theorem (1). The complex  $\mathcal{Y}_{n,m} := \overline{\Gamma_{n,m}} \backslash T_n \times T_m$  is the unique maximal finite covering of  $\mathcal{X}_{n,m}$ . There exists a halting Turing machine which, given  $(n, m)$ , computes  $\mathcal{Y}_{n,m}$ .

(2) Let  $\mathcal{T} = (X, Y)$  be a locally finite tree,  $H < \text{Aut } \mathcal{T}$ , and for  $x \in X$ ,  $\underline{H}(x)$  be the finite permutation group on the sphere  $S(x, 1)$  of radius 1 induced by the stabilizer  $H(x)$  of  $x$ . We say that  $H$  is locally primitive if  $\underline{H}(x)$  is a primitive permutation group for all  $x \in X$ . In particular,  $H$  is transitive on the set of geometric edges of  $\mathcal{T}$ . For a totally disconnected group  $H$ , let  $H^{(\infty)} \triangleleft H$  denote the intersection of all closed normal cocompact subgroups of  $H$  and  $QZ(H) = \cup Z_H(U)$ , where the union is over all open subgroups  $U < H$ .

PROPOSITION 1. – *Let  $H < \text{Aut } \mathcal{T}$  be closed, locally primitive and non-discrete. Then  $H^{(\infty)}$  is cocompact in  $H$ , the group  $QZ(H)$  acts freely on  $X$  and for any closed normal subgroup  $N \triangleleft H$ , one has either  $N \supset H^{(\infty)}$  or  $N \subset QZ(H)$ .*

*Proof.* – If  $N \triangleleft H$  does not act freely on  $X$ , then  $N$  admits either an edge or a complete star as a fundamental domain. If  $N$  acts freely, it is discrete and hence  $N \subset QZ(H)$ . If  $QZ(H)$  were not acting freely on  $X$  we could choose a finitely generated subgroup  $\Lambda < QZ(H)$  with  $\Lambda \backslash X$  finite, and hence find  $U < H$  open commuting with  $\Lambda$ . This would imply that  $U$  is trivial and  $H$  is discrete. Thus  $QZ(H)$  acts freely on  $X$ . Let  $\mathcal{N}(H)$  be the set of closed normal subgroups of  $H$  which do not act freely on  $X$ ;  $\mathcal{N}(H)$  is ordered by inclusion and, by Zorn's lemma, the set  $M(H)$  of minimal elements of  $\mathcal{N}(H)$  is nonempty. Observe that  $\mathcal{N}(H)$  is precisely the set of normal cocompact subgroups of  $H$ . For  $M \in M(H)$  and  $N \in \mathcal{N}(H)$  with  $M \not\subset N$ ,  $[N, M] \subset N \cap M$  is discrete; for  $\Lambda \subset N$  finitely generated with  $\Lambda \backslash X$  finite, there exists  $U < M$  open with  $[U, \Lambda] = e$  and hence  $U = \{e\}$  contradicting the non-discreteness of  $M$ . Thus  $M(H) = \{H^{(\infty)}\}$ . Q.E.D.

NOTATION. – for  $\Gamma < \text{Aut } \mathcal{T}_1 \times \text{Aut } \mathcal{T}_2$ , set  $\Lambda_1 := \Gamma \cap (\text{Aut } \mathcal{T}_1 \times e)$ ,  $\Lambda_2 := \Gamma \cap (e \times \text{Aut } \mathcal{T}_2)$ .

COROLLARY 2. – *Let  $\Gamma < \text{Aut } \mathcal{T}_1 \times \text{Aut } \mathcal{T}_2$  be a cocompact lattice such that  $H_i := \overline{\text{pr}_i(\Gamma)}$  is locally primitive and non-discrete. If  $\Gamma'$  is commensurable to  $\Gamma$ , then  $\Gamma'$  contains  $\left[ H_1^{(\infty)} \times e, \Lambda_1 \right] \cdot \left[ e \times H_2^{(\infty)}, \Lambda_2 \right]$ . In particular if  $\Lambda_1 \Lambda_2 \neq e$ , then  $\Gamma$  is not residually finite.*

*Proof.* – For  $\Gamma'' \triangleleft \Gamma$  of finite index and  $\Lambda_1'' := \Gamma'' \cap (H_1 \times e)$ , the subgroups  $\Lambda_1$  and  $\Lambda_1''$  are discrete and normal in  $H_1$ . The kernel of the associated action of  $H_1$  on the finite group  $\Lambda_1 / \Lambda_1''$  contains  $H_1^{(\infty)}$ . If  $\Lambda_1 \neq e$ , then  $\left[ H_1^{(\infty)} \times e, \Lambda_1 \right] \neq e$ , since  $H_1^{(\infty)}$  is cocompact in  $\text{Aut } \mathcal{T}_1$  (Proposition 1). Q.E.D.

*Example.* – An alternative description of the complex  ${}^2\mathcal{Y} = \mathcal{A}(p, q)^{\otimes 2}$  is as follows: For  $r = p, q$ , let  $\mathcal{D}_r = (X_r, Y_r)$  denote the graph whose set of vertices  $X_r$  is the set of vertices of  $T_{r+1} \times T_{r+1}$  and whose set of edges  $Y_r$  is the set of pairs of opposite vertices of squares in  $T_{r+1} \times T_{r+1}$ . Let  $\mathcal{D}_r^+, \mathcal{D}_r^-$  be the two connected components of  $\mathcal{D}_r$ . Let  $\Gamma_1 < \Lambda_{p,q} \times \Lambda_{p,q}$  be the stabilizer of  $\mathcal{D}_p^+ \times \mathcal{D}_q^+$ . Then  ${}^2\mathcal{Y} = \Gamma_1 \backslash \mathcal{D}_p^+ \times \mathcal{D}_q^+$ . Observe that  ${}^2\mathcal{Y}$  admits an involution  $\sigma$ . Its fundamental group  $\Gamma' = \pi_1({}^2\mathcal{Y})$  is an extension of  $\Gamma_1$  by  $\pi_1(\mathcal{D}_p^+) \times \pi_1(\mathcal{D}_q^+)$  (a product of countably generated free groups) and is normalized by any lift  $\tilde{\sigma} \in \text{Aut } T_{(p+1)^2} \times \text{Aut } T_{(q+1)^2}$ . One verifies that  $\Gamma := \langle \Gamma_1, \tilde{\sigma} \rangle$  together with  $\Gamma'$  satisfy the assumptions of corollary 2 and are therefore not residually finite.

3. Let  $F < S_d$  be a transitive permutation group,  $T_d = (X, Y)$  the  $d$ -regular tree,  $E(x) \subset Y$  the set of edges with origin  $x \in X$  and  $\iota : Y \rightarrow \{1, 2, \dots, d\}$  a legal coloring, namely a map which

assigns the same color to the two orientations of every edge and whose restrictions to the sets  $E(x)$  are bijective. Then the group  $U(F) = \{g \in \text{Aut } T_d : \iota_{|_{E(gx)}, g\iota_{|_{E(x)}}^{-1}} \in F, \forall x \in X\} < \text{Aut } T_d$  is well defined up to conjugation and applying of Theorem 4.5 [11] one shows that  $U(F)^+$  is simple. If  $H < \text{Aut } T_d$  is vertex transitive and  $\underline{H}(x)$  is permutation isomorphic to  $F$ , then we have  $H < U(F)$  for a suitable legal coloring. Recall that  $H < \text{Aut } T_d$  is locally  $\infty$ -transitive if for all  $x \in X$  and  $n \geq 1$ ,  $H(x)$  acts transitively on the sphere  $S(x, n)$  centered at  $x$  and with radius  $n$ . If moreover  $H$  is vertex transitive, then  $H$  is said to be  $\infty$ -transitive. In this terminology we observe that  $U(F)$  is  $\infty$ -transitive iff  $F < S_d$  is 2-transitive.

LEMMA 3. – Let  $d \geq 6$  and  $H < \text{Aut } T_d$  be a closed, non-discrete, vertex transitive subgroup such that  $\underline{H}(x) \cong A_d$  in its usual action. Then  $H = U(A_d)$ .

Proof. – Let  $H_n(x) = \{h \in H(x) : h|_{S(x, n)} = id\}$  and  $c(n) := |S(x, n)|$ . We have to show that for every  $n \geq 1$ ,  $H_n(x)/H_{n+1}(x) \cong A_{d-1}^{c(n)}$  (\*).

NOTATION. – For  $z \in S(x, n)$ , let  $p(z) \in S(x, n-1)$  be the vertex adjacent to  $z$ . Let  $H_x(z) := (H(z) \cap H(p(z)))/H_1(z)$ . For  $y \in S(x, 1)$ , set  $s_n(x, y) := \{z \in S(x, n) : d(z, x) = d(z, y) + 1\}$  and  $a(n) := |s_n(x, y)|$ .

(a) If  $H_n(x) = H_{n+1}(x)$  for some  $n \geq 1$ , then  $H_n(x) = c$ . Thus for every  $n \geq 1$  there exists  $z \in S(x, n)$  such that the image of  $H_n(x)$  in  $H_x(z) \cong A_{d-1}$  is non-trivial, subnormal and hence equal to  $H_x(z)$ . Thus we deduce that  $H$  is  $\infty$ -transitive.

(b) Using the  $\infty$ -transitivity of  $H$ , one shows that if  $\{B_i\}$  is a block decomposition for the  $H(x)$  action on  $S(x, n)$  ( $n \geq 1$ ), with  $|B_i \cap s_n(x, y)| \leq 1$  for all  $i$  and  $y \in S(x, 1)$ , then  $|B_i| = 1$ . (c) Let  $z, y \in S(x, 1)$ ,  $z \neq y$ ; using the fact that  $H$  is vertex transitive, locally primitive and non-discrete, one shows that  $H_1(x) \cap H_1(y) \not\subset H_1(z)$ . This implies that  $H_1(x)/H_2(x) \cong A_{d-1}^{c(1)}$ . (d) Assertion (\*) is now established by induction on  $n$ . Let  $n \geq 2$ . For  $y \in S(x, 1)$ ,  $H_n(x) \triangleleft H_{n-1}(y)$ , and by the induction hypothesis, the image of  $H_{n-1}(y)$  in  $\prod_{z \in s_n(x, y)} H_x(z)$  is  $A_{d-1}^{a(n)}$ ; hence so is the image of  $H_n(x)$ . Finally, the image of  $H_n(x)$  in  $\prod_{y \in S(x, 1)} \prod_{z \in s_n(x, y)} H_x(z)$  is isomorphic to a product of subdiagonals in  $A_{d-1}^{c(n)}$  and hence induces a block decomposition of  $S(x, n)$  as in (b). Q.E.D.

4. We need the following analogue of Margulis' normal subgroup theorem:

THEOREM 4. – Let  $\Gamma < \text{Aut } T_1 \times \text{Aut } T_2$  be a cocompact lattice such that  $H_i := \overline{\text{pr}_i(\Gamma)}$  is locally  $\infty$ -transitive and  $H_i^{(\infty)}$  is of finite index in  $H_i$ . Then any non-trivial normal subgroup of  $\Gamma$  has finite index.

REMARK 5. – It follows that, if moreover  $\Gamma$  is not residually finite, then the intersection  $\Gamma^{(\infty)}$  of all finite index normal subgroups of  $\Gamma$  is simple, of finite index in  $\Gamma$  and  $\overline{\text{pr}_i(\Gamma^{(\infty)})} = H_i^{(\infty)}$ .

For a closed subgroup  $H < \text{Aut } T$ , the following are equivalent:  $H$  is locally  $\infty$ -transitive;  $H$  is noncompact and transitive on the boundary  $\mathcal{T}(\infty)$ ;  $H$  is 2-transitive on  $\mathcal{T}(\infty)$ . This implies using Proposition 1, that  $H^{(\infty)}$  is 2-transitive on  $\mathcal{T}(\infty)$  and topologically simple. Moreover for  $\xi \in \mathcal{T}(\infty)$ ,  $H(\xi)$  is a maximal subgroup of  $H$ . We sketch a proof of Theorem 4. For  $\Gamma' := \Gamma \cap (\overline{H_1^{(\infty)}} \times \overline{H_2^{(\infty)}})$ , we have  $\overline{\text{pr}_i(\Gamma')} = H_i^{(\infty)}$ . Thus we may assume  $H_i = H_i^{(\infty)}$ . For  $e \neq N \triangleleft \Gamma$ , we have  $\overline{\text{pr}_i(N)} = H_i$ , and since  $(H_i, H_i(x))$  is a Gelfand pair, the quotient  $\Gamma/N$  has property (T) (see [9], Theorem IV 3.9). Thus either  $\Gamma/N$  is amenable and therefore finite, or  $\Gamma/N$  is not amenable and (see [9], IV 4.7) there exists an infinite  $\Gamma$ -invariant subalgebra  $\mathcal{B}$  in the algebra  $\mathcal{M}(\mathcal{T}_1(\infty) \times \mathcal{T}_2(\infty))$  of measurable sets, such that  $nB = B$  for every  $n \in N$  and  $B \in \mathcal{B}$ . Identifying  $\mathcal{T}_1(\infty) \times \mathcal{T}_2(\infty)$  with  $H_1/P_1 \times H_2/P_2$ , where  $P_i = H_i(\xi_i)$ ,  $\xi_i \in \mathcal{T}_i(\infty)$ , we have (in the notation of [9] IV section 2) the following possibilities for  $\mathcal{B}$ :  $\mathcal{M}(H_1/P_1 \times H_2/P_2)$ ,  $\mathcal{M}(H_1/P_1 \times H_2/P_2, H_i)$ ,  $i = 1, 2$ . The proof of this statement follows verbatim the argument of Margulis' factor theorem (see [9] Theorem IV 2.11). The only point which

needs to be verified is that if  $g \in H_1 \times H_2$  generates an unbounded subgroup  $A = \langle g \rangle$ , then  $A$  acts ergodically on  $\Gamma \backslash H_1 \times H_2$ . This follows from the following analogue of Howe-Moore's theorem:

PROPOSITION 5. – Let  $G < \text{Aut } T$  be a closed, locally  $\infty$ -transitive subgroup and  $(\pi, \mathcal{H})$  a continuous unitary representation of  $G$  with no nonzero  $G^{(\infty)}$ -invariant vectors. Then all matrix coefficients of  $\pi$  vanish at infinity.

Returning to the sketch of the proof of theorem 4, we conclude that  $N$  acts trivially on  $H_1 \times H_2/Q$  where  $Q \in \{P_1 \times P_2, P_1 \times H_2, H_1 \times P_2\}$  and thus  $N \subset \bigcap_{h \in H_1 \times H_2} hQh^{-1}$ ; as both  $H_1, H_2$  are topologically simple, the latter group is  $e \times e, H_1 \times e$  or  $e \times H_2$ . If for example  $N \subset H_1 \times e$ , then  $\text{pr}_1(N) \triangleleft \text{pr}_1(\Gamma) = H_1$ , and hence  $N = e$ . Q.E.D.

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