



# Optimal energy system design for residential buildings and districts

Somil Miglani <sup>a,b</sup>, Dr. Kristina Orehounig <sup>b</sup>, Prof. Dr. Jan Carmeliet <sup>a</sup>

<sup>a</sup> Chair of building physics, ETH, Zürich

<sup>b</sup> Urban energy systems laboratory, Empa, Dübendorf

# Climate change

**“We must now agree on a binding review mechanism under international law, so that this century can credibly be called a century of decarbonisation.”**

**Angela Merkel** on Climate Change  
Chancellor of Germany

**“Climate change is no longer some far-off problem; it is happening here, it is happening now.”**

**Barack Obama** on Climate Change  
President of the United States of America

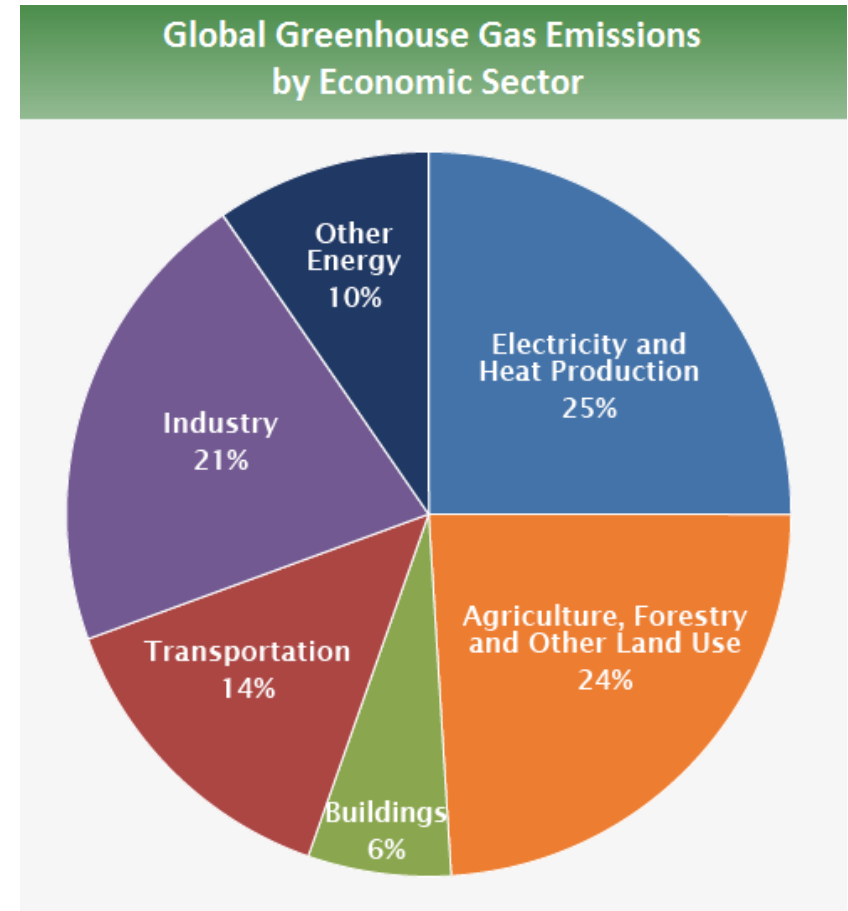
**“The time is past when humankind thought it could selfishly draw on exhaustible resources. We know now the world is not a commodity.”**

**François Hollande** on Climate Change  
President of the French Republic



# Global green house gas emission by sector

- Electricity and heat production: 25%
- Buildings: 6%



Source: IPCC 2014

# Energy transition and favorable policy

- Energy transition – Long-term structural change in energy systems
- Energywende, Germany
  - 85-95% reduction in greenhouse gas emissions
  - 60% renewable energy consumption
  - 50% reduction in primary energy consumption
- Energy strategy 2050, Switzerland

Question – Which path shall we take?

# A historical perspective on energy transition in buildings (1500-1800)

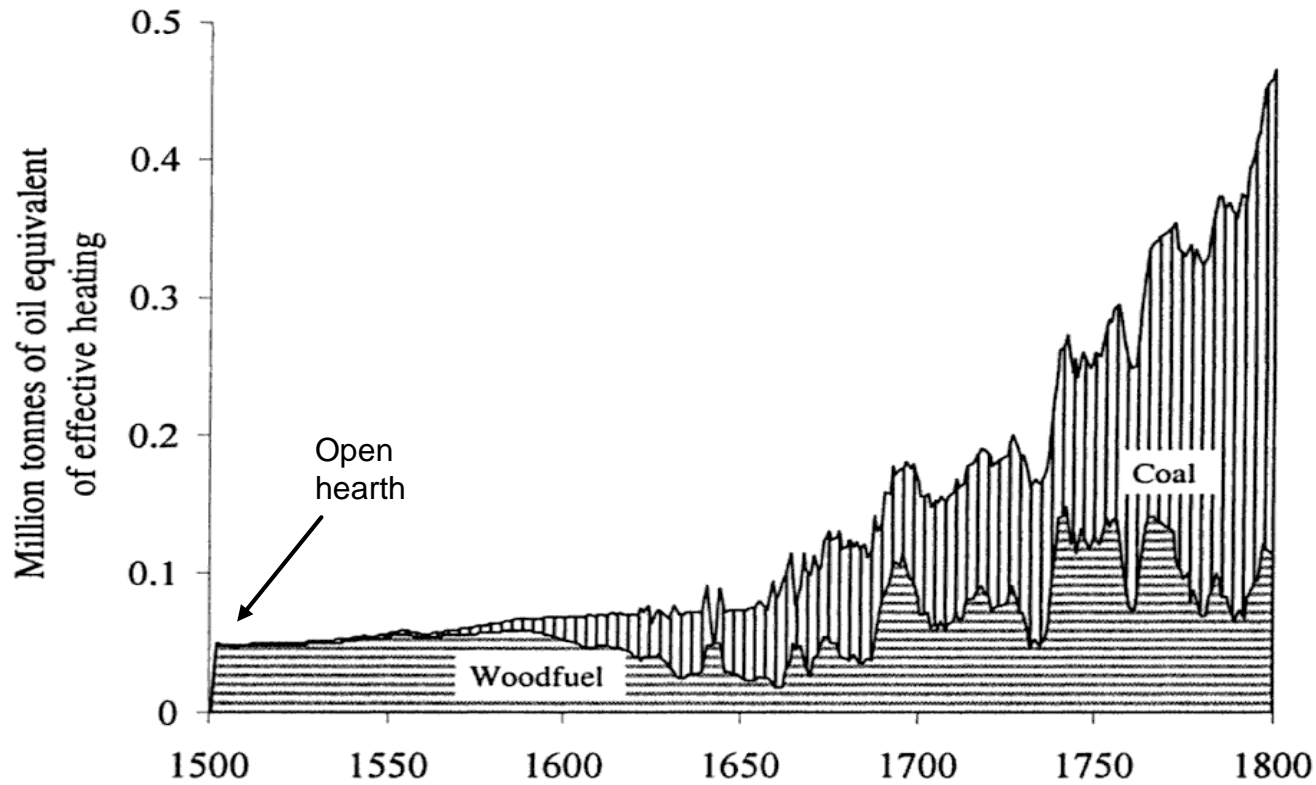


Fig: Heating services in buildings by energy source (UK)



Fig: Open hearth fireplace. Source. Pinterest



# A historical perspective on energy transition in buildings (1500-1800)

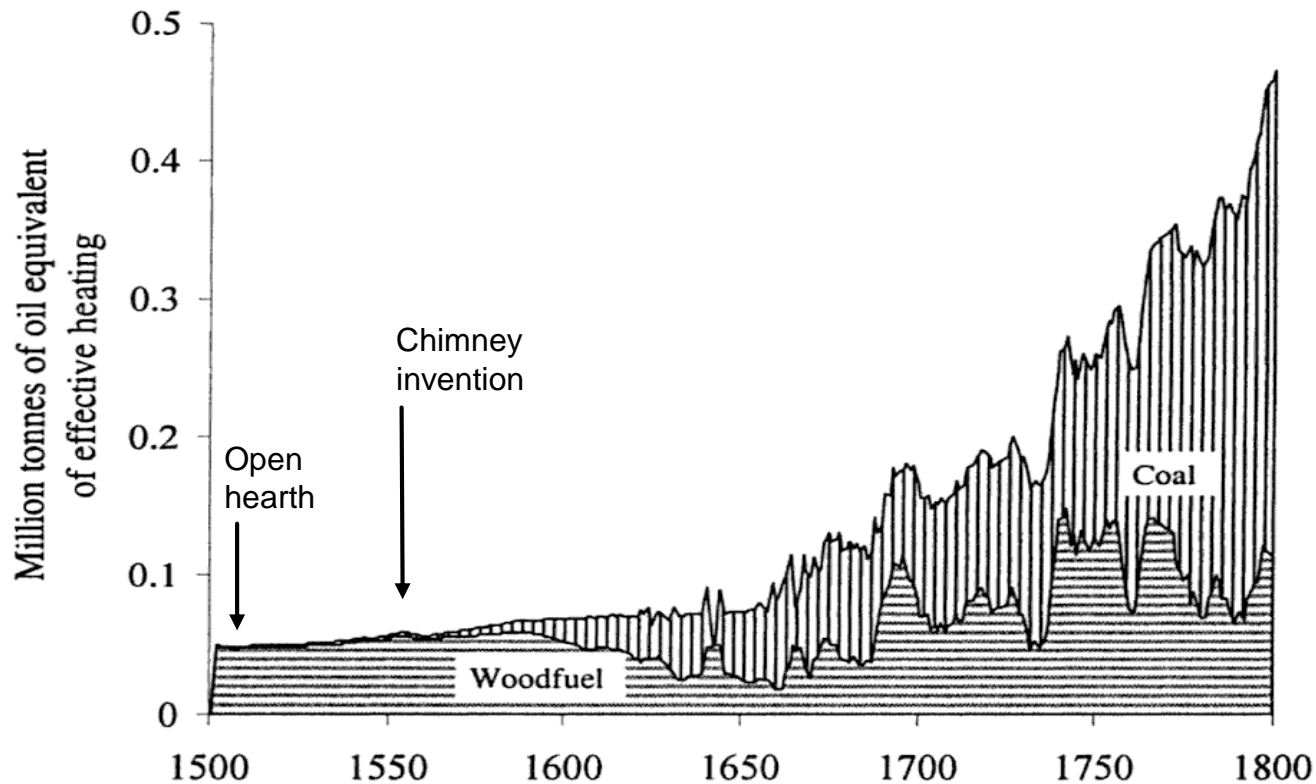


Fig: Heating services in buildings by energy source (UK)



Fig: Chimney example. Source. Pinterest

# A historical perspective on energy transition in buildings (1800-1900)

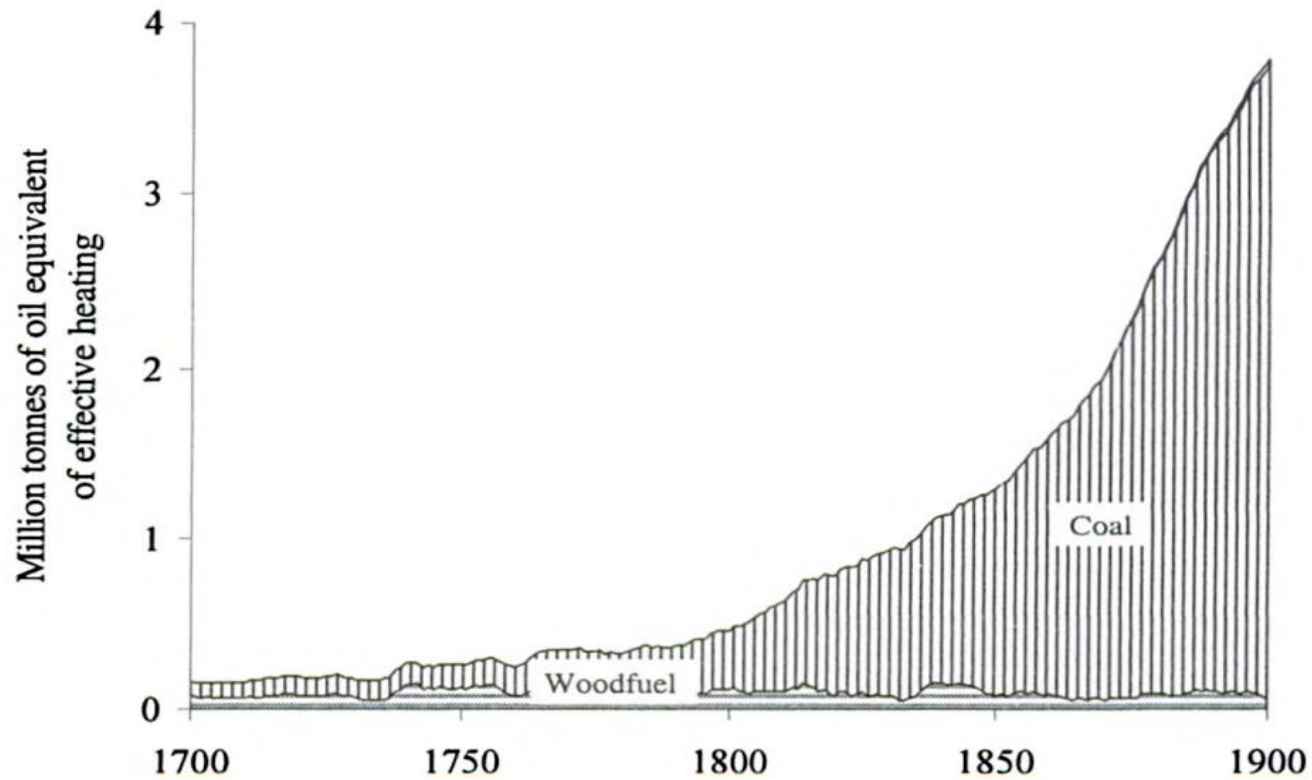


Fig: Heating services in buildings by energy source (UK)



# A historical perspective on energy transition in buildings (1900-2000)

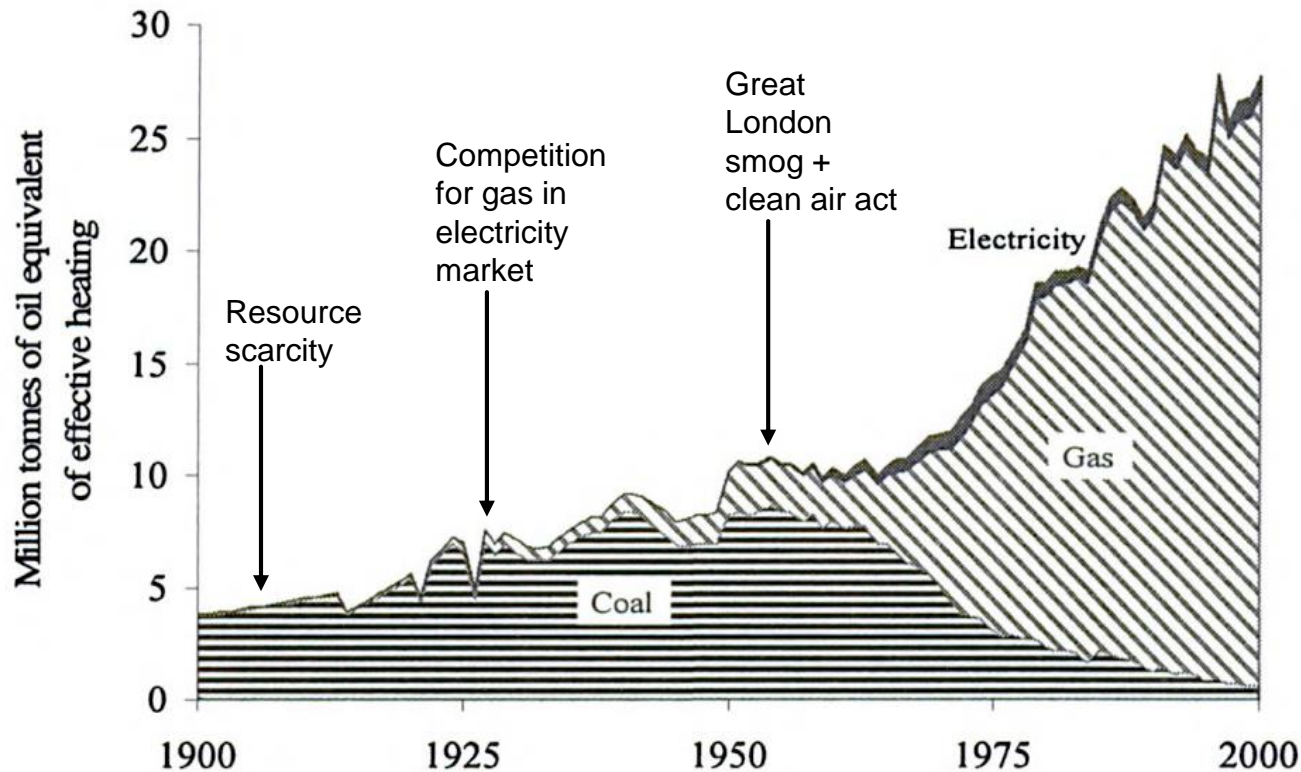


Fig: Heating services in buildings by energy source (UK)



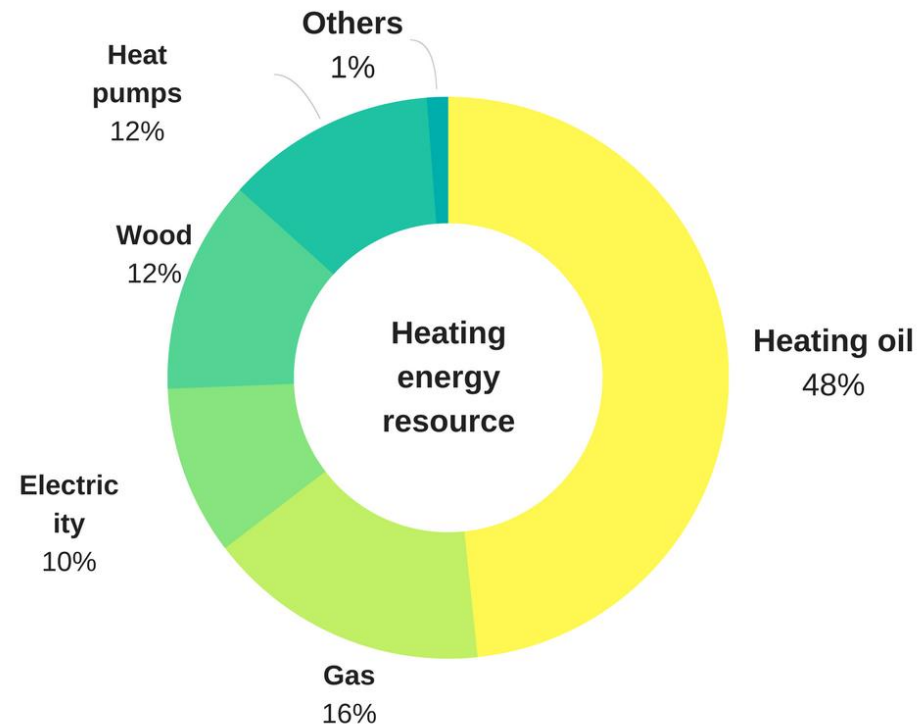
Fig: The great smog of London (1952). Source. The Verge

## Key diverging factors from past energy transitions

- Deeper understanding of the engineering challenges
- Better research and development infrastructure
- Favorable energy policy
- Wide landscape of solutions, technologies
- External costs are not explicit
- Lack of clear incentives to adopt new renewable technologies
- Existing energy and social infrastructure, lock-in
- Optimal design solutions unknown

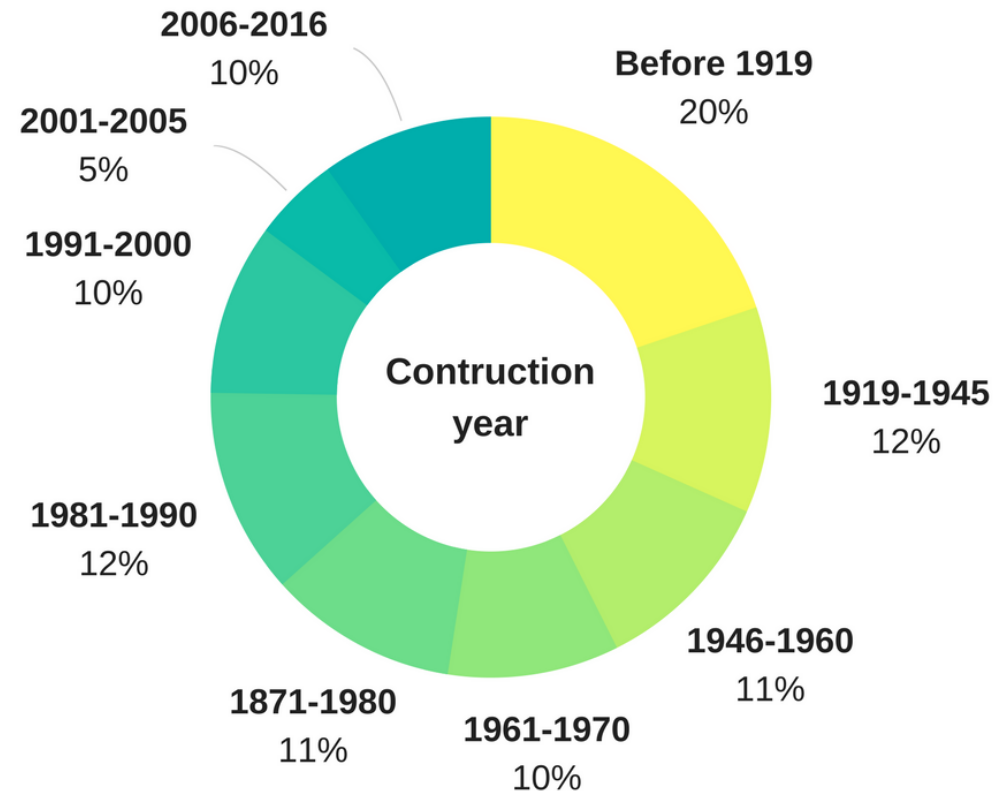
# The Swiss context

# Switzerland and its buildings (Energy source)



**Fig:** Heating services in buildings by energy source (2013)

# Switzerland and its buildings (Construction)



**Fig:** Distribution of construction year for Swiss buildings (2013)

# Swiss energy strategy (SES) 2050

- Political reform for Switzerland's energy transition
- Voted as a energy law



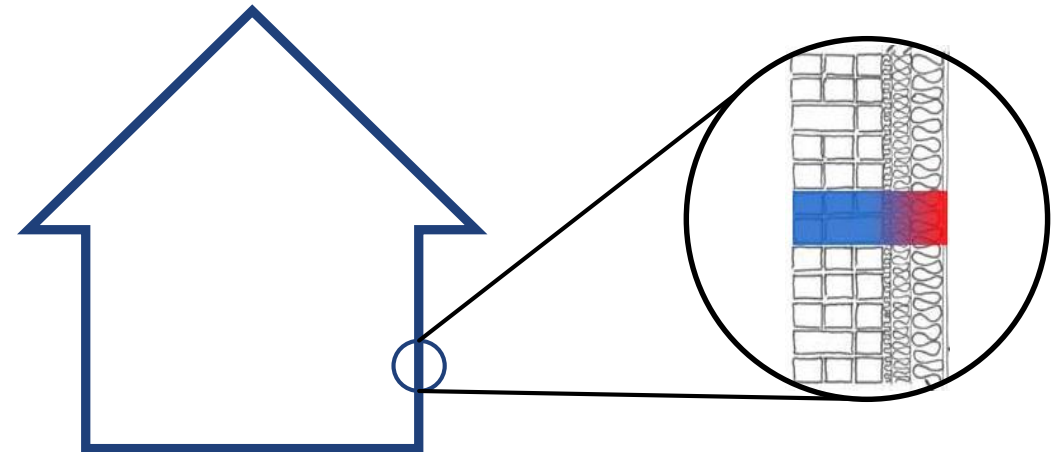


# SES2050 – Recommended energy interventions I

- Utilization of renewable energy resources at building/district level
  - **Solar energy:** Solar photovoltaic (PV), solar thermal collectors
  - **Geothermal:** ground source heat pumps (GSHP)
  - **Biomass:** biomass boilers
  - **Others:** air source heat pumps (ASHP), micro combined heat and power ( $\mu$ -CHP)

## SES2050 – Recommended energy interventions II

- Improved thermal insulation for higher energy efficiency
  - **Old technology:** Bricks, concrete, quarry stones, gypsum, air gaps, etc.
  - **New technology:** Polystyrene, polyurethane, glass wool, etc.
- Walls, roofs, floors, windows, etc.
- Substantial improvements in thermal properties



# Swiss energy strategy 2050 – emissions targets

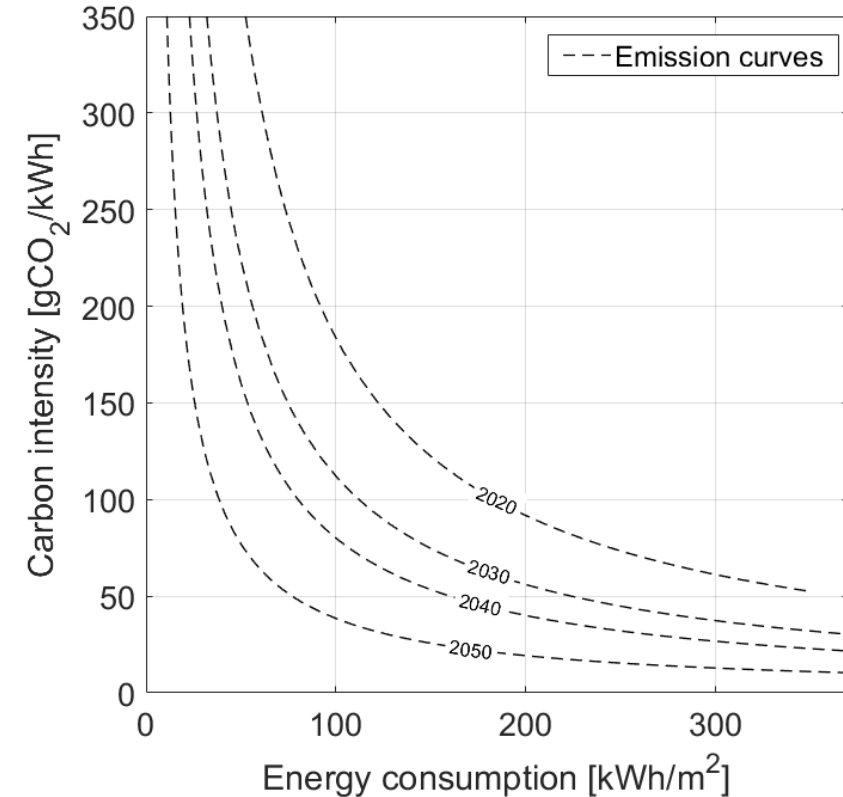
- CO<sub>2</sub> emissions targets for 2020, 2030, 2040 and 2050
- Projections for energy demand and floor area for residential buildings

$$CO_2 = \frac{\overbrace{CO_2}^x}{\underbrace{EC}_y} \cdot \frac{\overbrace{EC}^y}{\underbrace{A}_A} \cdot A$$

$x$  = carbon intensity (gCO<sub>2</sub>-eq/kWh)

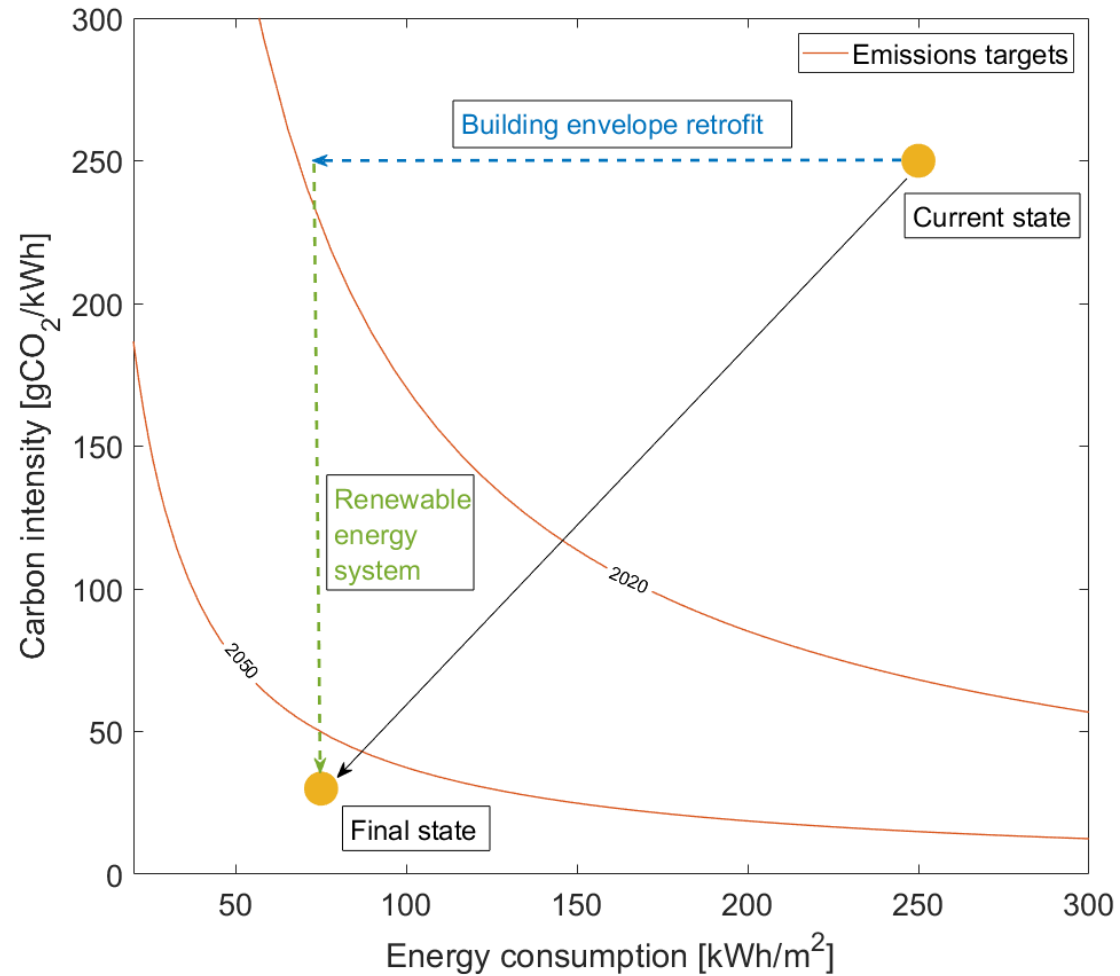
$y$  = energy consumption (kWh/m<sup>2</sup>)

$A$  = Floor area (m<sup>2</sup>)



**Fig:** CO<sub>2</sub> emission targets for residential buildings in Switzerland for 2020 through 2050

# Performance of energy interventions



**Fig:** Impact of energy interventions on carbon intensity and energy consumption

Question – What are the least cost energy interventions which meet the CO<sub>2</sub> targets?

# Mathematical optimization – Linear programming

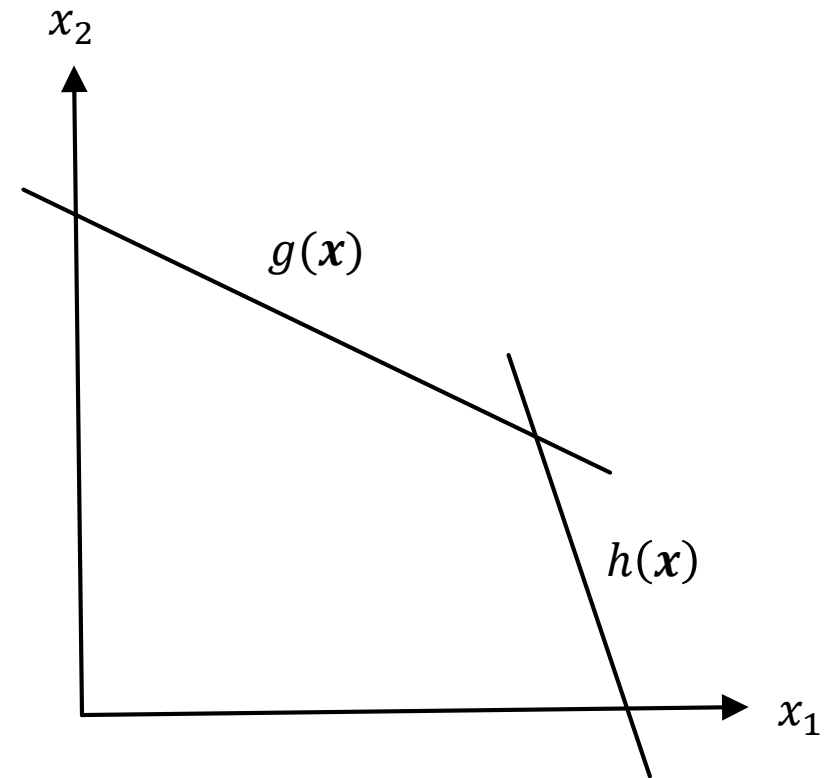
Minimize:  $f(\mathbf{x})$

Subject to:  $g(\mathbf{x}) \leq 0$

$$h(\mathbf{x}) = 0$$

$$x_{min} \leq \mathbf{x} \leq x_{max}$$

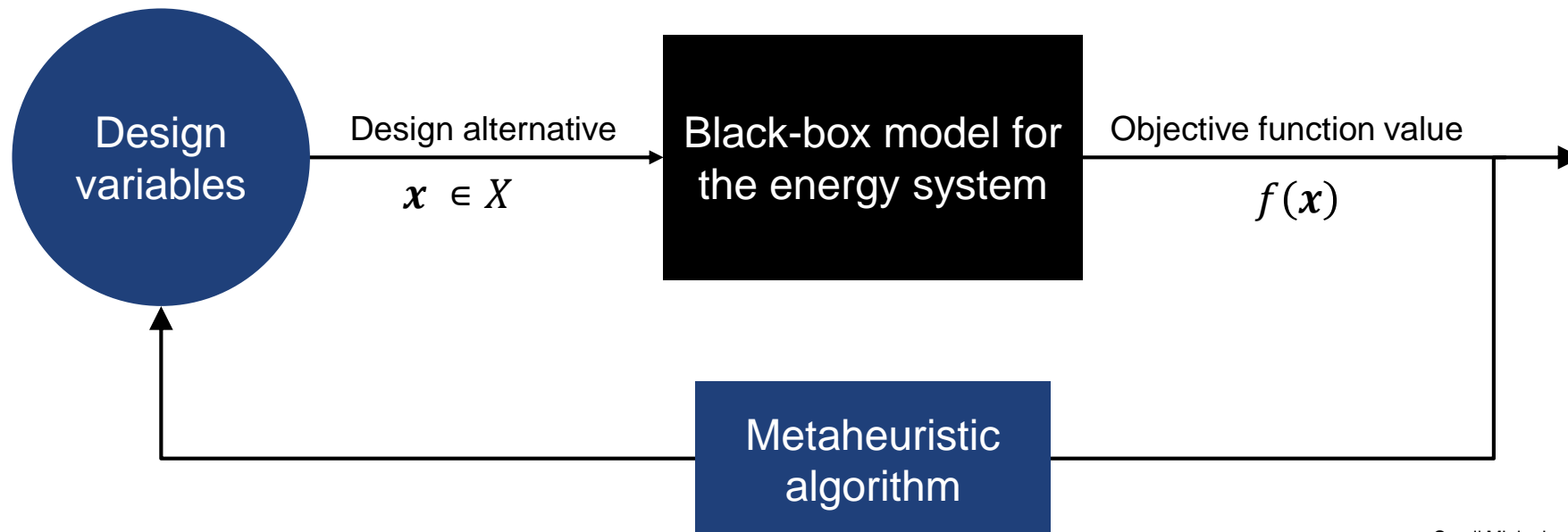
$$\mathbf{x} \in X$$





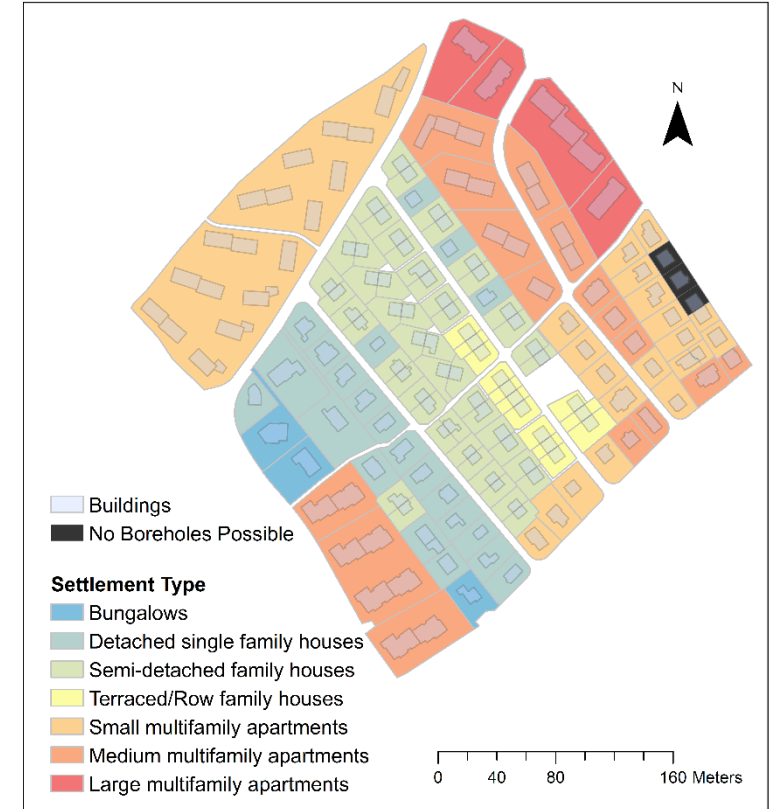
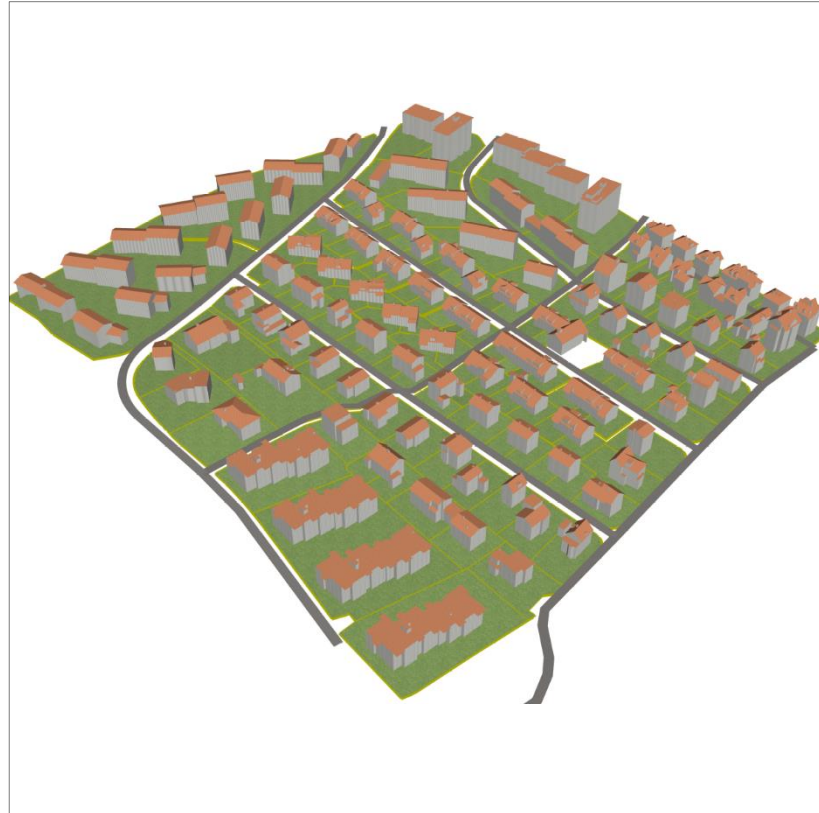
# Mathematical optimization – Metaheuristic algorithms

- High level search based optimization
- Ideal for complex non-linear energy system models

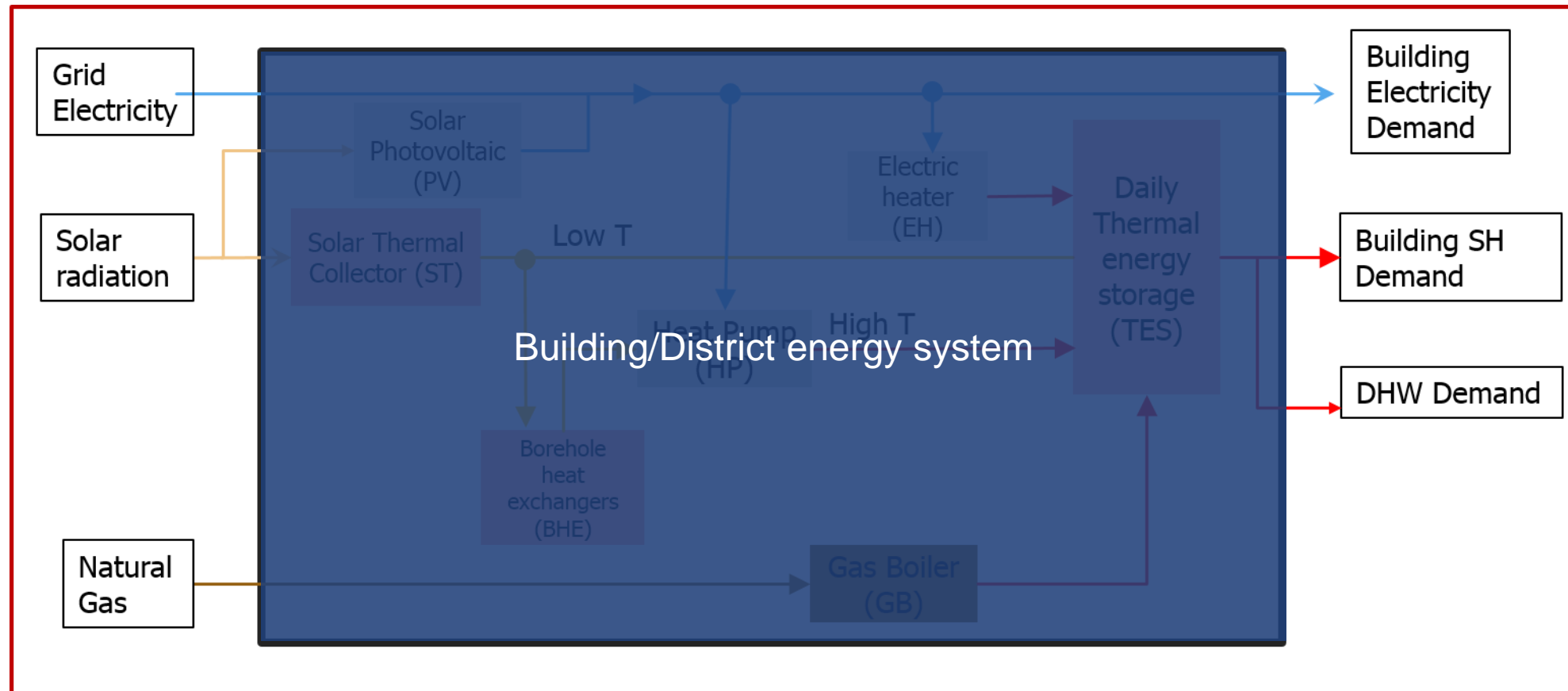


## Case study

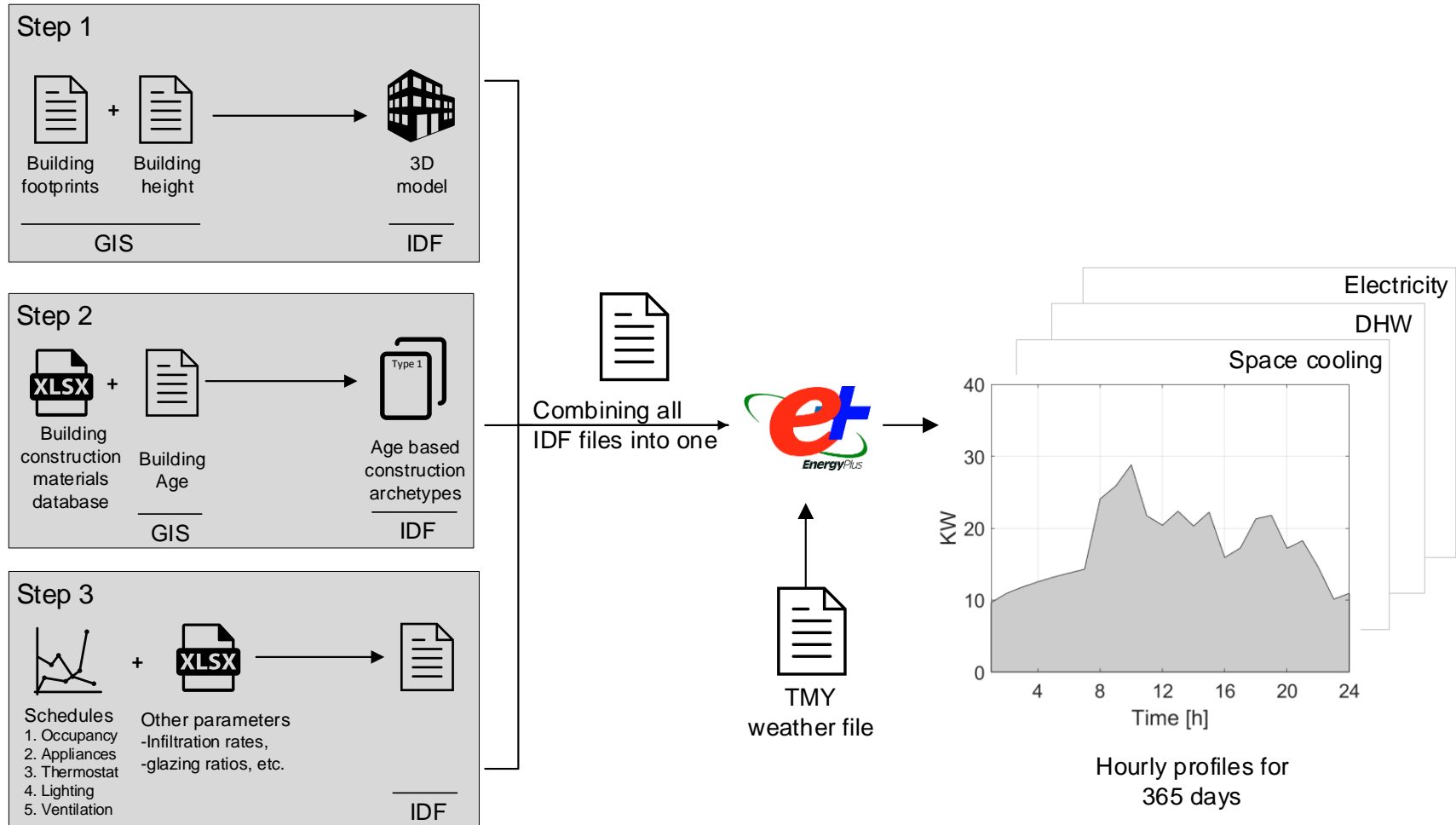
- Residential neighborhood in Zurich
- 170 buildings
- High solar, geothermal potential



# Building energy system – inputs and outputs



# Building energy demand simulation – overview



# Building energy demand simulation – results

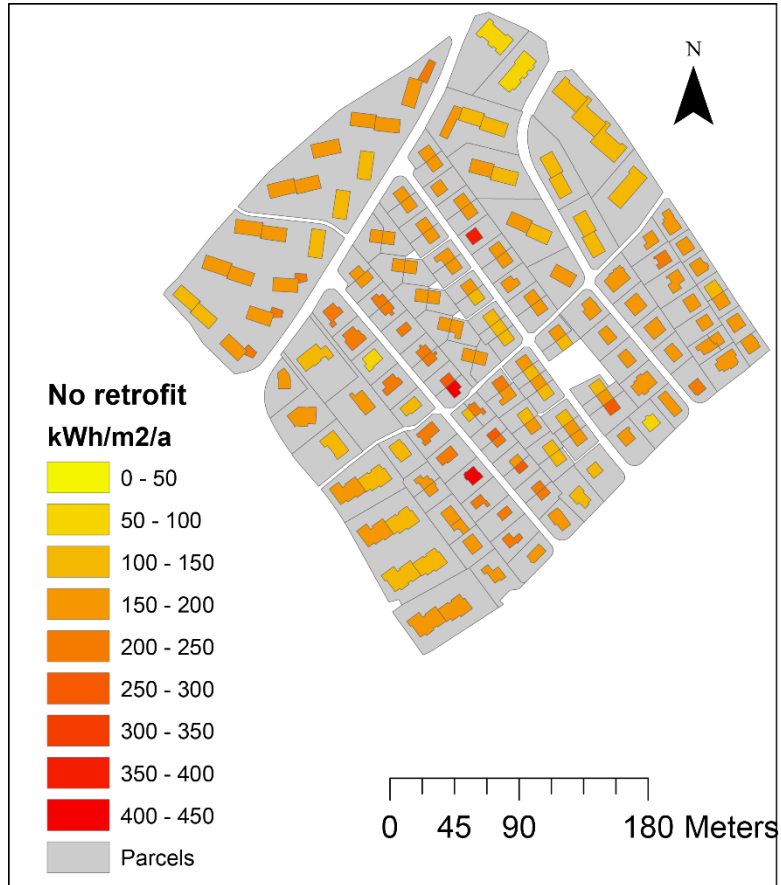


Fig: Annual energy demand – No retrofiting (kWh/m<sup>2</sup>/a)

# Building energy demand simulation – results

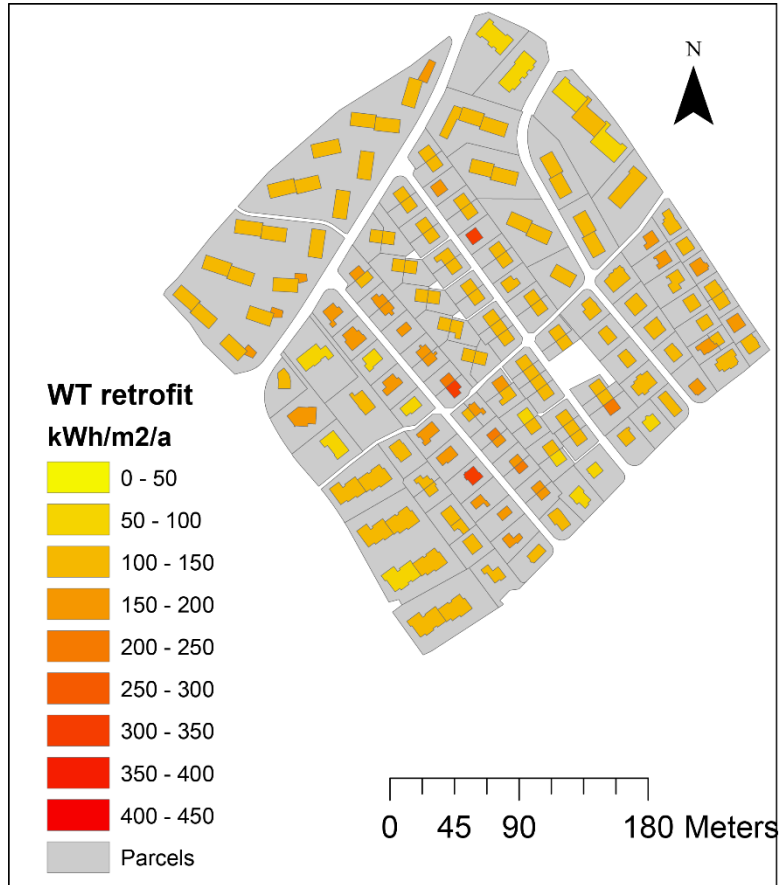


Fig: Annual energy demand – Window retrofitting (kWh/m<sup>2</sup>/a)



# Building energy demand simulation – results

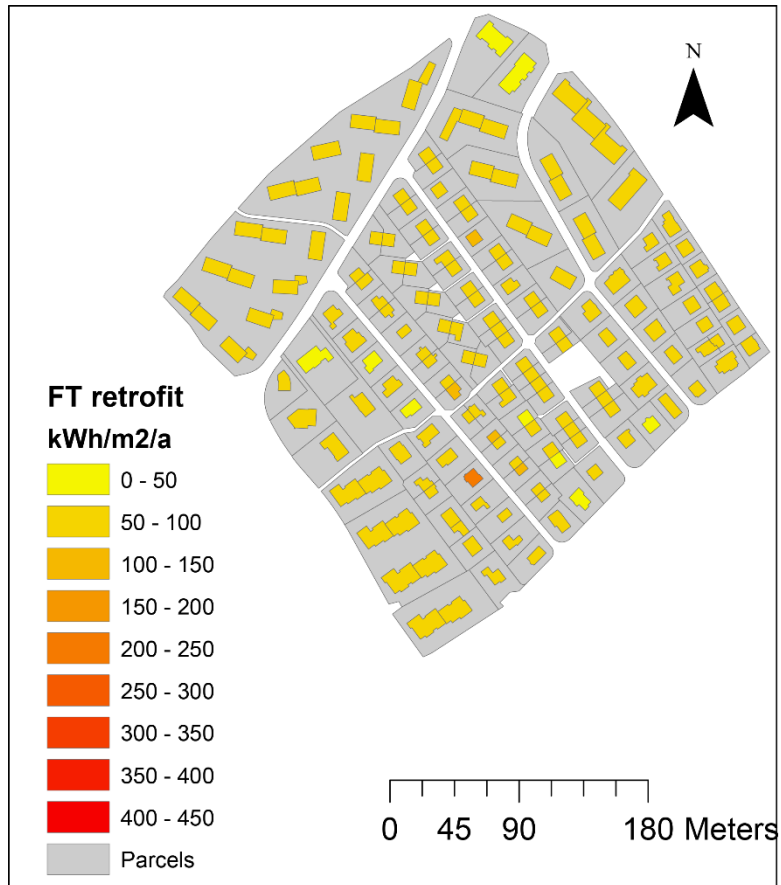
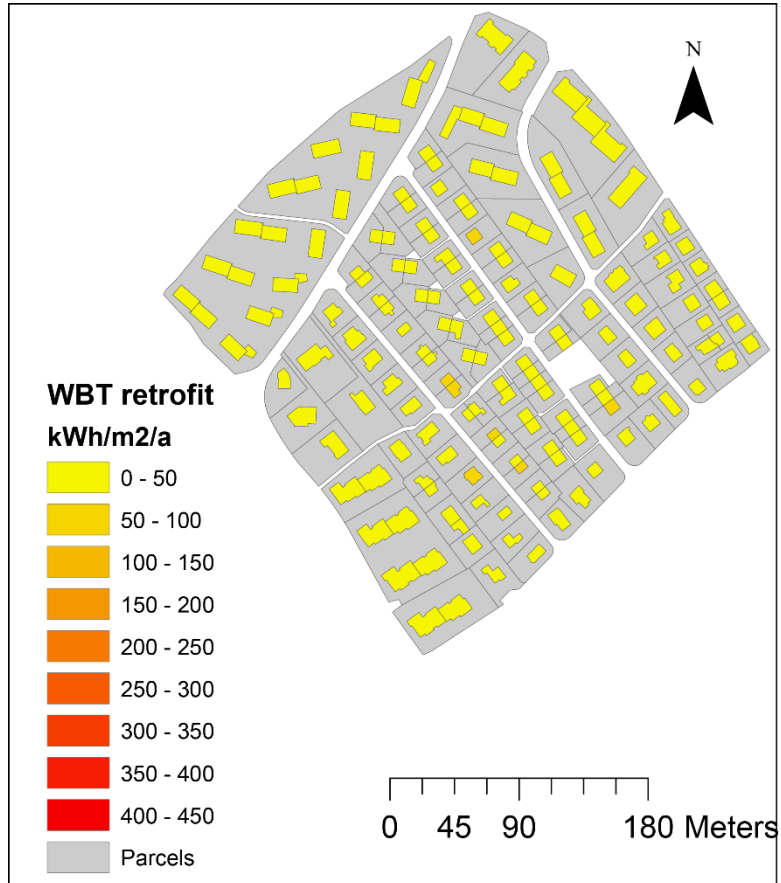


Fig: Annual energy demand – facade retrofitting (kWh/m<sup>2</sup>/a)

# Building energy demand simulation – results



**Fig:** Annual energy demand – Whole building retrofitting (kWh/m<sup>2</sup>/a)

# Building energy demand simulation – results

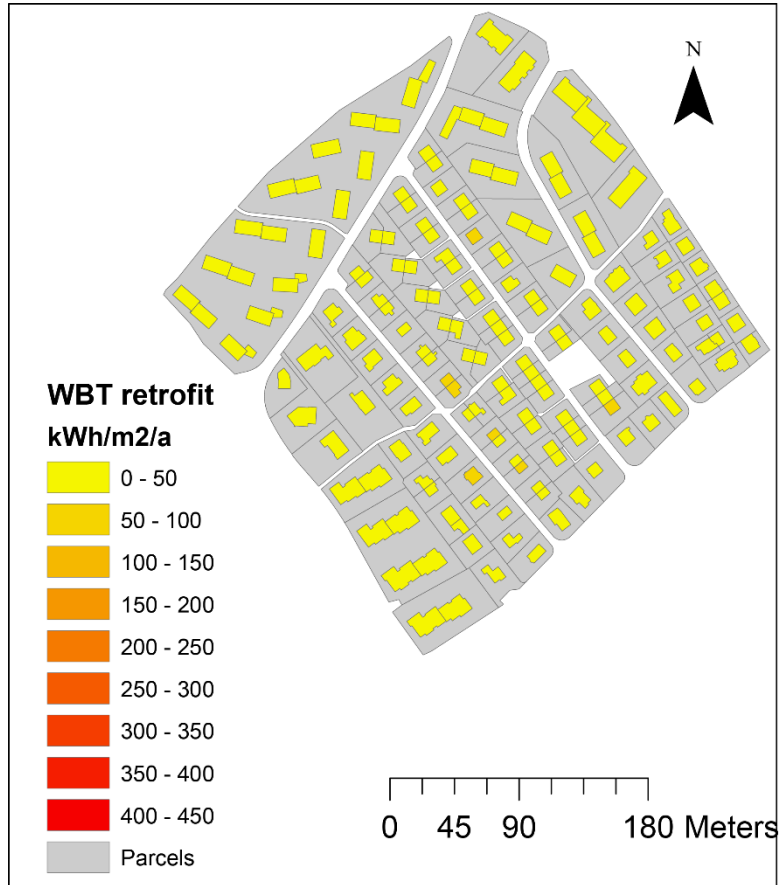


Fig: Annual energy demand – Whole building retrofitting (kWh/m<sup>2</sup>/a)

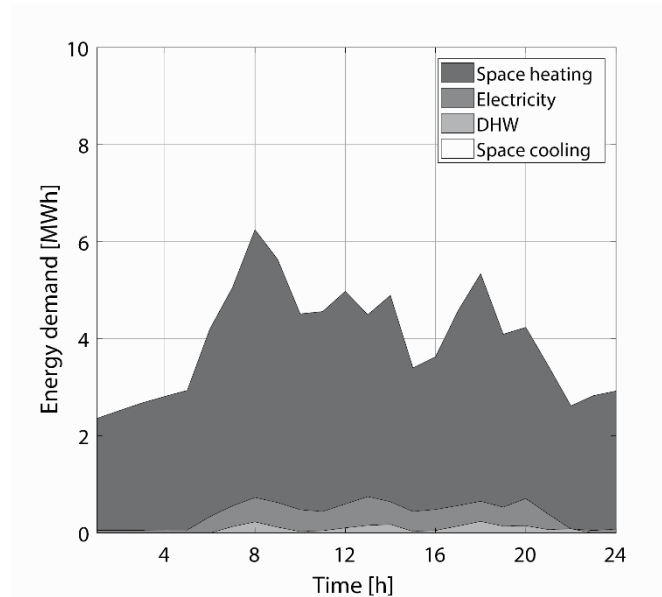


Fig: Hourly energy demand for a winter day

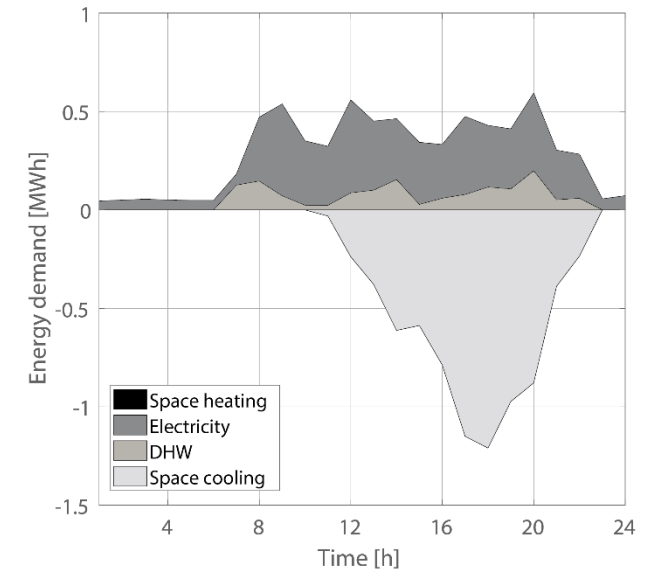
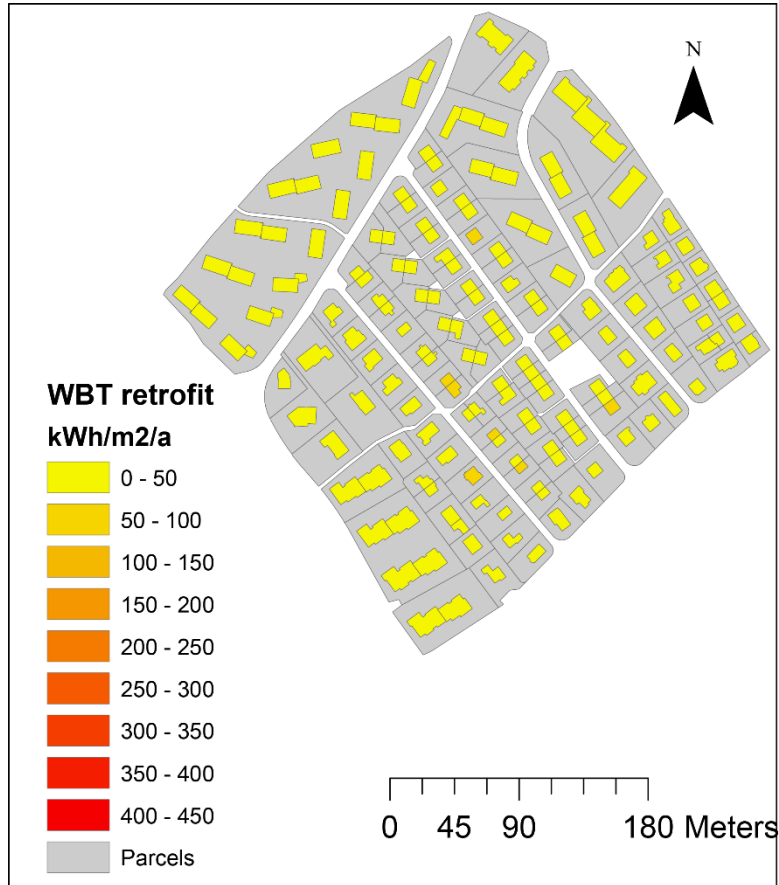
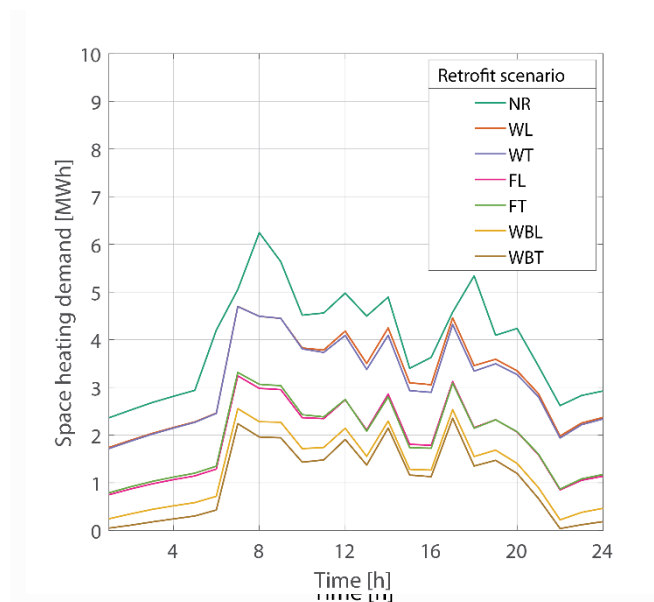


Fig: Hourly energy demand for a summer day

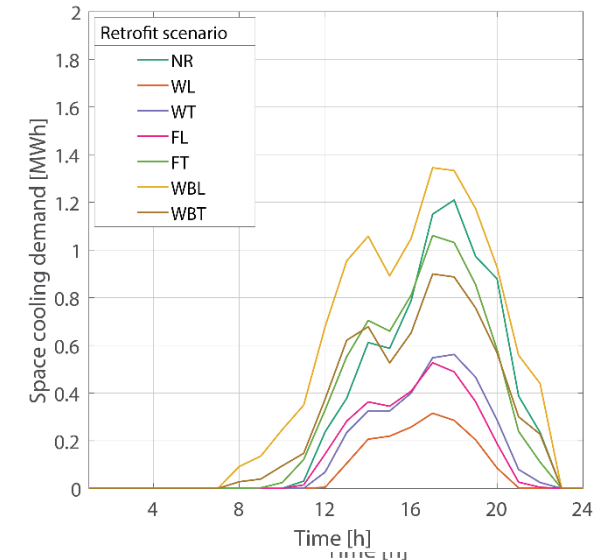
# Building energy demand simulation – results



**Fig:** Annual energy demand – Whole building retrofitting (kWh/m<sup>2</sup>/a)



**Fig:** Hourly energy demand for winter day for different retrofit scenarios



**Fig:** Hourly energy demand for summer day for different retrofit scenarios

# Incident solar irradiation modeling for building rooftops

- GIS based workflow
- Digital elevation model (DEM)
- 3D building models
- Atmospheric attenuation
  
- **Result:** Hourly solar irradiation on each rooftop

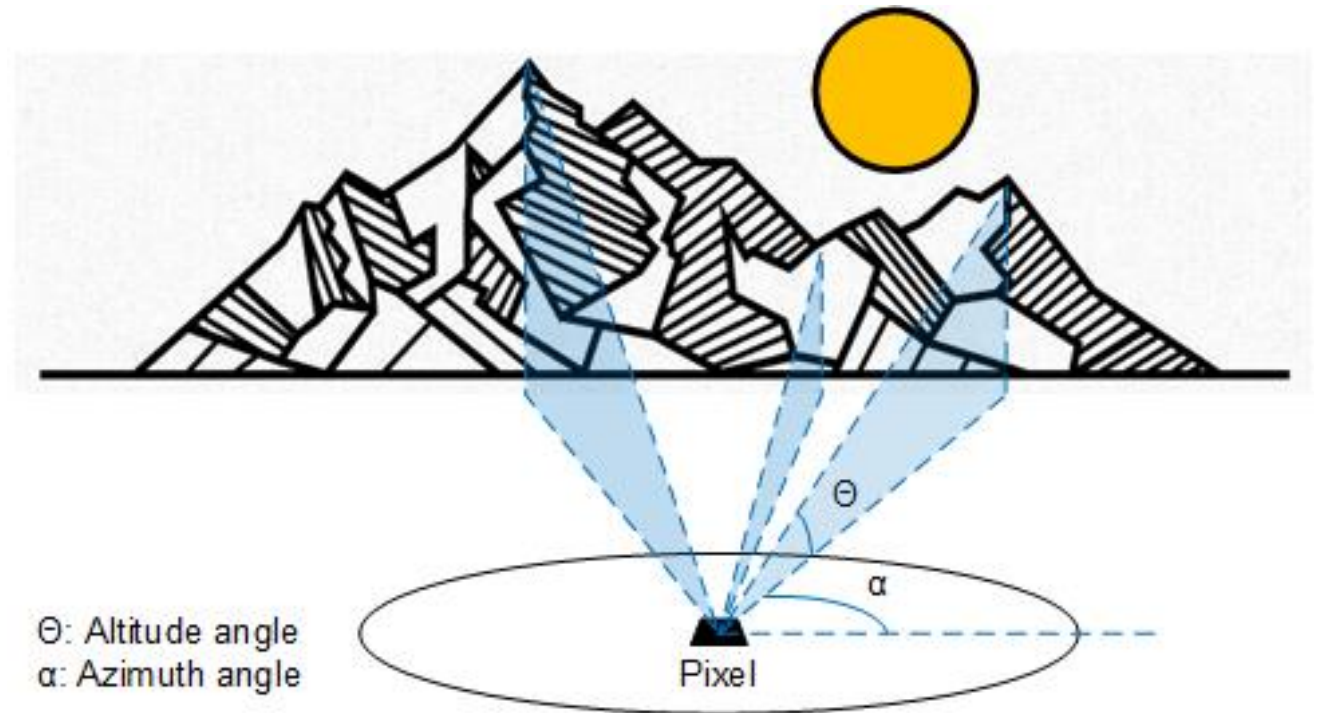


Fig: Incident solar irradiation modelling using DEMs and GIS tools

# Incident solar irradiation modeling – results

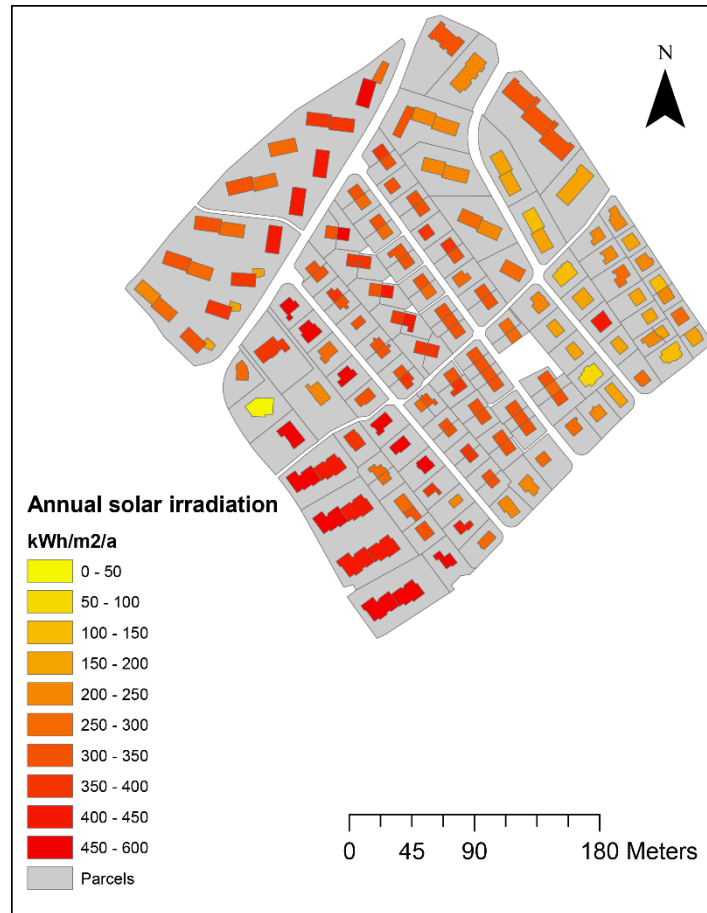


Fig: Annual solar irradiation per unit floor area (kWh/m<sup>2</sup>/a)

# Incident solar irradiation modeling – results

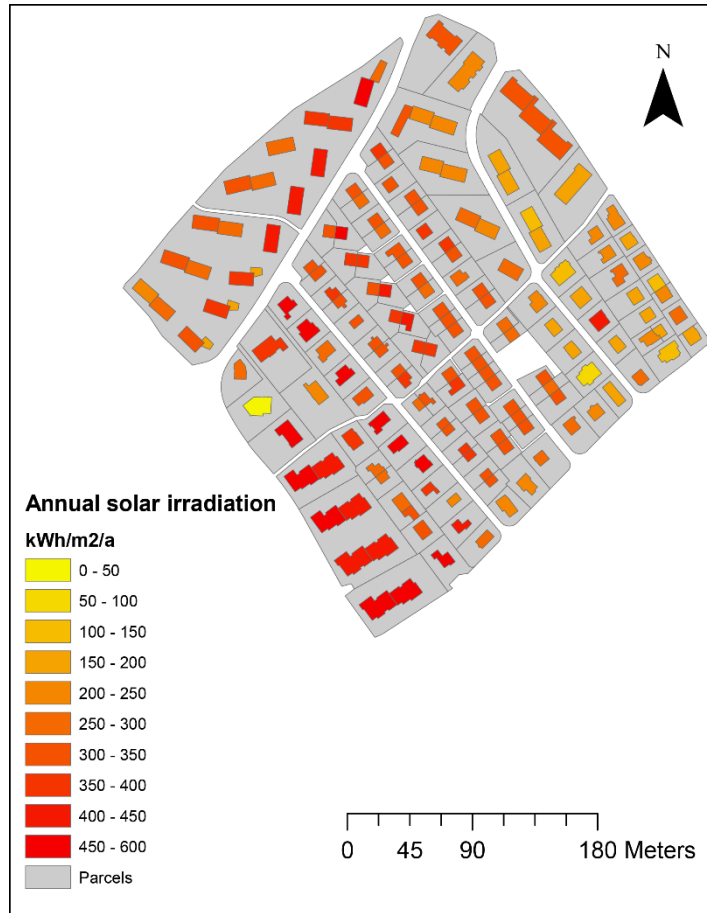


Fig: Annual solar irradiation per unit floor area (kWh/m<sup>2</sup>/a)

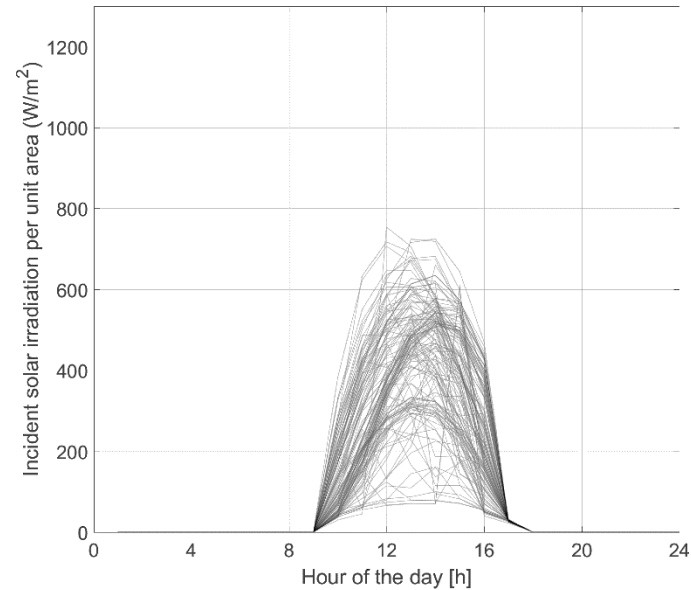


Fig: Hourly solar irradiation for a winter day

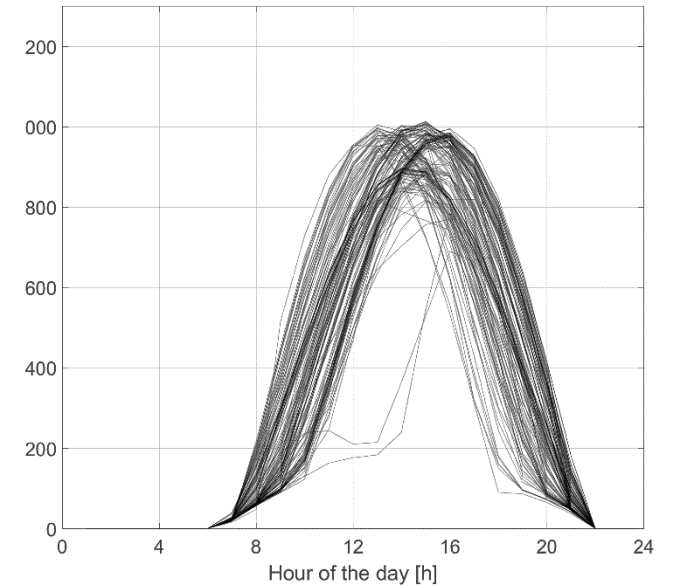


Fig: Hourly solar irradiation for a summer day

# Seasonal mismatch between supply and demand

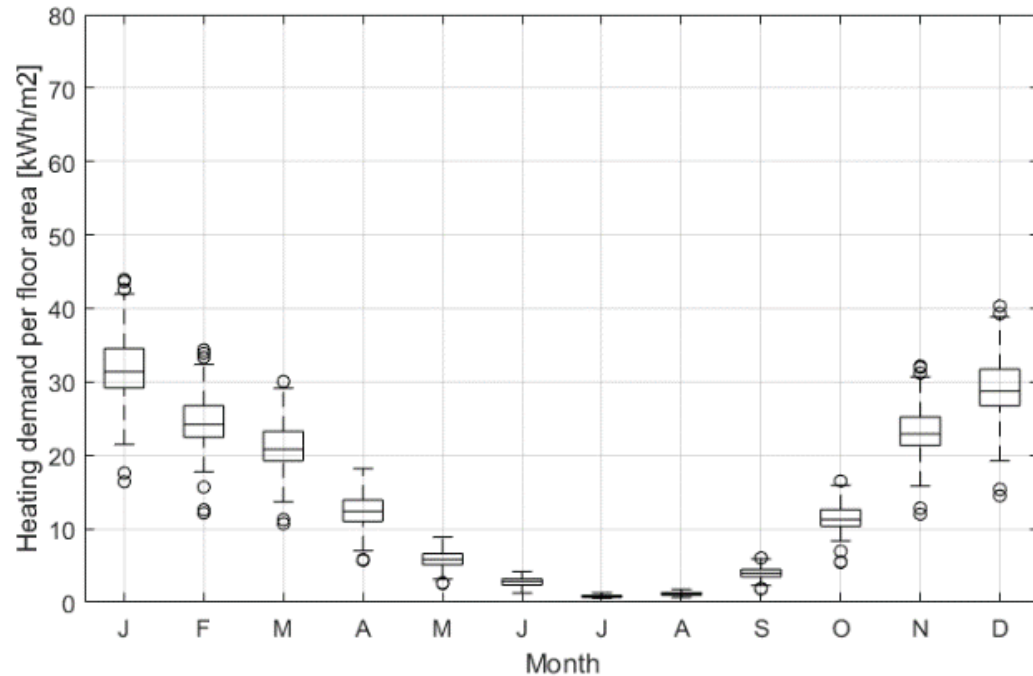


Fig: Monthly energy demand per unit floor area for all buildings (kWh/m<sup>2</sup>)

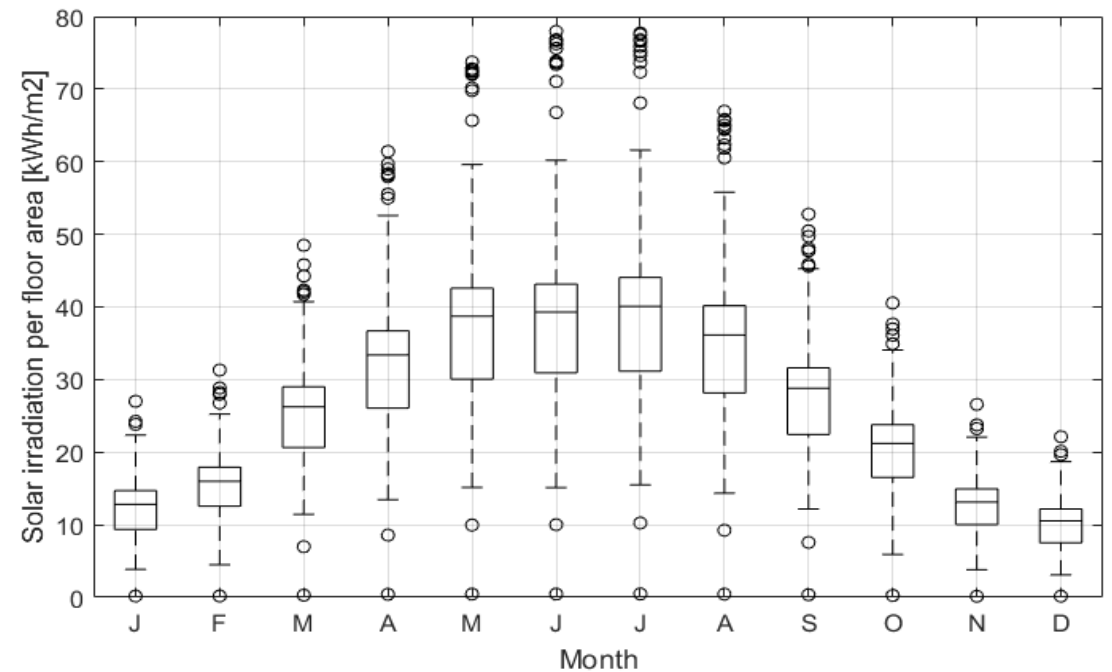


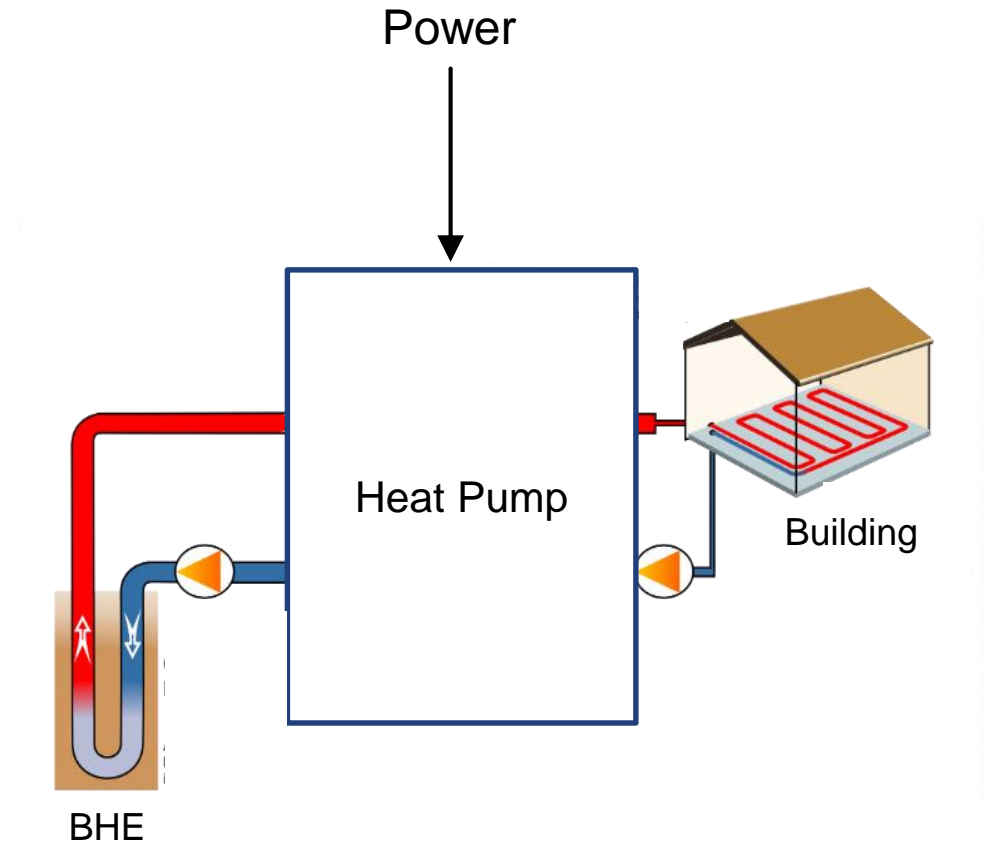
Fig: Monthly solar irradiation per unit floor area for all buildings (kWh/m<sup>2</sup>)



Question – How can the seasonal mismatch in demand and supply be managed?

## Ground source heat pumps (GSHPs)

- Borehole heat exchanger (BHE) + heat pump
- Deep underground (~10-500m) at constant temperature all through the year
- Heat extraction + rejection
- Ground acts as heat source and long-term storage



**Fig:** Schematic of a ground source heat pump

Figure adapted from: <http://nzgeothermal.org.nz/ghanz/geothermal-heat-pumps/>

# Limitation to geothermal energy extraction

- Long-term heat extraction can cool down the local ground
- Heat pump efficiency drops due to lower source temperature
- Can be solved by
  - Storing excess solar energy in summer
  - Constraining heat pump operation

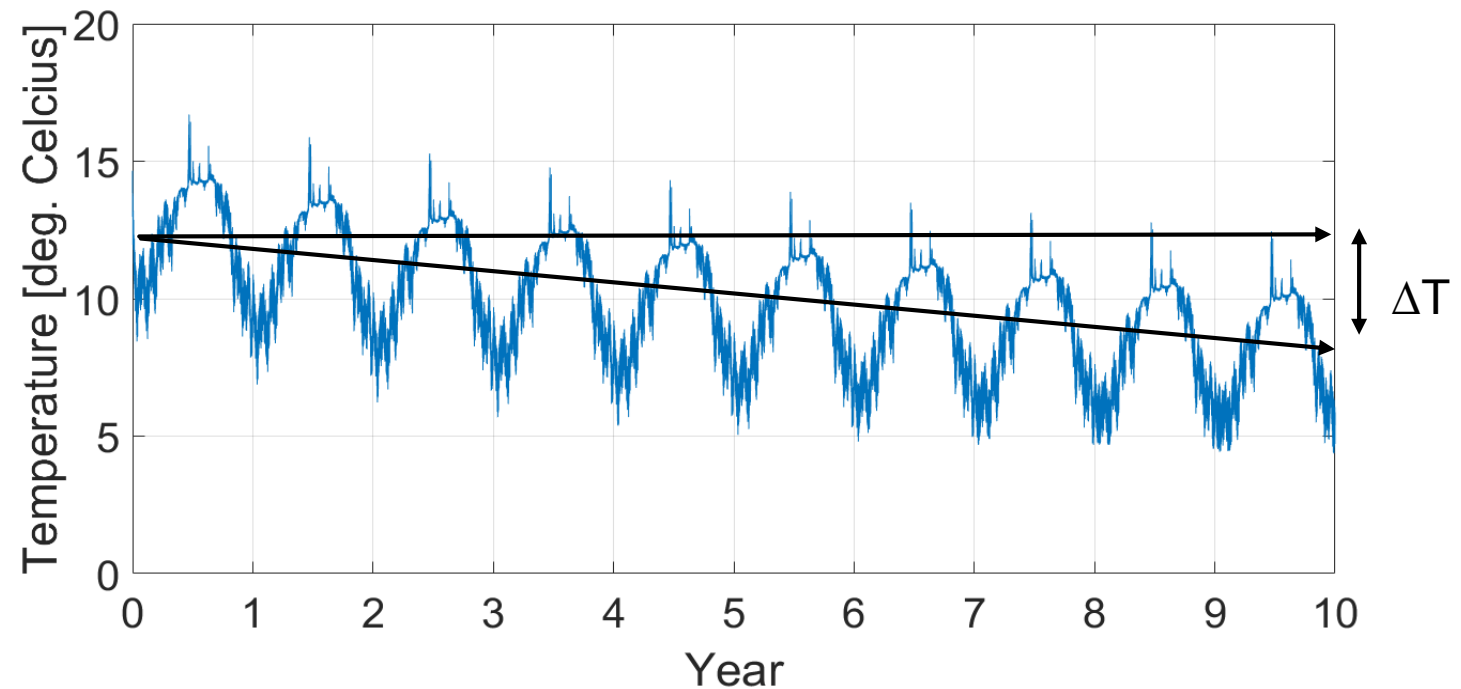
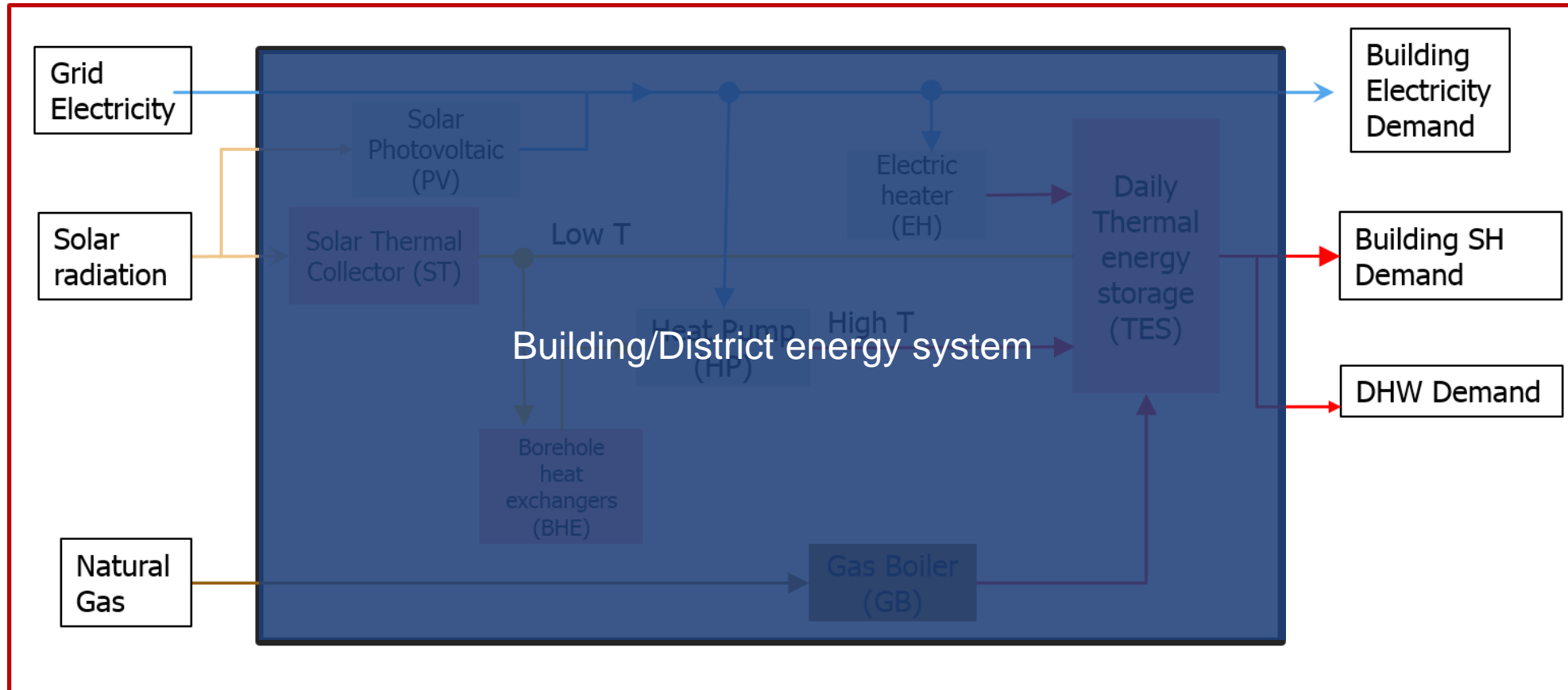
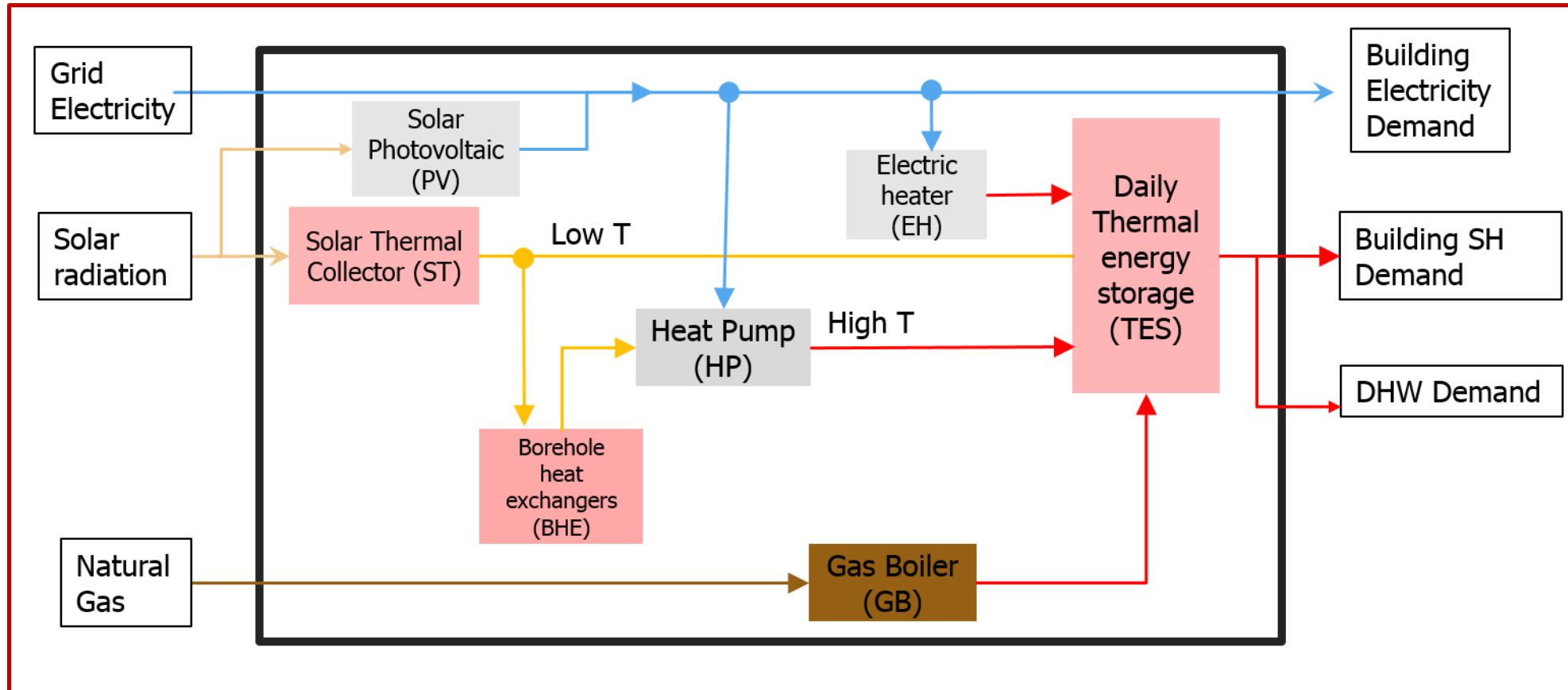


Fig: Long-term temperature variation for BHEs

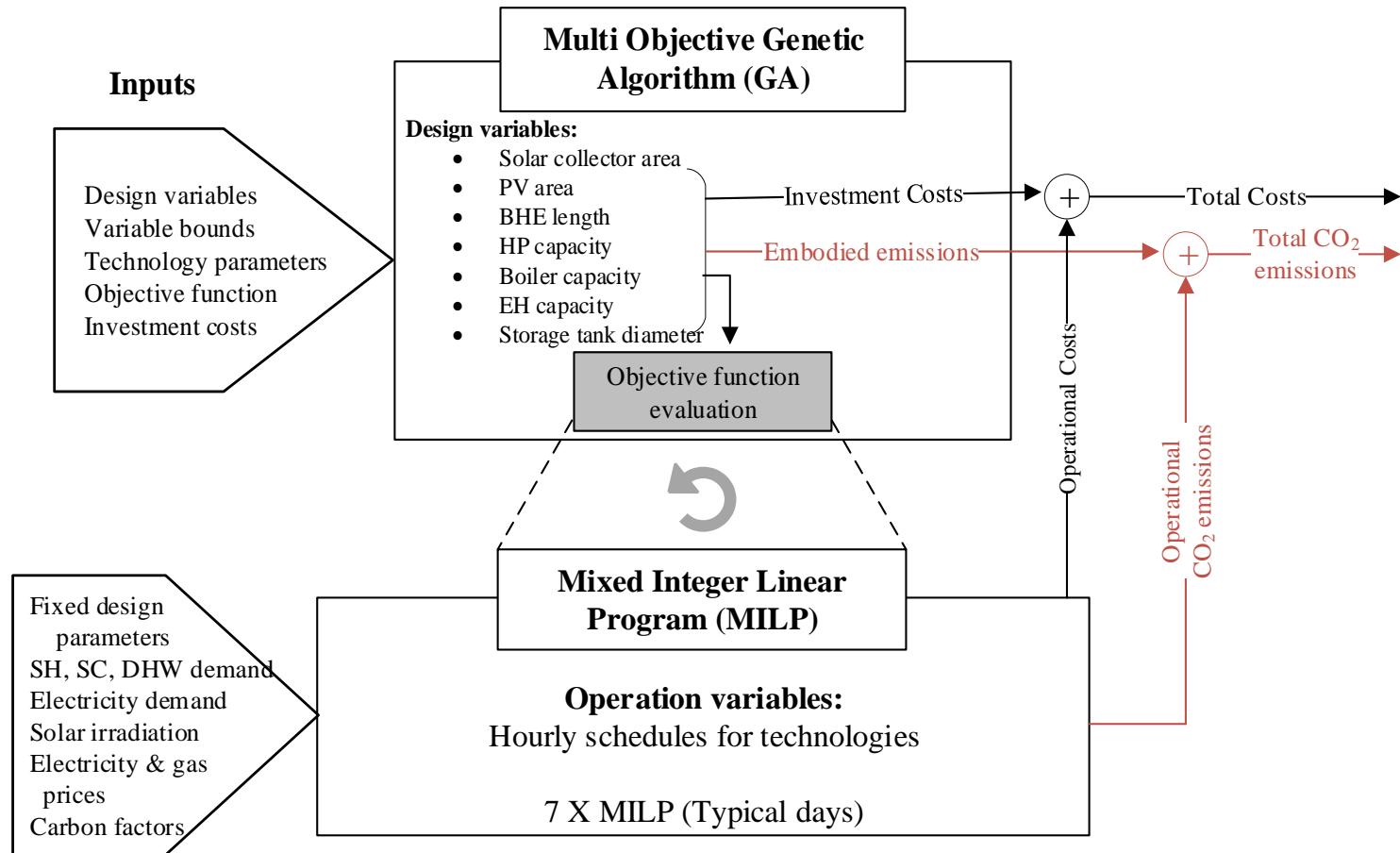
# Energy system – inputs and outputs



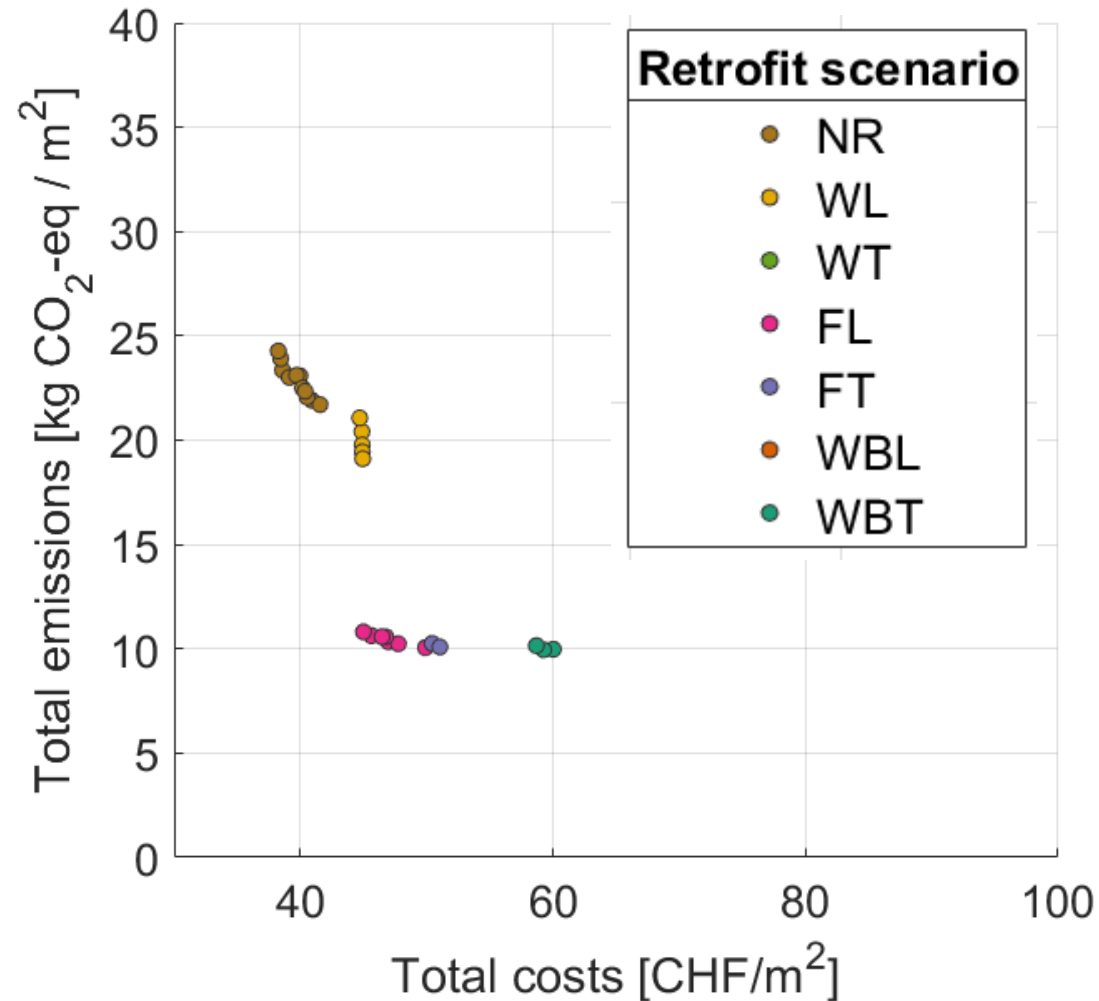
# Energy system – technologies and configuration



# Building energy system optimization

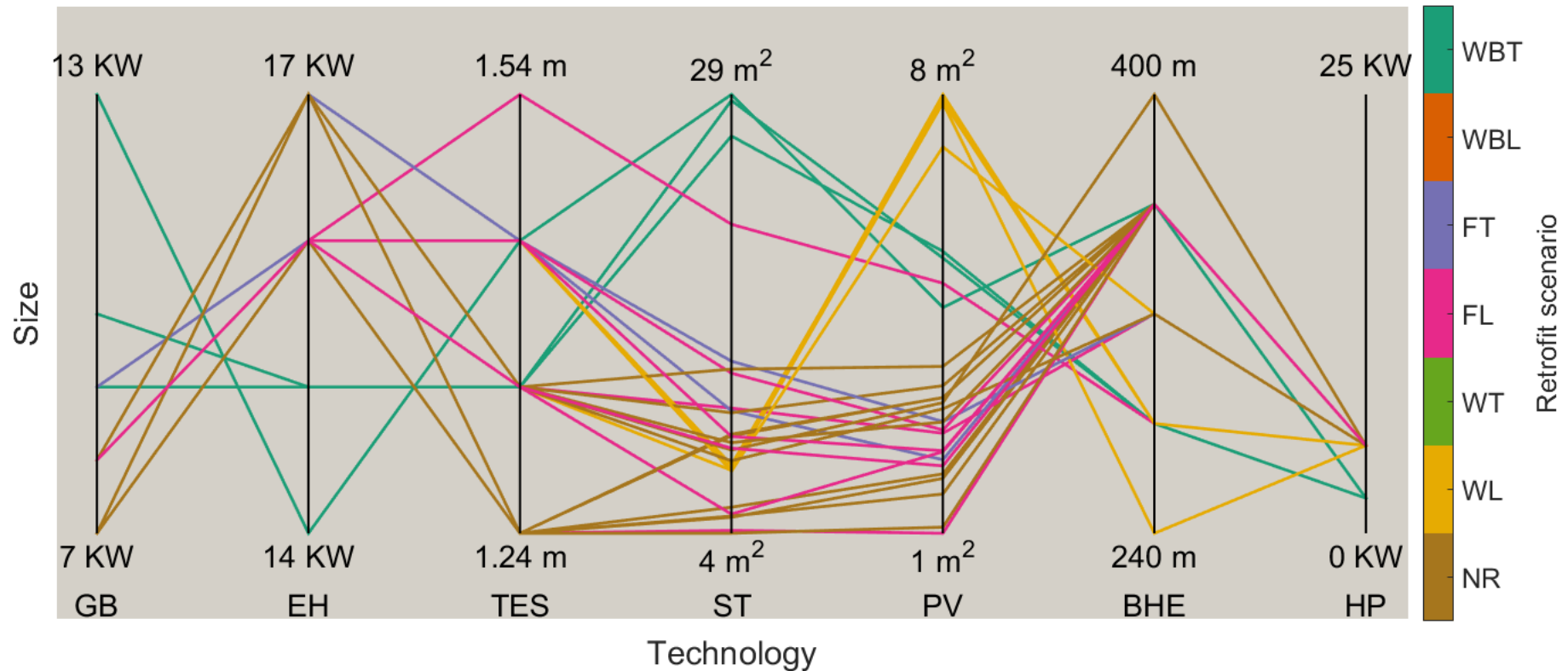


# Optimal energy interventions – example building



**Fig:** Pareto optimal energy interventions

# Optimal energy interventions – details

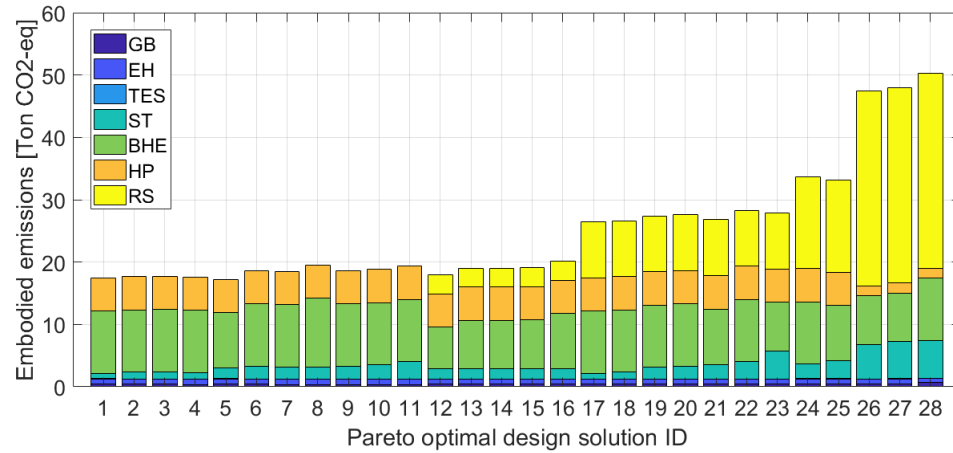


**Fig:** Technology sizes and building retrofitting for Pareto optimal energy interventions

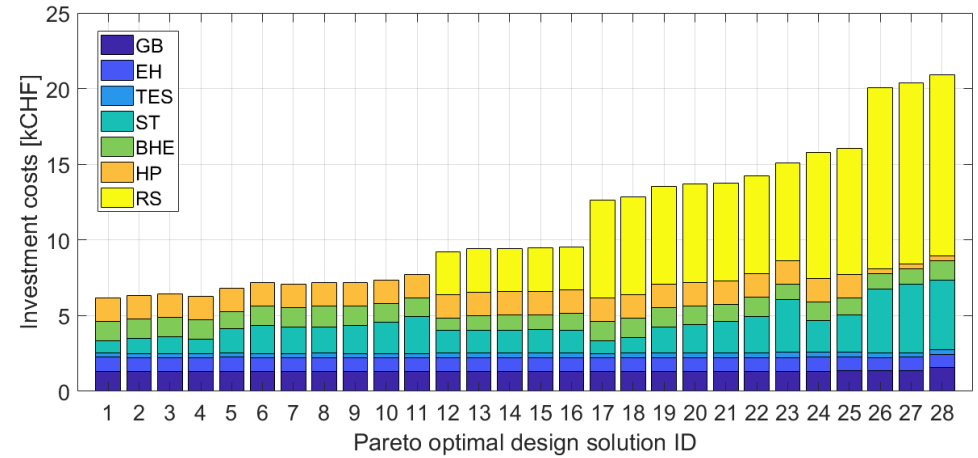


# Costs and CO<sub>2</sub> emissions

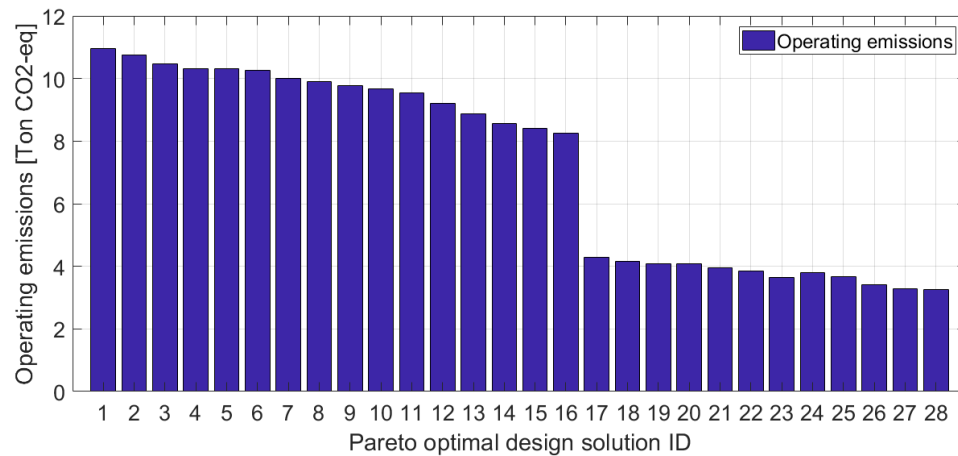
**Fig:**  
Embodied emissions



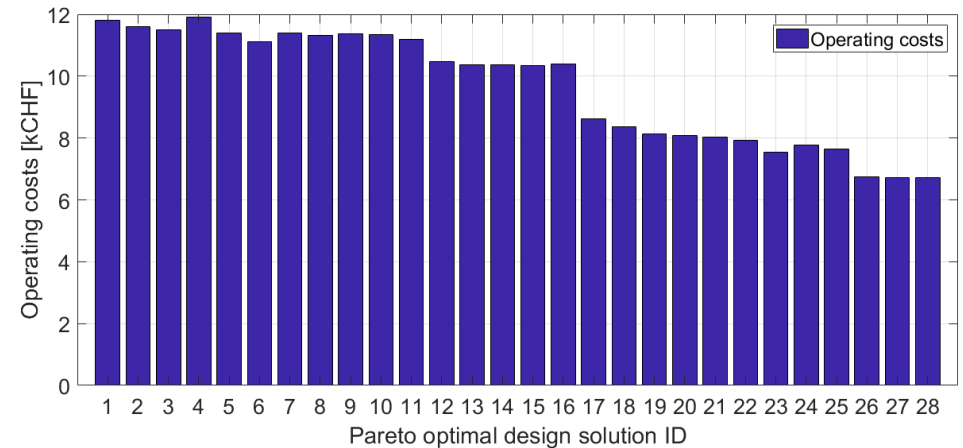
**Fig:**  
Investment costs



**Fig:**  
Operating emissions

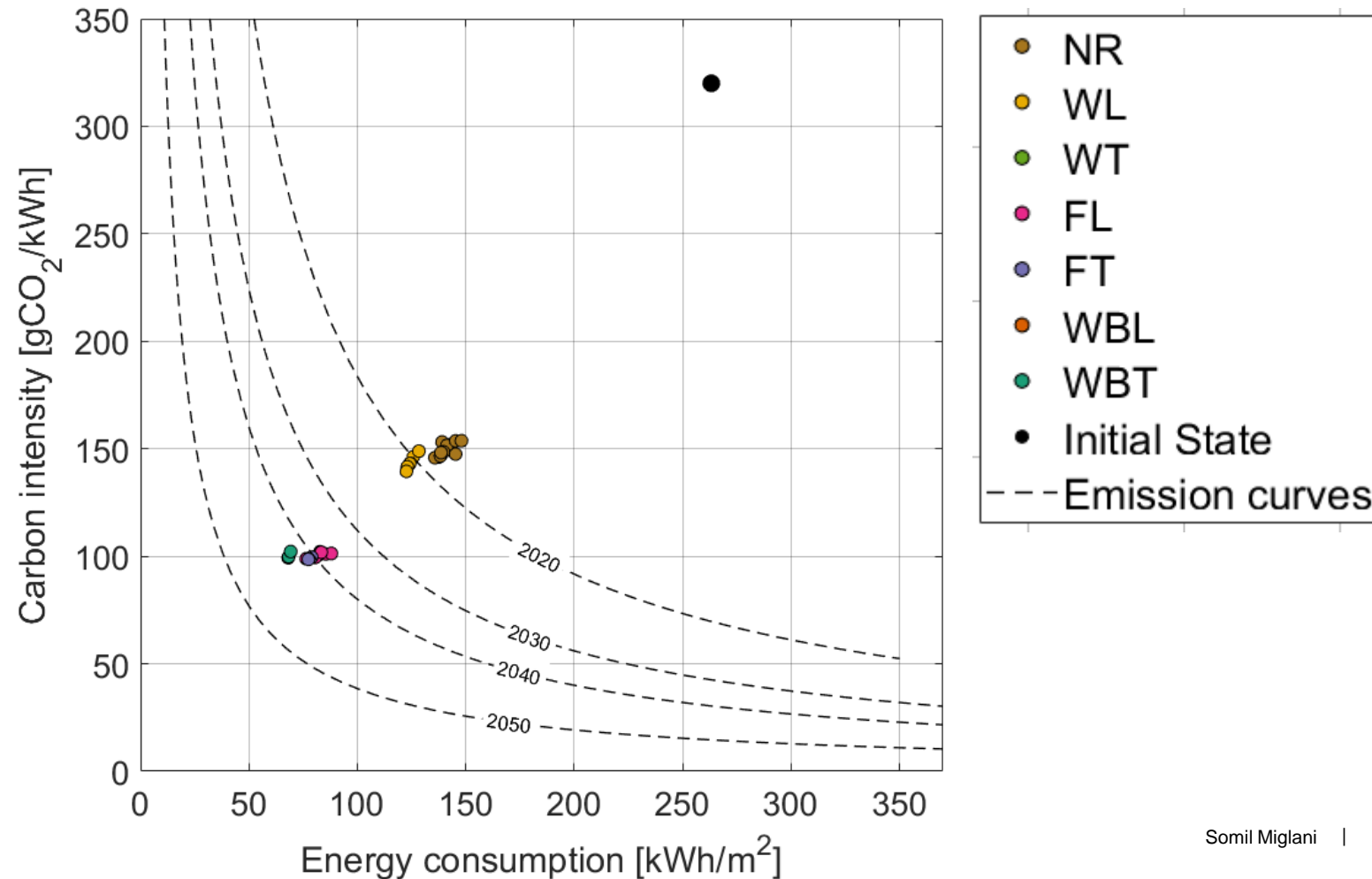


**Fig:**  
Operating costs

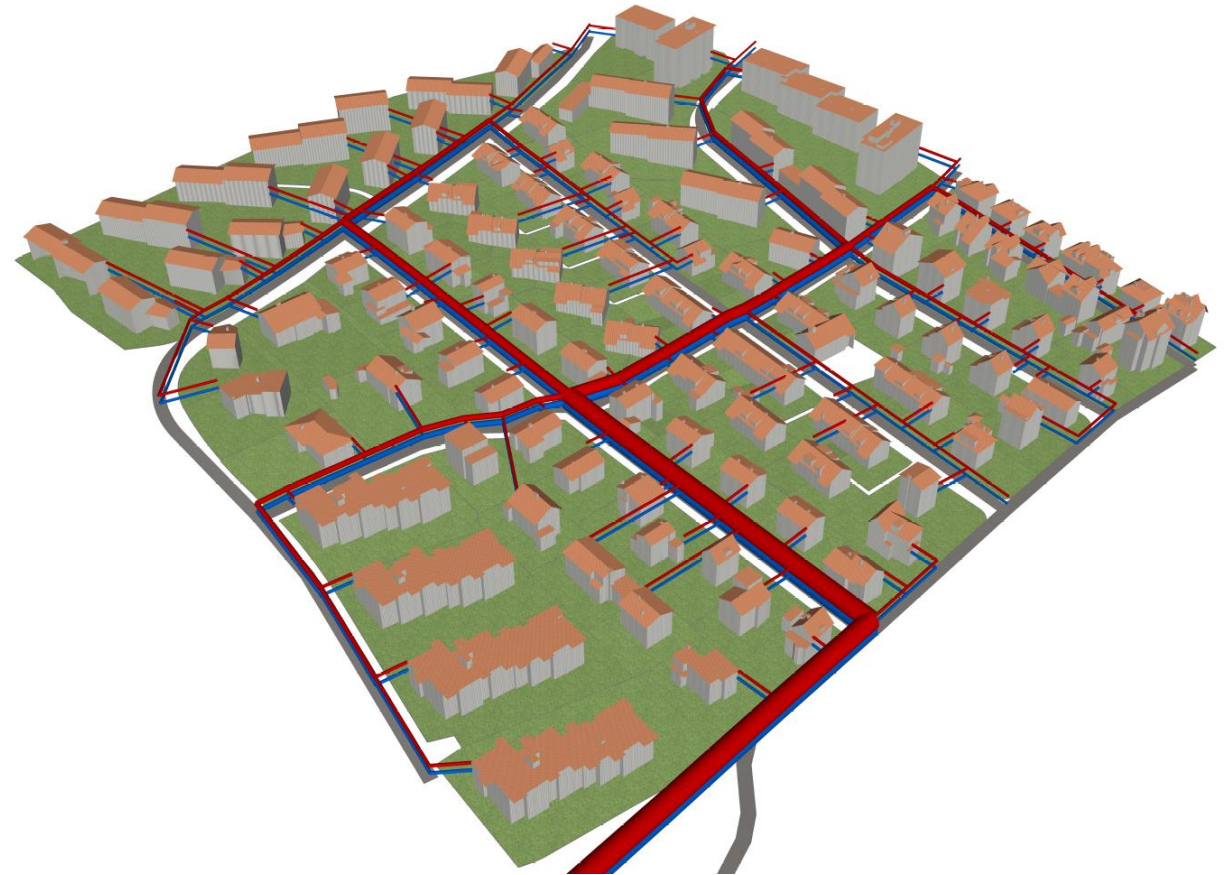
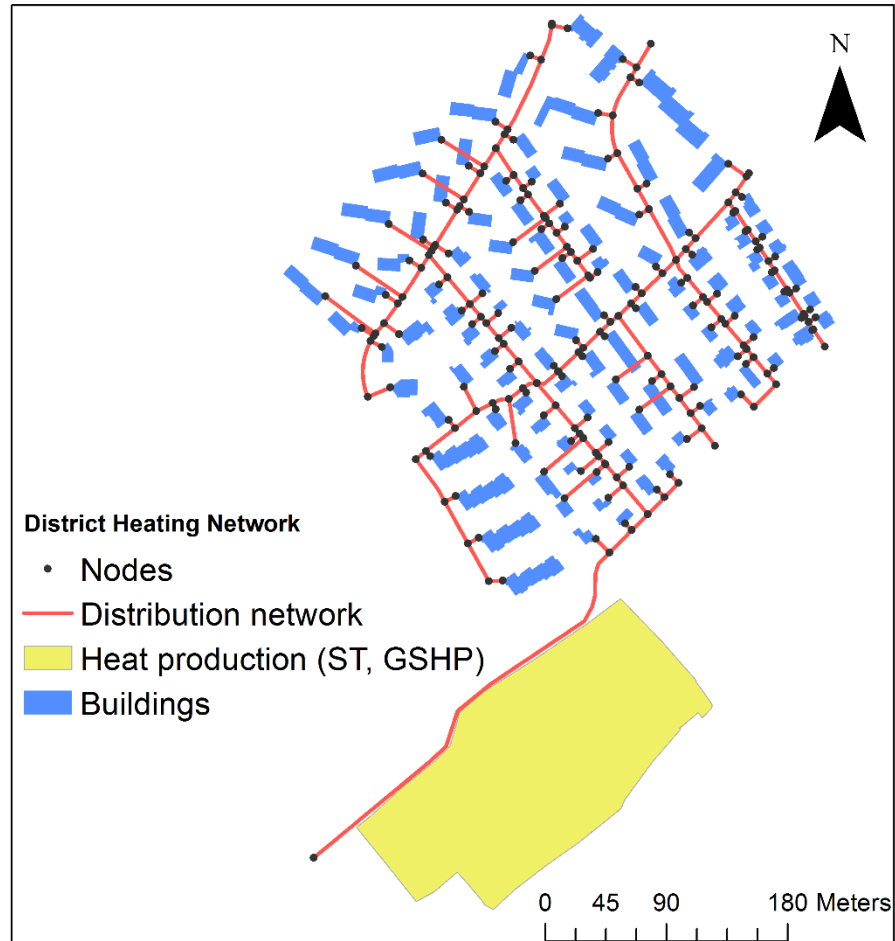


Question – Do they meet the CO<sub>2</sub> targets?

# Performance evaluation against CO<sub>2</sub> targets



# District energy systems – future work



## Summary and conclusion

- Methods for energy system optimization for buildings using bottom-up modeling and simulation
- Seasonal storage is needed to balance mismatch in renewable energy supply and demand, GSHPs can help
- Whole building retrofitting needed in order to reach the 2040 targets
- At the current investment costs of energy interventions

# Publications

## ■ Journal publications

- Miglani, S., Marquant, J., Orehounig, K., Carmeliet, J. (2018). Assessing the performance optimal energy interventions for buildings and districts against energy policy targets for CO2 emissions. *Energy Policy*. *Upcoming*.
- Miglani, S., Orehounig, K., Carmeliet, J. (2018). Integrating a detailed thermal model of ground source heat pumps and solar regeneration within building energy system optimization. *Applied energy*. *In press*.
- Miglani, S., Orehounig, K., Carmeliet, J. (2018). A methodology to calculate long-term shallow geothermal energy potential for an urban neighborhood. *Energy and Buildings*, 159, 462–473.
- Miglani, S., Orehounig, K., & Carmeliet, J. (2017). Design and optimization of a hybrid solar ground source heat pump with seasonal regeneration. In *Energy Procedia* (Vol. 122, pp. 1015–1020).

## ■ Conference publications

- Miglani, S., Orehounig, K., & Carmeliet, J. (2017). A methodology for the optimal operation of a residential building's heating system with focus on thermal modelling of GSHPs. *Proceedings of ECOS 2017 - The 30<sup>th</sup> international conference on efficiency, cost, optimization, simulation and environmental impact of energy systems, San Diego, California, USA, (July)*
- Miglani, S. A., Orehounig, K., & Carmeliet, J. (2016). Assessment of the ground source heat potential at building level applied to an urban case study. *Status-Seminar «Forschen Für Den Bau Im Kontext von Energie Und Umwelt» Assessment*, (September), 1–13.
- Miglani, S. A., Orehounig, K., & Carmeliet, J. (2015). A method for generating hourly solar radiation profiles on building rooftops accounting for cloud cover variability. *Proceedings of International Conference CISBAT 2015 Future Buildings and Districts Sustainability from Nano to Urban Scale Future Buildings and Districts Sustainability from Nano to Urban Scale*, 717–722.

# Acknowledgements



sccer | future energy efficient  
buildings & districts



Eidgenössische Technische Hochschule Zürich  
Swiss Federal Institute of Technology Zurich



Materials Science & Technology

In cooperation with the CTI



**Energy funding programme**  
Swiss Competence Centers for Energy Research



Schweizerische Eidgenossenschaft  
Confédération suisse  
Confederazione Svizzera  
Confederaziun svizra

Swiss Confederation

Commission for Technology and Innovation CTI



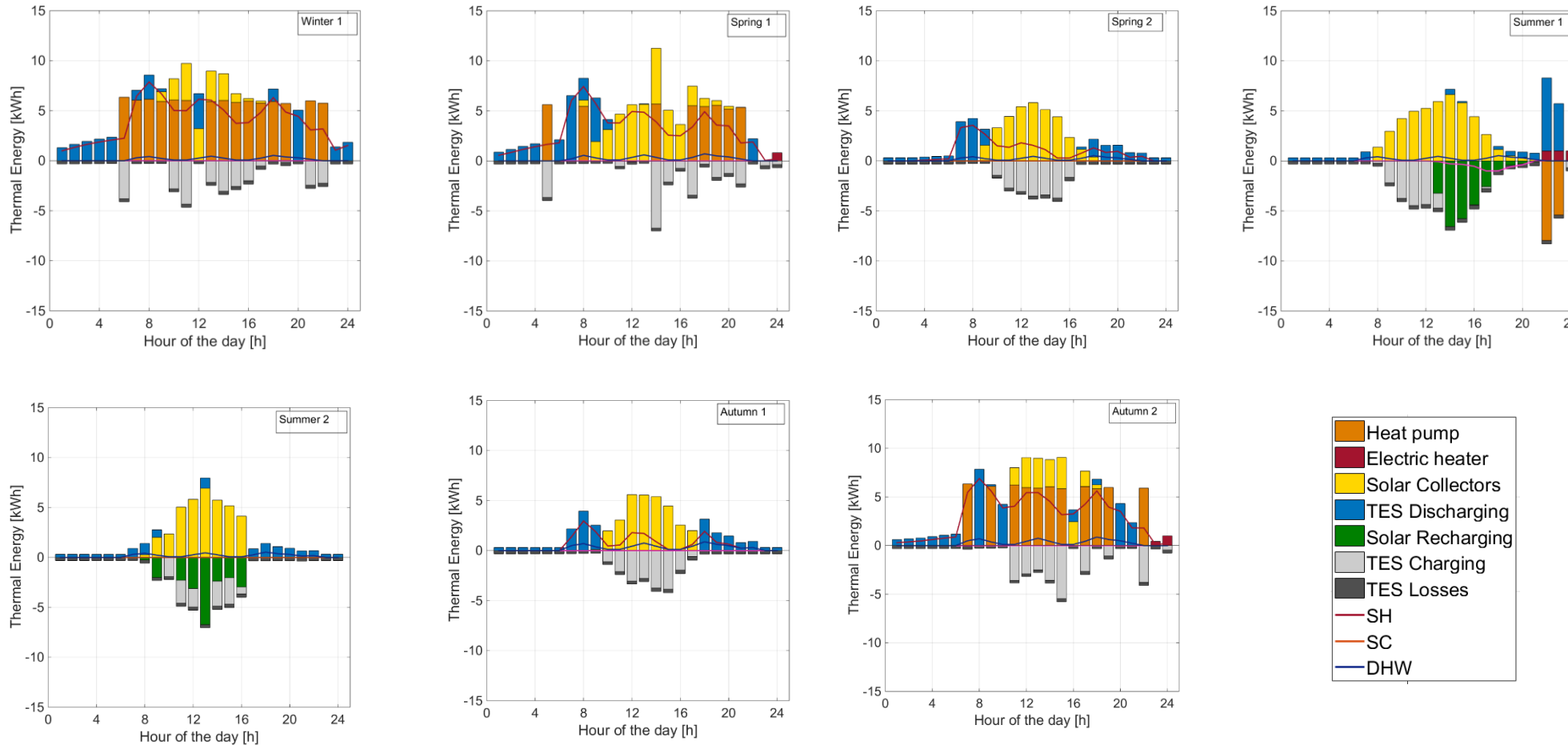
Contact: [miglanis@ethz.ch](mailto:miglanis@ethz.ch)

**Thank you for your attention**

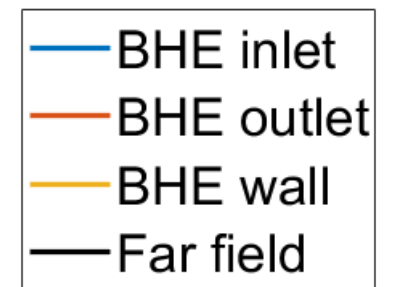
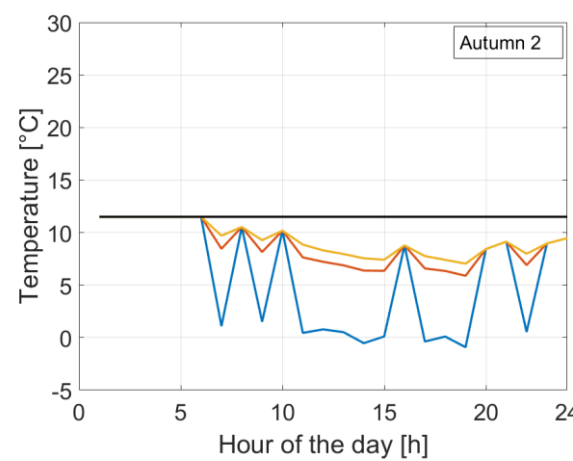
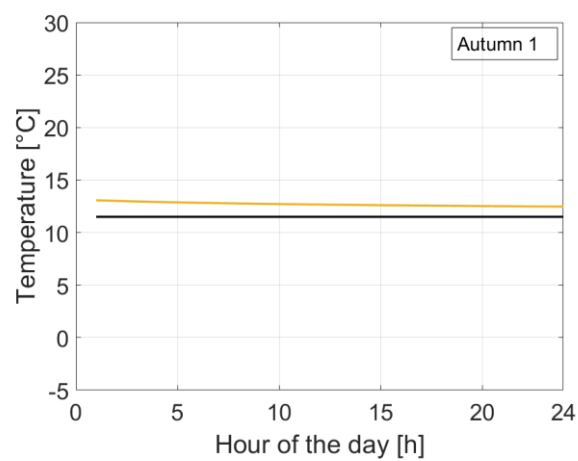
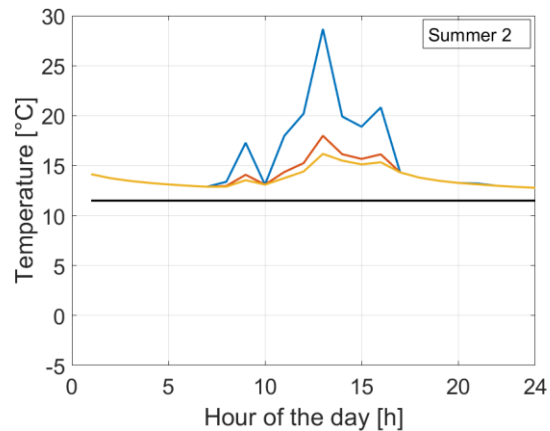
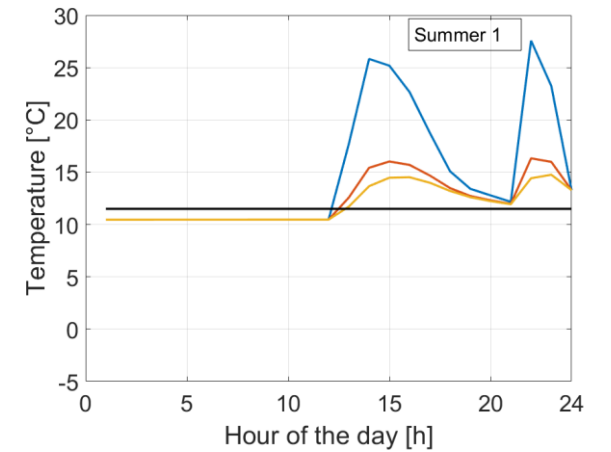
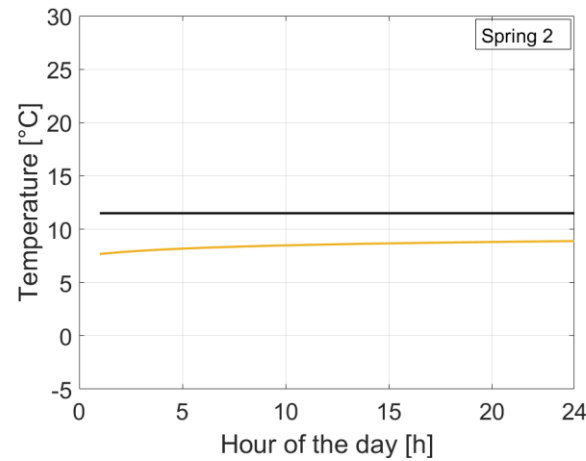
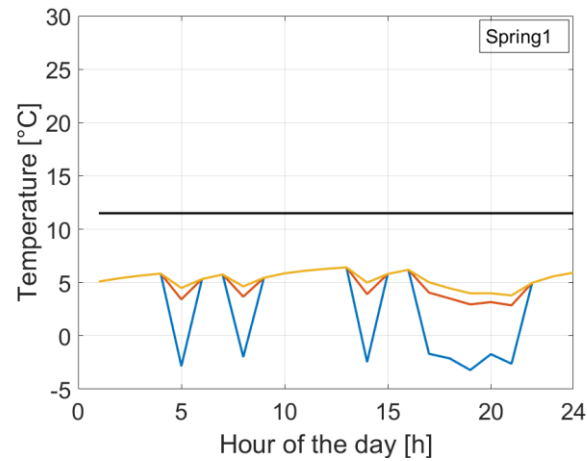
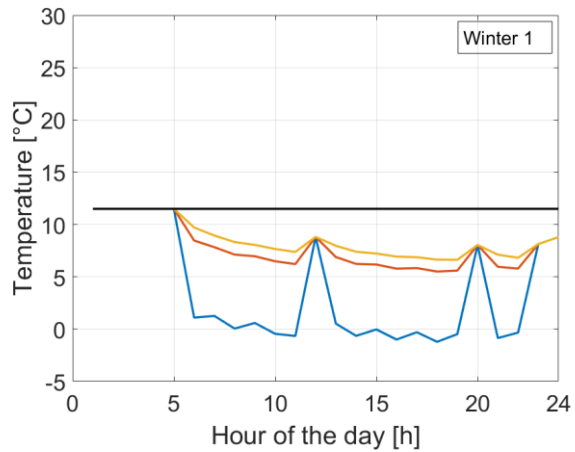




# Optimal system operation (Heating)



# Borehole heat exchanger (BHE) operation



# Long-term operation of the BHE

