IBZ specific guidance for the Aptitude Colloquium Exam

This document is intended to accompany the <u>ETH</u> (also <u>here</u>) and <u>D-USYS</u> regulations (particularly the USYS factsheet). As these regulations are updated often, please follow the USYS regulations if there are discrepancies and notify an IBZ professor.

Goals

The aptitude colloquium is a required exam for all PhD students at ETH Zurich, and passing it marks our confidence in a PhD student's ability to plan an interesting and scientifically valuable research project and conduct research necessary to complete a dissertation. The goal of the aptitude colloquium exam is therefore to A) give the candidate the opportunity to demonstrate that they are capable of planning, executing, and communicating a scientific project; B) assess whether the candidate is recommended to continue their doctoral studies (outcome can be pass, fail, or fail but can retake) and C) provide the candidate feedback on their doctoral plan (while there is still time to alter approaches).

Evaluation of the Aptitude Colloquium exam is based on the following:

- A doctoral plan: submitted to the USYS doctoral administration and the Aptitude Colloquium Committee at least 15 working days before the aptitude colloquium. Note you also need to submit a form 1 month before the AC to the D-USYS doctoral administration.
- A 20-minute presentation (during the first 20 minutes of the Aptitude Colloquium)
- 40 minutes Q&A. Questions may be about the plan, preliminary data presented during the presentation, or any other topic that is related. This is followed by the evaluation without the student (after the 40 minute Q&A). In total, the AC & discussion will therefore take approximately 1.5 hours.

See page 3 for an idealized timeline for a graduate student in IBZ / D-USYS. Note that the Aptitude Colloquium Exam is only required for graduate students admitted after 01.01.2022.

Composition & Roles of the Aptitude Colloquium Committee

The aptitude committee will be at minimum composed of the candidate's supervisor (of the doctoral thesis), the 2nd advisor, and a chairperson, with the following general roles and requirements:

<u>Supervisor & Secondary advisor</u>: The overall role of the supervisor and secondary advisor is to mentor and guide the candidate throughout the duration of the PhD thesis. As experts in the field, they therefore play a key role in helping the candidate prepare for the Aptitude Colloquium. The candidate and the supervisor must identify a secondary advisor by the time of the Aptitude Colloquium (within one year of your start date) and the second advisor must attend and participate in the Aptitude Colloquium exam. Together with the Chair of the Aptitude Colloquium (and potentially other committee members), the supervisor and secondary advisor are responsible for deciding the outcome of the exam.

<u>Chair of Aptitude Colloquium</u>: The role of the chairperson is threefold. First, they should ensure the colloquium runs smoothly; that is, introduce the candidate and procedures and keep time (~20 minutes for the presentation; ~40 minutes for Q&A). They are also there to ensure the process is both fair and rigorous. Finally, as a member of the Aptitude Colloquium together with the supervisor and secondary supervisor, the Chair can contribute to deciding the outcome of the exam. As such, they can also ask questions (based on the written proposal and presentation), although being less involved in the research they may ask no or fewer questions. Note that the chairperson does not become a member of the candidates committee nor are they the chair of the candidate's dissertation committee (although they are not precluded from either role).

<u>The candidate</u>: The candidate's job is to demonstrate (to the Aptitude Colloquium Committee) that they are ready and able to independently conduct research, to plan experiments / observations, see the relationship of their thesis chapters and work to broader themes and topics within Environmental Sciences, do the work necessary to get and analyze data, and communicate findings to scientific peers through presentations and scientific publications. Candidates will demonstrate this through their written doctoral plan, the 20-minute presentation, and their ability to answer questions about their proposed research and related topics.

<u>Other members</u>: If a student has already identified other members of their committee, they can expand the aptitude colloquium committee to include them or other project experts (e.g., project collaborators). We recommend this is talked over with the supervisor.

Aptitude Colloquium FAQ

- Is this an exam or a discussion? It is an exam (equivalent to a general exam or qualifying exam common in North American institutions). In addition to developing a research proposal that is convincing and giving a talk on the research, the candidate should therefore be prepared to answer questions justifying experimental choices, explaining preliminary data, and discussing conceptual ideas relevant to the proposed work.
- Is the Aptitude Colloquium open to the general public or members of the candidates group? IBZ professors have decided that the Q&A session is not open to anyone but the candidate and the Aptitude Colloquium members. However, if the supervisors and the chair of Aptitude Colloquium agree and the candidate desires this, the 20 minute presentation can be open.
- Who can ask questions? The supervisor, the co-supervisor and the Chair of the Aptitude Colloquium, plus additional members of the committee (if present), can all ask questions.
- What kind of questions will be asked? Questions about the proposed research (based on the research proposal and the presentation) and on any topic supervisors and the chair feel the candidate should be knowledgeable about, given the chosen research topic, are all possible. These could include questions about hypotheses, preliminary data, the relationship of the proposed work to that of major work in the field, statistics, etc.
- What kind of room should be reserved? The candidate should make sure to reserve a room with a white board or flip chart, as they could be asked questions that requiring them to draw figures and/ or equations on the board.
- How does the Aptitude Colloquium relate to the Annual Status conversation (required by ETH) and 1st year meetings required by some graduate programs? These meetings are different from the Aptitude Colloquium, as they have different goals, required attendees, and forms to fill out and therefore should ideally be scheduled at a different time than the Aptitude Colloquiums. The first annual status conversation "must take place no later than 12 months after the date of the passed aptitude colloquium", so is not required until after the Aptitude Colloquium is passed. Various PhD programs may require 1st year meetings these cannot be completed during the 1.5-hours needed for an Aptitude Colloquium (there simply is not enough time). If the supervisor and candidate would like to schedule such a meeting on the same day as the Aptitude Colloquium, extra time after the Aptitude Colloquium should be scheduled, and the Chair of the Aptitude Colloquium should be excused after the Aptitude Colloquium.

Organizing your Aptitude Colloquium

The candidate is responsible for organizing the logistics of their Aptitude Colloquium Exam. This includes identifying a chairperson, finding a 1.5-hour time slot in which the Aptitude Colloquium and discussion takes place, and finding a room in which it takes place. <u>Timeliness will be critical to this</u>.

Any professor at USYS (and even within ETH) can serve as the chair of the aptitude colloquium, including assistant, associate and full professors, as well as adjunct professors (Titular Professors). IBZ professors have all agreed to serve in this role if requested by an IBZ doctoral student, if the request comes at a reasonable time (2-3 months before, minimum). You can also feel free to ask a non-IBZ professor if you like. Regardless, we recommend you involve the Chairperson in the scheduling decision (a polling app like <u>whenisgood</u> could be helpful). If you have trouble finding a Chair, we suggest you ask your supervisor to help, and potentially ask them to bring your request to an IBZ IL meeting (once a month, first Monday – but not in the summer).

IBZ professors who can be Chair of the Aptitude Colloquium

· · · · · · · · · · · · · · · · · · ·	
Jake Alexander - jake.alexander@usys.ethz.ch	
Sebastian Bonhoeffer ¹ - <u>seb@env.ethz.ch</u>	
Alex Hall - <u>alex.hall@env.ethz.ch</u>	
Janneke Hille Ris Lambers -	
janneke.hillerislambers@usys.ethz.ch	
Rolf Holderegger ¹ - rolf.holderegger@wsl.ch	
Jukka Jokela - jokela@env.ethz.ch	
Monika Mauerhofer - monika.maurhofer@usys.ethz.ch	1

Mark Mescher - <u>mescher@usys.ethz.ch</u> Bruce McDonald² - <u>bruce.mcdonald@usys.ethz.ch</u> Roland Regoes - <u>roland.regoes@env.ethz.ch</u> Barbora Trubenova - <u>barbora.trubenova@env.ethz.ch</u> Lily Twining- <u>lily.twining@usys.ethz.ch</u> Greg Velicer - <u>gregory.velicer@env.ethz.ch</u> Christoph Vorberger - <u>christoph.vorburger@eawag.ch</u> Alex Widmer¹ - <u>alex.widmer@env.ethz.ch</u>

1 - currently holds a major service role (e.g. Director Collegium Helveticum, Director WSL), so may be hard to schedule; 2 - retiring July 2025

Timeline for the Aptitude Colloquium Exam, required* and recommended^ for the candidate (notes)

Timing	Task / to-do for the candidate
Year 1*	Work with your supervisor (and secondary supervisor) to identify your
The candidate is required to complete the	thesis topic and specific chapters, as well other activities (e.g.
Aptitude Colloquium Exam within the first year, but it can also be earlier than a year.	collaborations, teaching) you will conduct during your doctorate.
~8 months after starting your doctoral	Identify & invite a secondary supervisor (in collaboration with your
studies^	supervisor)
~3 months before the colloquium^	Identify an IBZ professor willing and able to chair your Aptitude
Earlier is even better – your committee is	Colloquium. Ask your supervisor for help if you have trouble.
probably very busy and it's hard to find a time when everyone can meet. Also keep in	• Identify a ~1.5-hour time slot (using a poll like <u>whenisgood</u>) that you
mind common times when scheduling is	and the entire Aptitude Committee (including chair) can meet.
difficult – e.g. summer (field work, vacation, conferences)	• Find and reserve a room for the Aptitude Colloquium. Ideally, you
	would reserve a room for 2 hours giving you $\frac{1}{2}$ hour before, and $\frac{1}{2}$
	hour after the Colloquium. You will need at least 1.5 hours.
	• You can first find a room and time before you identify a chair, but we
	recommend the reverse procedure.
>1 month before the colloquium*	Submit the Aptitude Colloquium form to D-USYS
15 working days before the	Send your doctoral plan to the D-USYS administration and your entire
Colloquium*	Aptitude Committee. See the ETHZ and D-USYS guidelines for a
	description of what should be included, length, etc.
1 day before the Colloquium^	• Send a reminder (including time and place, ideally directions) to your
	Aptitude Committee
	Check that the room you have reserved has a chalkboard /
	whiteboard with working pens, or arrange for a flipchart.
Half an hour before the Colloquium [^]	Test projection and audio and remote options (e.g. zoom) in the room.
Colloquium*	 < 1 minute – Chair introduces the procedure
	20 minutes – presentation by candidate
	• 40 minutes – Q&A from Aptitude Committee (including the Chair)
	• ~20 minutes – discussion by Aptitude Committee (without the
	candidate) on the outcome (pass or fail). The chair of the aptitude
	colloquium should submit a form to D-USYS (they will have received
	via email, but see also <u>here</u>).
	• ~5 minutes – communication of the decision by the Chair to the
	candidate, including suggestions for improvement
1-2 days after the Colloquium [^]	Check with the chair of the Aptitude Colloquium to make sure they
	have submitted the decision form
	Check with the members of the Aptitude Colloquium for any feedback
	on the written and oral parts of the exam
Within 2 months of the Colleguium /:f	• If you failed the Aptitude Colloquium, but are allowed to retake it,
Within 3 months of the Colloquium (if	· · · · · · · · · · · · · · · · · · ·