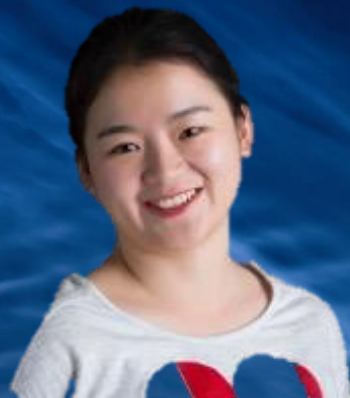


***Ice nucleation without supercooling:  
on the search for the  
most potent ice nucleants***

**Valeria Molinero**

The University of Utah



**Yuqing  
Qiu**

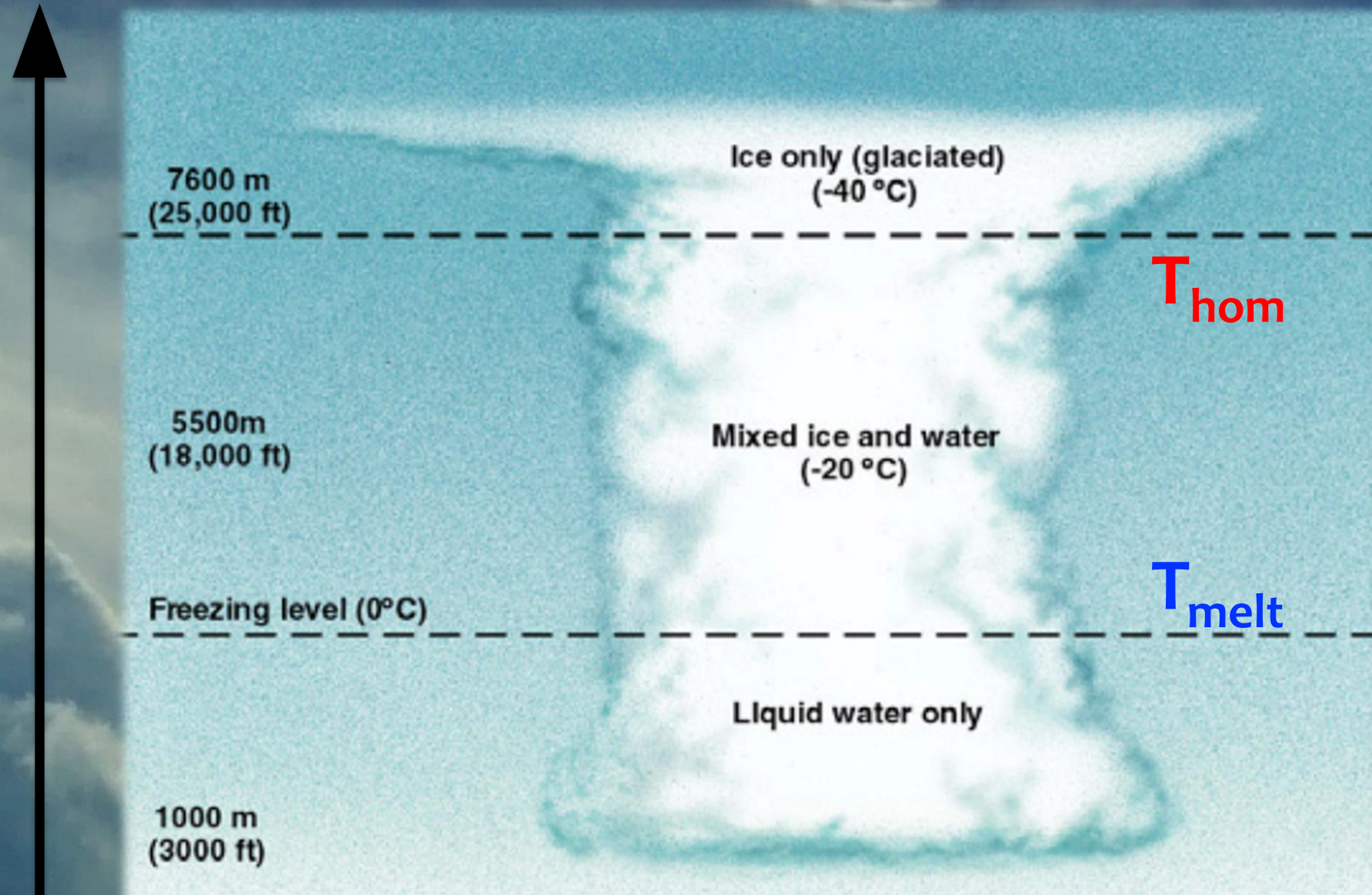


**Atanu  
Metya**



**Arpa  
Hudait**

higher, colder



*Rain and snow are initiated by ice formation in clouds*

# Weather modification through cloud seeding was intensely pursued during the Cold War



1946

Ice seeding by dry ice in a cloud chamber  
Followed by seeding of real clouds ...



**agricultural expansion**

**military interests (weather weapons)**

# Search for the most potent ice nucleants bloomed

## The Nucleation of Ice Formation by

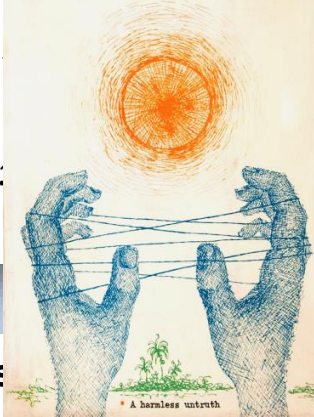
B. VONNEGUT

*General Electric Research Laboratory, Schenectady*

(Received March 17, 1947)

## Cat's Cradle

a novel by KURT VONNEGUT, JR.

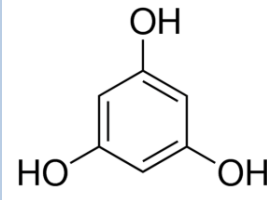


1963  
"ice nine"

## Investigation of certain substances as crystallization reagents of supercooled fog

Bashkirova and Krasikov 1957

phloroglucinol



## Experimental Studies of Organic Ice Nuclei

N. FUKUTA

*Radiophysics Laboratory, CSIRO, Sydney, Australia*

(Manuscript received 24 May 1965, in revised form 27 July 1965)

### ABSTRACT

The ability of 329 selected organic compounds to nucleate ice has been tested by three methods. Their activity was found to depend strongly on the method of preparation. More than 20 compounds were found to nucleate ice at temperatures above  $-5^{\circ}\text{C}$  when freshly ground. Some meta and para derivatives of benzene showed excellent activity. Particles prepared by condensation were less active, the only newly-discovered material effective above  $-5^{\circ}\text{C}$  being 1,5-dihydroxynaphthalene. Its use as a cloud seeding agent is suggested.

NATURE, JANUARY 28, 1967

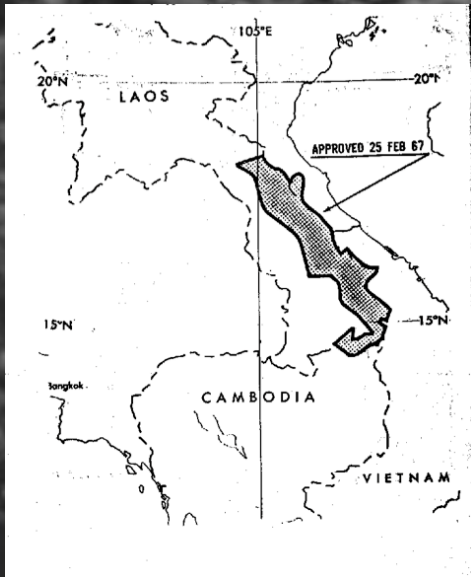
L. F. EVANS

## Two-dimensional Nucleation of Ice

THE customary theory for the nucleation of ice on a crystalline substrate is based on the probability that a spherical cap of ice will grow, by statistical fluctuations, to a size such that the volume free energy and the surface free energy counterbalance<sup>1,2</sup>. Although this model is mathematically tractable, its validity has been questioned<sup>3,4</sup> on the grounds that the macroscopic values of the physical properties of ice may not apply to aggregates of only a few molecules. No alternative theory has yet been proposed to describe the molecular process by which ice deposits on a substrate. It is the purpose of this communication to show that the first stage in the nucleation of ice on organic nucleators is the growth of monolayer patches of ice on the nucleator surface.

Several organic crystals  
at high pressures  
nucleate ice  
**without supercooling!**

# US military was using cloud seeding as weather weapon to extend monsoon season and muddle the supply route of the Vietcong



**1971** – comes out in the press

**1972** – leaking of Pentagon papers

**1973** – US Senate calls for treaty to ban weather modification as weapons

**1978** – World treaty bans weather weapons

**Operation Popeye** 1967-1972, Vietnam

## 1950s – NUCLEATION THEORY

### Entropy effect in ice crystal nucleation

NH Fletcher - The Journal of Chemical Physics, 1959 - aip.scitation.org

... which they expose. Large steps on basal faces expose prism faces, and are thus good **ice nucleation** sites ... Such steps are therefore not particularly good **ice nucleation** sites, though all steps favor the condensation of water in the liquid form ...

★ [🔗](#) Cited by 65 [Related articles](#) [All 3 versions](#)

## 1960s – FUNDAMENTALS

### Ice nucleation and the substrate-ice interface

DM Anderson - Nature, 1967 - nature.com

NATURE. VOL. 216, NOVEMBER 11, 1967 Fig. 3. Chain-like aggregate of the forms depicted in Fig. 2. It is conspicuous that the aggregate is situated on a crack within the rock. Note isolated elements in the surroundings (from Witwatersrand quartzite as in Fig. 1; the line represents ...

★ [🔗](#) Cited by 87 [Related articles](#) [All 3 versions](#)

## 1970s – BIOLOGICAL ICE NUCLEATION

### Ice nucleation induced by Pseudomonas syringae

LR Maki, EL Galyan, MM Chang-Chien... - Applied ..., 1974 - Am Soc Microbiol

Broth cultures of suspensions of Pseudomonas syringae isolated from decaying alder leaves (Alnus tenuifolia) were found to freeze at very warm (-1.8 to -3.8 C) temperatures. The initiation of freezing appears associated with the intact cell and not with extracellular ...

★ [🔗](#) Cited by 598 [Related articles](#) [All 8 versions](#)

## 1980s – BIOLOGICAL ICE NUCLEATION

### [PDF] The role of bacterial ice nucleation in frost injury to plants

SE Lindow - Annual review of phytopathology, 1983 - annualreviews.org

The science of plant pathology is largely a study of the mechanisms, quantification, and alleviation of plant stresses due to biological agents. Of obvious importance are the stresses to plants directly caused by infection by various plant pathogenic fungi, bacteria, viruses ...

★ [🔗](#) Cited by 472 [Related articles](#) [All 8 versions](#)

## 1990s – CLOUD AND WEATHER MODELS

### New primary ice-nucleation parameterizations in an explicit cloud model

MP Meyers, PJ DeMott... - Journal of Applied ..., 1992 - journals.ametsoc.org

Two new primary **ice-nucleation** parameterizations are examined in the Regional Atmospheric Modeling System (RAMS) cloud model via sensitivity tests on a wintertime precipitation event in the Sierra Nevada region. A model combining the effects of deposition ...

☆ [🔗](#) Cited by 892 [Related articles](#) [All 11 versions](#)

## 2000s –PARAMETERIZATIONS FOR WEATHER MODELS

### [HTML] Water activity as the determinant for homogeneous ice nucleation in aqueous solutions

T Koop, B Luo, A Tsias, T Peter - Nature, 2000 - nature.com

The unique properties of water in the supercooled (metastable) state are not fully understood. In particular, the effects of solutes and mechanical pressure on the kinetics of the liquid-to-solid phase transition of supercooled water and aqueous solutions to ice have ...

☆ [🔗](#) Cited by 1087 [Related articles](#) [All 18 versions](#)

### [HTML] Molecular dynamics simulation of the ice nucleation and growth process leading to water freezing **first computer simulation of ice nucleation!**

M Matsumoto, S Saito, I Ohmine - Nature, 2002 - nature.com

Upon cooling, water freezes to ice. This familiar phase transition occurs widely in nature, yet unlike the freezing of simple liquids 1, 2, 3, it has never been successfully simulated on a computer. The difficulty lies with the fact that hydrogen bonding between individual water ...

☆ [🔗](#) Cited by 770 [Related articles](#) [All 13 versions](#)

## 2010s – ATMOSPHERIC AEROSOLS / CLIMATE MODELS

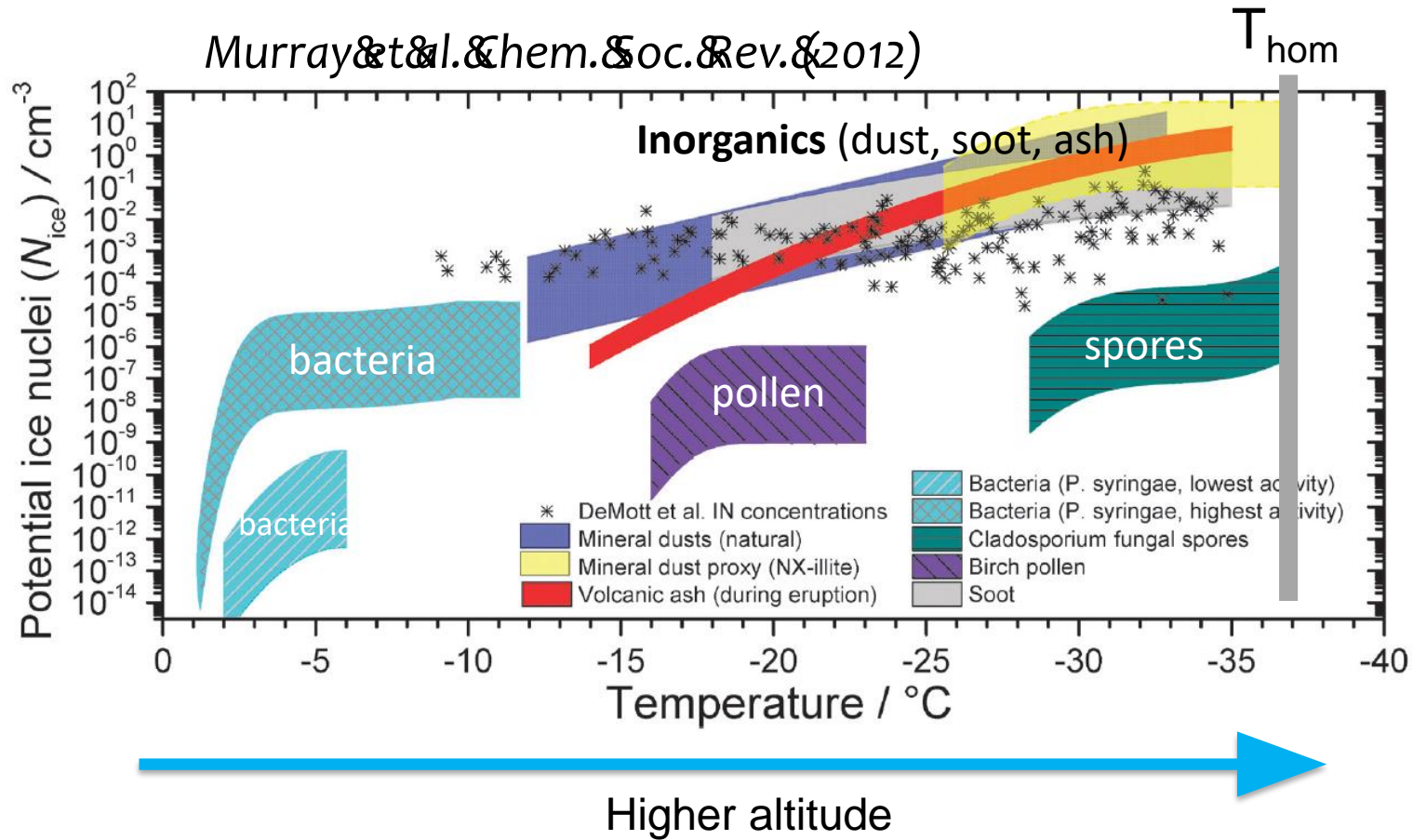
### [PDF] Heterogeneous ice nucleation on atmospheric aerosols: a review of results from laboratory experiments

C Hoose, O Möhler - Atmos. Chem. Phys., 2012 - core.ac.uk

A small subset of the atmospheric aerosol population has the ability to induce ice formation at conditions under which ice would not form without them (heterogeneous **ice nucleation**). While no closed theoretical description of this process and the requirements for good ice ...

☆ [🔗](#) Cited by 743 [Related articles](#) [All 10 versions](#) [🔗](#)

# Bacteria act as ice nucleants in clouds



*Ps. syringae* bacteria are pervasive plant pathogens that nucleate ice through assemblies of proteins on their outer wall





*(dead) Ps. Syringae is used for making snow...*



## *Outline*

**What makes bacterial proteins so good at ice nucleation?**

Why do they have a distribution of nucleation temperatures?

**How do organic crystals promote ice formation?**

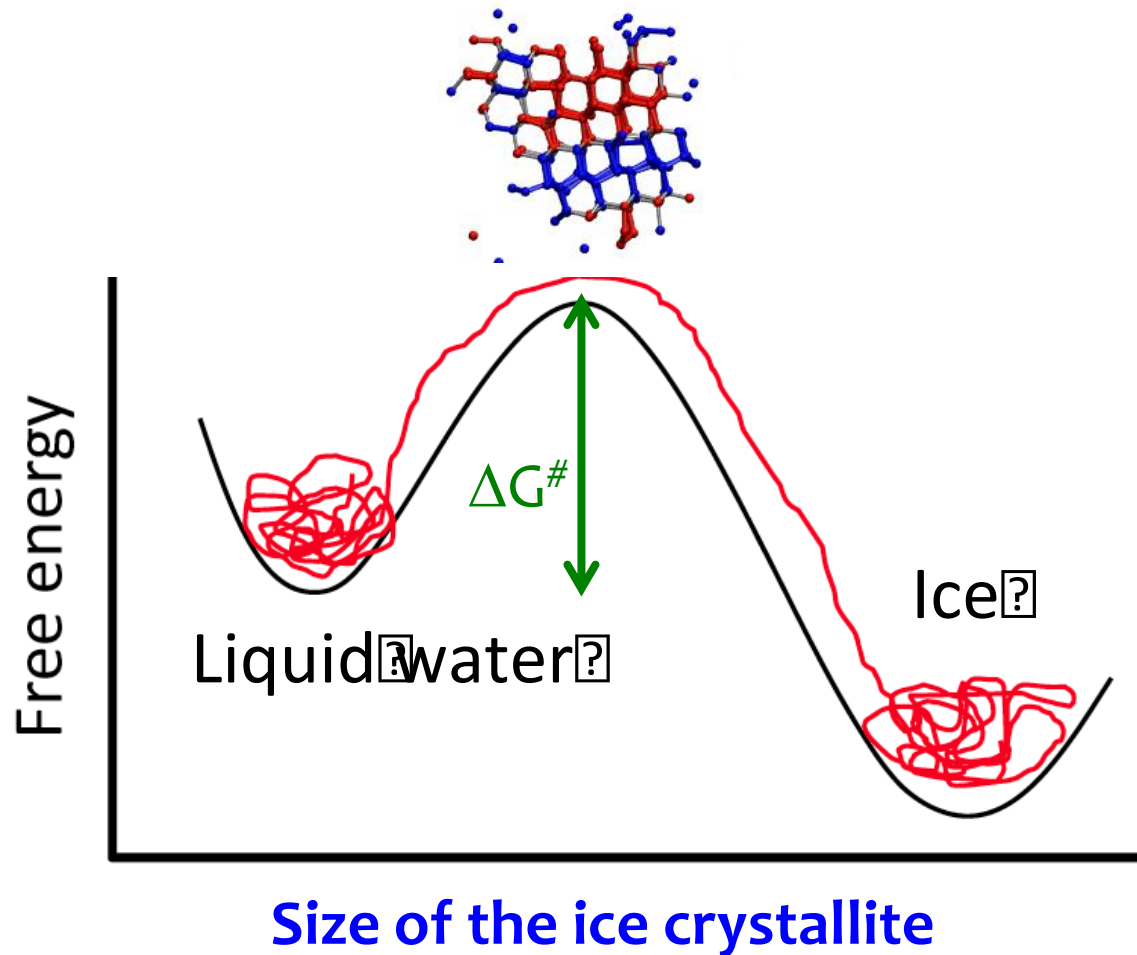
The search for the most potent ice nucleants:

**Ice nucleation without supercooling**

We address these questions using

***molecular simulations with coarse-grained and united-atom models,  
nucleation theory, and thermodynamics***

# The crystallization of water is an activated process



**Stable phase of nanoscopic ice is stacking disordered.**

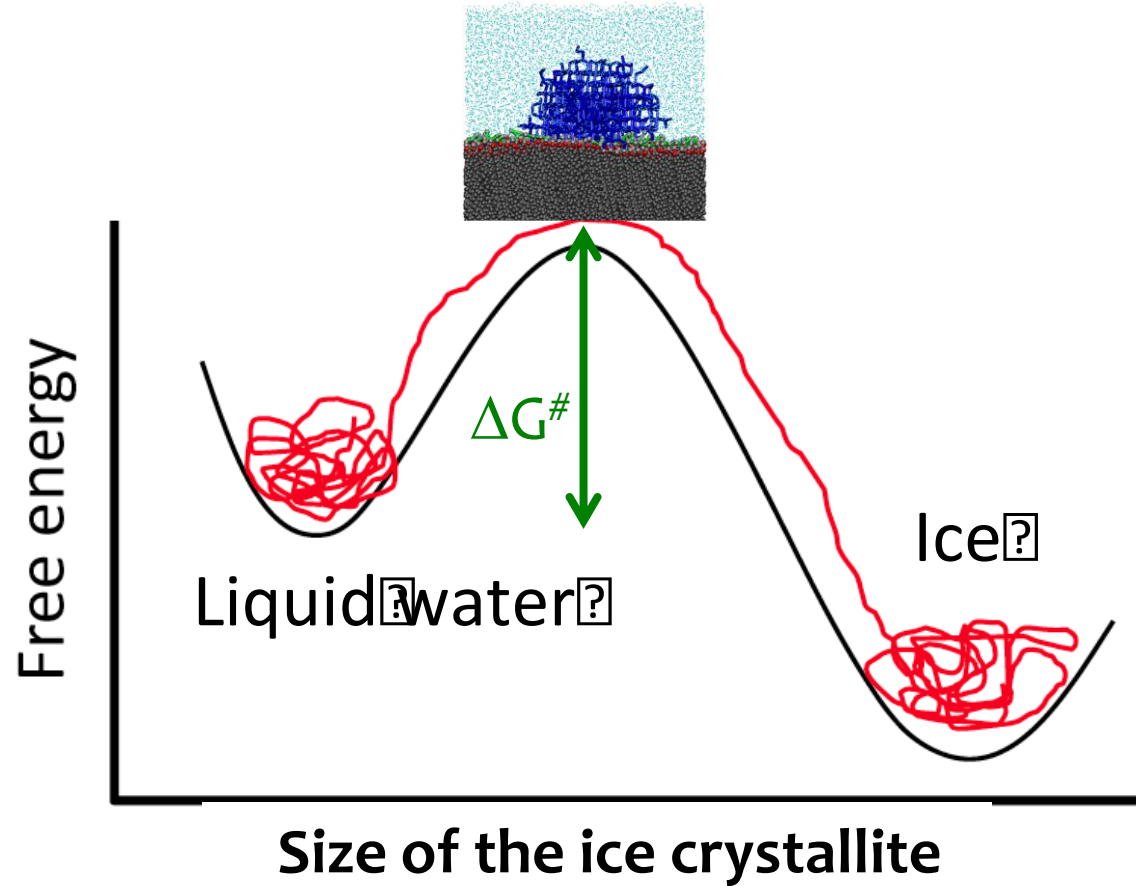
**Different from that of bulk ice!**

Lupi et al. Nature 2017

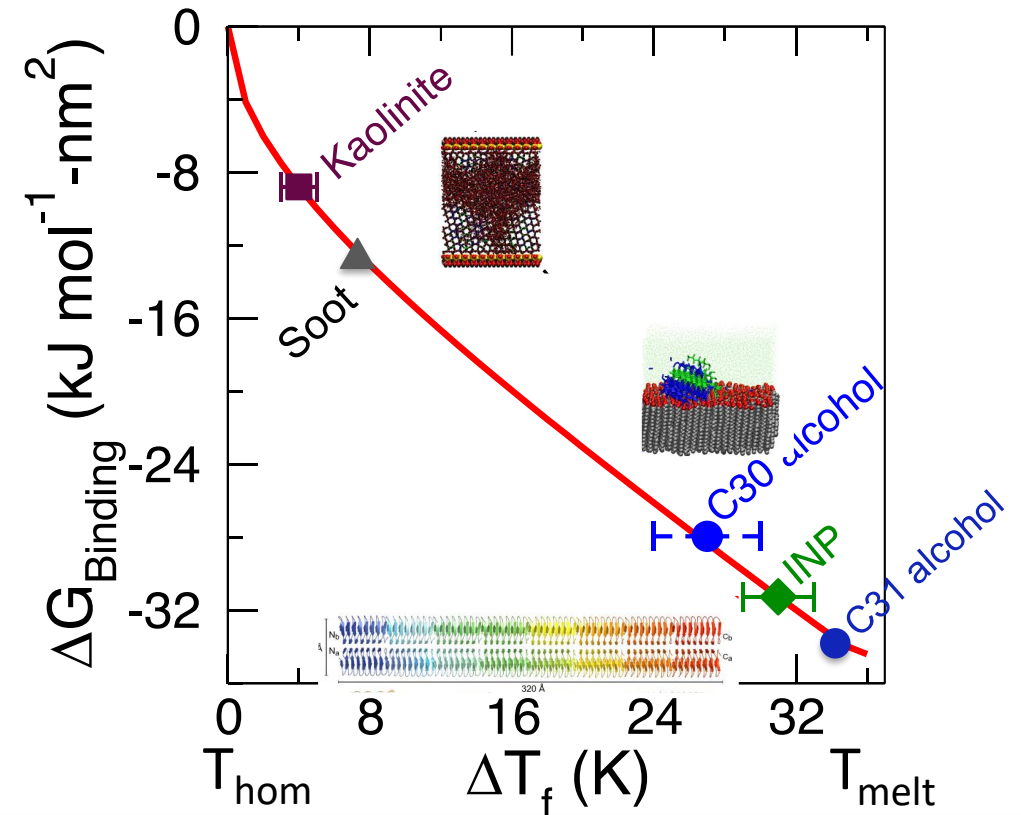
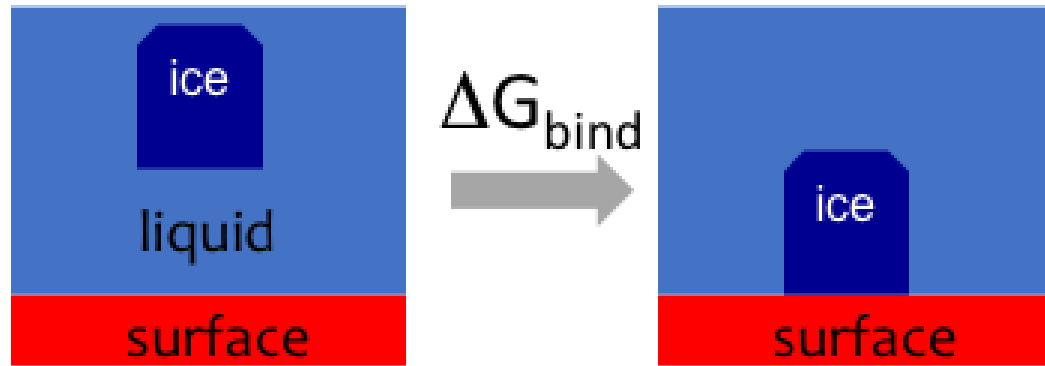
**Barrier of nucleation arises from the cost of the ice interface**

$$\Delta G^\ddagger = c \gamma^3 / (\rho \Delta \mu)^2$$

# Surfaces and molecules promote the nucleation of ice by decreasing the cost of the ice surface



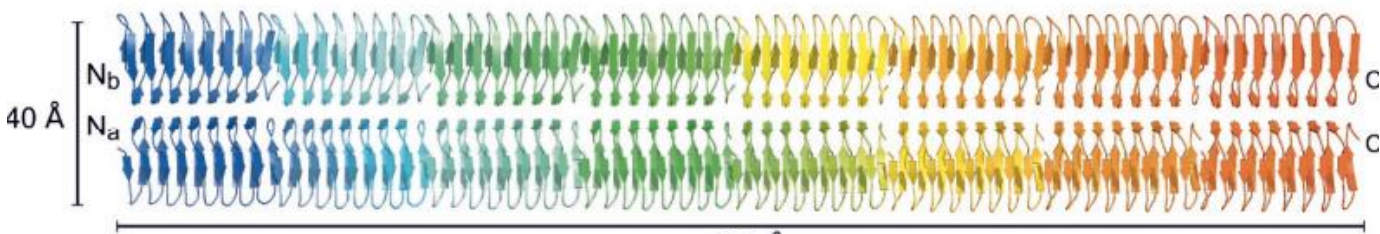
# The binding free energy of ice to the surface determines the ice freezing temperature



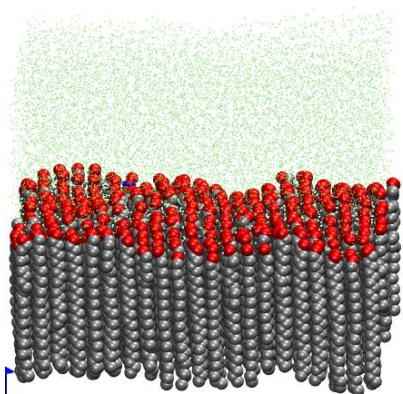
*Ice binding proteins, alcohol monolayers and some organic crystals nucleate ice at very high temperatures.*

*What makes them so efficient at binding ice?*

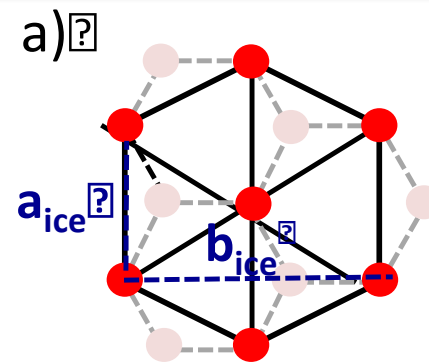
# Molecules with OH groups ordered similar to water in ice planes are particularly efficient promoters of ice nucleation



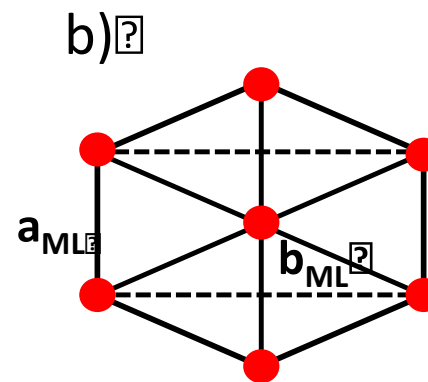
**Ice Nucleating Proteins**



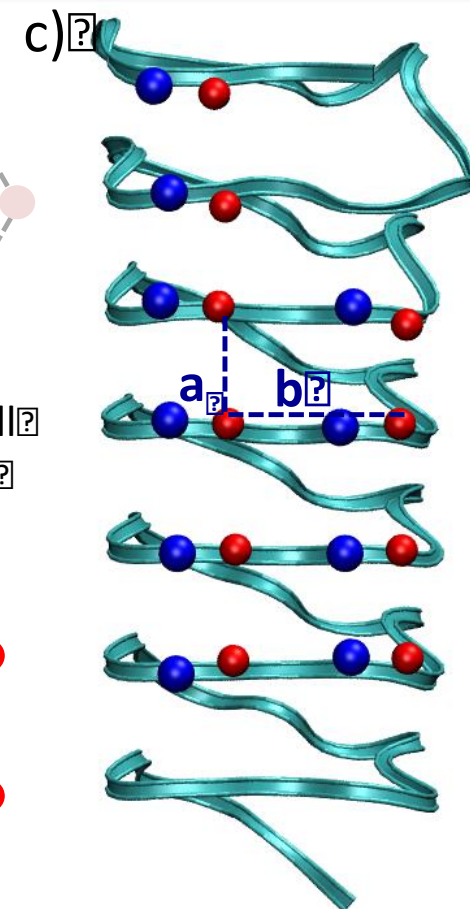
**Monolayers of Alcohols**



Hexagonal unit cell of hexagonal ice



Rectangular unit cell of alcohol or acid monolayers



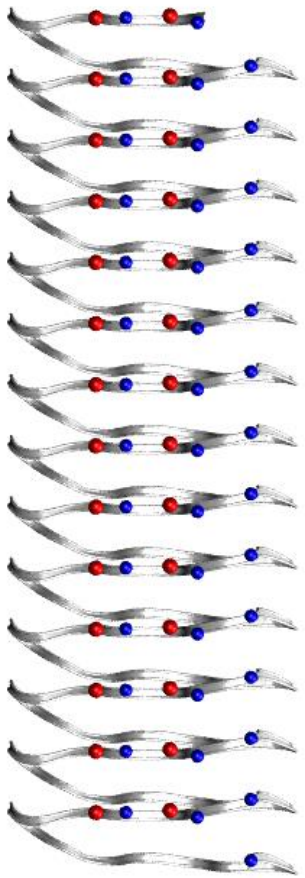
Binding sites of TmAFP protein

Qiu, Odendahl, Hudait, Mason, Bertram, Paesani, DeMott & Molinero, JACS 2017

Hudait, Odendahl, Qiu, Paesani, & Molinero, JACS 2018

# Ice nucleating proteins bind to ice through **an anchored clathrate motif** that bridges hydrogen bonding order of binding surface and ice face

model INP



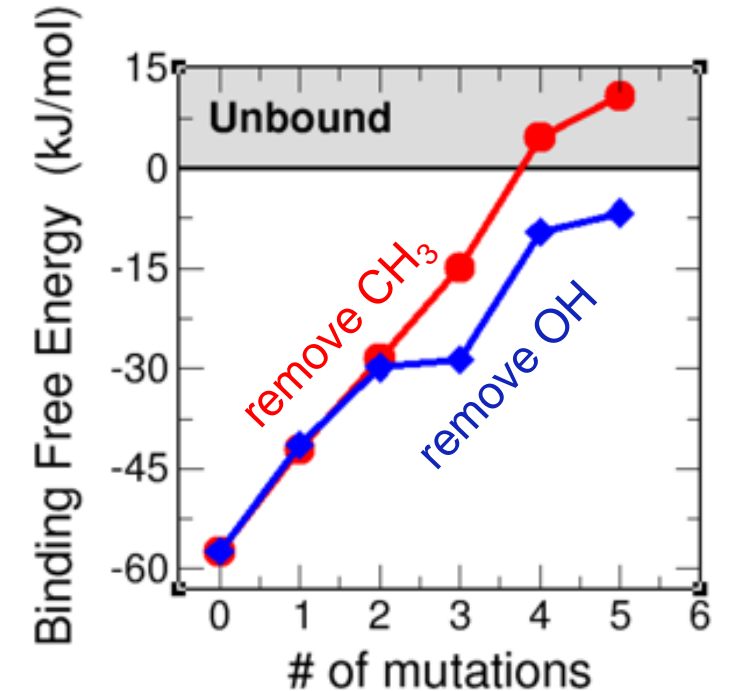
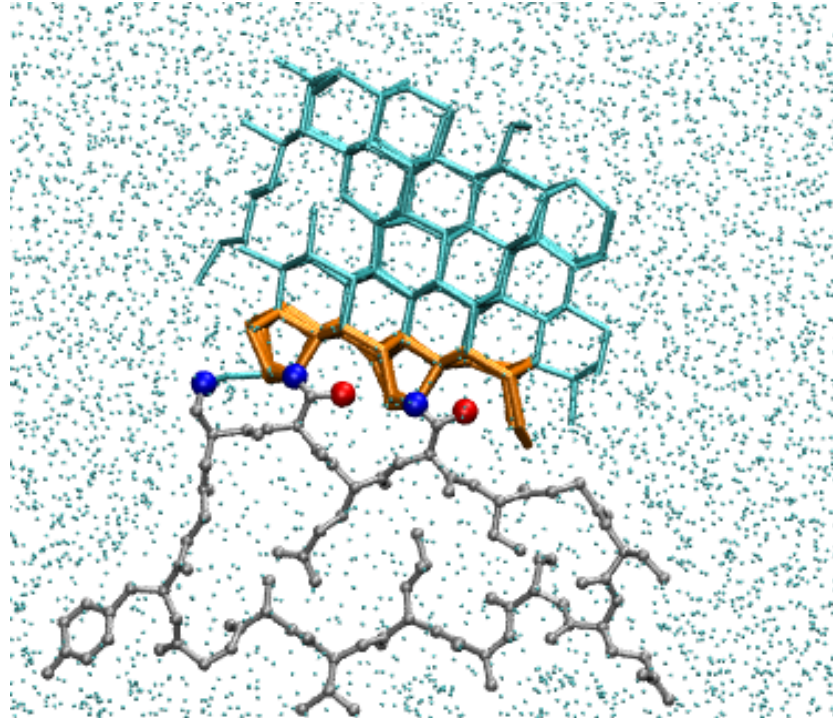
Conserved  
TxT  
arrays

Threonine  
exposes:

OH

CH<sub>3</sub>

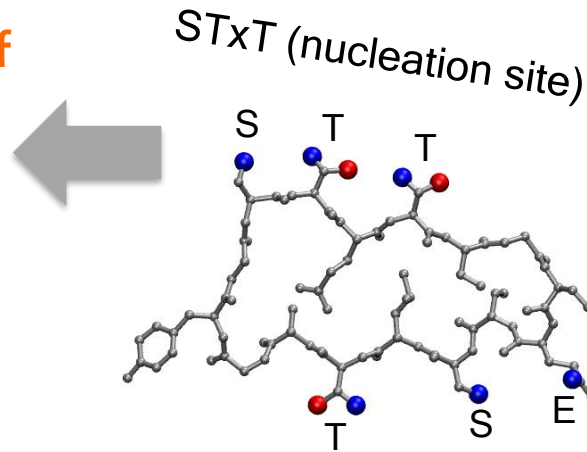
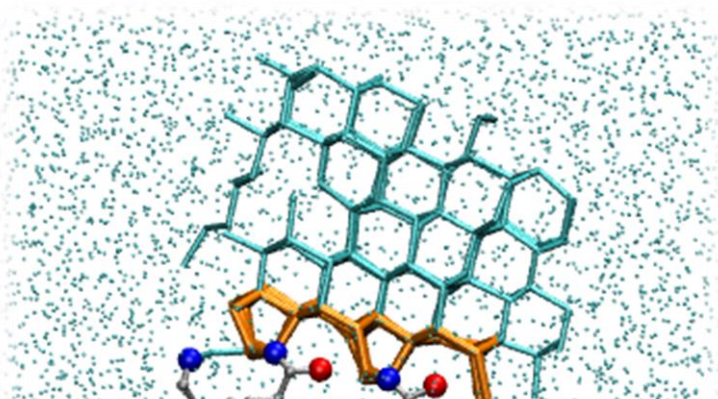
Binds the ice nucleus through anchored clathrate motif



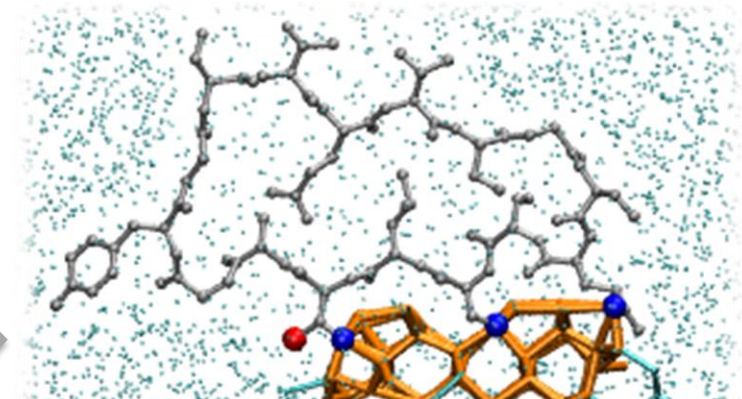
Hydrogen-bonding and hydrophobic groups contribute equally to the binding free energy of the proteins to ice

# *Ice nucleating protein of *Ps. syringae* has two ice binding sites with comparable ice nucleation efficiency*

STxT side generates ice bound through **anchored clathrate motif**



ExSxT side generates ice bound through **ice-like motif**



*Mixed hydrophobic and hydrogen bonding or only hydrogen bonding interactions can be equally efficient at binding proteins to ice*

$$\Delta T_f = 14 \pm 2 \text{ K}$$

experiment  
 $\Delta T_f = 12 \text{ K}$

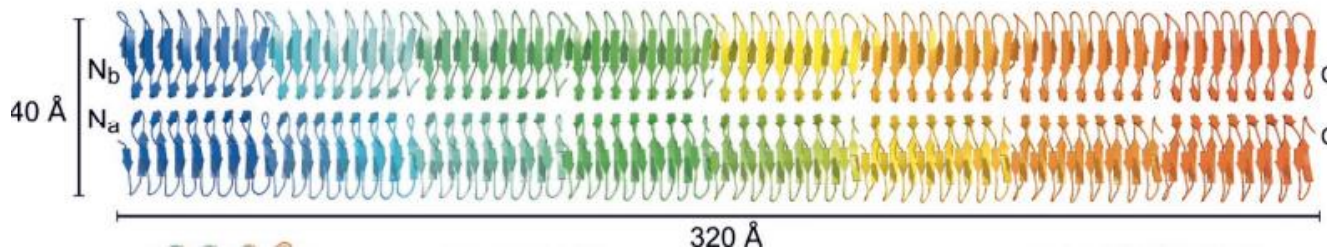
$$\Delta T_f = 15 \pm 2 \text{ K}$$

Ling et al. *J. Geophys. Res.: Atmos.* 2018

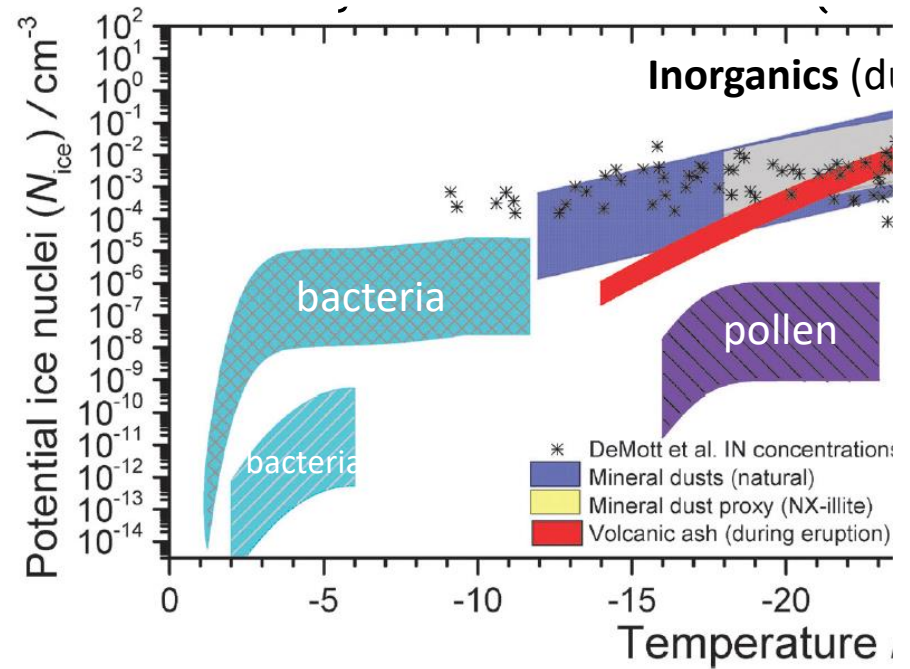
Hudait, Odendahl, Qiu, Paesani, & Molinero, *JACS* 2018



# *Ice nucleating proteins are large and they aggregate in the cell membrane*

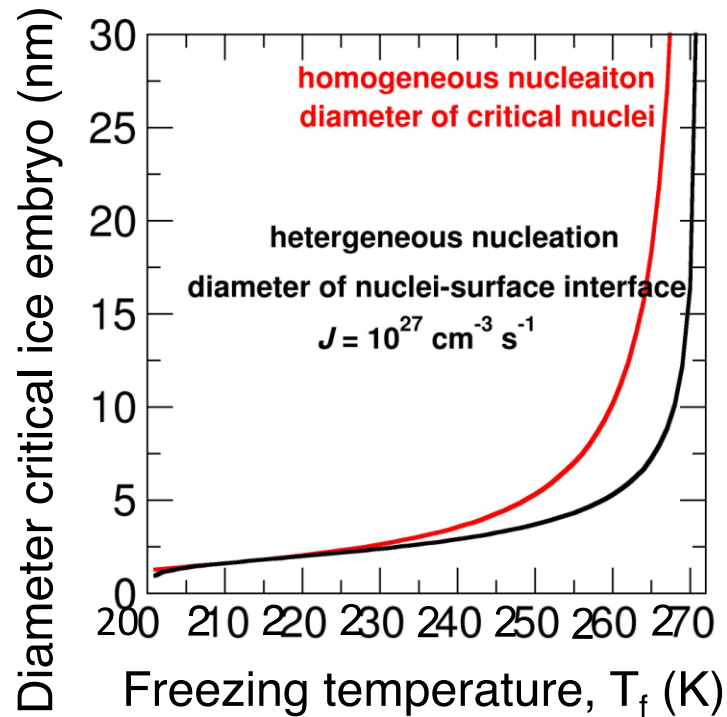


Experiments by Lindow and coworkers from 1980s suggest that the distribution of ice nucleation temperatures arises from assemblies with different number of ice nucleating proteins



*How do size and aggregation of the proteins impact ice nucleation?*

# Ice nucleation requires the stabilization of a critical ice embryo: the higher the nucleation temperature, the larger the critical nucleus



TmAFP has 4 TxT loops



**AFPs bind strongly to existing ice,  
but it can only stabilize a tiny embryo**

**TmAFP nucleates ice just  $2 \pm 1$  K above  $T_{\text{homo}}$**

Qiu, Hudait & Molinero, JACS 2019

Eickhoff et al. JPC Lett 2019

PsINP monomer has 68 wider loops

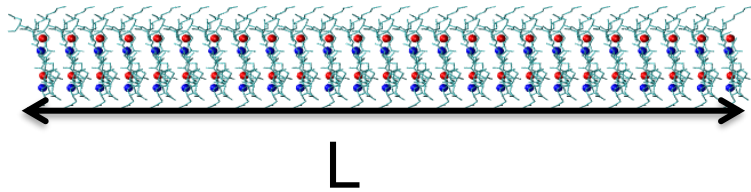


**INP Monomer nucleates ice at 12 K above  $T_{\text{homo}}$**

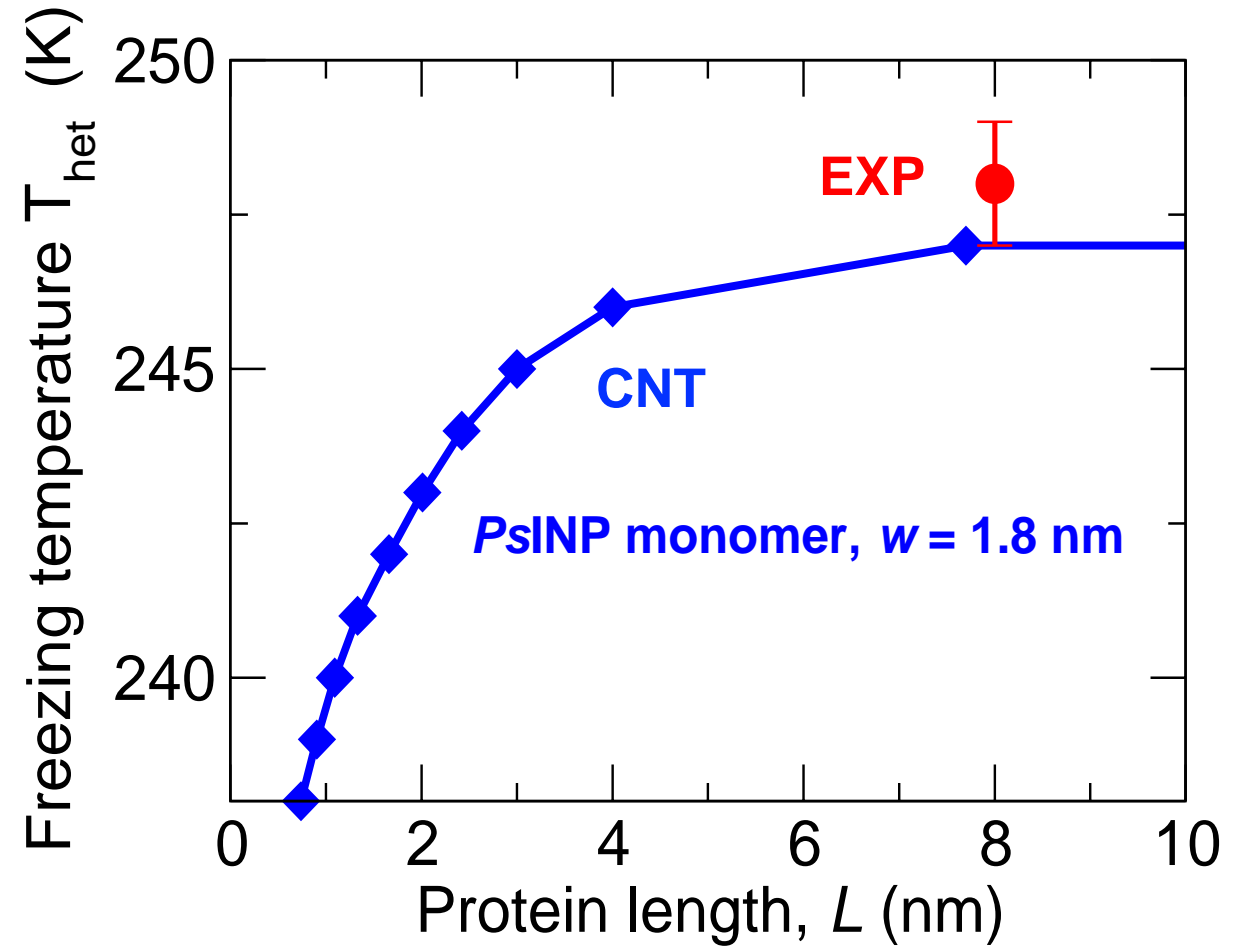
Ling et al. J. Geophys. Res.: Atmos. 2018,

# Size of protein binding site controls ice nucleation temperature

We build proteins by adding loops of an ice binding protein

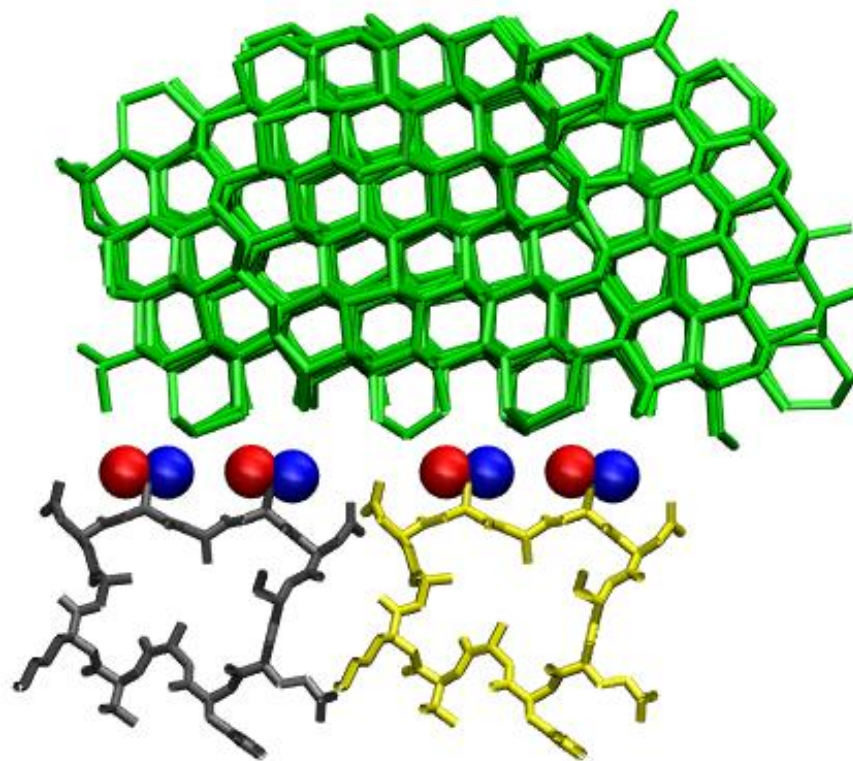
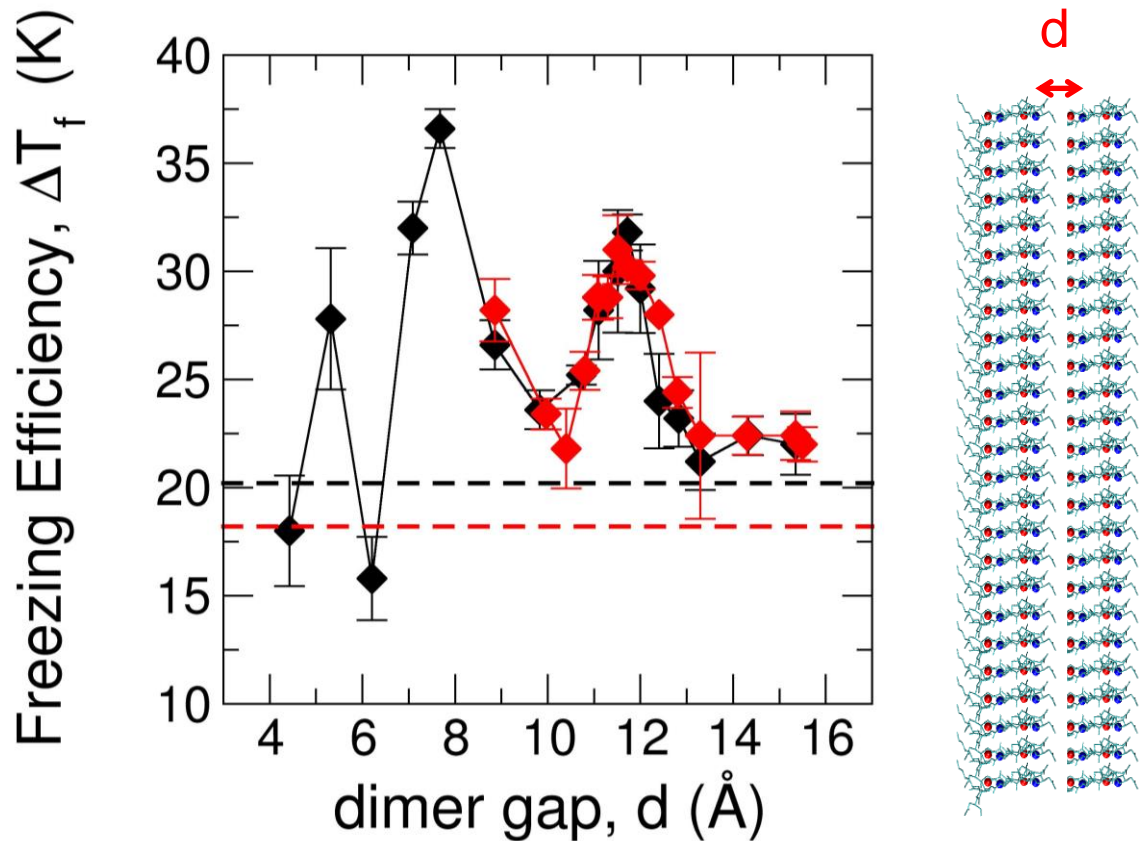


Qiu, Hudait & Molinero, *JACS* 2019



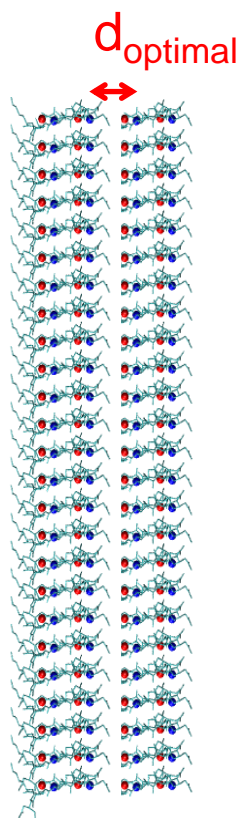
**Width of the protein limits its ice nucleation efficiency:  
Proteins must aggregate to reach their full nucleation potential**

# Optimal distances for protein aggregation are those that allow seamless connection of ice bound to all protein monomers

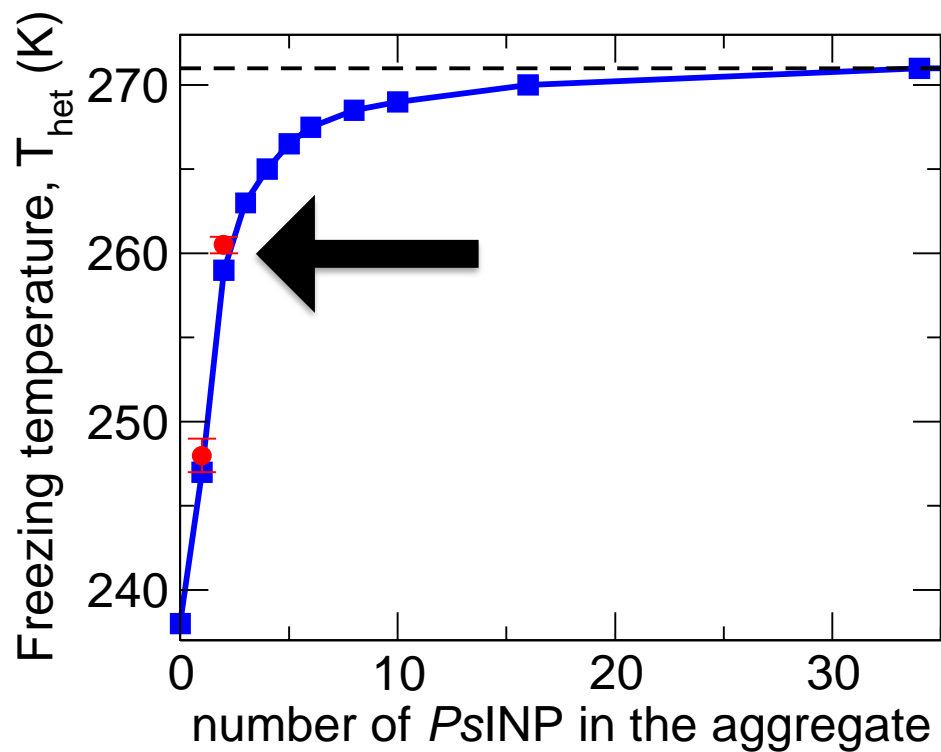


*Bacteria must have exquisite control of the distance between ice-nucleating proteins in their cell membrane*

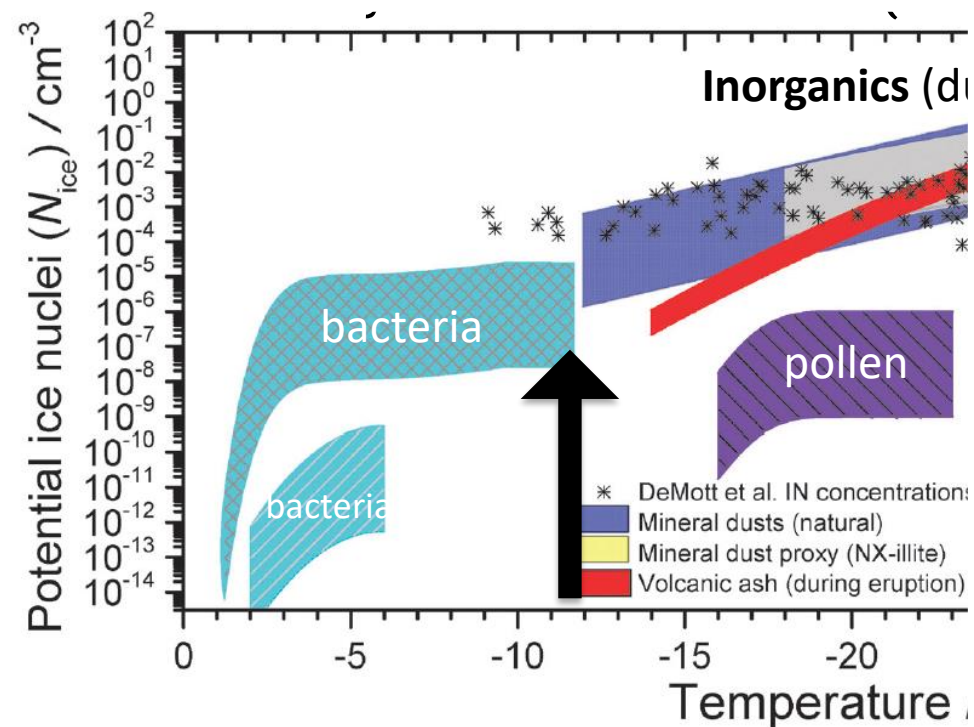
# INP aggregates in *Ps. syringae* range from dimers to ~32 proteins



Theoretical prediction of freezing temperatures vs size of the protein aggregate



Density of nucleating bacteria in atmosphere vs temperature at which they nucleate ice



**Bacterial ice nucleating proteins are as potent ice nucleants as ice itself**

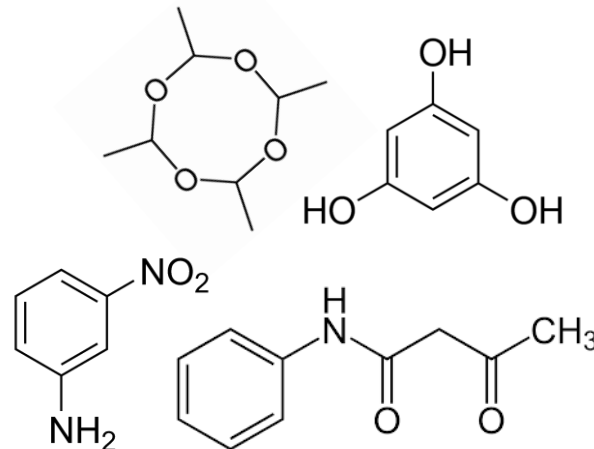
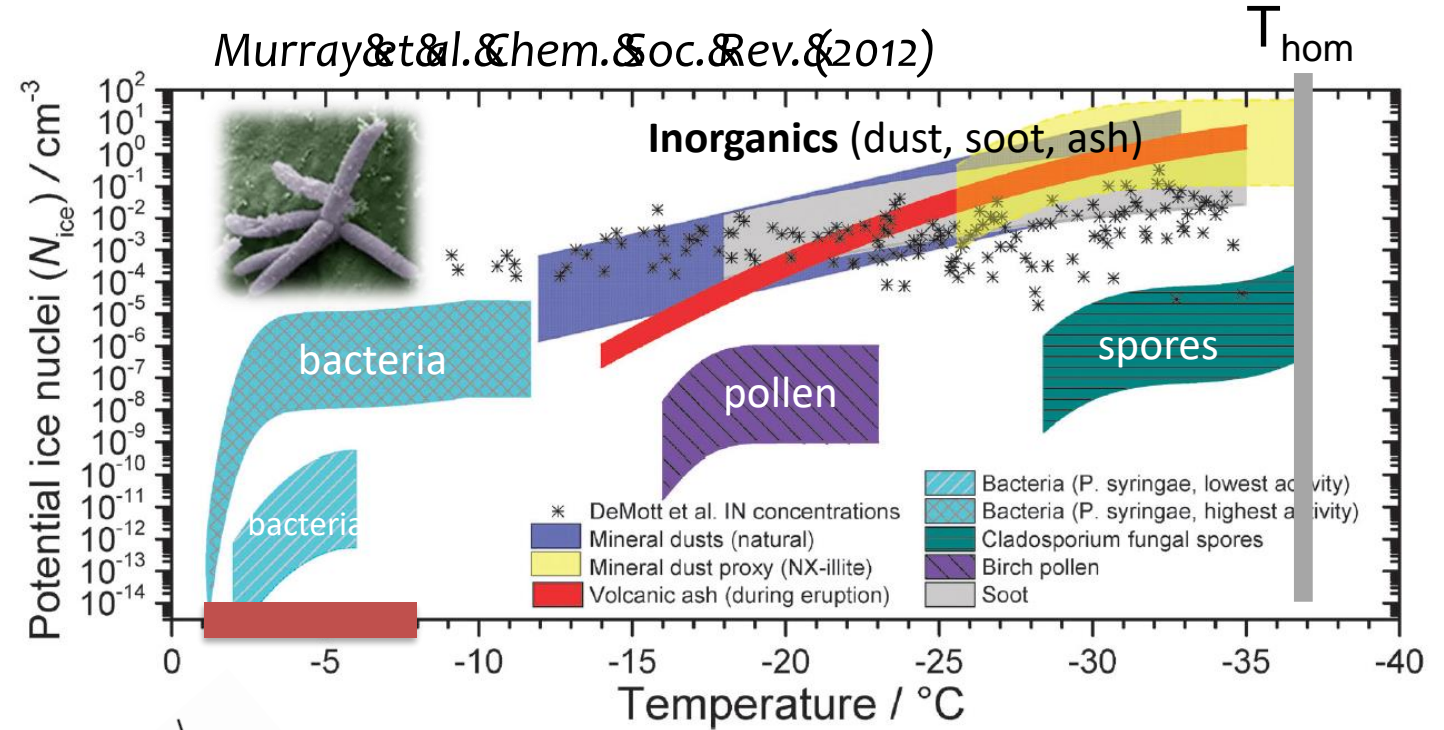
# Proteins and organic crystals can be very efficient nucleants, promoting water crystallization at very low supercooling

**Ps. syringae bacteria are the most efficient ice nucleants in nature.**

**Bacterial ice-nucleating proteins bind ice at least as strongly as ice itself.**

**Crystals of simple organics can be as potent nucleants as Ps. Syringae**

Organics are 20-90% mass of submicron atmospheric aerosols.



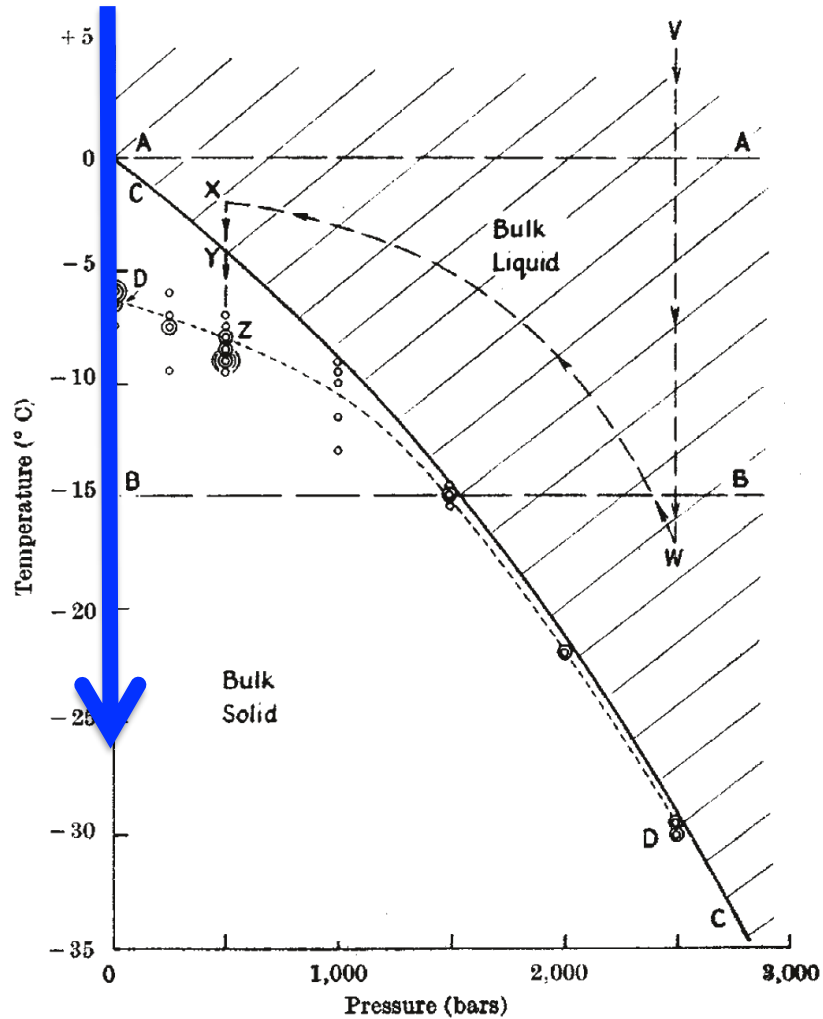
Hundreds of organic crystals were tested for ice nucleation in the 1960's – Several dozen nucleate ice at  $T > -5^{\circ}\text{C}$

Head Nature 1961

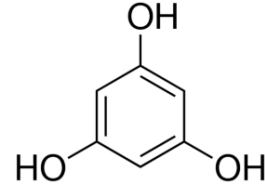
Fukuta Nature 1960 & J. Atm. Sci. 1966

Evans Nature 1967

# Ice nucleation efficiency of most potent organic crystals rivals that of ice nucleating bacteria



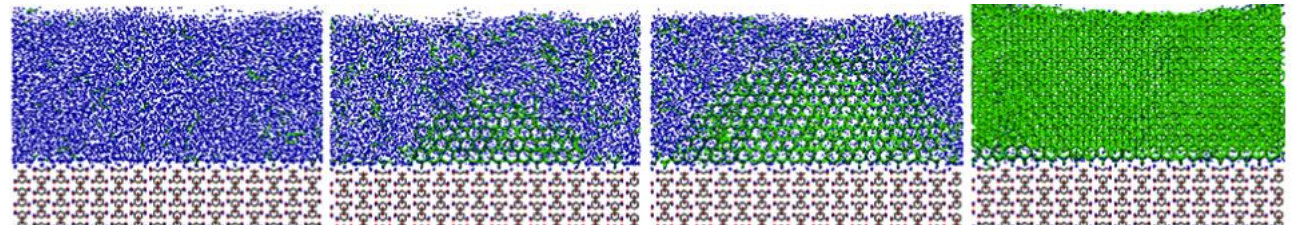
phloroglucinol



## Two-dimensional Nucleation of Ice

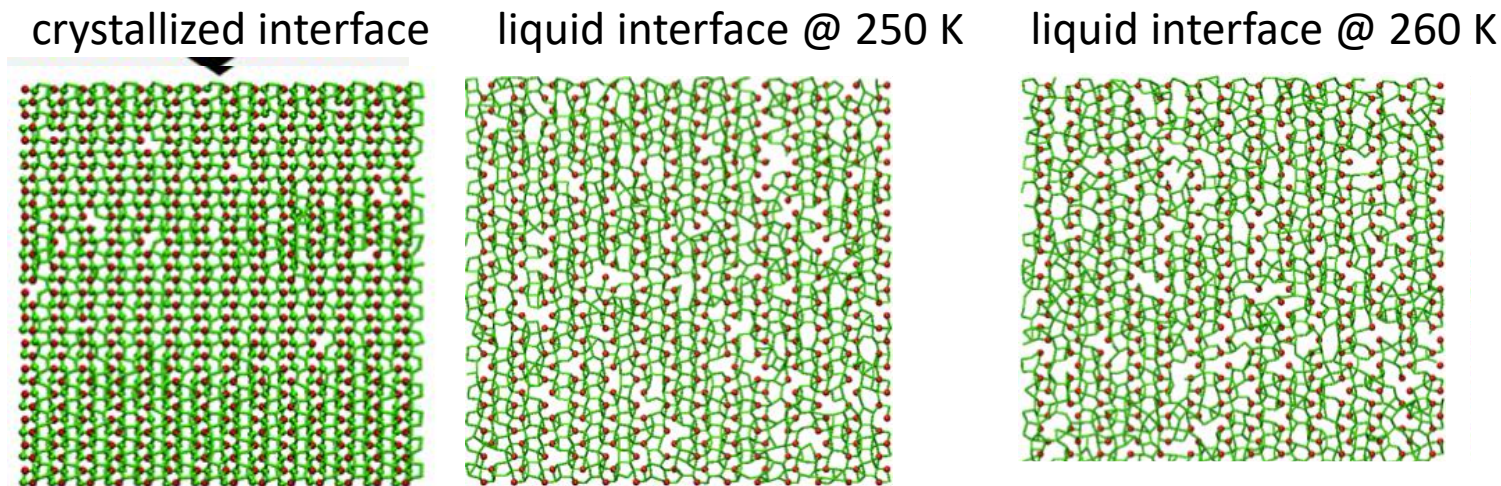
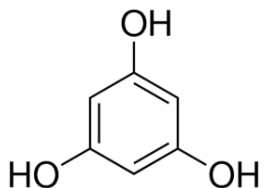
Evans, Nature 1967

Evans proposed that the exceptional ice nucleation efficiency was due to the progressive formation of an ordered monolayer at the organic-water interface

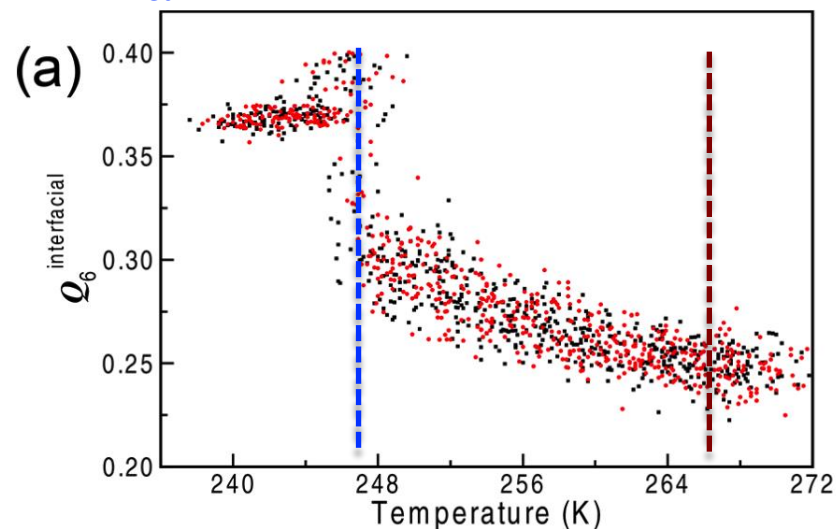


# Simulations confirm there is a distinct water monolayer at the organic interface that orders progressively on cooling, but fully only after ice formation

All simulations at 1 bar @ (010) face of phloroglucinol dihydrate



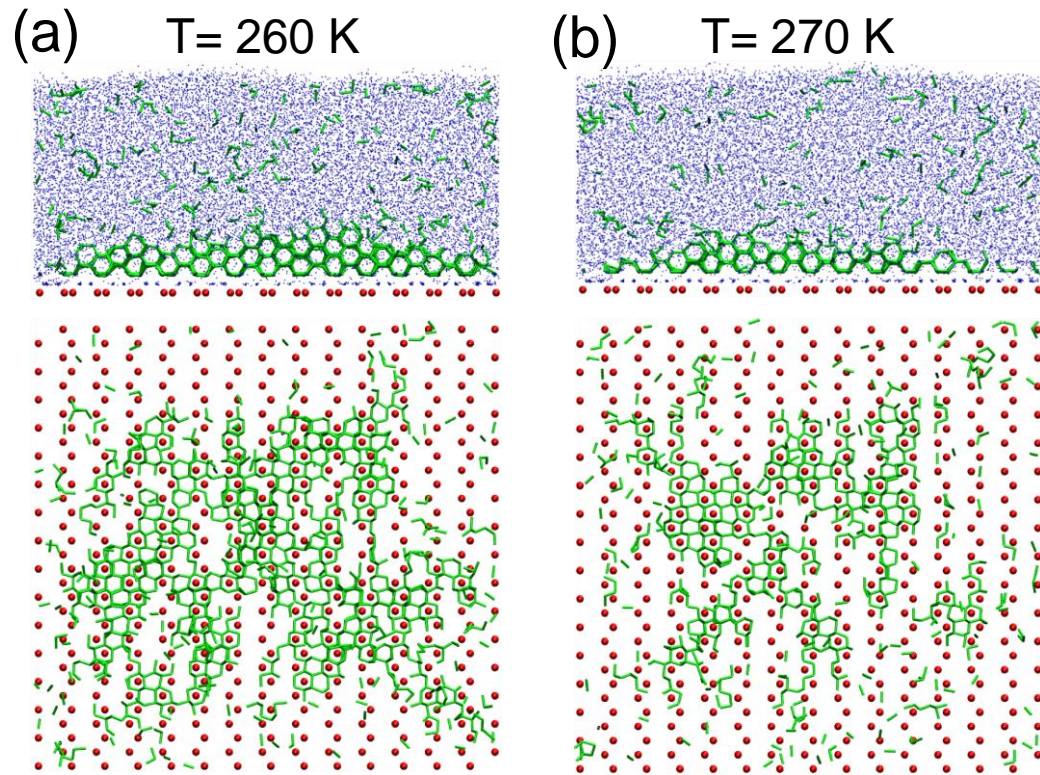
$T_{\text{het}} = 248 \text{ K} @ 10^9 \text{ K/s}$      $T_{\text{het}} = 266 \text{ K} @ 0.1 \text{ K/s}$



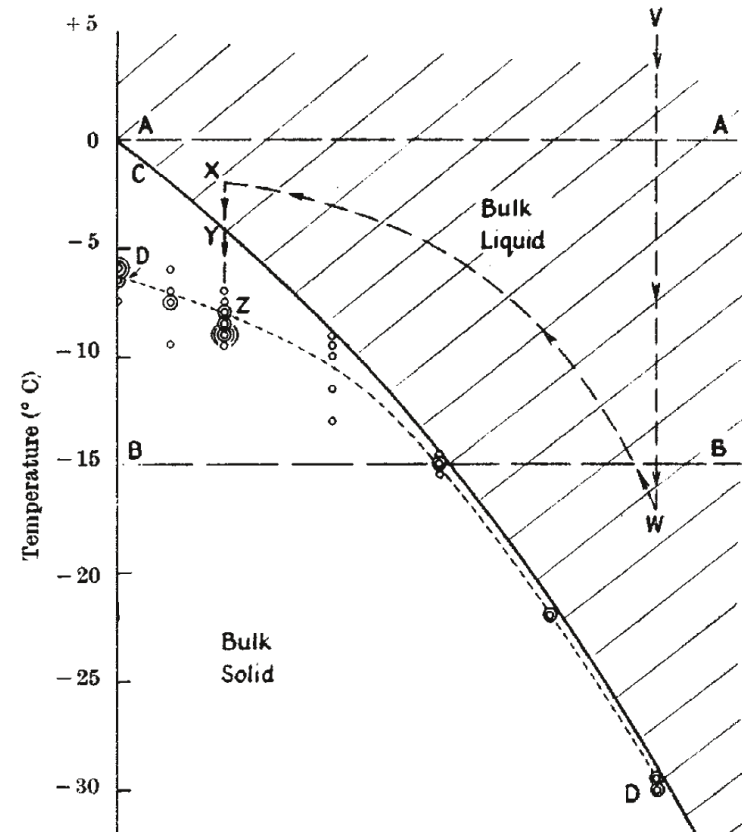
interfacial transition layer with 5- and 6-member rings bridges the distinct HB order of organic and ice face



# Fully ordered monolayer would nucleate ice at $T > -2^{\circ}\text{C}$



Simulations restraining the amount of ice

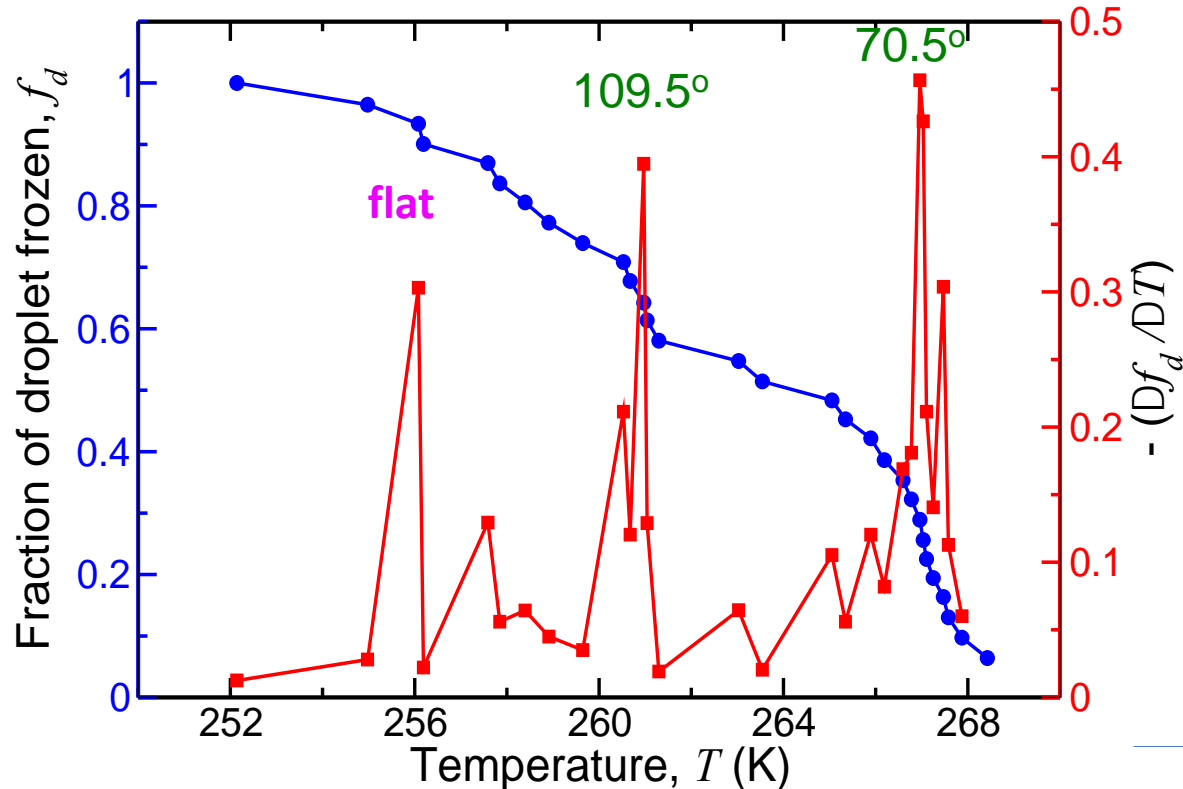


**Intersection of temperature of formation of the monolayer and  $T_{\text{melt}}$  of ice could account for the outstanding ice nucleation at high pressures**

Not everything that shines is gold!!

# Topographical defects can confer exceptional ice nucleation ability to mediocre nucleants

Nucleation by pure cholesterol monohydrate crystals



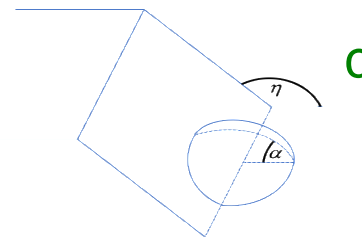
Cholesterol has a single hydroxylated surface: only that surface can bind ice.

What is that's face  $T_{het}$  ?  
What are the other peaks?



We use molecular simulations to assign the nucleation by the flat cholesterol monohydrate surface to the 256 K peak

We use nucleation theory to assign the peaks around 261 and 267 K to ice nucleation on 109.5° and 70.5° wedges that seamlessly accommodate cubic ice bound by its (111) face.



# There may be defects with many angles in the crystals, but only a few wedge angles can accommodate ice without strain

Bi et al. Nat. Comm. 2017: ice nucleation in graphite wedge using molecular simulations

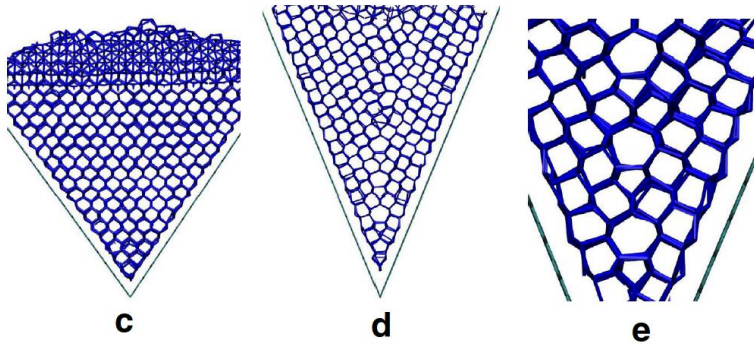
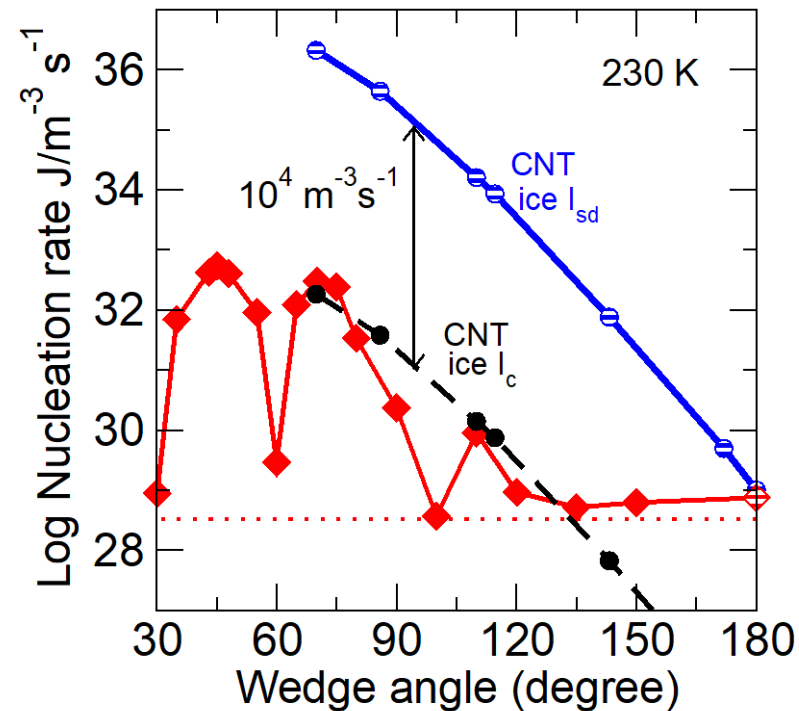


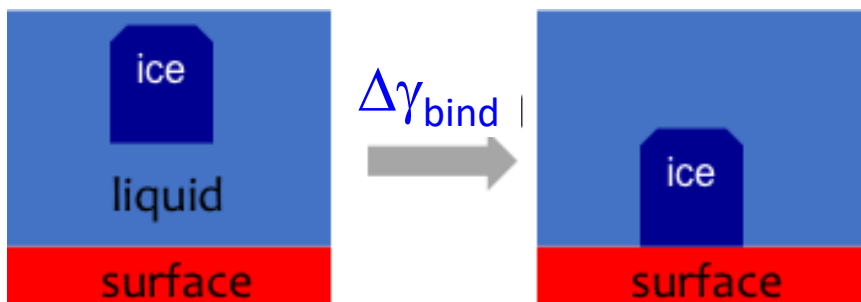
Figure 1 | Crystallization of mW water within atomically sharp wedge.

We find that special geometries produce the nucleation rate predicted by CNT for cubic ice all others angles are worse, because ice is destabilized by strain and/or defects (akin to the special distances for INP dimers)

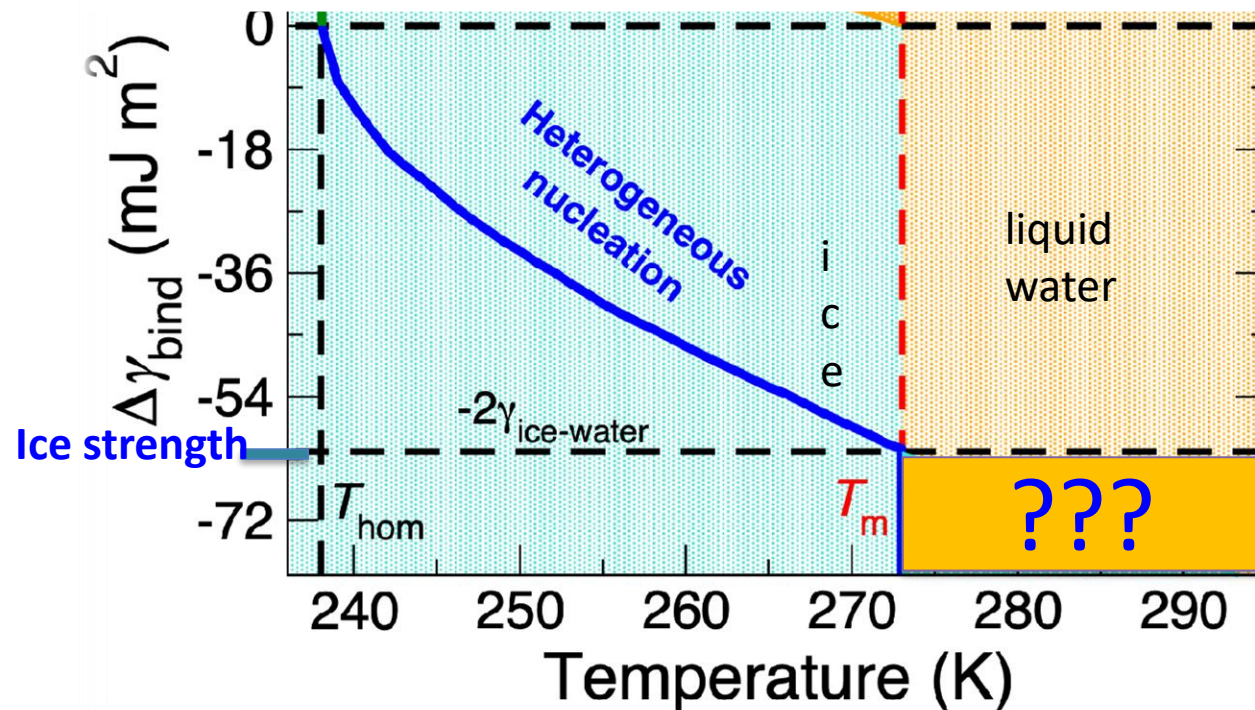
Bi et al. found non-monotonous nucleation rate vs wedge angle: they proposed that some defects on ice favor nucleation They found these best wedges form cubic ice



# Bacterial ice nucleating proteins and phloroglucinol dihydrate can bind ice at least as strongly as ice itself



$$\Delta\gamma_{\text{bind}} = \gamma_{\text{ice-surface}} - (\gamma_{\text{ice-liquid}} + \gamma_{\text{liquid-surface}})$$

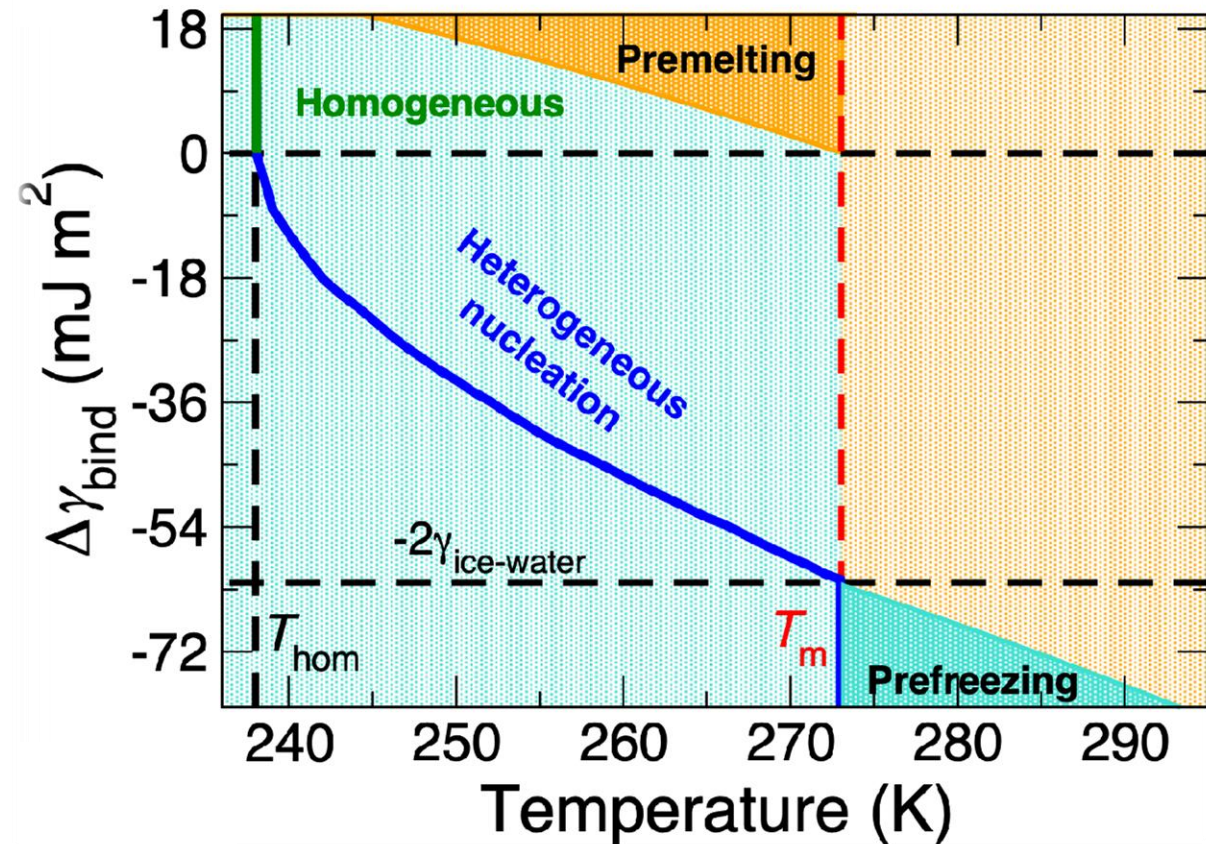


Ice nucleation temperature approaches  $T_m$  as binding free energy  $\rightarrow -2\gamma_{\text{ice-water}}$

What happens if the surface binds ice even stronger than ice itself?

# We predict a prefreezing regime, mirror image of premelting

Theoretical prediction using experimental data of water



$\Delta\gamma_{\text{bind}} > 0$  is condition of premelting:  
 $\gamma_{\text{ice-surface}} > \gamma_{\text{ice-liquid}} + \gamma_{\text{liquid-surface}}$

**Surfaces that induce premelting  
cannot promote the nucleation of ice**

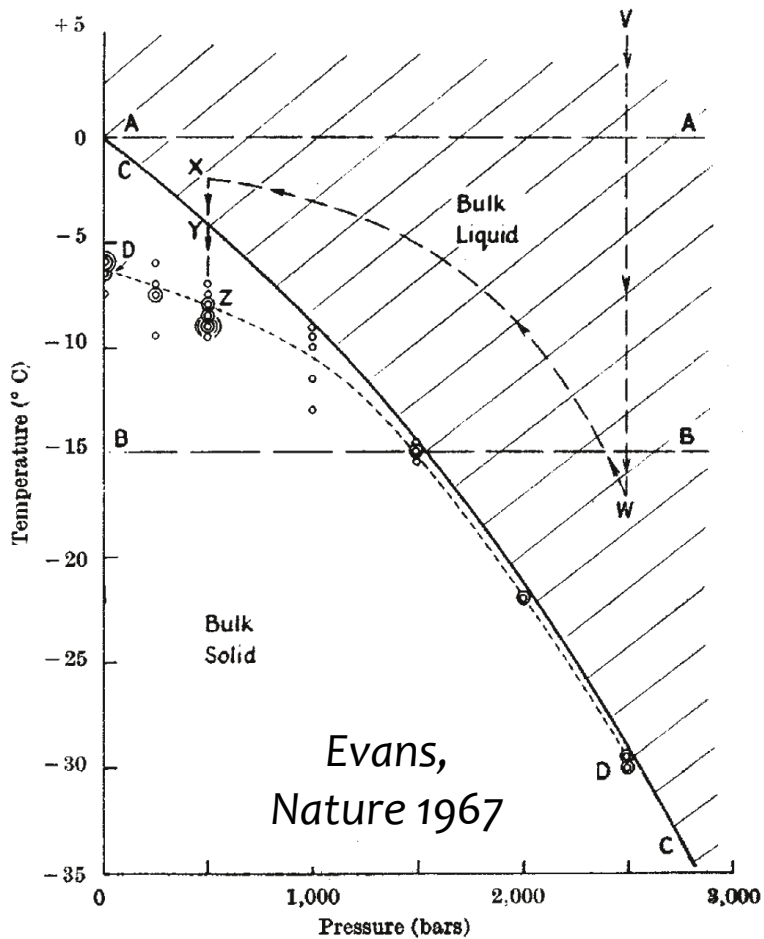
(Qiu and Molinero, JPC Lett 2019)

$\Delta\gamma_{\text{bind}} < -2\gamma_{\text{ice-liquid}}$  is equivalent to:  
 $\gamma_{\text{ice-surface}} + \gamma_{\text{ice-liquid}} < \gamma_{\text{liquid-surface}}$

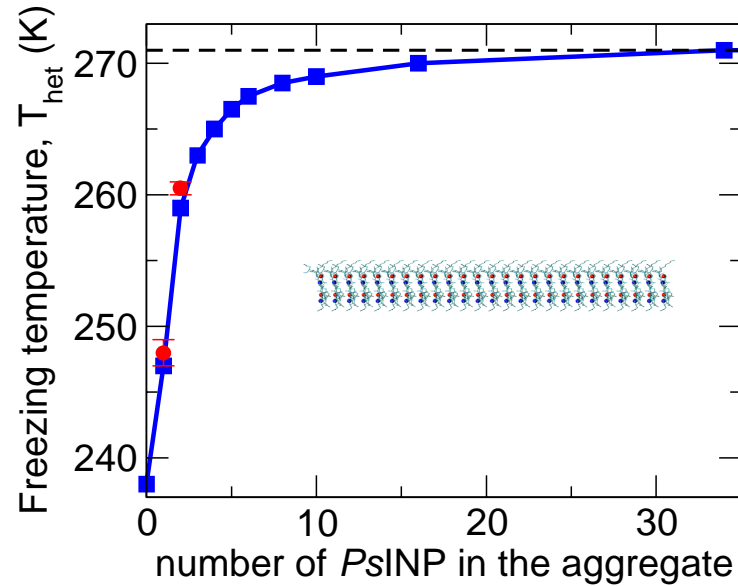
**If surface free energies favor ice very strongly,  
ice layers would form in equilibrium above the melting temperature**

# To access water prefreezing we look for exceptional ice nucleants

Potent organic crystal nucleant  
at high pressure (e.g. phloroglucinol)

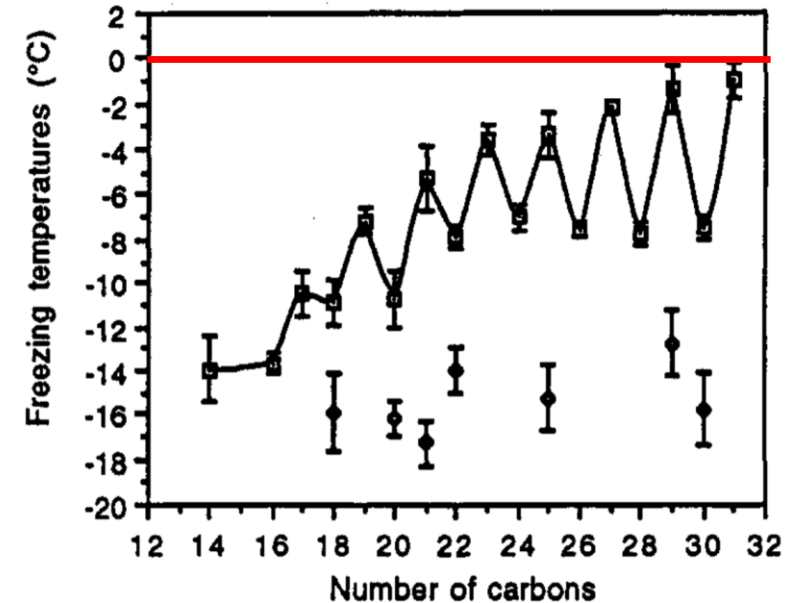


Ice nucleating bacteria  
(probably at boundary)



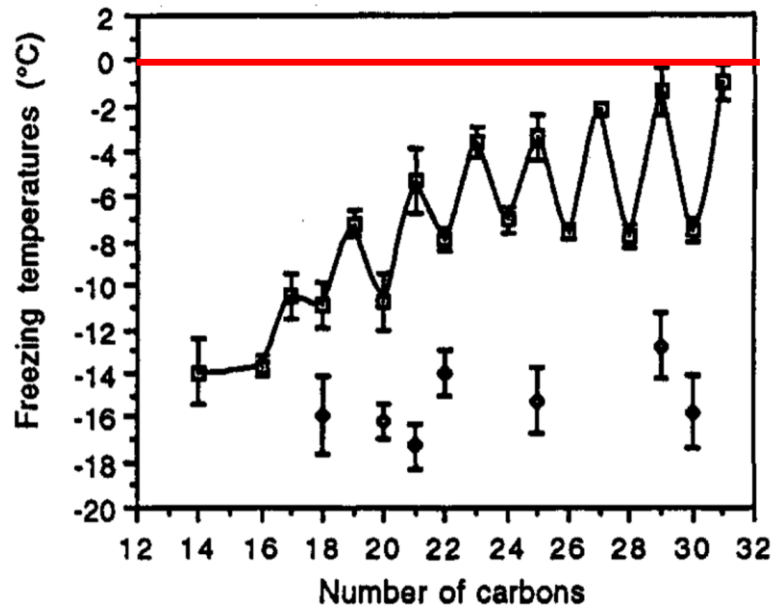
Qiu, Hudait and Molinero,  
JACS 2019

C<sub>31</sub>OH alcohol monolayer  
is extremely close

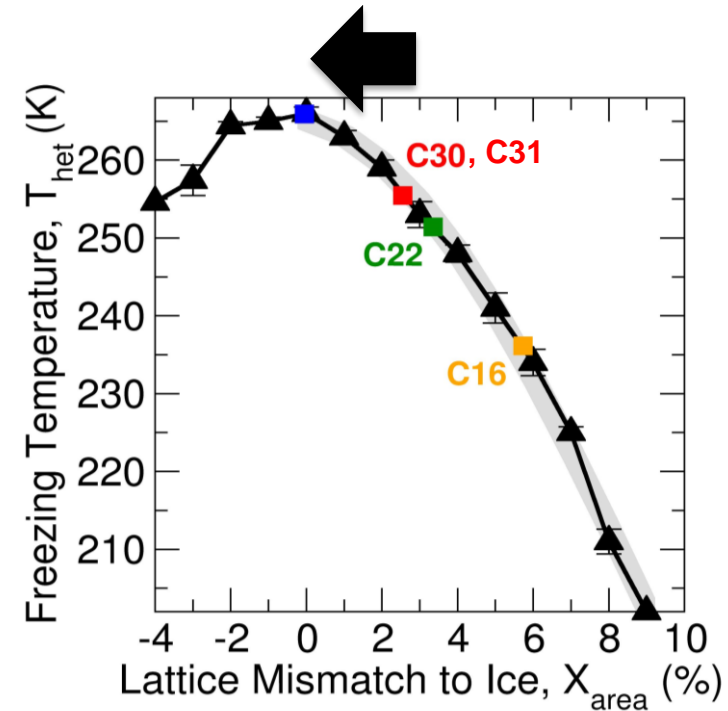


Gavish et al., Science 1990

**$C_{31}OH$  alcohol monolayers nucleate ice at  $-1^{\circ}C$ :  
they need a very small push to reach the prefreezing region**



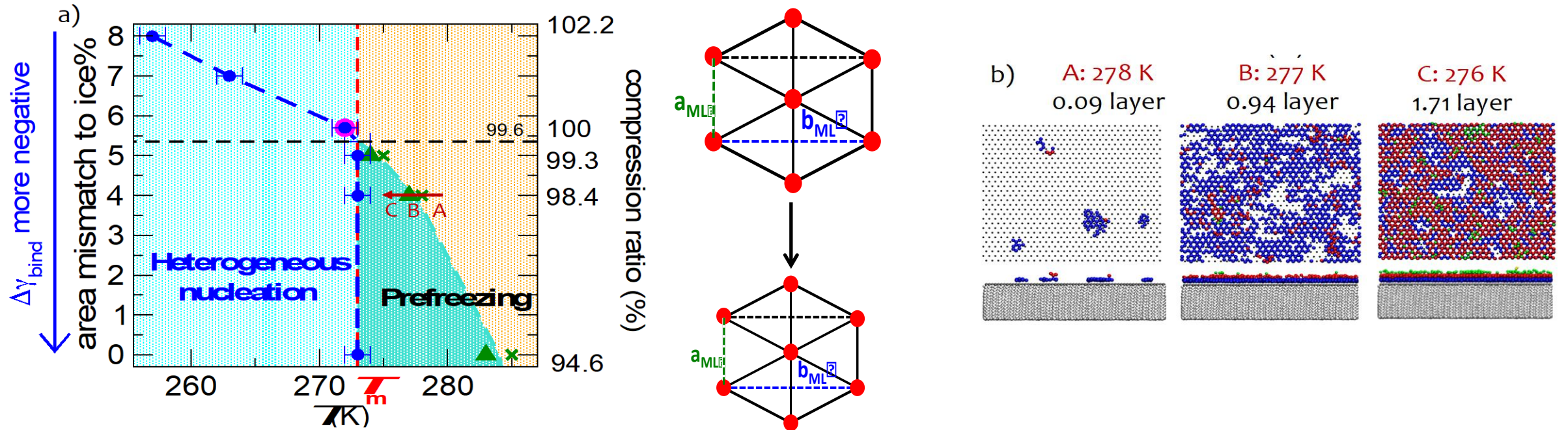
Gavish et al. Science 1990



Qiu, Odendahl, Hudait, Mason, Bertram, Paesani, DeMott & Molinero, JACS 2017

**Lattice mismatch to ice controls freezing efficiency of the alcohols:  
Compression of the monolayer will make it better ice nucleant**

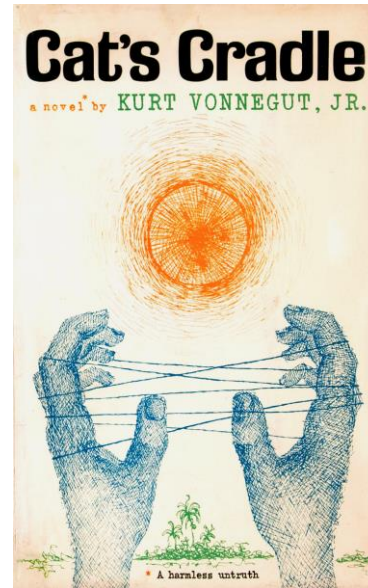
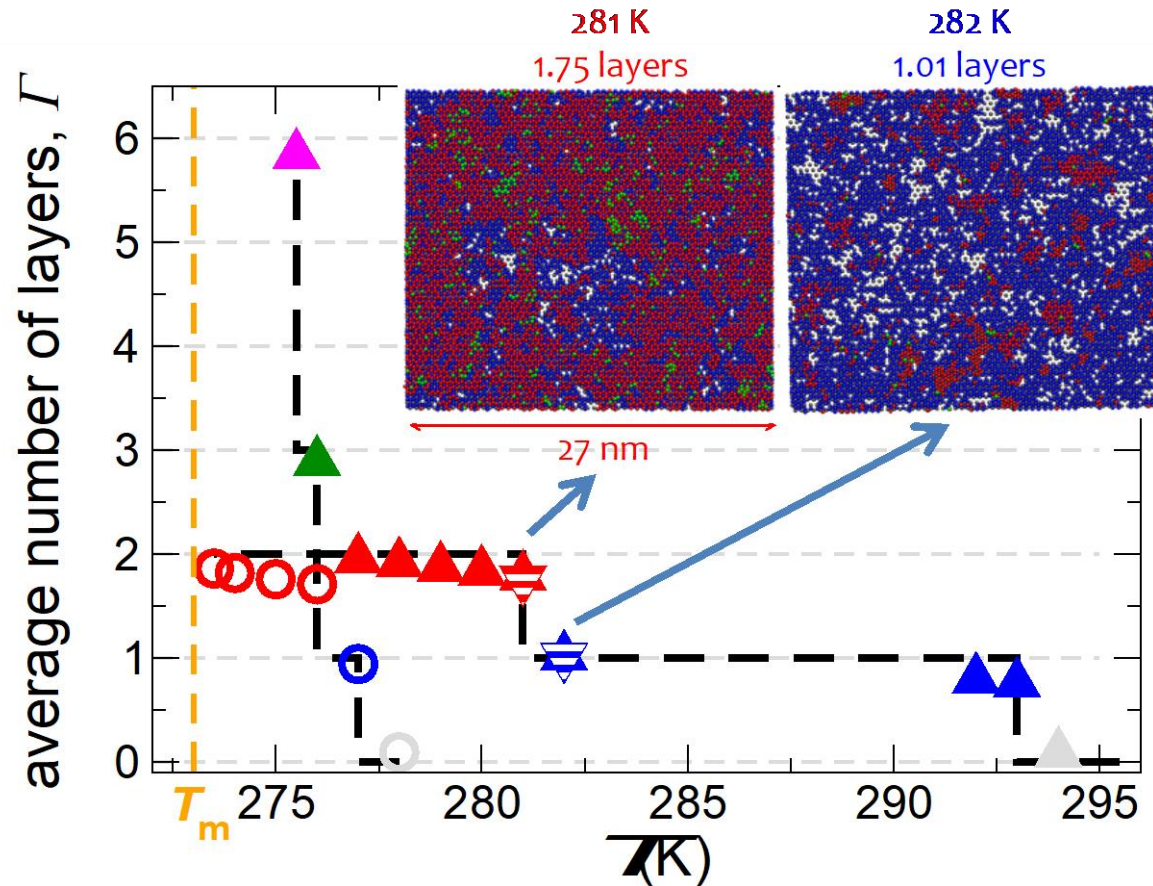
# Compression of the $C_{31}OH$ monolayer by as little as 0.3% would suffice to enter the prefreezing regime



*If the surface induces prefreezing, ice is already on the surface at  $T_{\text{melt}}$  and liquid water cannot be supercooled: the most potent nucleant*



# Number of pre-frozen ice layers increases on approaching the melting temperature from above



Is this like “ice nine”?

Will it crystallize all water and destroy our planet???

**No: surface freezing cannot grow macroscopic ice**

Free energy cost of growing ice at  $T > T_m$  compensates the driving force by interfacial free energies... and that sets how many layers of ice form at any temperature.

# Conclusions

*Size of ice-binding surfaces and their strength of binding to ice determine the temperature at which they can nucleate ice.*

Bacterial ice nucleating proteins are powerful ice-binding: they form aggregates to reach their full potency.  
Potent organic crystal nucleants develop ordered water monolayer that facilitates the binding to ice.

*Organics constitute 20 to 80% of tropospheric aerosols.*

Organic crystals may contribute to the ability of these aerosols of nucleating ice in clouds  
Topographical defects can make even mediocre nucleants act as exceptional ones

*It is possible to nucleate ice without any supercooling!*

Prefreezing of water above the melting temperature can be reached in simulations and is close or already there in high pressure organic crystals, long-chain alcohol monolayers, and ice nucleating bacteria

**Synthetic analogues can have potency that rival and surpass bacterial proteins:  
could be effective for seeding clouds to promote precipitation**

Since weather weapons were banned in 1978,  
US federal funding for fundamental research on cloud seeding mostly stopped

...but programs for cloud seeding continued

## The Salt Lake Tribune

By Zak Podmore | March 28, 2021, 6:00 a.m.

# Utah is a leader in cloud seeding. Is it working?

As drought deepens in the Colorado River Basin, the state's program could serve as a model for boosting West's water supplies.

and may intensify as arid regions become even dryer

A modeling examination of cloud seeding conditions under the warmer climate in Utah, USA

Binod Pokharel<sup>a,b,\*</sup>, S.-Y. Simon Wang<sup>a,b</sup>, Hongping Gu<sup>b</sup>, Matthew D. LaPlante<sup>a,c</sup>, Jake Serago<sup>d</sup>, Robert Gillies<sup>a,b</sup>, Jonathan Meyer<sup>a,b</sup>, Stephanie Beall<sup>e</sup>, Kyoko Ikeda<sup>f</sup>

Jan 2021

*How will cloud seeding impact water rights in a warming atmosphere?*

