

\* We can derive the Master equation

\* We can solve the driven-dissipative Mott transition

### 1. Derivation of the master equation

We consider a problem of a system coupled to a large bath and we are only interested in the evolution of the system. This means, we are seeking an equation of the form:

$$i\dot{\rho}_{\text{sys}}(t) = [\mathcal{H}_{\text{sys}}, \rho_{\text{sys}}(t)] + \mathcal{F}[\rho_{\text{sys}}(t)], \quad (1)$$

where

$$\rho_{\text{sys}} = \text{tr}_{\text{Bath}}[\rho].$$

We are interested in  $\rho_{\text{sys}}$  as all operators acting on the system alone can be evaluated via

$$\langle A \rangle = \text{tr}_{\text{sys}}[A \rho_{\text{sys}}].$$

In eq. (1) above we implicitly assumed that the change of  $\rho_{\text{sys}}(t)$  only depends on  $t$  and not on the part. Let us see what goes into the derivation of (1) and how  $\mathcal{F}[\cdot]$  explicitly looks.

Our Hamiltonian looks like

$$H = H_{\text{sys}} + H_{\text{int}} + H_{\text{bath}}$$

Therefore, in the Schrödinger picture  $\rho$  satisfies

$$\dot{\rho} = -i [H_{\text{sys}} + H_{\text{bath}} + H_{\text{int}}, \rho]$$

To obtain the equation for  $\rho_{\text{sys}}$  we transform to the interaction picture

$$\rho_{\text{I}} = e^{i(H_{\text{sys}} + H_{\text{bath}})t} \rho(t) e^{-i(H_{\text{sys}} + H_{\text{bath}})t}$$

therefore

$$\dot{\rho}_{\text{I}}(t) = -i [H_{\text{int}}(t), \rho_{\text{I}}(t)]$$

where the interaction Hamiltonian is now given by

$$H_{\text{int}}(t) = \exp[i(H_{\text{sys}} + H_{\text{bath}})t] H_{\text{int}} \exp[-i(H_{\text{sys}} + H_{\text{bath}})t]$$

Condition I:  $\rho(0) = \rho_{\text{sys}}(0) \otimes \rho_{\text{bath}}$  (initially not entangled)

Condition II:  $\rho_{\text{bath}} \neq \rho_{\text{bath}}(t)$  (reservoir unaffected by system)

Let us now integrate the equation of motion:

$$\rho_I(t) = \rho_I(0) - i \int_0^t dt' [H_{\text{int}}(t'), \rho_I(0)] \\ - \int_0^t dt' \int_0^{t'} dt'' [H_{\text{int}}(t'), [H_{\text{int}}(t''), \rho_I(t'')]] + \dots$$

Instead of iterating further we consider an infinitesimal step  $\Delta t$  to derive an integro-differential equation

$$\dot{\rho}_I(t) = -i [H_{\text{int}}(t), \rho_I(t)] - \int_0^t dt' [H_{\text{int}}(t), [H_{\text{int}}(t'), \rho_I(t')]]$$

We can now trace over the bath

$$\dot{\rho}_{\text{sys}}^I(t) = - \int_0^t dt' \text{Tr}_{\text{bath}} \{ [H_{\text{int}}(t), [H_{\text{int}}(t'), \rho_I(t')]] \}$$

where we assumed  $\text{Tr}_{\text{bath}} \{ H_{\text{int}}(0) \rho_I(0) \} = 0$  and  $\rho_I(0) = \rho_{\text{sys}}(0) \otimes \rho_{\text{bath}}$ .

Condition III:  $\text{Tr}_{\text{bath}} [A_{\text{sys}}(t), [A_{\text{bath}}(t'), \rho(t')]] \approx \rho_{\text{sys}}(t') \otimes \text{Tr}_{\text{bath}} [A_{\text{sys}}(t), [A_{\text{bath}}(t'), \rho_{\text{bath}}(t')]]$

↳ system-bath coupling does not change bath correlation functions.

⇒

$$\dot{\rho}_{\text{sys}}(t) = - \int_0^t dt' \text{Tr}_{\text{bath}} \{ [H_{\text{int}}(t), [H_{\text{int}}(t'), \rho_{\text{sys}}(t') \otimes \rho_{\text{bath}}]] \}$$

The change in  $\rho_{\text{sys}}$  at time  $t$  still depends on all earlier

times  $t' < t$ . In order to obtain an equation which is local in time we need a further assumption.

**Condition IV (Markov):** The system changes slowly over the time-scales of the bath.

$\Rightarrow \rho_{\text{sys}}(t')$  use  $\rho_{\text{sys}}(t)$  and

$$\dot{\rho}_{\text{sys}}(t) = - \int_0^{\infty} dt' \text{Tr}_{\text{bath}} \left\{ \left[ H_{\text{int}}(t), \left[ H_{\text{int}}(t-t'), \rho_{\text{sys}}(t) \otimes \rho_{\text{bath}} \right] \right] \right\}$$

Let us now consider an arbitrary coupling:

$$H_{\text{int}} = \sum_{\alpha} X_{\alpha}^{\dagger} \Gamma_{\alpha} + \Gamma_{\alpha}^{\dagger} X_{\alpha}$$

where  $\Gamma_{\alpha}$  are bath operators and  $X_{\alpha}$  are system eigen operators.

We now need to evaluate terms like

$$\int_0^t dt' \sum_{\alpha, \beta} X_{\alpha}^{\dagger} e^{i\omega_{\alpha} t'} X_{\beta} e^{-i\omega_{\beta} t} \rho_{\text{sys}}(t') \text{Tr}_{\text{bath}} \left\{ \Gamma_{\alpha}(t) \Gamma_{\beta}^{\dagger}(t') \rho_{\text{bath}} \right\}$$

(i) bath stationary (**II**)  $\Rightarrow \text{Tr}_{\text{bath}} \left\{ \Gamma_{\alpha}(t) \Gamma_{\beta}^{\dagger}(t') \rho_{\text{bath}} \right\}$  depends only on  $t-t' \Rightarrow$  terms with  $\omega_{\alpha} \neq \omega_{\beta}$  oscillate quickly and average to zero (**RWA**)

(ii) Markov  $\Rightarrow$ 

$$- \sum_{\alpha} X_{\alpha}^{\dagger} X_{\alpha} \rho(t) \int_0^t d\tau e^{i\omega_{\alpha}\tau} \text{Tr}_{\text{bath}} \{ \Gamma_{\alpha}(\tau) \Gamma_{\alpha}^{\dagger}(0) \rho_{\text{bath}} \}$$

 $\Rightarrow$  therefore, everything can be written as a function of

$$\int_0^{\infty} d\tau e^{i\omega_{\alpha}\tau} \text{Tr}_{\text{bath}} \{ \Gamma_{\alpha}(\tau) \Gamma_{\alpha}^{\dagger}(0) \rho_{\text{bath}} \} = \frac{1}{2} K_{\alpha} + i\delta_{\alpha}$$

$$\int_0^{\infty} d\tau e^{i\omega_{\alpha}\tau} \text{Tr}_{\text{bath}} \{ \Gamma_{\alpha}(0) \Gamma_{\alpha}^{\dagger}(\tau) \rho_{\text{bath}} \} = \frac{1}{2} K_{\alpha} - i\delta_{\alpha}$$

$$\int_0^{\infty} d\tau e^{i\omega_{\alpha}\tau} \text{Tr}_{\text{bath}} \{ \Gamma_{\alpha}^{\dagger}(\tau) \Gamma_{\alpha}(0) \rho_{\text{bath}} \} = \frac{1}{2} G_{\alpha} + i\epsilon_{\alpha}$$

$$\int_0^{\infty} d\tau e^{i\omega_{\alpha}\tau} \text{Tr}_{\text{bath}} \{ \Gamma_{\alpha}^{\dagger}(0) \Gamma_{\alpha}(\tau) \rho_{\text{bath}} \} = \frac{1}{2} G_{\alpha} + i\epsilon_{\alpha}$$

 $\Rightarrow$ 

$$\dot{\rho}_{\alpha}(t) = -i [H_{\text{sys}}, \rho_{\alpha}(t)]$$

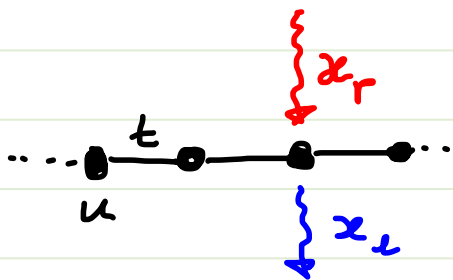
$$-i \sum_{\alpha} [\delta_{\alpha} X_{\alpha}^{\dagger} X_{\alpha} + \epsilon_{\alpha} X_{\alpha} X_{\alpha}^{\dagger}, \rho_{\alpha}(t)]$$

$$- \sum_{\alpha} K_{\alpha} \left( X_{\alpha} \rho_{\alpha}(t) X_{\alpha}^{\dagger} - \frac{1}{2} \{ X_{\alpha}^{\dagger} X_{\alpha}, \rho_{\alpha}(t) \} \right)$$

$$- \sum_{\alpha} G_{\alpha} \left( X_{\alpha}^{\dagger} \rho_{\alpha}(t) X_{\alpha} - \frac{1}{2} \{ X_{\alpha} X_{\alpha}^{\dagger}, \rho_{\alpha}(t) \} \right)$$

Stark shift  
Lamb shift  
fluctuation-dissipation

## 2. Application to Mott transition



$$\Rightarrow \dot{\rho} = -i[H_{\text{sys}}, \rho] - x_r \sum_i a_i^\dagger \rho a_i - \frac{1}{2} \{a_i^\dagger a_i, \rho\} \\ - x_l \sum_i a_i \rho a_i^\dagger - \frac{1}{2} \{a_i a_i^\dagger, \rho\}$$

What are we solving for?  $\Rightarrow$  all elements of  $\rho$ .  
or a closed set of observables:

$$\text{tr}[\sigma \dot{\rho}] = \text{tr}[\sigma \dots]$$

Here we make the following assumptions:

$$(i) \quad a_i^\dagger a_j \rightsquigarrow \psi a_i + \psi^* a_j^\dagger \quad \text{with} \quad \psi = \text{tr}\{a_i \rho\}$$

$\Rightarrow$  single-site problem.

(ii) truncate the Hilbert space:  $\{0, 1, 2\}$

$$\rho(t) = \begin{pmatrix} \rho_{22}(t) & \rho_{21}(t) & \rho_{20}(t) \\ \rho_{12}(t) & \rho_{11}(t) & \rho_{10}(t) \\ \rho_{02}(t) & \rho_{01}(t) & \rho_{00}(t) \end{pmatrix}$$

$$a^\dagger = \begin{pmatrix} 0 & \sqrt{2} & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix} ; a = \begin{pmatrix} 0 & 0 & 0 \\ \sqrt{2} & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$$

$$H = \begin{pmatrix} \mu & -t\sqrt{2}\gamma & 0 \\ -t\sqrt{2}\gamma^* & 0 & -t\gamma \\ 0 & -t\gamma^* & 0 \end{pmatrix} \quad \gamma = \text{tr} \{ a \rho \}$$

(iii) solve: numerically solve for  $\rho(t)$ :

a.) take reasonable  $\rho(0)$ ; calculate  $\gamma(t=0) = \text{tr} \{ a \rho(0) \}$

b.) do one time step

c.) calculate  $\gamma$

d.) use new  $\gamma$  and  $\rho$