



# Distributed Battery Storage: Operation and Integration

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Frontiers in Energy Research Talk

# Power Systems Laboratory (PSL)

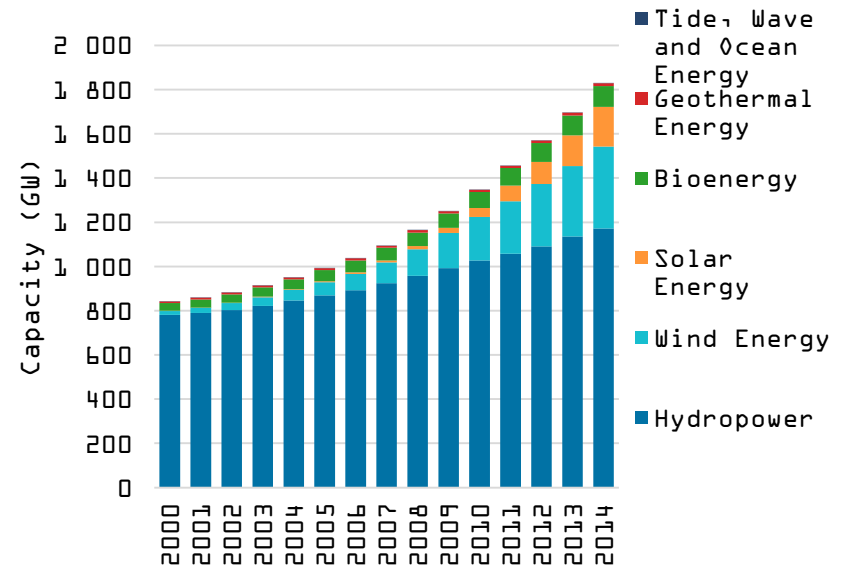
- Master in Electrical Engineering at Karlsruhe Institute of Technology
- Since Mai 2012 PhD student at Power Systems Laboratory (PSL)
- Interests Integration of Renewables in LV networks



# Introduction

- Increase of intermittent renewable energy sources (RES) in future
  - Short and long term storage facilities are needed to balance load demand and generation
- Photovoltaics (PV) mainly installed in low voltage (LV) networks
  - Grid capacity is limited
  - Distribution System Operators (DSOs) will face congestions in the distribution grid in future

Installed Renewable Power Capacity - Cumulative Capacity

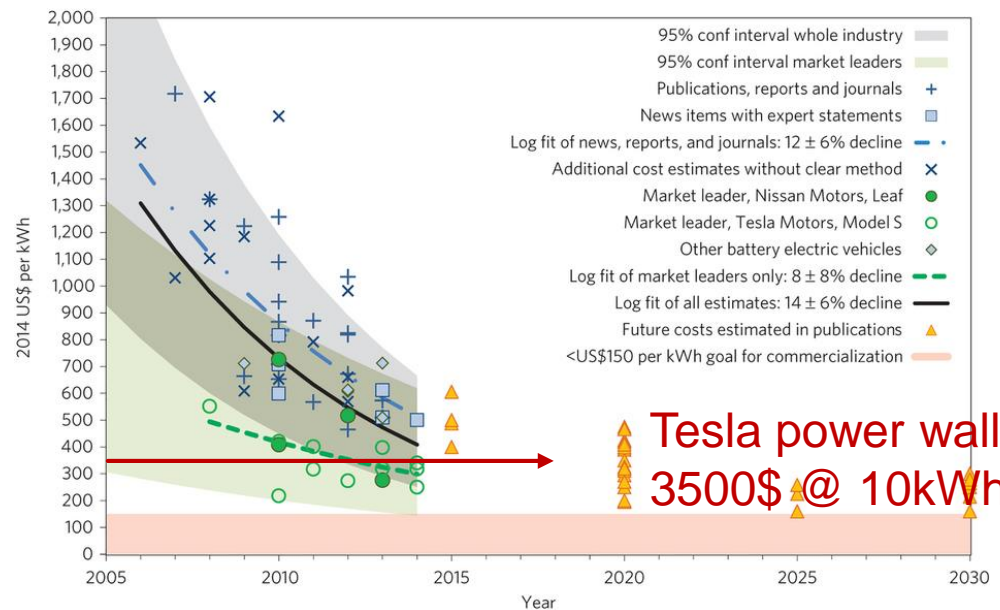


From [www.irena.org/resource](http://www.irena.org/resource)

# Batteries a solution?

- Batteries can deal with those challenges, but...
  - they have high investment costs
  - at the moment they are not economically viable
- To enhance profitability run multiple services on batteries

## ■ Battery costs in future



From *Rapidly falling costs of battery packs for electric vehicles*  
Björn Nykvist, Måns Nilsson  
Nature Climate Change 5, 329–332 (2015)

# Potential applications for batteries

- Grid security
  - Local grid support (power quality, prevent thermal overloadings)
  - System wide services (ancillary services e.g. frequency control )
- Economically incentivized
  - Increase PV self consumption to lower electricity bill
  - Peak shifting for industrial costumers
  - Arbitrage on tariff schemes (buy low, consume high)
  - Balancing RES forecast errors e.g. wind farms, balancing groups to enhance profitability
  - Pooling in energy market («virtual power plant»)

# Related research areas



# Outline

- Battery models for power system applications
- Optimal Power Flow (OPF) in distribution grids
- Optimal grid operation with batteries
- Optimal sizing and placement of batteries
- Conclusion

# Outline

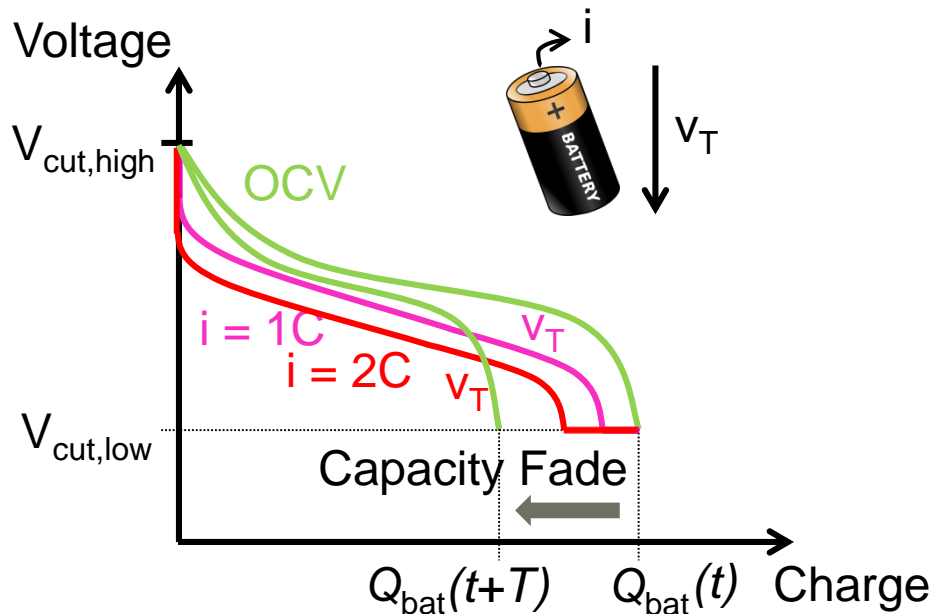
- **Battery models for power system applications**
- Optimal Power Flow (OPF) in distribution grids
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# Battery models for power systems

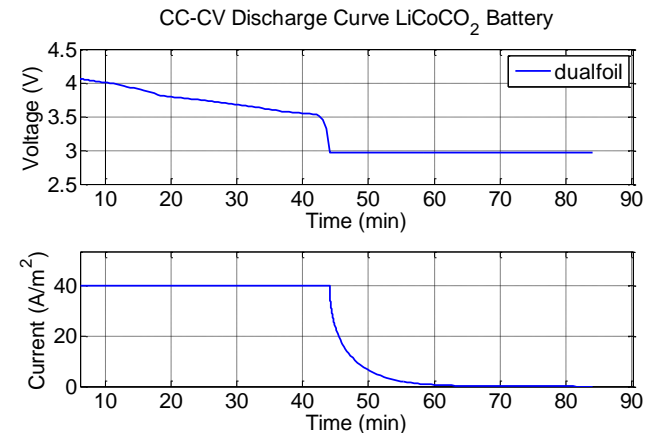
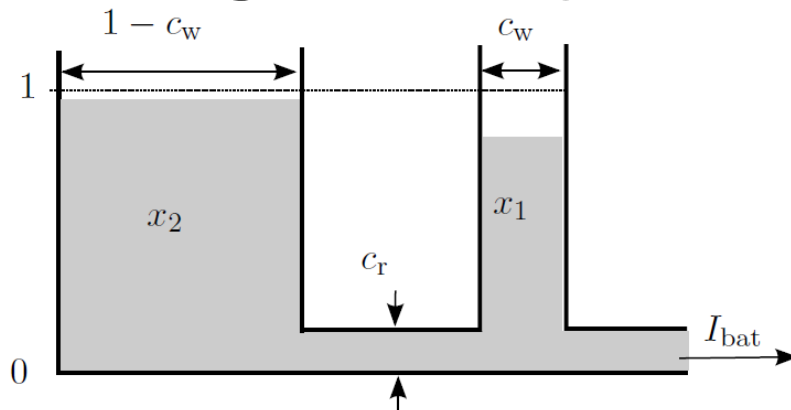
- Challenges
  - Need of functional models that capture relevant dynamics
  - Simple integrator based models often not sufficient
- Fast transients «rate capacity effect»
- Slow transients degradation

# Battery principles



- Open Circuit Voltage (OCV) according to Nernst Equation
- Rate Capacity effect
  - Usable capacity decreases at high battery power
  - Diffusion limitation causes additional voltage drop
- Capacity fade process
  - Depends on operational management
  - Irreversible

# Modeling a battery's fast dynamics

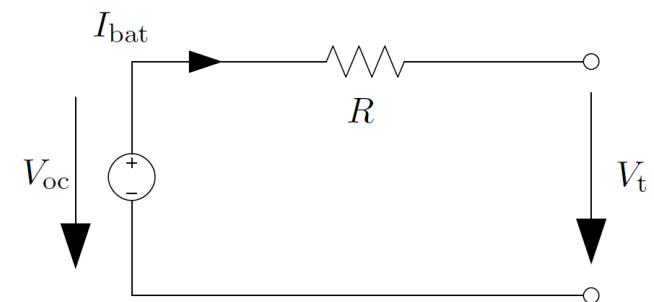


J. F. Manwell and J. G. McGowan, "Lead acid battery storage model for hybrid energy systems," *Solar Energy*, vol. 50, no. 5, pp. 399 – 405, 1993

$$\underbrace{\begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \end{bmatrix}}_{\dot{\mathbf{x}}} = \underbrace{\begin{bmatrix} -\frac{c_r}{c_w} & \frac{c_r}{1-c_w} \\ \frac{c_r}{c_w} & -\frac{c_r}{1-c_w} \end{bmatrix}}_{\mathbf{A}} \mathbf{x} - \begin{bmatrix} Q_{\text{bat}}^{-1} \\ 0 \end{bmatrix} I_{\text{bat}}$$

$$V_t = f_{\text{ocv}} \left( \frac{x_2}{1-c_w} \right) - RI_{\text{bat}},$$

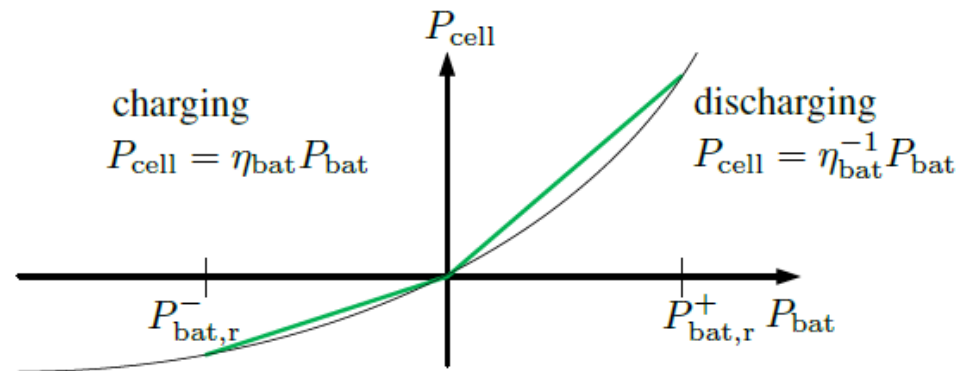
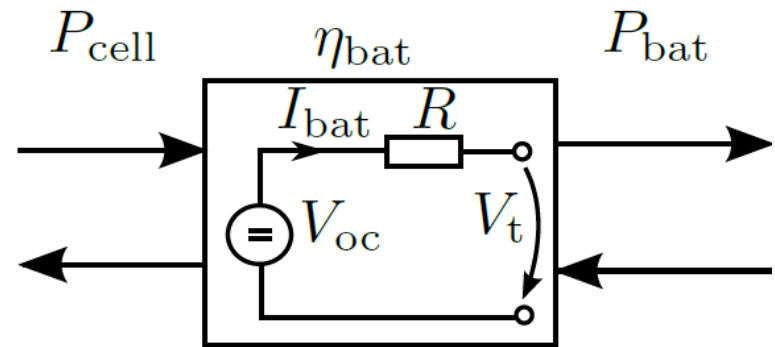
$$\text{SOC} = x_1 + x_2$$



Measurable quantities for system identification

# Linear power-based models for control

- Neglecting power converter dynamics
  - Linearize cell powers for charging and discharging
  - OCV constant
- 
- Linear basic model
  - Linear extended model

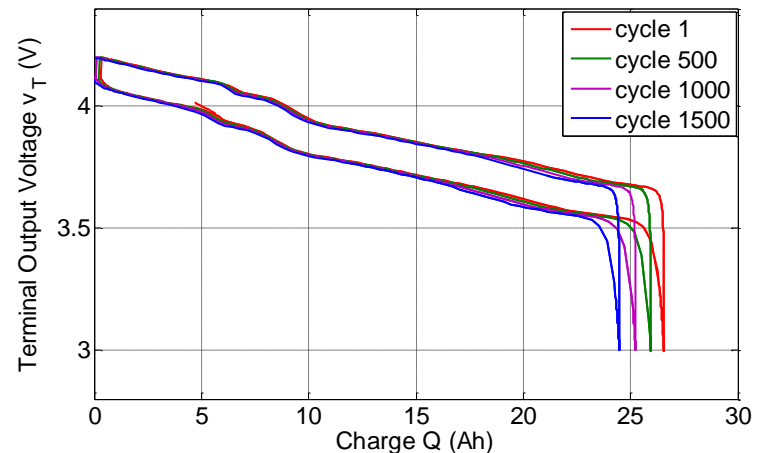


# DUALFOIL model as a real reference

- Ion diffusion processes (Fick's Law) with PDEs
- Electrode kinetics
- Solution of Differential Algebraic Equations (DAE)
- Properties
  - Technology (Li-Ion, NiMH)
  - Wide Range of cathode and anode materials
    - $\text{LiCoCO}_2, \text{LiMnO}_2, \dots$
  - FORTRAN

- Capacity fade process included (side reaction framework)

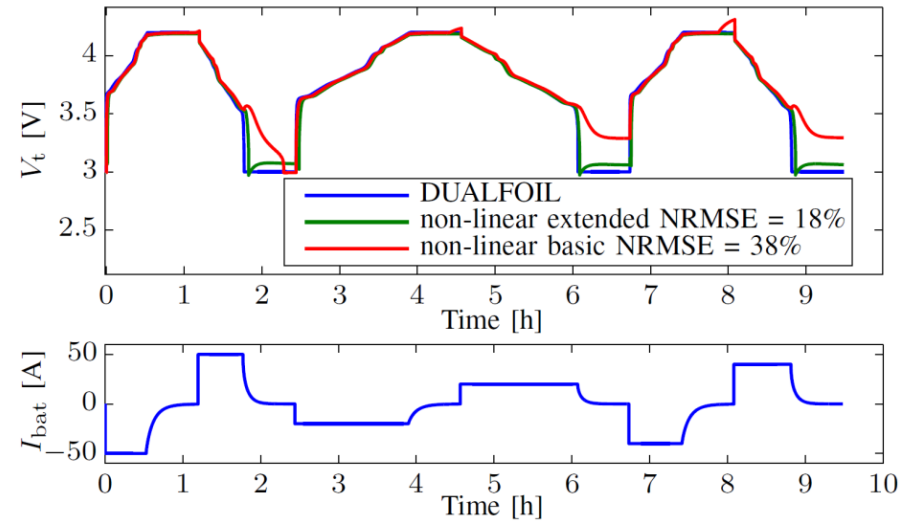
$\text{LiCoCO}_2$  DUALFOIL cycle test current  $I = 40\text{A}$ , cutoff voltage 3V, 4.2V



# Identification results

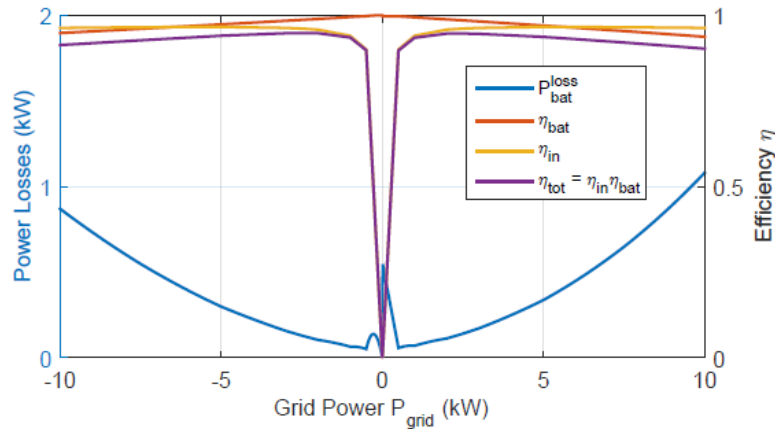
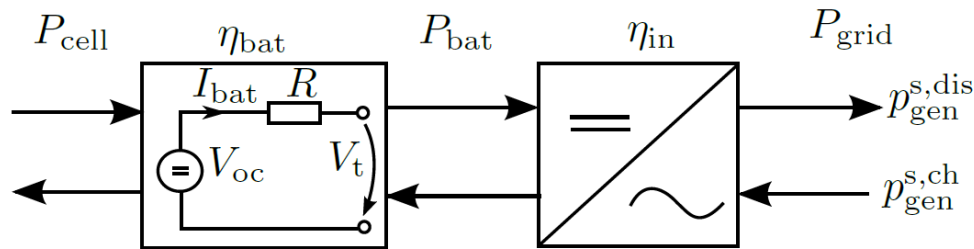
- Solve NLS Problem for non-linear models
- Calculate parameters for linear models

Parameter	Value	Description
$R$	1.5m $\Omega$	Internal Resistance
$Q_{\text{bat}}$	31.25 Ah	Charge capacity
$c_w$	0.13	Charge well factor
$c_r$	1e-3 sec <sup>-1</sup>	Recovery factor
$\eta_{\text{gen}}$	0.97	Discharge Efficiency
$\eta_{\text{load}}$	0.98	Charge Efficiency
$C_{\text{bat}}$	120 Wh	Energy Capacity



Model	NRMSE [%]	
	SOC	Voltage
Non-Linear basic	0	38
Non-Linear extended	0	18
Linear basic	3	98
Linear extended	3	59

# More detailed battery models with inverter



- Battery efficiency with Thevenin circuit equivalent
- Inverter efficiency with standard characteristics from datasheet
- Non-convex loss function for total losses
- Mixed Integer programming (MIP) problem

# Modeling slow battery dynamics - degradation

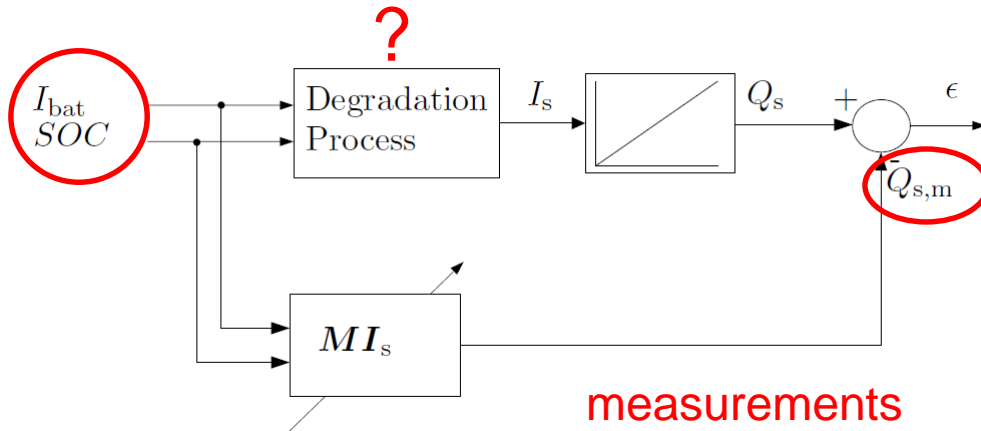
- Capacity loss: irreversible chemical side reactions transform cyclable ions into solid components
- Capacity loss process dependent on operational management

$$I_s = -\dot{Q}_{\text{bat}} = f(V_{\text{oc}}, I_{\text{bat}}, \vartheta)$$

- Degradation process not directly measurable



# Degradation ID Method



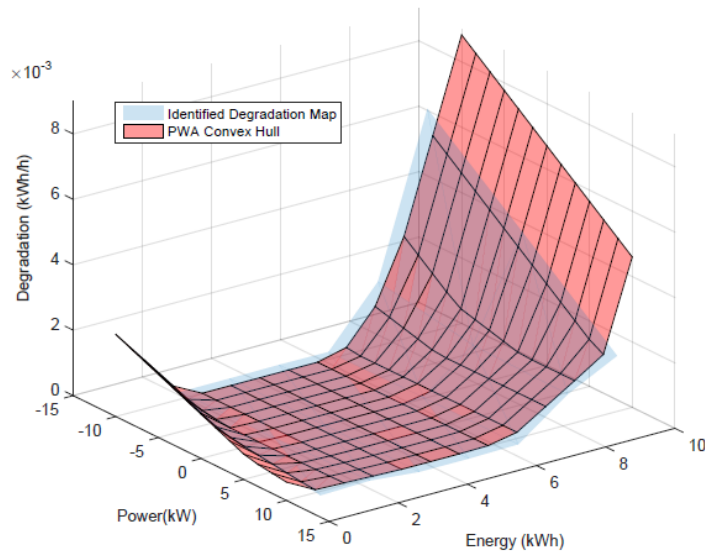
$$\hat{I}_s = \arg \min_{\hat{I}_s} \|M\hat{I}_s - Q_s\|_2^2$$

$$\text{s.t. } \hat{I}_s > 0 \quad .$$

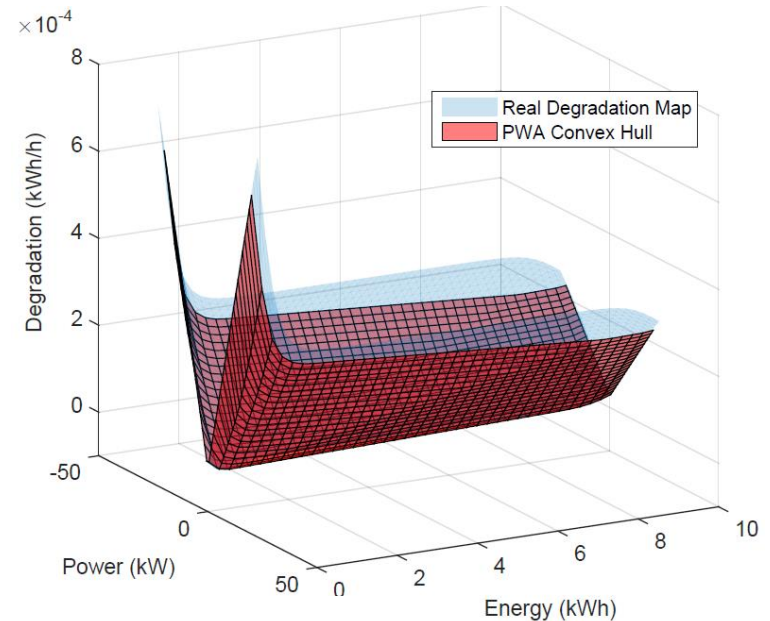
- Use arbitrary forcing pattern to obtain linearly independent Matrix  $M$
- $\text{Rank}(M)$  equals number of measurements needed to obtain an unique solution
- Solve for side current  $I_s$  to get degradation map

# Degradation maps

- LiCoO2 based on high-fidelity model DUALFOIL [6]



- LiFePO4 based on experimental cycling [14]



- Convex Approximation

$$\tilde{J}_{\text{deg}} = \max \left( \begin{bmatrix} \mathbf{a}_1 & \mathbf{a}_2 & \mathbf{a}_3 \end{bmatrix} \begin{bmatrix} P_{\text{bat}} \\ \text{SoE} \\ C_E \end{bmatrix} \right)$$

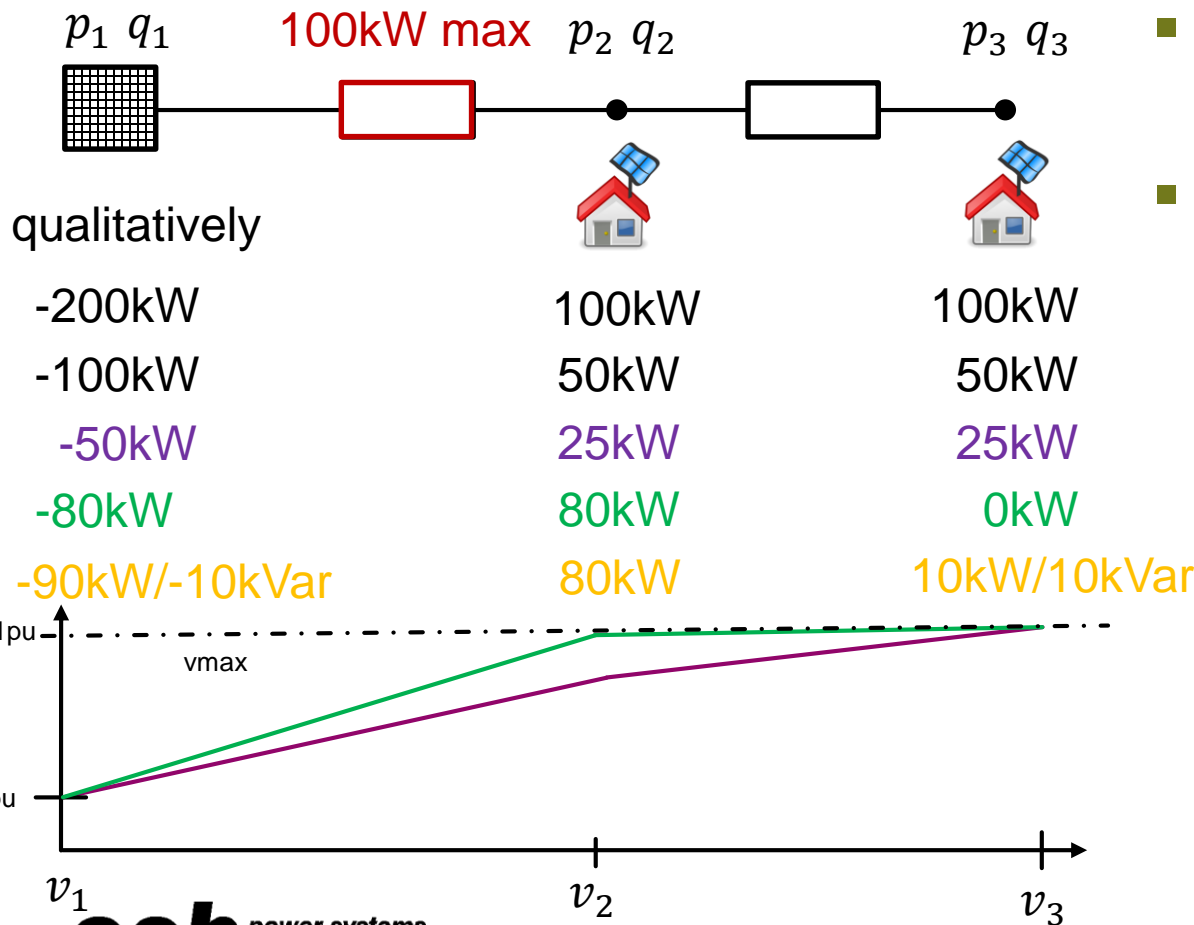
# Implications for control strategies

- Fast transient models are needed when we need to control batteries in real-time
- Capacity fade is a slow process and depends on the operational management
  - Potential for intelligent control strategies
- Linear models and convexification of degradation maps allow for efficient control algorithms (e.g. lower hardware requirements for controllers)

# Outline

- Battery models for power system applications
- **Optimal Power Flow (OPF) in distribution grids**
- Optimal grid operation with batteries
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# Optimal Power Flow (OPF) in distribution grids



- Maximize PV infeed
- Subject to
  - Thermal Constraints
  - Voltage Constraints

Optimal active power curtailment (APC)

APC + optimal reactive power control (RPC)

# Optimal Power Flow for grid operation

- Nowadays:  
Distribution System Operators (DSOs) have limited information to run the grid
  - Rule based methods to ensure grid security (V/Q characteristics, ripple control)
- In future:  
DSOs can use OPF to exploit the full potential of the underlying network (e.g. optimal RPC, APC, optimal BESS strategies)
  - Mitigation of investments for grid expansion
  - But requires communication infrastructure

# Motivation for linearized OPF methods

- Computational burden is high to calculate non-linear AC-OPF in real-time operation
- A planning framework has also to reflect the OPF operational strategy
  - This means: long planning horizons and intertemporal coupling (storage) lead to intractable planning problems
- Linearized version of the AC-OPF can help to make the problem tractable
  - DC-OPF is not meaningful for LV networks

# Recasting AC-OPF to FBS-OPF

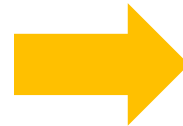
- Standard AC-OPF

$$J(\mathbf{x}^*) = \min_x f_p(\mathbf{p}_{\text{gen}}) + f_q(\mathbf{q}_{\text{gen}})$$

*s.t.*

$$\mathbf{h}(\mathbf{x}) \leq 0$$

$$\mathbf{g}(\mathbf{x}) = 0.$$



- Recast to Forward Backward Sweep (FBS)-OPF

$$\min_x f^{\text{con}}(\mathbf{p}_{\text{gen}})$$

*s.t.*

$$\mathbf{A}_{\text{in}} \mathbf{x} \leq \mathbf{b}_{\text{in}}$$

$$\mathbf{A}_{\text{eq}} \mathbf{x} = \mathbf{b}_{\text{eq}}.$$

- Assumptions:

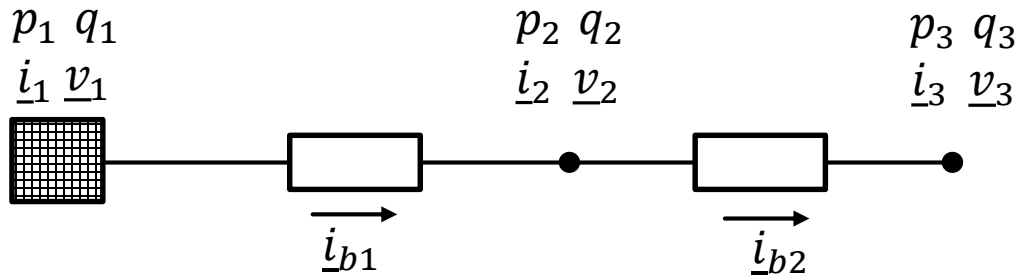
- Voltage angles are small
- Radial network
- R/X ratio high

- Piecewise-linear loss approximation
- Linear voltage approximation
- Linear Programming (LP) Problem



# Forward Backward Sweep (FBS) Load Flow

- Definitions



- Node currents

$$\underline{i}^{n \times 1} = \underbrace{\text{diag}\{1/\underline{v}_1, \dots, 1/\underline{v}_n\}}_{\underline{V}_{df}^*} [\underline{p} + j\underline{q}]^*$$

- Branch currents

$$\underline{i}_b^{l \times 1} = \underline{M}_f \underline{i}$$

- Bus Injection to Branch Current (BIBC) Matrix

$$\underline{M}_f = \begin{bmatrix} 0 & 1 & 1 \\ 0 & 0 & 1 \end{bmatrix}$$

$$\underline{M} = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$$

- Power Flow Algorithm

- Iterate  $k$

- Forward Stage
- $\underline{i}^k = \underline{V}_{df}^{*k} [\underline{p} - j\underline{q}]$
- Backward Stage
- $\underline{v}^k = \underline{v}_s + \underline{M}^T \underline{Z} \underline{M}_f \underline{i}^k$

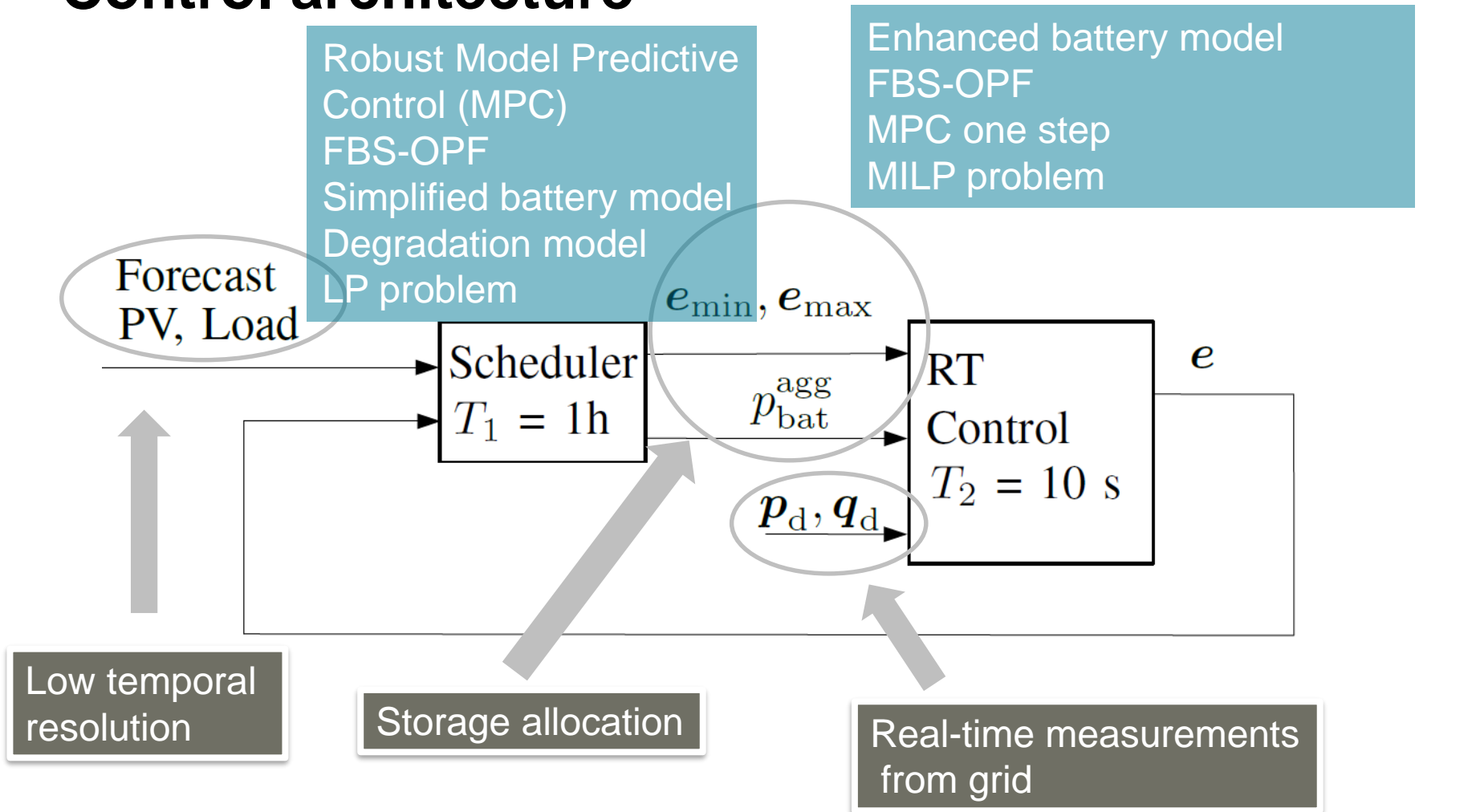
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# Optimal operation

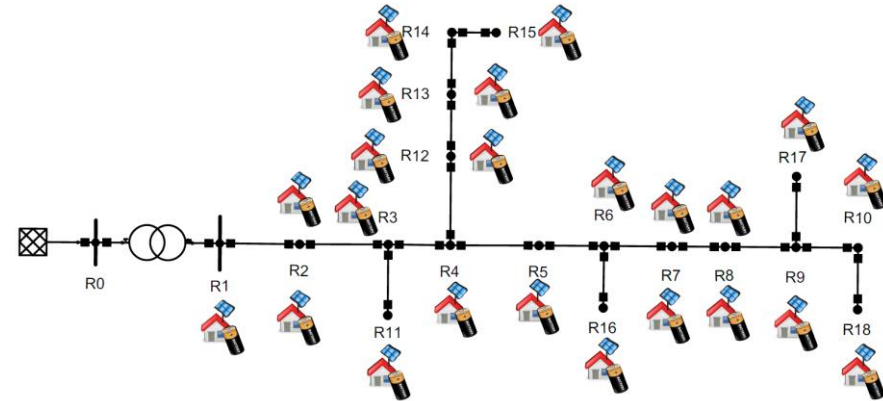
- Control challenges
  - Link real time (RT) domain with operational planning domain
    - Battery dynamics, Grid security on short time scale (10sec -10 min)
    - PV, and load forecast on higher time scales (~1h)
  - Computational complexity
- Solution approach
  - Two-stage control strategy: scheduler and RT-control entity

# Control architecture



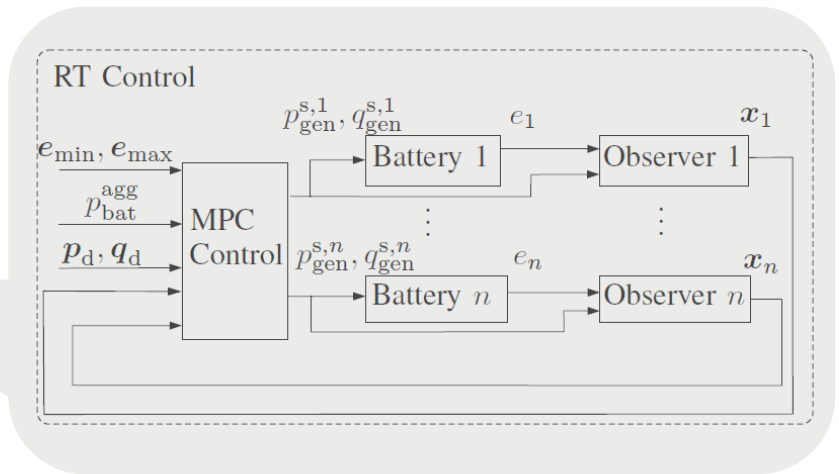
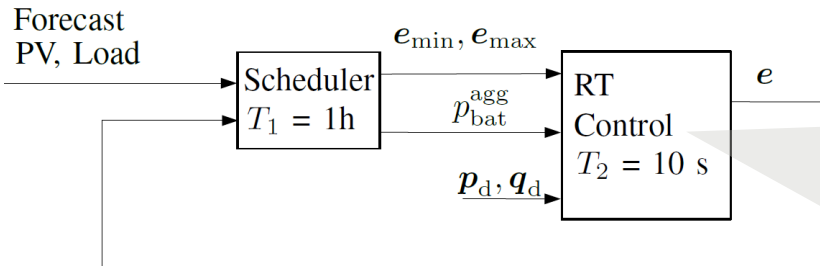
# Case study operation

- DSO wants to invest in storage to defer line upgrade
- Control Objective
  - Maximize PV utilization (no PV curtailment) and minimize battery degradation
- Subject to
  - Grid constraints (thermal line overloading and voltage) in RT
  - Storage constraints in RT
- DUALFOIL model used in place as a real battery model in RT

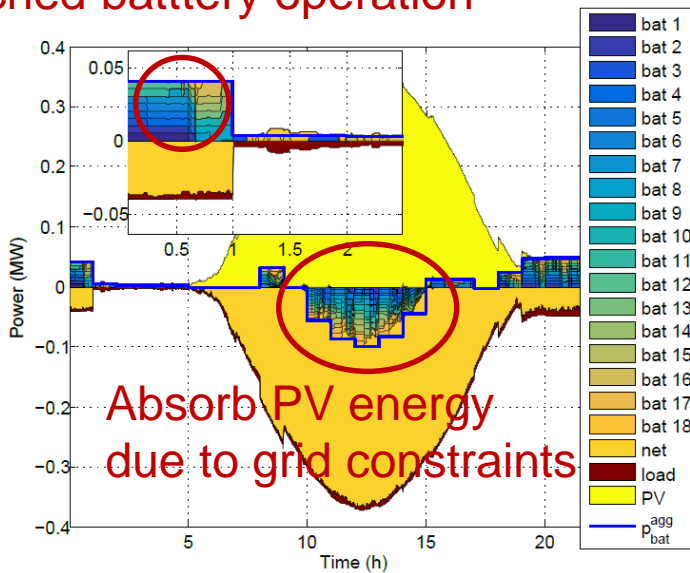


<b>Scenario Definition</b>	
<b>Storages</b>	18
<b>Storage Power/ Capacity</b>	10kVA @ 20kWh
<b>PV share</b>	100% (19)
<b>PV power</b>	20kVA
<b>Busses</b>	19
<b>Households</b>	18

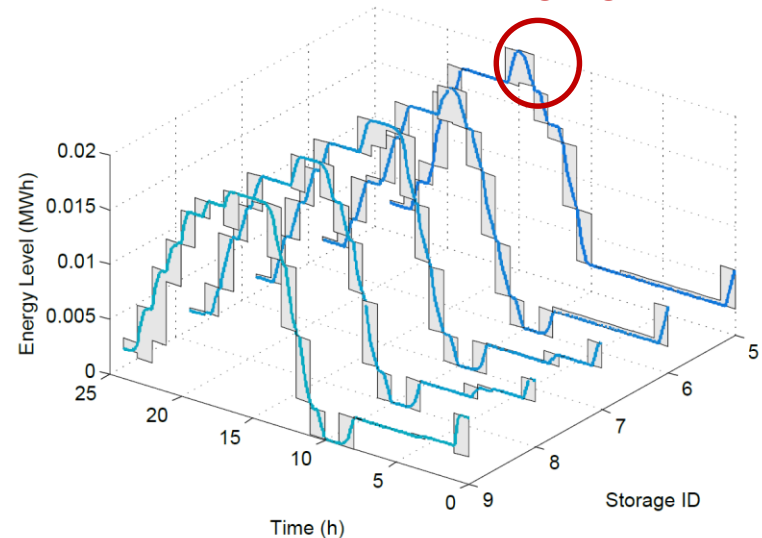
# RT-dispatch results



## Switched battery operation

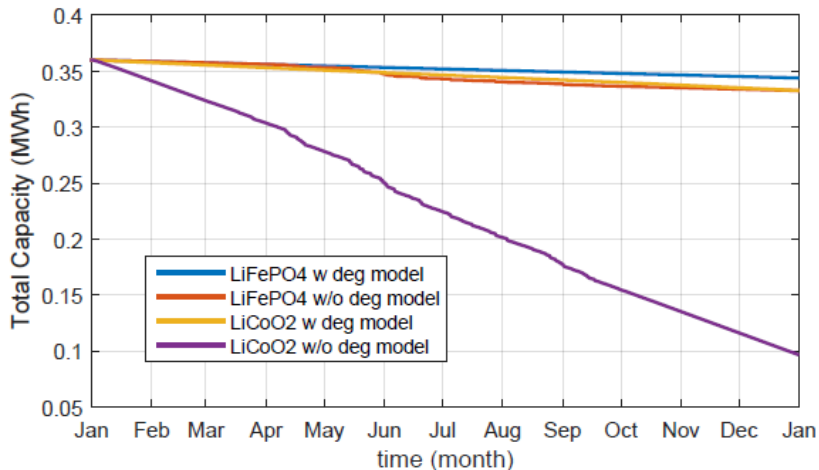


## CC/CV charging



# Degradation results

- Capacity fade for two different battery technologies for 1 year



- LiCoO2 system is very sensitive to low SOC regimes

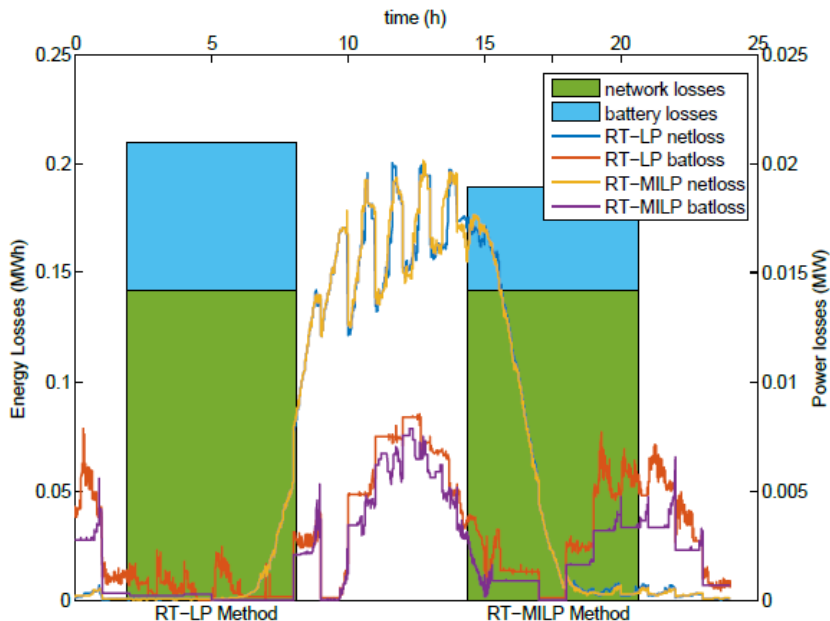
- Life Cycle Assessment

Battery Technology	Deg Model	Expected full cycle achievement	Expected Lifetime (years)
LiFePO4 [6]	yes	2816	4.4
	no	1609	2.64
LiCoO2 [14]	yes	1652	2.63
	no	166	0.29

- Including a deg model in the controllers can extend the battery lifetime by at least factor 2

# RT control results

- Energy and power losses for two different controller configurations



- Loss Comparison

Controller Mode	Detailed battery model	Network losses	Battery losses
RT-MILP	Yes	141.8 kWh	47.5 kWh
RT-LP	No	141.2 kWh	68.4 kWh

- MILP saves up to 30% battery losses
- Battery losses constitute 1/3 of network losses
  - Batteries could be used to reduce total losses



## Conclusion battery operation

- Division of planning domain and real-time control is crucial, since they act on different time scales
- Enhanced battery models are needed for real-time control
- We can save substantially battery system losses if we consider a switched battery operation
- Consideration of degradation models is important to prolong battery lifetime
- Real-time control utilizes full grid potential by solving an RT-OPF (optimal reactive power control, optimal active power curtailment)

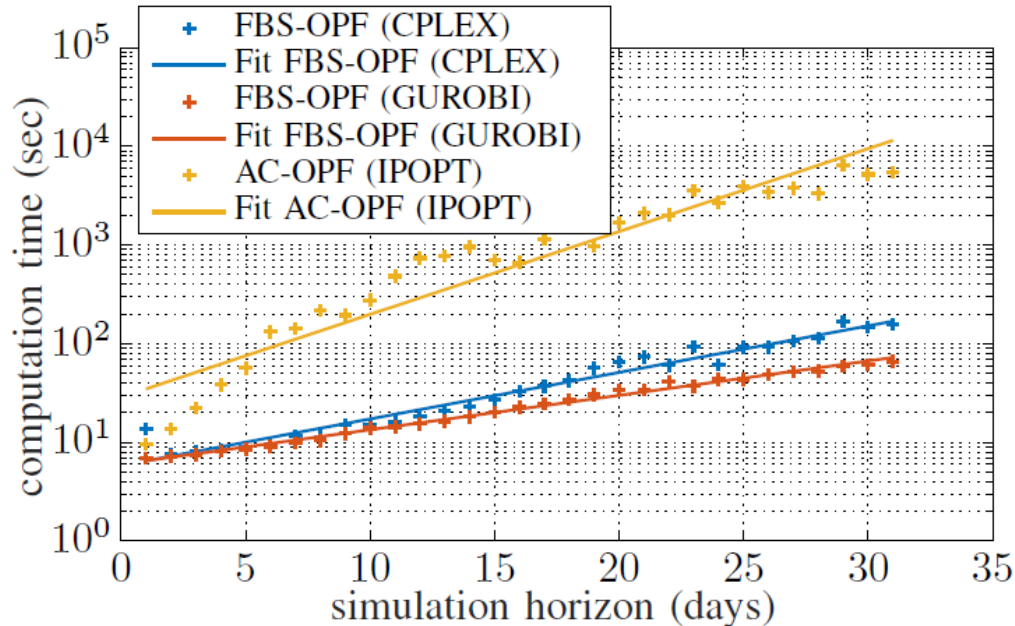
# Outline

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- Optimal Power Flow (OPF) in distribution grids
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# Optimal sizing and placement of storage

- Challenges
  - Link operational domain with investment planning domain (years)
  - A planning framework has to reflect the OPF strategy
  - But long planning horizons and intertemporal coupling (storage) lead to intractable planning problems
- Solution approach
  - Linearized version (FBS-OPF) of the AC-OPF can help to make the problem tractable

# FBS OPF computation time



Placement of  
18 storages on a 19 bus  
network  
Resolution 1h

- Computation time grows polynomially
- FBS-OPF extrapolated 2 hours for 1 year
- AC-OPF extrapolated 27 days for 1 year (factor 300)

# Planning strategy with FBS-OPF

## Problem Structure

Operational strategy      investments

$$\min_{X, z, l} \left( \sum_{k=0}^N f^{con}(\mathbf{p}_{gen}) \right) + f_s(\mathbf{z}) + f_l(\mathbf{l})$$

s.t.

Grid constraints

voltage and thermal limits

Storage coupling

$$\tilde{\mathbf{A}}_{in} \begin{bmatrix} X \\ l \end{bmatrix} \leq \tilde{\mathbf{b}}_{in}$$

$$\tilde{\mathbf{A}}_{eq} \begin{bmatrix} X \\ l \end{bmatrix} = \tilde{\mathbf{b}}_{eq}$$

$$\mathbf{A}_s \begin{bmatrix} X \\ z \end{bmatrix} \leq \mathbf{b}_s.$$

## Cost curves

### Operational cost curves

- Any convex  $f^{con}(\mathbf{p}_{gen})$   
e.g. energy prices or tariff schemes with feed-in tariff

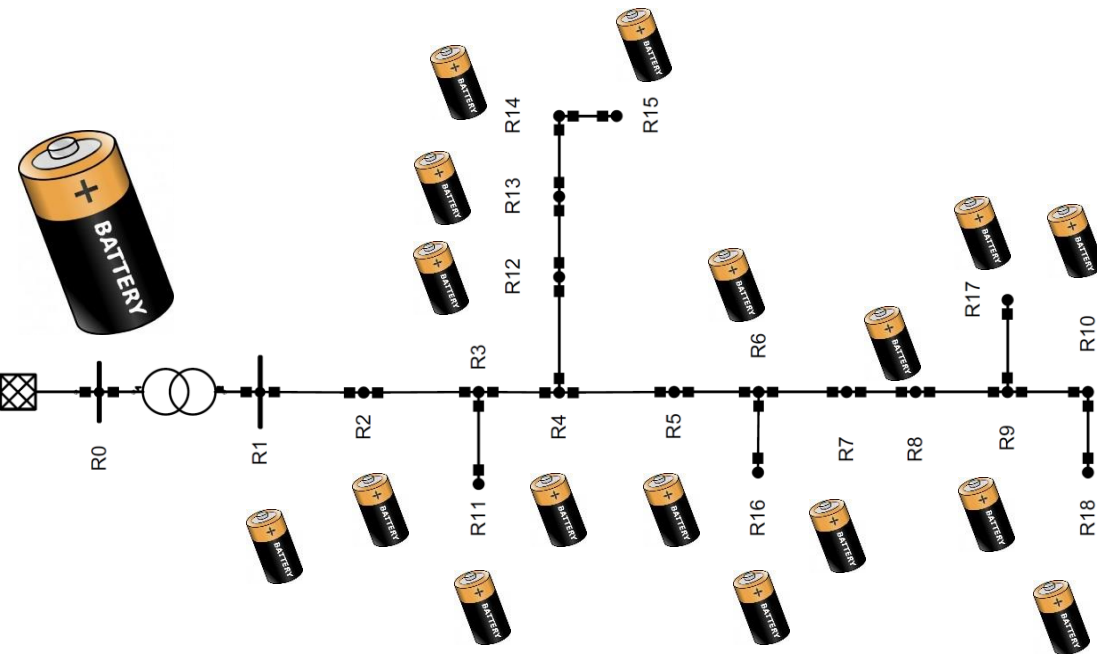
### Non-scalable components

- $f_l(\mathbf{l})$  general integer
- MILP problem

- scalable components (storage)  $f_s(\mathbf{z}) = \mathbf{c}_S^T \mathbf{z}$   
where  $\mathbf{c}_S^T$  is storage price

# Case Study Distributed vs Centralized Storage

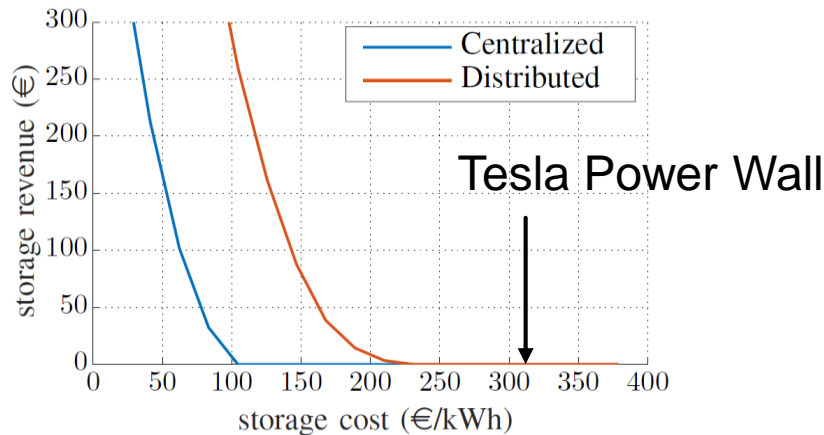
Which configuration is better, when DSO can additionally buy energy from/ sell to the market?



Storage Configuration	Centralized	Distributed
Storages	1	18
Storage Power	180kVA	10kVA
PV share	100% (19)	
PV power	30kVA	
Storage Price	25 - 300 €/kWh	
Storage Efficiency (round trip)	0.8	
Simulation Horizon	31 days	
Time Steps	744 @ 1h	
Busses	19	
Energy Price profile	EEX spotmarket	
Available PV Energy	147.13 MWh	
Consumed energy	4.84 MWh	
Households	18	

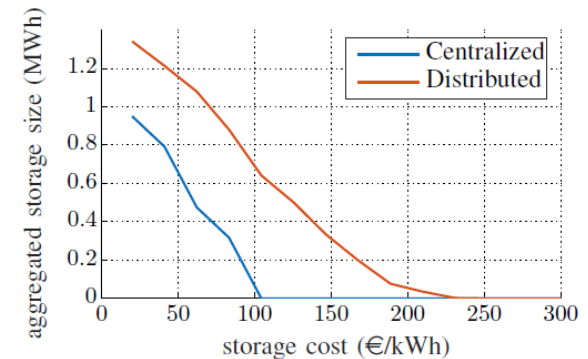
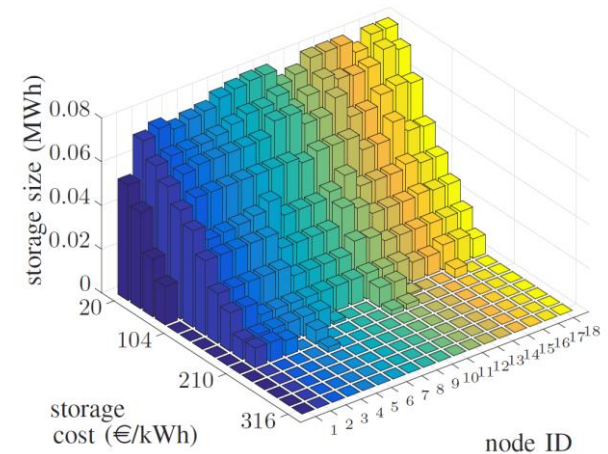
# Case study results

- Storage revenue for centralized and decentralized scenario



- Distributed scenario more profitable due to avoided PV curtailment

- Storage placement and sizing



## Conclusion planning and outlook

- We can use FBS – OPF into a planning framework to reflect a multiperiod OPF strategy (e.g. storage management, optimal APC, optimal RPC)
- AC-OPF is intractable, while FBS-OPF is tractable
- Distributed optimization due to very high RAM usage



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## Conclusion and Outlook

- Intelligent battery control strategies improve economic viability of battery investments in combination with
  - detailed battery models
  - degradation models
  - Optimal Power Flow (OPF)
- Linearized OPF methods need to be considered to solve tractable planning problems
- Case studies for bigger networks reflecting different configurations (remote, rural, urban)

# Thank you for your attention...



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