



ETH Study on Senior Leaders

at Swiss Higher Education Institutions

Initial findings of the national study, July 2024

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Study design and research questions

? Research Questions

Existing biographies: What is the educational background and professional experience of current senior leaders at Swiss universities?

The 'right' mix of general management competencies: What general management competencies do today's leaders consider important to successfully fulfil their respective roles at their HEI (today and in the next 5-10 years)?

Competence gaps and leadership development: How was the current generation of senior leaders prepared to take on their current role? What preparation would they have wished for in hindsight?

Institutional challenges: What are (from the perspective of their current leadership position) the key strategic challenges that their HEI faces? How do they assess the importance of these challenges for their successors?

Methodology

National study with own data collection:

- Analysis of publicly accessible data: informed selection of the senior leaders (cut-off date 1 March 2024) including their biographies and additional research on the governance and organisation of the respective Swiss HEIs
- Online survey: contacting the population of senior leaders via personalised emails with an integrated link to the online survey: March – April 2024
- In-depth interviews: semi-structured interviews with approximately 25 respondents to explore selected topics and findings in greater depth: July – August 2024

Min Sample

A total of 488 senior leaders from 44 HEIs across the full spectrum of higher education in Switzerland were included in the study ('population'):

- From cantonal universities, federal institutes of technology (ETHs), universities of applied sciences, universities of teacher education, research institutes of the ETH domain
- Senior leaders with the following types of positions (function groups) were included:
 - with overall responsibility (rectors, presidents, directors) or
 - with academic area responsibility (prorectors, vice-presidents, academic functional responsibility core functions, academic heads of sub-units) or
 - with a non-academic area responsibility (support responsibility)

Excellent response rate of 63.9%: 312 senior leaders completed the survey ('respondents')

 Respondents are representative in terms of different types of HEIs, types of functions, management levels and career paths.

Senior Leaders at Swiss HEIs: overview by type of HEI, management level and function group

The senior leaders are active both at different **management levels** (executive board*: 54% of respondents) and in different **function groups** (10% of respondents have an overall institutional responsibility, 50% have an academic area responsibility and 40% have a non-academic area responsibility).

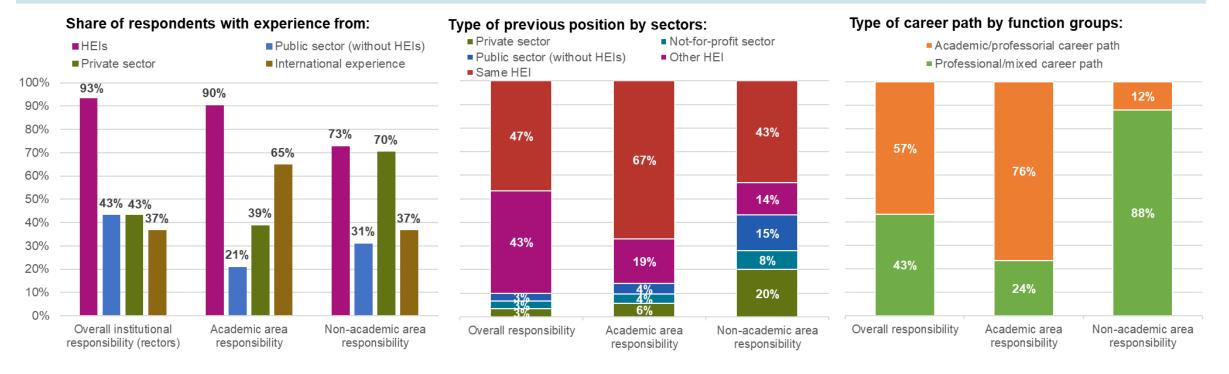
Higher education institution (HEI) (type)	number (total)	memebers of the (extended) executive board	overall responsibility (rectors)	academic area responsibility	non-academic area responsibility (support functions)
Sample					
Universities of applied sciences (10)	138 28%	108 78%	10 7%	84 61%	44 32%
Universities of teacher education (18)	18 4%	18 100%	18 100%	0%	0%
Cantonal universities (10)	220 45%	93 42%	10 5%	120 55%	90 41%
Federal institutes of technology (2)	76 16%	17 22%	2 3%	45 59%	29 38%
Research institutes of the ETH domain (4)	36 7%	24 67%	4 11%	21 58%	11 31%
All HEIs (44)	488 100%	260 53%	44 9%	270 55%	174 36%
Respondents					
Universities of applied sciences (10)	86 28%	68 79%	9 10%	49 57%	28 33%
Universities of teacher education (18)	12 4%	12 100%	12 100%	0 0%	0 0%
Cantonal universities (10)	129 41%	57 44%	5 4%	61 47%	63 49%
Federal institutes of technology (2)	60 19%	13 22%	1 2%	33 55%	26 43%
Research institutes of the ETH domain (4)	25 8%	17 68%	3 12%	14 56%	8 32%
All HEIs (44)	312 100%	167 54%	30 10%	157 50%	125 40%

* Executive boards include core members (with voting rights) as well as members of the extended executive board (in an advisory capacity)

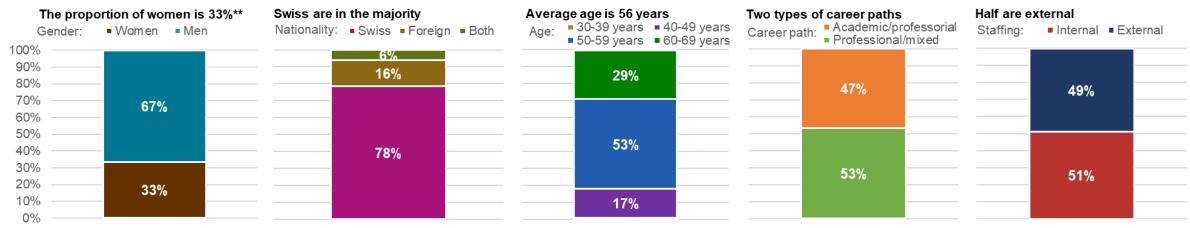
What career paths do senior leaders at Swiss HEIs have?

The career paths of the respondents are strongly characterised by the Swiss higher education sector:

- 49% have a purely academic or professorial background, 51% a professional or mixed background
- 84% had already worked at a HEI before taking up their current position (most of them at their own HEI)
- Around one in two have experience from the private sector (52%), one in three from the public sector (31%)
- 51% have experience abroad; this proportion is highest among those with an academic area responsibility



What is the extent of diversity in the executive boards of Swiss HEIs*?



Women in the lead

Prof. Audrey Leuba (born in 1965) first female Rector of the University of Geneva from 1 April 2024 (previously Dean of the Faculty of Law)



Prof. Virginia Richter (born in 1964) first female Rector of the University of Bern from 17 June 2024 (previously Vice-Rector for International Affairs and Academic Careers)



Prof. Anna Fontcuberta i Morral (born in 1975) first female President of EPFL from 1.1.2025 (previously AVP Centres and Platforms)



Prof. Regula Altmann-Jöhl (born in 1968) first female Rector of the ZHAW from 1 February 2025 (previously Director of the FHNW School of Business)





Results of the schillingreport for comparison***:

Data on the composition of management employees in the private sector and public administration in Switzerland:

- 20% of women on the management boards of the 100 largest companies
- 25% of women in top management positions in the public administration
- 46% of foreigners on management boards in the private sector
- 53 years is the average age on the management boards of the 100 largest companies

* Executive boards include core members (with voting rights) as well as members of the extended executive board (in an advisory capacity)

** Based on the population

*** schillingreport 2024. The Management Boards of Switzerland's Private and Public Sectors (https://www.schillingpartners.ch/en/schilling-report/)

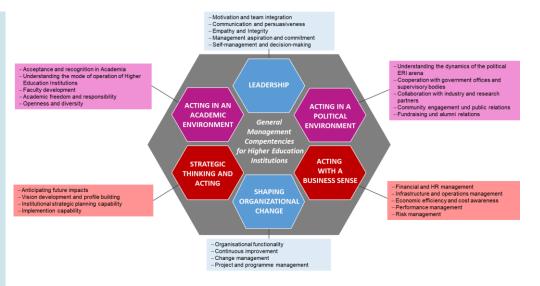
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What general management competencies do senior leaders at Swiss HEIs need?*



The study is based on a general management competency framework with six competency fields and 28 competencies ('honeycomb model'). The unexpectedly homogeneous responses of all respondents across all types of HEIs make it clear that:

- ...the expectations on senior leaders at Swiss universities are **wideranging** and very **challenging** - today and in the future;
- ...leadership, strategic thinking and acting and shaping organisational change embody the three most important competency fields for the successful performance of their current management position;
- ...acting in a political environment and the ability to shape organisational change will become even more relevant in the future.



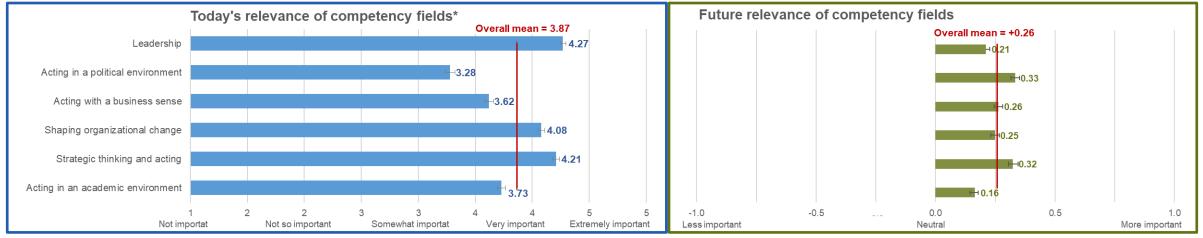


Figure updated 22 July 2024

What competencies do current senior leaders wish they had received more targeted preparation for when assuming their positions?



80% of respondents mentioned specific competencies they felt particularly challenged by when they began their current leadership positions.

It is noticeable that they primarily lacked **fundamental knowledge** and experience in **management** ('basics') as well as a contextual **understanding of how universities and the political ERI arena work**.



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What are the most important strategic challenges for HEIs from the perspective of the respondents?

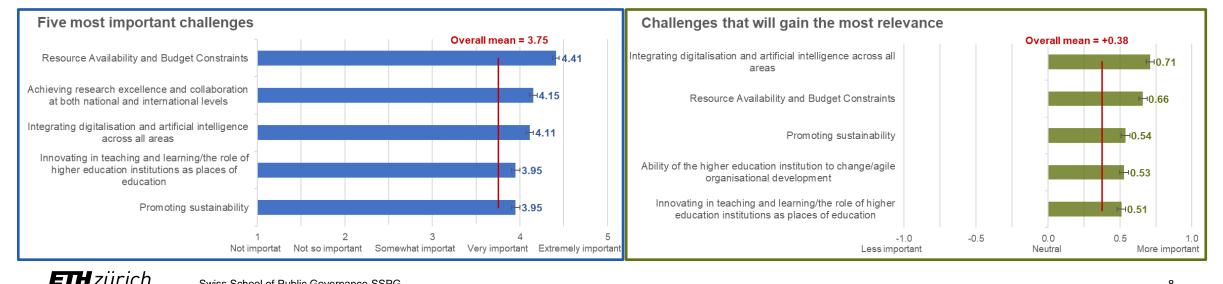
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From the perspective of the surveyed leaders, HEIs face a variety of strategic challenges - today and in the future:

- among the most important challenges currently are the availability of resources and the associated pressure to cut costs, the safeguarding of research excellence and collaborative capabilities, as well as digitalisation and artificial intelligence.
- ...according to the surveyed leaders, today's strategic challenges will continue to shape the higher education environment in the future and will gain further importance.
- ... the demands from the Swiss higher education area are generally considered to be very challenging.

List of challenges (sorted by current relevance):

- Resource availability and budget constraints
- Achieving research excellence and collaboration at both national and international levels
- Integrating digitalisation and artificial intelligence across all areas
- Innovating in teaching and learning/the role of HEIs as places of education
- Promoting sustainability
- Ability of the higher education institution to change/agile organisational development
- Professionalising management processes and systems
- Enhancing science policy and society connections
- Improving internal governance and academic self-governance effectiveness
- Evolving research framework conditions (e.g., open science, ethical+regulatory considerations)
- Addressing HR challenges (e.g., diversity & inclusion, conflict management, work-life balance)
- Expanding continuing education and lifelong learning opportunities
- Fostering science-business partnerships and entrepreneurship
- Tackling skilled labour shortages
- Enhancing the higher education institution's autonomy
- Pursuit of institutional growth (development of new disciplines/ programs/competence centres)
- Managing increasing student enrolment (also: decreasing student enrolment rates)
- Refining higher education institution's profile and ranking performance
- Leveraging synergies and focusing on site consolidation ("one higher education institution")





Summary and Outlook

Summary

The initial results of the national study indicate that leaders with general management functions at Swiss HEIs face a **variety of challenges** that require a **demanding and broadly diversified competence profiles**. The **similarities** clearly dominate across both HEI types and functions.

From the empirical findings, we identify various fields of action, in particular:

- Complementarity of career paths and competence profiles; diversity and inclusion in decision-making bodies (e.g. executive boards)
- Maintaining the ability to steer (possibilities and limits of academic self-administration)
- The challenge of managing generational change in senior leadership positions (succession planning, rejuvenation)
- 'Good governance' practices in appointment procedures for senior management positions (including rectors)
- Need for a national cross-HEI senior leadership development programme

Outlook

Save-the-date: Networking event 22. November 2024 1:30-5:00 PM at ETH Zurich (hybrid event)

Our next steps include:

- Finalisation of in-depth interviews with around 25 senior leaders on selected topics and findings
- Invitation to the networking event and distribution of individual peer group benchmarking to interested respondents in late summer 2024
- Publication of the study (report) in November 2024
- Follow-up of selected fields of action that emerge from the study results (survey and interviews)

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