

Keldysh Theory

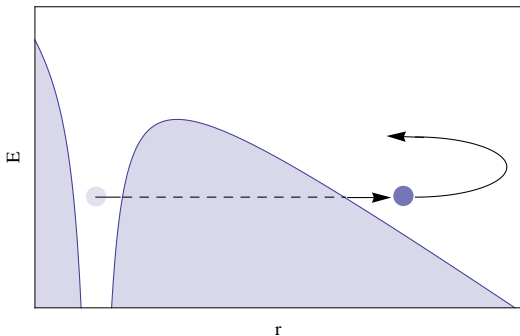
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derive ionisation probability

$$P(t_0) \propto \exp\left(-\frac{2(2I_p(t_0))^{3/2}}{3F(t_0)}\right) \exp\left(\frac{v_{\perp}^2}{2\sigma_{\perp}^2}\right)$$

- Keldysh exponent
- ADK transverse velocity probability



- M. Y. Ivanov, M. Spanner and O. Smirnova, *Anatomy of Strong Field Ionization*, Journal of Modern Optics, 52:2-3, 165-184
- A. S. Landsman, *Laser-Atom Interaction*, Lecture notes FS 2011

Atomic units to make calculations/formulas easier:

- $\hbar = 1$
- $|q_e| = 1$
- $m_e = 1$

- 1 Initial Situation
- 2 SFA, dipole and quasi-static approximation
- 3 Linear polarisation
- 4 Evaluate the probability amplitude
- 5 Keldysh exponent
- 6 End Result

- what we have to calculate:

$$i \frac{\partial}{\partial t} |\Psi\rangle = \hat{H}(t) |\Psi\rangle$$

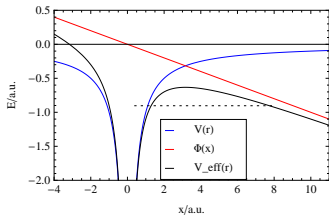
with: $\hat{H}(t) = \hat{H}_0 + \hat{V}_L(t)$ (1)

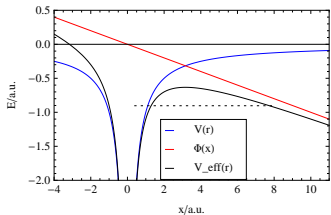
field-free Hamiltonian of the atom

interaction with the laser field

$$\Psi = \Psi(t, \vec{r}_1, \dots, \vec{r}_n)$$

- full multi-electron wave function
- explicitly time-dependant Hamiltonian (no separation ansatz)





- what we have to calculate:

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$$\text{with: } \hat{H}(t) = \hat{H}_0 + \hat{V}_L(t) \quad (1)$$

field-free Hamiltonian of the atom

interaction with the laser field

$$\Psi = \Psi(t, \vec{r}_1, \dots, \vec{r}_n)$$

- full multi-electron wave function
- explicitly time-dependant Hamiltonian (no separation ansatz)
- general solution:

$$|\Psi(t)\rangle = e^{-i \int_{t_0}^t dt' \hat{H}(t')} |\Phi_i\rangle \quad (2)$$

- single active electron

$$\Psi(t, \vec{r}_1, \dots, \vec{r}_n) \approx \Psi_{n-1}(\vec{r}_1, \dots, \vec{r}_{n-1}) \times \Phi(\vec{r}_n, t)$$

- dipole approximation: $\lambda \gg r(\text{atom}) \Rightarrow \vec{F}(t)$

- single active electron

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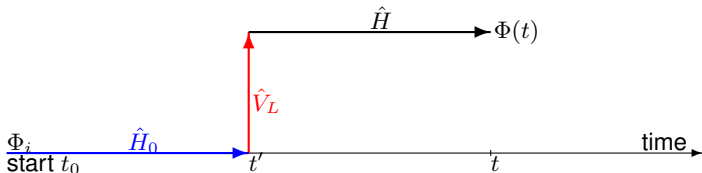
- dipole approximation: $\lambda \gg r(\text{atom}) \Rightarrow \vec{F}(t)$
- **SFA 1**: neglect laser field while in bound state
- adiabatic case (quasi-static approximation) $\omega \ll \omega_B$

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$$\Psi(t, \vec{r}_1, \dots, \vec{r}_n) \approx \Psi_{n-1}(\vec{r}_1, \dots, \vec{r}_{n-1}) \times \Phi(\vec{r}_n, t)$$

- dipole approximation: $\lambda \gg r(\text{atom}) \Rightarrow \vec{F}(t)$
- **SFA 1**: neglect laser field while in bound state
- adiabatic case (quasi-static approximation) $\omega \ll \omega_B$
- **exact** solution:

$$|\Phi(t)\rangle = -i \int_{t_0}^t dt' \left[e^{-i \int_{t'}^t dt'' \hat{H}(t'')} \right] \hat{V}_L(t') \left[e^{-i \int_{t_0}^{t'} dt'' \hat{H}_0(t'')} \right] |\Phi_i\rangle + e^{-i \int_{t_0}^t dt'' \hat{H}_0(t'')} |\Phi_i\rangle \quad (3)$$



Substitute (3) into Schrödinger's Equation:

$$\begin{aligned}i \frac{\partial |\Phi(t)\rangle}{\partial t} &= i \frac{\partial}{\partial t} \left(-i \int_{t_0}^t dt' \left[e^{-i \int_{t'}^t dt'' \hat{H}(t'')} \right] \hat{V}_L(t') \left[e^{-i \int_{t_0}^{t'} dt'' \hat{H}_0(t'')} \right] |\Phi_i\rangle \right. \\ &\quad \left. + e^{-i \int_{t_0}^t dt'' \hat{H}_0(t'')} |\Phi_i\rangle \right) \\ &= \frac{\partial}{\partial t} \left(\int_{t_0}^t dt' \left[e^{-i \int_{t'}^t dt'' \hat{H}(t'')} \right] \hat{V}_L(t') \left[e^{-i \int_{t_0}^{t'} dt'' \hat{H}_0(t'')} \right] |\Phi_i\rangle \right. \\ &\quad \left. + i e^{-i \int_{t_0}^t dt'' \hat{H}_0(t'')} |\Phi_i\rangle \right) \\ &= -i \hat{H}(t) \int_{t_0}^t dt' \left[e^{-i \int_{t'}^t dt'' \hat{H}(t'')} \right] \hat{V}_L(t') \left[e^{-i \int_{t_0}^{t'} dt'' \hat{H}_0(t'')} \right] |\Phi_i\rangle \\ &\quad + \hat{V}_L(t) e^{-i \int_{t_0}^t dt'' \hat{H}_0(t'')} |\Phi_i\rangle \quad + \hat{H}_0(t) e^{-i \int_{t_0}^t dt'' \hat{H}_0(t'')} |\Phi_i\rangle \\ &= \hat{H}(t) |\Phi(t)\rangle\end{aligned}$$

□

Substitute (3) into Schrödinger's Equation:

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quasi-static:

$$= \frac{\partial}{\partial t} \left(\int_{t_0}^t dt' \left[e^{-i(t-t')\hat{H}} \right] \hat{V}_L(t') \left[e^{-i(t'-t_0)\hat{H}_0} \right] |\Phi_i\rangle \right. \\ \left. + i e^{-i(t-t_0)\hat{H}_0} |\Phi_i\rangle \right)$$

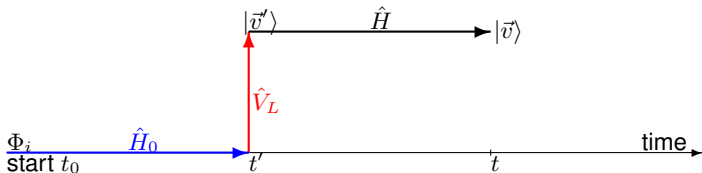
$$= -i \hat{H} \int_{t_0}^t dt' \left[e^{-i(t-t')\hat{H}} \right] \hat{V}_L(t') \left[e^{-i(t'-t_0)\hat{H}_0} \right] |\Phi_i\rangle \\ + e^{-i(t-t)\hat{H}} \hat{V}_L(t) e^{-i(t-t_0)\hat{H}_0} |\Phi_i\rangle + \hat{H}_0 e^{-i(t-t_0)\hat{H}_0} |\Phi_i\rangle \\ = \hat{V}_L(t) |\Phi(t)\rangle + \hat{H}_0 |\Phi(t)\rangle = \hat{H}(t) |\Phi(t)\rangle$$

□

- velocity basis $|\vec{v}\rangle$
- eigenstates: plane waves $e^{i\vec{k}\vec{r}}$ for \vec{r} large
- no projection of ground state onto continuum states: $\langle\vec{v}|\Phi_i\rangle = 0$

- velocity basis $|\vec{v}\rangle$
- eigenstates: plane waves $e^{i\vec{k}\vec{r}}$ for \vec{r} large
- no projection of ground state onto continuum states: $\langle \vec{v} | \Phi_i \rangle = 0$
- projection of the evolved wave function onto a specific velocity $\vec{v} = (v_x, v_y, v_z)$:

$$\begin{aligned} \langle \vec{v} | \Phi(t) \rangle &= \Phi(\vec{v}, t) \\ &= -i \int_{t_0}^t dt' \left\langle \vec{v} \left| e^{-i \int_{t'}^t dt'' \hat{H}(t'')} \hat{V}_L(t') e^{-i \int_{t_0}^{t'} dt'' \hat{H}_0(t'')} \right| \Phi_i \right\rangle \quad (4) \end{aligned}$$



- SFA 2: neglect ion field in continuum

→ Volkov propagator with $\hat{H}_F = \frac{1}{2} (\vec{p} - q\vec{A})^2$

$$\langle \vec{v} | e^{-i \int_{t'}^t \hat{H}_F(t'') dt''} = e^{-i \int_{t'}^t \frac{1}{2} [\vec{p} + \mathbf{A}(t'')]^2 dt''} \langle \vec{v}' | = e^{-i \int_{t'}^t \frac{1}{2} \vec{v}'^2 dt''} \langle \vec{v}' |$$

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- ground state has energy $-I_p$

$$\begin{aligned} \Phi(\vec{v}, t) &= -i \int_{t_0}^t dt' \left\langle \vec{v} \left| e^{-i \int_{t'}^t \hat{H}_F(t'') dt''} \hat{V}_L(t') e^{-i \int_{t_0}^{t'} dt'' \hat{H}_0(t'')} \right| \Phi_i \right\rangle \\ &= -i \int_{t_0}^t dt' e^{-i \int_{t'}^t \frac{1}{2} v'^2 dt''} e^{i(t'-t_0)I_p} \langle \vec{v}' | \hat{V}_L(t') | \Phi_i \rangle \end{aligned} \quad (5)$$

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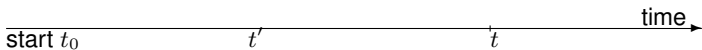
$$\begin{aligned} \Phi(\vec{v}, t) &= -i \int_{t_0}^t dt' \left\langle \vec{v} \left| e^{-i \int_{t'}^t \hat{H}_F(t'') dt''} \hat{V}_L(t') e^{-i \int_{t_0}^{t'} dt'' \hat{H}_0(t'')} \right| \Phi_i \right\rangle \\ &= -i \int_{t_0}^t dt' e^{-i \int_{t'}^t \frac{1}{2} v'^2 dt''} e^{i(t'-t_0)I_p} \langle \vec{v}' | \hat{V}_L(t') | \Phi_i \rangle \end{aligned} \quad (5)$$

- the canonical momentum $\vec{p} = \vec{v} + q\vec{A}$ is conserved

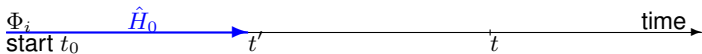
$$\vec{v} - \vec{A}(t) = \vec{v}^* - \vec{A}(t^*) \quad \Rightarrow \quad \vec{v}^* = \vec{v} - \vec{A}(t) + \vec{A}(t^*)$$

$$\begin{aligned} \Phi(\vec{v}, t) &= -i \int_{t_0}^t dt' e^{-i \int_{t'}^t \frac{1}{2} (\vec{v} - \vec{A}(t) + \vec{A}(t''))^2 dt''} e^{i(t'-t_0)I_p} \\ &\quad \times \left\langle \vec{v} - \vec{A}(t) + \vec{A}(t') \left| \hat{V}_L(t') \right| \Phi_i \right\rangle \end{aligned} \quad (6)$$

$$\Phi(\vec{v}, t) = -i \int_{t_0}^t dt' e^{-i \int_{t'}^t \frac{1}{2} (\vec{v} - \vec{A}(t) + \vec{A}(t''))^2 dt''} e^{i(t' - t_0) I_p} \\ \times \underbrace{\langle \vec{v} - \vec{A}(t) + \vec{A}(t') | \hat{V}_L(t') | \Phi_i \rangle}_{\text{prefactor}} \quad (7)$$

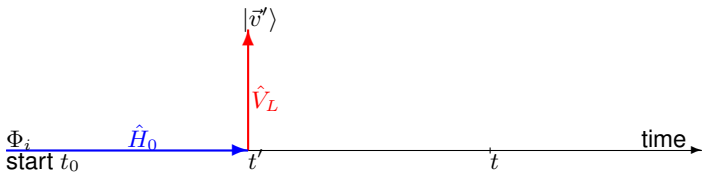


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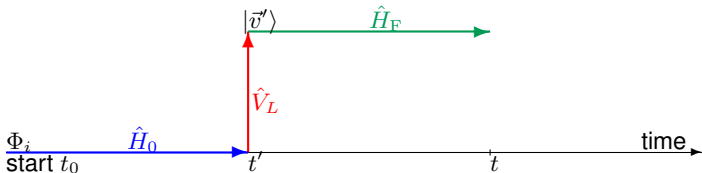
- waiting in groundstate

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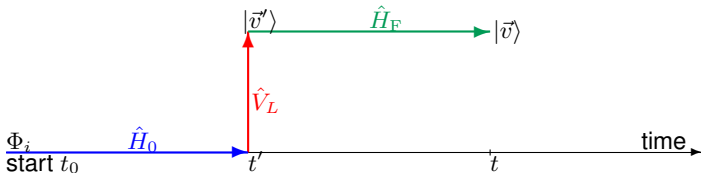
- waiting in groundstate
- kick from laser field, jump up to continuum state, exit tunnel with velocity \vec{v}'

$$\Phi(\vec{v}, t) = -i \int_{t_0}^t dt' e^{-i \int_{t'}^t \frac{1}{2} (\vec{v} - \vec{A}(t) + \vec{A}(t'))^2 dt''} e^{i(t' - t_0) I_P} \\ \times \underbrace{\langle \vec{v} - \vec{A}(t) + \vec{A}(t') | \hat{V}_L(t') | \Phi_i \rangle}_{\text{prefactor}} \quad (7)$$



- waiting in groundstate
- kick from laser field, jump up to continuum state, exit tunnel with velocity \vec{v}'
- oscillating in the laser field

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$$\times \underbrace{\langle \vec{v} - \vec{A}(t) + \vec{A}(t') | \hat{V}_L(t') | \Phi_i \rangle}_{\text{prefactor}} \quad (7)$$

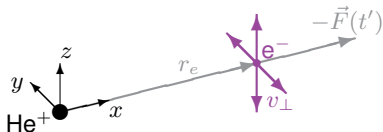


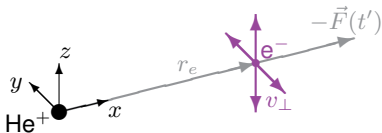
- waiting in groundstate
- kick from laser field, jump up to continuum state, exit tunnel with velocity \vec{v}'
- oscillating in the laser field
- recorded on detector with velocity \vec{v}

- laser field polarised along \hat{x}
→ vector potential:

$$\vec{A}(t) = \frac{F_0}{\omega} \sin(\omega t) \hat{x} \quad (8)$$

$$\Rightarrow \left(\vec{v} - \vec{A}(t) + \vec{A}(t'') \right)^2 = \left(v_x - \frac{F_0}{\omega} \sin(\omega t) + \frac{F_0}{\omega} \sin(\omega t'') \right)^2 + v_y^2 + v_z^2 \quad (9)$$





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- define action

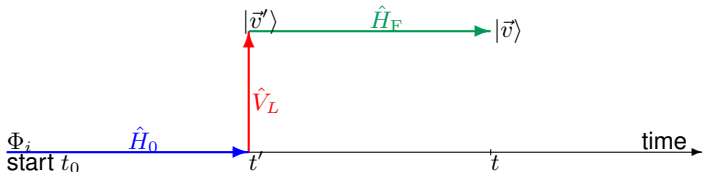
$$S_{\vec{v}}(t, t') := \frac{1}{2} \int_{t'}^t \left[v_x - \frac{F_0}{\omega} \sin(\omega t) + \frac{F_0}{\omega} \sin(\omega t'') \right]^2 dt'' + \frac{v_y^2 + v_z^2}{2} (t - t') - I_p(t' - t_0) \quad (10)$$

$$\Phi(\vec{v}, t) \propto -i \int_{t_0}^t dt' e^{-i \int_{t'}^t \frac{1}{2} (\vec{v} - \vec{A}(t) + \vec{A}(t''))^2 dt''} e^{i(t' - t_0) I_p}$$

$$\Rightarrow \Phi(\vec{v}, t) \propto -i \int_{t_0}^t \exp(-i S_{\vec{v}}(t, t')) dt' \quad (11)$$

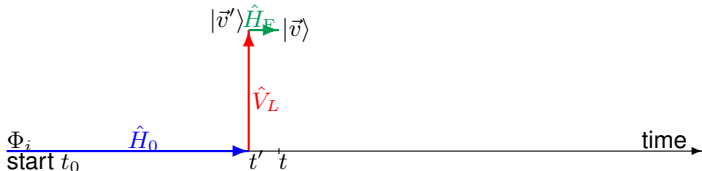
- That was the hard part. ☺
- **Probability amplitude** of finding an electron
 - with velocity \vec{v} on the detector,
 - from **any** ionisation time t' .

$$\Phi(\vec{v}, t) \propto -i \int_{t_0}^t \exp(-iS_{\vec{v}}(t, t')) dt'$$



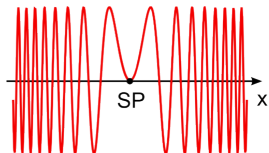
- Now comes the fun part.
- Find the **probability of ionisation** depending on
 - ionisation time t'
 - assumption: instantaneous tunneling time
 - and tunnel exit velocity \vec{v}' .

$$P(t', \vec{v}') = ?$$



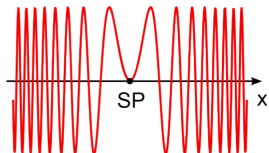
- set $t_0 = 0$
- push t towards t' and all that towards t_0

- we have to evaluate $\int_{t_0}^t \exp(-iS_{\vec{v}}(t, t')) dt'$



- $\int_{x_1}^{x_2} f(x) e^{ig(x)} dx$
- $f(x)$ slowly varying (in our case: $f(x) \equiv 1$)
- main contribution where $g'(x) = 0$ (no fast oscillations which cancel contributions out)

$$S_{\bar{v}}(t, t') := \frac{1}{2} \int_{t'}^t \left[v_x - \frac{F_0}{\omega} \sin(\omega t) + \frac{F_0}{\omega} \sin(\omega t'') \right]^2 dt'' + \frac{v_y^2 + v_z^2}{2} (t - t') - I_p t'$$



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- find a particular t'_* such that $\left. \frac{\delta S_{\bar{v}}(t, t')}{\delta t'} \right|_{t'_*} = 0$

$$0 = \frac{-1}{2} \left[v_x - \frac{F_0}{\omega} \sin(\omega t) + \frac{F_0}{\omega} \sin(\omega t'_*) \right]^2 - \frac{v_y^2 + v_z^2}{2} - I_p$$

$$0 = \frac{1}{2} \left[v_x - \frac{F_0}{\omega} \sin(\omega t) + \frac{F_0}{\omega} \sin(\omega t'_*) \right]^2 + \frac{v_y^2 + v_z^2}{2} + I_p$$

- define a varied Keldysh parameter (usually: $\gamma = \frac{\sqrt{2I_p}}{F_0} \omega$)

$$\tilde{\gamma} = \frac{\sqrt{2I_p + v_y^2 + v_z^2}}{F_0} \omega \quad (12)$$

⇒ perpendicular velocity “adds” to the ionisation potential

- final equation to solve in order to find the saddle point:

$$0 = \left[\frac{v_x \omega}{F_0} - \sin(\omega t) + \sin(\omega t'_*) \right]^2 + \tilde{\gamma}^2 \quad (13)$$

Special Case: $\vec{v} = 0$

$$0 = \left[\frac{v_x \omega}{F_0} - \sin(\omega t) + \sin(\omega t'_*) \right]^2 + \tilde{\gamma}^2$$

- let's find $|\Phi(\vec{v} = 0, \omega t = n\pi)|^2$

$$v_x = 0 \quad \tilde{\gamma} = \gamma \quad \sin(\omega t) = 0$$

$$\sin(\omega t'_*) = \pm i\gamma$$

$$0 = \left[\frac{v_x \omega}{F_0} - \sin(\omega t) + \sin(\omega t'_*) \right]^2 + \tilde{\gamma}^2$$

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$$\sin(\omega t'_*) = \pm i\gamma$$

- ionisations only happen when the field is strong enough $\Rightarrow \omega t'_* \ll 1$

$$\omega t'_* \approx \pm i\gamma$$

$$t'_* \approx i \frac{\gamma}{\omega} = i \frac{\sqrt{2I_P}}{F_0} = i\tau_{\text{Keldysh}} \quad (14)$$

$$0 = \left[\frac{v_x \omega}{F_0} - \sin(\omega t) + \sin(\omega t'_*) \right]^2 + \tilde{\gamma}^2$$

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- dominant contribution to ionisation happens (or starts) at imaginary time!
(and there are more tunnelling times which come out imaginary from the calculations ...)

$$P(\vec{v} = 0, t) = |\Phi(\vec{v} = 0, t)|^2 \propto \left| -i \int_0^t e^{-iS_{\vec{v}}(t, t')} dt' \right|^2 \stackrel{\text{SPA}}{\approx} \left| e^{-iS_{\vec{v}}(t, t_*)} \right|^2$$

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- substituting $t'_* = i\sqrt{2I_P}/F_0$ into $S_{\vec{v}}(t, t')$, $\omega t = n\pi$, set $n = 0$:

$$\begin{aligned} S_{\vec{v}}(0, t'_*) &= \frac{1}{2} \int_{t'_*}^0 \left[\frac{F_0}{\omega} \sin(\omega t'') \right]^2 dt'' - I_P t'_* \\ &\approx \frac{1}{2} \int_{t'_*}^0 \left(\frac{F_0}{\omega} \omega t'' \right)^2 dt'' - I_P t'_* = \frac{-1}{2} F_0^2 \frac{(t'_*)^3}{3} - I_P t'_* \\ &\approx \frac{i}{2} \frac{(2I_P)^{3/2}}{F_0} \left(\frac{1}{3} - 1 \right) = \frac{-i}{2} \frac{2}{3} \frac{(2I_P)^{3/2}}{F_0} \end{aligned} \quad (15)$$

$$P(\vec{v} = 0, t) = |\Phi(\vec{v} = 0, t)|^2 \propto \left| -i \int_0^t e^{-iS_{\vec{v}}(t, t')} dt' \right|^2 \stackrel{\text{SPA}}{\approx} \left| e^{-iS_{\vec{v}}(t, t'_*)} \right|^2$$

- substituting $t'_* = i\sqrt{2I_p}/F_0$ into $S_{\vec{v}}(t, t')$, $\omega t = n\pi$, set $n = 0$:

$$\begin{aligned} S_{\vec{v}}(0, t'_*) &= \frac{1}{2} \int_{t'_*}^0 \left[\frac{F_0}{\omega} \sin(\omega t'') \right]^2 dt'' - I_p t'_* \\ &\approx \frac{1}{2} \int_{t'_*}^0 \left(\frac{F_0}{\omega} \omega t'' \right)^2 dt'' - I_p t'_* = \frac{-1}{2} F_0^2 \frac{(t'_*)^3}{3} - I_p t'_* \\ &\approx \frac{i}{2} \frac{(2I_p)^{3/2}}{F_0} \left(\frac{1}{3} - 1 \right) = \frac{-i}{2} \frac{2}{3} \frac{(2I_p)^{3/2}}{F_0} \end{aligned} \quad (15)$$

- Probability of ionisation at the peak of the field, with zero velocity, to exponential accuracy:

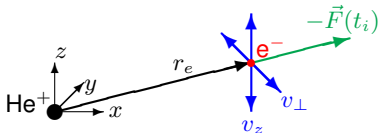
$$P(\vec{v} = 0, t = 0) \propto \exp \left(-\frac{2(2I_p)^{3/2}}{3F_0} \right) \quad (16)$$

- quasi-static idea: ionisations at other times $t \neq 0$ means we have lower field strength $F(t) \leq F_0$
- account for Stark shift in the ionisation potential

$$I_p(t) = I_p(F(t)) = I_{p,0} + \frac{1}{2} (\alpha_N - \alpha_I) F(t)^2, \quad (17)$$

- allow transverse velocity at exit tunnel (adding to the ionisation potential), laser propagation in z direction, elliptically polarised in $x - y$ plane

$$P(v_{\parallel} = 0, v_{\perp}, v_z, t) \propto \exp \left\{ \frac{-2 (2I_p(t) + v_{\perp}^2 + v_z^2)^{3/2}}{3F(t)} \right\} \quad (18)$$



first order taylor:

$$(2I_p(t) + v_{\perp}^2 + v_z^2)^{3/2} \approx (2I_p(t))^{3/2} + \frac{3}{2}(2I_p(t))^{1/2}(v_{\perp}^2 + v_z^2)$$

everything together:

$$P(v_{\parallel} = 0, v_{\perp}, v_z, t) \propto \exp\left(\frac{-2(2I_p(t))^{3/2}}{3F(t)}\right) \exp\left(-\frac{v_{\perp}^2 + v_z^2}{2\sigma_{\perp}^2}\right) \quad (19)$$

with

$$\sigma_{\perp}^2 = \frac{F(t)}{2(2I_p(t))^{1/2}} = \frac{\omega}{2\gamma} \quad (20)$$